# LÉKAŘSKÁ FAKULTA V PLZNI UNIVERZITA KARLOVA

# ŠIKLŮV ÚSTAV PATOLOGIE





# DOKTORSKÁ DIZERTAČNÍ PRÁCE

VYUŽITÍ IMUNOHISTOCHEMICKÝCH A MOLEKULÁRNĚ GENETICKÝCH METOD V PATOLOGII HLAVY A KRKU

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Obor: Patologie

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# **PŘEDMLUVA**

Nádory hlavy a krku jsou morfologicky různorodou skupinou, kterou lze rozdělit podle lokality na nádory dutiny nosní, paranazálních sinusů a báze lební, nádory nazofaryngu, hypofaryngu, laryngu, trachey a parafaryngeálních prostorů, nádory dutiny ústní a mobilní části jazyka, nádory orofaryngu, nádory a nádorům podobné léze krku a lymfatických uzlin, nádory slinných žláz, odontogenní a maxilofaciální kostní nádory, nádory ucha a paraganglionové tumory. I přes dobrou dostupnost většiny oblastí hlavy a krku aspekcí a otorhinolaryngologickým vyšetřením, představuje velká část onemocnění pokročilé léze. Manipulační prostor pro chirurga zde nemusí být vždy dostatečně příznivý, jako je tomu v jiných místech. Důvodem může být například přítomnost skeletu, blízkost nervově-cévních svazků a dalších životně důležitých orgánů. Snaha odstranit nádor kompletně tak může mít mutilující následky.

Morfologická heterogenita a překryvný imunohistochemický profil nádorů hlavy a krku komplikuje cestu ke konečné diagnóze. Rozvojem molekulárně genetických metod došlo v nedávné době k výraznému pokroku v definování jednotlivých nádorů a nádorům podobných lézí v oblasti hlavy a krku. Je zřejmé, že genetické alterace mají vliv na fenotyp a biologické chování nádorů.

Doktorská dizertační práce se zabývá morfologií, molekulární genetikou a klinickým chováním vybraných nádorů hlavy a krku. Největší pozornost je věnována vzácným nádorům a nově definovaným nádorovým jednotkám. Podkladem dizertační práce jsou 4 prvoautorské články, z nichž se všechny týkají nádorů hlavy a krku s bližším zaměřením především na patologii slinných žláz a sinonazálního traktu. Dizertační práce je vypracována formou souhrnu 9 komentovaných publikací, všechny práce jsou samostatně krátce představeny.

První část se týká sekrečního karcinomu (mammárního typu), druhá část popisuje ostatní vybrané nádory a nádorům podobné léze hlavy a krku. Práce jsou řazeny chronologicky, přičemž prvoautorské práce jsou předřazeny spoluautorským.

# **PROHLÁŠENÍ**

Prohlašuji, že jsem dizertační práci zpracovala samostatně a řádně uvedla a citovala všechny použité zdroje. Souhlasím s trvalým uložením elektronické verze své práce v databázi UK LF Plzeň.

V Plzni, dne 20.7. 2020 Martina Baněčková

# PODĚKOVÁNÍ

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## **ABSTRAKT**

Doktorská dizertační práce se zabývá morfologií, imunohistochemií, molekulární genetikou a biologickým chováním nádorů hlavy a krku se zvláštním zaměřením na nádory slinných žláz a sinonazálního traktu, které byly základem doktorského studia MUDr. Martiny Baněčkové na Lékařské fakultě Univerzity Karlovy v Plzni, v období let 2017–2020. Autorka se ve své publikační činnosti zaměřila na problematiku vzácných nádorů hlavy a krku. Výsledky tříletého výzkumu jsou prezentovány ve formě komentovaného souhrnu celkem 9 publikací. Čtyři práce vypracovala autorka jako hlavní autor a ve stručnosti je zde představuje.

První publikovaná prvoautorská práce má název "Sekreční karcinom mammárního typu nosní dutiny: Charakteristika 2 případů a jejich odlišení od low-grade sinonazálních adenokarcinomů. V této práci se autorka zabývala vztahem nově popsané jednotky – sekrečního karcinomu (SC) v nosní dutině s *ETV6*-rearanžovaným low-grade sinonazálním adenokarcinomem (LG SNAC). Tyto jednotky je nutné od sebe oddělit zejména kvůli odlišnému biologickému chování. Low-grade non-intestinální adenokarcinomy včetně *ETV6*-rearanžovaného LG SNAC se chovají klinicky příznivě, zatímco SC je většinou nízce maligní nádor, ale recidivující, invazivní a potenciálně agresivní. SC může být přítomen i v oblasti sinonazálního traktu, a proto může napodobovat nejenom nově definovaný *ETV6*-rearanžovaný LG SNAC, ale také musí být diferenciálně diagnosticky odlišen od agresivních intestinálních adenokarcinomů (ITAC)a high-grade non-intestinálních adenokarcinomů (non-ITAC) nosní a paranazálních dutin.

Ve druhé práci nazvané "Imunohistochemická a genetická analýza respiračních epiteliálních adenomatoidních hamartomů a seromucinózních hamartomů: jsou tyto jednotky prekurzorem pro sinonazální low-grade tubulopapilární adenokarcinomy?" autorka hodnotí morfologický, imunohistochemický genetický profil respiračního a adenomatoidního hamartomu (REAH) a seromucinózního hamartomu (SH). Získané poznatky jsou pak porovnány s vyšetřením low-grade tubulopapilárního adenokarcinomu (LGTA). Bylo zjištěno, že serózní komponenta REAH a SH je pozitivní s CK7, MUC1 a SOX10 obdobně jako je tomu u LGTA. Navíc v jednom případě SH byla geneticky potvrzená klonalita a v jednom případě SH byla zjištěna genová fúze EGFR-ZNF267 metodou sekvenování nové generace (NGS). SH a REAH jsou samostatné jednotky nesoucí překryvný morfologický a imunohistochemický profil s LGTA. Nejde o zcela indolentní léze, jak naznačuje slovo "hamartom" v jejich názvu, dle výsledků naší studie se jedná o potenciální prekurzory jiných nádorů.

Ve třetí práci pojmenované "Revize solitárních fibrózních tumorů hlavy a krku: Zkušenosti jedné instituce s 20 případy a přehled literatury" se autoři zabývali diferenciální diagnostikou a molekulárně genetickou detekcí exonů genů *NAB2* a *STAT6* u 20 případů solitárních fibrózních tumorů (SFT). Bylo zjištěno, že SFT mohou být napodobovány širokou škálou primárních i sekundárních novotvarů, které imitují oba základní růstové vzory hypocelulární i hypercelulární (hemangiopericytom-napodobující). Na základě revize literatury autoři shrnuli poznatky z 579 případů SFT z různých lokalit (200 případů bylo z oblasti hlavy a krku), které obsahovaly molekulárně genetická data popisující exony genů participujících v translokaci *NAB2-STAT6*. Výsledky studie shrnují, že metastatický potenciál mají jen ty SFT, jejichž fůze obsahuje DNA vazebnou doménu, tzv. STAT6-full varianty.

Čtvrtá práce nazvaná "SATB2 je často exprimovaný v osifikujících a neosifikujících periferních orálních fibromech v oblasti dásně, ale nikoliv v reaktivních fibromatózních lézích jiných struktur dutiny ústní" pojednává o periferních orálních fibromech (POF) gingivální oblasti, což jsou nodulárně utvářené fibrózní léze, pravděpodobně vznikající na podkladě chronické traumatizace. Centrálně může POF obsahovat metaplastickou vláknitou kost, která je definující pro osifikující variantu. Osifikující POF bývají nejčastěji lokalizované v gingivální

oblasti, což je v ostrém kontrastu s ne-osifikujícími lézemi. V osifikujících POF a v polovině neosifikujících POF gingivální oblasti jsme popsali silnou jadernou pozitivitu markeru SATB2, zatímco v lézích z non-gingiválních míst SATB2 exprimovaný nebyl. Zmíněná exprese SATB2 v gingiválních osifikujících POF je v souladu s domněnkou, že tyto léze pochází z periostálních vazů a tím se vysvětluje i jejich tendence k osifikaci. Výsledky studie je nutné brát v úvahu při hodnocení SATB2 pozitivních novotvarů pocházejících z dutiny ústní, tak aby nebyly tyto léze nadhodnoceny jako maligní.

## **SUMMARY**

Current doctoral thesis is dealing with morphology, immunohistochemistry, molecular genetics and biological behavior of head and neck tumors with a particular emphasis on salivary gland and sinonasal lesions. Dr. Martina Baneckova has focused on this topic during her postgraduate studies at Charles University, Medical Faculty in Pilsen, in the years 2017 – 2020. In her publication activity, the author focused on rare tumors of the head and neck. A total of 9 publications were published over a span of three years are presented in a form of a commentary. Four of them are first-author papers and these are introduced briefly below.

The first study entitled "Mammary analog secretory carcinoma of the nasal cavity: Characterization of 2 cases and their distinction from other low-grade sinonasal adenocarcinomas" deals with the relationship of newly described entities, secretory carcinoma (SC) of the nasal cavity and *ETV6*-rearranged low-grade sinonasal adenocarcinoma (LG SNAC). It is important to distinguish these 2 entities because of different clinical behaviors. Low-grade non-ITAC, including *ETV6*-rearranged LG SNAC mostly behave in an indolent manner, whereas SC is a malignancy with metastatic potential. It is important to be aware of the possible occurrence of SC in the sinonasal region, as in this area SC represents a potential mimicker of non-intestinal-type adenocarcinomas (non-ITAC) and the more aggressive intestinal-type adenocarcinomas (ITAC).

In the second paper entitled "Immunohistochemical and genetic analysis of respiratory epithelial adenomatoid hamartomas and seromucinous hamartomas: are they precursor lesions to sinonasal low-grade tubulopapillary adenocarcinomas?" the authors evaluated the morphological, immunohistochemical and genetic profile of respiratory epithelial adenomatoid hamartoma (REAH) and seromucinous hamartoma (SH), and they compared these findings with the morphological and immunohistochemical features of low-grade tubulopapillary adenocarcinoma (LGTA). The serous component of REAH or SH was positively stained with CK7, MUC1 and SOX10 similarly to LGTA. In addition, one case of SH showed *EGFR-ZNF267* gene fusion detected by next generation sequencing, and one case of SH proved to be clonal using HUMARA assay. Our findings suggest that SH and REAH represent the variants of the same lesion. Moreover, SH and serous component of REAH might be precursor lesions of LGTA.

The third paper was entitled "Solitary fibrous tumors of the head and neck region revisited: A single-institution study of 20 cases and review of the literature." The authors dealt with molecular genetic background of solitary fibrous tumor (SFT), particularly the exact participation of the exons included in the *NAB2-STAT6* gene fusion in 20 cases. SFT might be included in the differential diagnosis of a wide spectrum of spindle shape and epithelioid-cell derived lesions, both primary and secondary malignancies. The literature review summarizes data from 579 cases of SFT from different location of the body (200 of them were from the head and neck). Based on the described exons participating in the *NAB2-STAT6* gene fusion, we concluded that the fusion containing a DNA-binding domain (STAT6-full variant) has metastatic potential.

The fourth study entitled "SATB2 is frequently expressed in ossifying and non-ossifying peripheral oral fibroma of the gingival region but not in reactive fibromatous lesions from other intraoral sites" discusses the problem of peripheral oral fibromas (POF) of the gingival region. POF are fibrous nodular lesions likely resulting from persistent localized injury. The presence of centrally located metaplastic woven bone defines the ossifying variant. Ossifying POF and half of non-ossifying POF of the gingival region featured strong and diffuse nuclear SATB2 immunoreactivity. SATB2 was not expressed in other non-gingival locations of the oral cavity. The described SATB2 positivity is consistent with proposed origin of gingival POFs from periosteal ligaments and explains their tendency for ossification. This finding must be taken

into consideration when evaluating SATB2 positive lesions of the oral cavity in order to avoid misdiagnosis of malignancy.

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# SEZNAM POUŽITÝCH ZKRATEK

AR Androgenní Receptory

DBD DNA Binding Domain (DNA vázající doména)

ETV6 ETS Variant Transcription Factor 6 (ETS transkripční faktor 6)

FISH Fluorescenční In Situ Hybridizace

FNAC Fine Needle Aspiration Cytology (Tenkojehlová aspirační cytologie)

HPC Hemangiopericytom

HPV Human papillomavirus (Lidský papilomavirus)
IC Intraductal Carcinoma (Intraduktální karcinom)

ICC Imunocytochemie, Imunocytochemický
IHC Imunohistochemie, Imunohistochemický

ITAC Intestinal Type Adenocarcinoma (Adenokarcinom intestinálního typu)

LG SNAC Low-Grade Sinonasal Adenocarcinoma (Low-grade sinonazální

adenokarcinom)

LGTA Low-Grade Tubulopapilární Adenokarcinom

MS Milánský Systém

MYB Proto-Oncogene, Transcription Factor (MYB protoonkogen,

transkripční faktor)

NAB2 NGFI-A Binding Protein 2 (NHFI-A vázající protein 2)

NCOA4 Nuclear Receptor Coactivator 4 (Koaktivátor 4 jaderného receptoru)

NGS Next Generation Sequencing (Sekvenování nové generace)

Non-ITAC Non Intestinal Type Adenocarcinoma (Adenokarcinom non-intestinálního

typu)

NOS Not Otherwise Specified (Blíže nespecifikované)

NTRK3 Neurotrophic Receptor Tyrosine Kinase 3 (Neurotropní receptor pro

tyrozinkinázu 3)

POF Periferní Orální Fibrom

REAH Respirační Epiteliální Adenomatoidní Hamartom

RET Ret Proto-Oncogene (Ret protoonkogen)

RT-PCR Reverse Transcription Polymerase Chain Reaction (Reverzní transkripční

polymerázová řetězová reakce)

SC Secretory Carcinoma (Sekreční karcinom)

SDC Salivary Duct Carcinoma (Salivární duktální karcinom)

SFT Solitární Fibrózní Tumor SH Seromucinózní Hamartom SMR3B Submaxillary Gland Androgen Regulated Protein 3B (Androgeny regulující

protein 3B submaxilární žlázy)

STAT6 Signal Transducer And Activator Of Transcription 6 (přenašeč signálu a

aktivátor transkripce 6)

TRIM27 Tripartite Motif Containing Protein 27 (Třístranný motiv nesoucí protein 27)

TrkC Tyrosinkinase receptor C (Tyrozinkinázový receptor C)

VIM Vimentin

WHO World Health Organization (Světová zdravotnická organizace)

# ÚVOD

## 1.1 Incidence nádorů hlavy a krku

Nádory hlavy a krku jsou v celosvětovém měřítku 9. nejčastějším maligním onemocněním a mají udávanou incidenci 650 tisíc nových případů a mortalitu 330 tisíc úmrtí za rok (1). V Evropě je ročně evidováno 250 tisíc nových případů a 63 500 úmrtí (2). Muži pak mají 4krát vyšší riziko onemocnění v porovnání se ženami. Výskyt nádorů hlavy a krku se zvyšuje směrem od severu k jihu. Nejnižší hodnoty jsou ve Švédsku a Anglii (9–10/ 100 000 obyvatel), zatímco nejvyšší výskyt je udáván ve Francii, kde jsou tyto nádory stejně častě jako nádory plic s incidencí kolem 50/100 000 obyvatel. Více než 90 % nádorů hlavy a krku je diagnostikováno jako dlaždicobuněčné karcinomy (3).

Nádory slinných žláz a sinonazálního traktu představují dvě morfologicky heterogenní podskupiny nádorů hlavy a krku s incidencí dosahující 0,59 a 1,5 nových případů ročně. Mortalita je u nádorů sinonazálního traktu v porovnání s novotvary slinných žláz vyšší a je udávána na 0,84 případů/100 000 obyvatel za rok, respektive pro slinné žlázy 0,24/100 000. V České republice je incidence nádorů slinných žláz 0,66 a nádorů sinonazálního traktu 0,16 na 100 000 obyvatel za rok. Mortalita je zde 0,24, respektive a 0,16/100 000 (4).

## 1.2 Klasifikace nádorů hlavy a krku

Světová zdravotnická organizace (WHO) byla vždy hybnou silou tvorby klasifikace nádorů, a to již od počátku první série Mezinárodní histologické klasifikace tumorů (International Histological Classification of Tumours) v roce 1967. První kniha věnovaná nádorům hlavy a krku byla publikovaná v roce 1971 a zabývala se histologickou typizací orofaryngeálních tumorů (5). Další edice WHO klasifikace nádorů hlavy a krku, publikovaná v roce 2005 integrovala do charakteristiky nádorových jednotek, nejenom jejich morfologii a imunoprofil, ale i některé molekulárně genetické vlastnosti (6). Tato klasifikace popisovala nádory různých lokalit hlavy a krku a použila při tom standardizovaný formát zahrnující definici, epidemiologii, etiologii, lokalizaci, klinické znaky, radiografický nález, šíření nádoru, staging, makroskopický nález, histopatologické znaky a pomocné vyšetřovací postupy, jimiž jsou histochemie, imunohistochemie, ultrastrukturální a molekulárně genetické metody.

Současně platná WHO klasifikace nádorů hlavy a krku pochází z roku 2017 (7). Hlavní změny se týkají zpřesnění klasifikace stávajících tumorů, popisu nových nádorových jednotek, a aktualizace biologického chování nádorů. WHO klasifikace zahrnuje nejen klasické klinickopatologické informace, ale také imunoprofil a molekulárně genetické znaky nádorů. Velmi významnou novinkou je pak vyčlenění nádorů orofaryngu jako samostatné oblasti, a to zejména díky rozpoznání úlohy lidského papilomaviru (HPV) při vzniku podtypu dlaždicobuněčného karcinomu (8). Hlavním pokrokem je začlenění nových jednotek do kapitol definujících nádory sinonazálního traktu, slinných žláz a vytvoření nové kategorie nádorům podobných lézí.

# 1.3 Novinky ve WHO klasifikaci nádorů hlavy a krku

Jednou z diskutovaných oblastí ve 4. edici WHO klasifikace je oblast sinonazálního traktu, kam byly zařazeny 3 nové, dobře definované jednotky: seromucinózní hamartom, NUT karcinom a bifenotypický sinonazální sarkom (**Tabulka 1**) (9, 10). Navíc byla vytvořena skupina provizorních, nově vznikajících a dosud studovaných jednotek, jimiž jsou HPV-asociovaný karcinom s adenoidně cystickými rysy (11), SMARCB1 (INI-1) deficientní sinonazální karcinom (12, 13) a adenokarcinom napodobující renální karcinom (14), které jsou zmiňované v rámci diferenciální diagnózy jiných karcinomů. Jak NUT karcinom, tak SMARCB1 deficientní karcinom, byly před vydáním WHO klasifikace 2017 převážně

klasifikované jako špatně diferencované dlaždicobuněčné karcinomy či sinonazální nediferencované karcinomy (SNUC), které mají špatnou prognózu (12, 13, 15). Další novinkou je histopatologická a genetická klasifikace bifenotypického sinonazálního sarkomu jako samostatné jednotky, který byl původně řazen mezi maligní tumory z pochvy periferního nervu či fibrosarkomy (16).

V oblasti nádorů slinných žláz došlo též k aktualizaci klasifikace (**Tabulka 2**) (17). Jedinou nově zařazenou jednotkou v rámci nádorů slinných žláz je sekreční karcinom (mammárního typu), který byl vyčleněn z kategorie acinického karcinomu (18) na základě odlišné morfologie, imunoprofilu a přítomnosti rekurentní translokace *ETV6-NTRK3*. Genové fúze charakterizují i další nádory slinných žláz, jako je adenoidně cystický karcinom (*MYB-NFIB* nebo *MYBL1-NFIB*), mukoepidermoidní karcinom (*CRTC1-MAML2* nebo *CRTC3-MAML2*) či pleomorfní adenom (nejčastěji zlomy v *PLAG1* genu). Další novinky se týkají sklerózující polycystické adenózy a hyperplázie z vmezeřených duktů, které byly nově zařazeny do WHO klasifikace jako non-neoplastické procesy (19, 20). Dále došlo k přejmenování některých známých nádorových jednotek, například low-grade kribriformní cystadenokarcinom, též známý jako low-grade salivární duktální karcinom, byl přejmenován na intraduktální karcinom (21).

## 1.4 Molekulární genetika v diagnostice nádorů hlavy a krku

Pokroky ve vývoji molekulárně biologických metod a jejich využití při testování nádorů přispívají ke stále lepší a detailnější histopatologické i prognostické klasifikaci (**Tabulky 3 a 4**). Přestože chirurgická terapie je nepostradatelnou součástí léčby nádorů hlavy a krku, limitovaný prostor společně se snahou odstranit nádor kompletně mohou mít řadu nepříjemných vedlejších následků. Nutnost zachovat životně důležité struktury nedovoluje vždy nádor odstranit celý, proto zde na řadu přicházejí alternativní metody jako je cílená biologická léčba (targeted therapy). Tento typ terapie je součástí tzv. personalizované medicíny, kdy je komplexní genomová analýza nádorové DNA/RNApodkladem při výběru vhodného léčiva a léčba nemocných se zdánlivě stejným onemocněním se tak může lišit.

# 1.5 Sekreční karcinom slinných žláz

#### 1.5.1 Sekreční karcinom, charakteristika a imunohistochemie

Sekreční karcinom (SC) slinných žláz byl poprvé popsaný kolektivem Skálové a spol. v roce 2010 pod názvem sekreční karcinom slinných žláz mammárního typu (mammary analogue secretory carcinoma, MASC) (18), na podkladě podobných histomorfologických a identických imunohistochemických a molekulárních znaků se SC prsu. Tato jednotka byla záhy nato potvrzena v dalších samostatných studiích a odbornou veřejností uznána jako distinktní, a proto byla posléze pod názvem "sekreční karcinom slinných žláz" začleněna do WHO klasifikace 2017 (22).

Histologicky má SC blandní morfologii, lobulární růst a je složený z mikrocystických, tubulárních a solidních struktur s hojným, homogenním, eosinofilním až bublinovitým sekrečním materiálem, který je pozitivní v barvení PAS (periodic acid-shiff) bez i s diastázou. Imunohistochemicky vykazují nádorové buňky difúzní jadernou expresi s markery S100 protein, mammaglobin a SOX10. DOG1 marker je pozitivní v acinickém karcinomu, ale v SC je zcela negativní. Většina SC byla původně diagnostikovaná jako acinické karcinomy chudé na zymogenní granula, případně low-grade cystadenokarcinomy ne blíže specifikované (18).

Kromě zmíněné lokalizace SC v prsu a ve slinných žlázách byla popsána celá řada dalších míst výskytu, například štítná žláza (23, 24), kůže (25), nosní sliznice, ethmoidální sinusy (26), plíce (27) a vulva (28).

## 1.5.2 Genetický profil

SC je charakterizovaný chromozomální translokací t(12;15)(p13;q25) vedoucí ke genové fúzi *ETV6-NTRK3*. Identická fúze není specifická pouze pro SC. Byla též popsána v řadě jiných nádorů a to v inflamatorním fibrosarkomu, kongenitálním mezoblastickém nefromu (29), v některých hematologických malignitách (30), v podskupině ALK-negativního inflamatorního myofibroblastického tumoru (31), v podskupině gastrointestinálních stromálních tumorů (32), v papilárním karcinomu štítné žlázy (33), a dalších nádorech. V rámci salivární patologie však fúze *ETV6-NTRK3* nebyla popsána v žádném jiném nádoru, a proto je tato translokace v příslušném morfologickém a imunohistochemickém kontextu pro SC slinných žláz diagnostická. *ETV6-NTRK3* translokace je detekována v 95–97 % SC slinných žláz, zbylých 3–5 % případů se vyznačuje jinou genovou fúzí (nejčastěji *ETV6-RET*).

SC ve většině případů, ale ne vždy obsahuje *ETV6-NTRK3* translokaci (34, 35). Při použití metody reverzní transkripční polymerázové řetězové reakce (RT-PCR) nebylo možné v některých případech detekovat fúzního partnera *ETV6* genu a tyto nádory byly proto označeny jako *ETV6-X* translokované SC (35). V navazující studii byla, díky dostupnosti metody sekvenování nové generace (NGS), nalezena v těchto případech SC *ETV6-RET* fúze (36). V roce 2018 byl publikován další fúzní partner *ETV6* genu, a to *MET* gen (37). Jednalo se o SC postihující podčelistní slinnou žlázu, jenž byl léčený chirurgickou excizí a dále adjuvantní radioterapií a chemoterapií. Nádor několikrát recidivoval a metastazoval. Tento případ měl typickou morfologii a imunoprofil high-grade SC a obsahoval zlom *ETV6* genu. Metodou NGS byla stanovena translokace mezi intronem 4 *ETV6* genu a intronem 14 genu *MET*.

Dalším příspěvkem k rozšíření genetického pozadí SC byla práce Guilmette a spol., kteří poprvé představili 2 současné řídící (driver) mutace v SC (38). Jednalo se o zvláštní nádor s duální morfologií i imunoprofilem. Jedna komponenta vykazovala klasický profil SC a druhá komponenta byla kribriformně-papilární a imunohistochemicky byla negativní s S100 proteinem i mammaglobinem. Zde byla metodou NGS molekulárně geneticky zjištěna dvojitá fůze *ETV6-NTRK3* a *ETV6-MAML3* (38).

Nejnovější poznatky poukazují na to, že molekulární profil SC se bude v raritních případech dále rozšiřovat. Nově byly popsané fúze bez účasti genů z rodiny *ETV6*. V prvním případě se jednalo o publikaci Kastnerova a spol., kteří zaznamenali *NFIX-PKN1* fúzi v SC kůže, nikoliv ve slinných žlázách (39). Dále Sasaki a spol. recentně publikovali SC příušní žlázy s *CTNNA1-ALK* fúzí (40) a Skálová a spol. 2 případy SC, z nichž jeden obsahoval *VIM-RET* fúzi a druhý případ SC duální fúzi *ETV6-NTRK3* a *MYB-SMR3B* (41).

#### 1.5.3 Prognóza a možnosti léčby

Prognóza SC je ve většině případů příznivá. SC má střední riziko lokální recidivy a nízké riziko vzdálených metastáz. V porovnání s acinickým karcinomem má SC mírně vyšší incidenci postižení lymfatických uzlin. SC je všeobecně považovaný za low-grade karcinom. Nicméně, kolektivem Skálová a spol. byly rovněž popsány high-grade SC (42). High-grade SC se chovají velice agresivně a prognóza pacientů s touto diagnózou je infaustní. Terapie high-grade SC byla v minulosti kvůli přítomnosti vzdálených metastáz paliativní. Avšak moderní léčebné postupy dovolují, díky znalosti genetického podkladu SC, i u těchto pacientů využít alternativní metody, např. biologickou léčbu.

Fúze ETV6-NTRK3 je považována za klíčovou řídící fúzi v procesu tumorigeneze, jelikož SC má nízkou mutační zátěž. ETV6-NTRK3 fúze vede k aktivaci signálních drah, jmenovitě Ras-MAP kináz a PI3K-AKT. Tyto dráhy jsou též aktivovány alteracemi dalších genů, jako jsou RET, MET a ALK geny. V souvislosti se znalostmi genetického pozadí lze následně využít tyrozinkinázové inhibitory (Rozlytrek, Vitrakvi) (43, 44), RET-inhibitory

(selpercatinib, Blue 667) (45) či multikinázové inhibitory (cabozantinib, crizotinib) (46, 47) v terapii pokročilých SC.

## 1.6 Intraduktální karcinom

#### 1.6.1 Historie názvu

Intraduktální karcinom (IC) byl známý pod různými jmény, jako "low-grade salivární duktální karcinom", "salivární duktální karcinom in situ" (48), později v roce 2005 bylo přijato editory WHO klasifikace označení "low-grade kribriformní cystadenokarcinom" (49). Tento termín odkazoval na cystické a solidní struktury tvořené intraduktálními epiteliálními proliferacemi připomínajícími buňky vmezeřených duktů a struktury napodobující atypickou duktální hyperplázii, mikropapilární a kribriformní duktální karcinom in situ prsu či borderline apokrinní léze prsu. Nová WHO klasifikace nádorů hlavy a krku (2017) navrhla název "Intraduktální karcinom", který však stále není optimální, protože nově byly popsány případy "intraduktálního karcinomu" s invazivním růstem (21, 50).

## 1.6.2 Histologický a imunohistochemický profil

IC je vzácný low-grade nádor s histomorfologickými znaky napodobujícími výše zmíněné prekurzorové léze prsu. Nádor je typicky tvořený intraduktálními a intracystickými proliferacemi luminálních duktálních buněk, které rostou solidně, kribriformně či papilárně. Intraduktální in situ komponenta je charakterizovaná zachovanou myoepiteliální vrstvou buněk, kterou lze prokázat markery p63, calponinem či cytokeratinem 14. Ve většině případů je IC neinvazivní low-grade karcinom, ale byly také popsány i případy invazivního IC (51).

Na základě imunoprofilu lze odlišit dva subtypy IC: typ z vmezeřených duktů a apokrinní typ. První varianta IC nesoucí fenotyp buněk z vmezeřených duktů, je tvořená malými eosinofilními buňkami s malými jádry bez jaderných atypií. Tento typ imunohistochemicky exprimuje S100 protein a mammaglobin. (49). Druhá, apokrinní varianta IC, roste spíše mikropapilárně, a je charakterizována apokrinními výběžky buněk, z nichž se odděluje sekreční materiál. Buňky apokrinního IC obsahují více cytoplazmy a často vykazují high-grade cytologické rysy včetně velkých jader a prominujících jadérek. V čistě apokrinní variantě IC jsou nádorové buňky obvykle negativní v průkazu S100 proteinu a SOX10 a mohou exprimovat androgenní receptory (AR). Část apokrinních IC je asociovaná s invazivním růstem (52). IC může vykazovat hybridní fenotyp mající znaky obou typů IC (50, 52, 53).

## 1.6.3 Genetický profil

Doposud byly publikovány pouze 3 práce zabývající se molekulárně genetickým pozadím IC (50-52). Kolektivem Weinreb a spol. byl popsán zlom v *RET* genu a translokace t(10;10)(q11.22;q11.21) s *NCOA4-RET* fúzí v podskupině IC s morfologií z vmezeřených vývodů (52). IC a SC mají shodný imunohistochemické profil a podobnou morfologii, ale v žádném případě IC nebyla zjištěna rearanže *ETV6* genu (50-52). V části případů IC s apokrinní morfologií byla nalezena translokace t(10;6)(p22.5;q11.21) s *TRIM27-RET* fúzí a ve dvou případech IC s invazivními rysy pak byly zastiženy další dvě fúze *TUT1-ETV5* a *KIAA1217-RET* (51).

## 1.6.4 Diferenciální diagnostika

Nejčastějšími mimikry IC jsou sekreční karcinom (SC) a salivární duktální karcinom (SDC). SC může napodobovat IC histologicky i imunohistochemicky. Oba mohou sdílet tytéž architektonické rysy, jako jsou kribriformní formace se sekrečními prostory, multicystické uspořádání a intracystický papilární růst. Dále mají shodný imunoprofil, s expresí SOX10, S100 proteinu a mammaglobinu. Na druhou stranu exprese AR není charakteristická pro SC.

Důležitým rozlišovacím znakem je i přítomnost zachované myoepiteliální vrstvy kolem hnízd a cyst IC, kterou lze potvrdit myoepiteliálními imunohistochemickými markery jako je p63, hladkosvalový aktin, CK14 či calponin (50, 51). Kontinuální myoepiteliální vrstva v SC přítomná není (18). Geneticky byla v obou jednotkách (SC i IC) zdokumentovaná rearanže *RET* genu. Zatímco v SC je popsaná asociace *RET* genu s *ETV6* genem (36), tak v IC byla Weinrebem a spol. popsána fúze *NCOA4-RET* (52) a Skálovou a spol. fúze *TRIM27-RET* (50).

SDC je druhým nádorem, který lze zvažovat v diferenciální diagnostice IC. SDC je v porovnání s IC rozsáhle invazivní nádor s high-grade cytologickými i architektonickými rysy, které zahrnují výrazná jadérka, hrubý chromatin, nekrózy a vysokou mitotickou aktivitu včetně atypických mitóz. Buňky SDC mají obvykle apokrinní fenotyp a exprimují AR. SDC též může produkovat mammaglobin, avšak S100 protein je typicky negativní a je přítomna overexprese HER2 proteinu (54). SDC je charakterizovaný spektrem molekulárních alterací zahrnujících ERBB2 amplifikaci, mutace TP53 a MAP/PI3K cesty (55).

## 1.7 Respirační epiteliální léze

## 1.7.1 Respirační epiteliální adenomatoidní hamartom a seromucinózní hamartom

Skupinu respiračních epiteliálních lézí ve WHO klasifikaci tvoří dvě jednotky: respirační epiteliální adenomatoidní hamartom (REAH) a seromucinózní hamartom (SH) (56, 57). REAH a SH mají v části případů společné histologické znaky a zdá se, že spíše představují spektrum jedné léze než samostatné jednotky (58). Obě léze se častěji vyskytují u dospělých osob a vyrůstají převážně ze zadního nosního septa.

Je otázkou, zda tyto léze pojmenovat jako neoplastické či non-neoplastické, avšak přítomnost částečných alelických zlomů v REAH podporuje hypotézu, že se jedná o benigní tumory (59).

## 1.7.2 Histologický a imunohistochemický profil

Histologicky je REAH tvořený žlázovými strukturami vznikajícími kontinuálně z povrchového epitelu. Tyto struktury jsou kryté vrstevnatým řasinkovým epitelem respiračního typu často s příměsí mucinózních buněk. Struktury jsou obklopeny silnou vrstvou eozinofilní bazální membrány.

SH mikroskopicky sestává z lalůčků proliferujících malých žlázek a tubulů vystlaných jednovrstevným kubickým epitelem. Lalůčky bývají smíšené s normálními seromucinózními žlázkami nosní sliznice a často bývají v blízkosti do stromatu vtaženého povrchového respiračního epitelu. Bazální vrstva obvykle přítomná není, maximálně lze detekovat ojedinělé myoepitelie. Stroma obou lézí může být hutné a místy hyalinizované.

Imunohistochemicky exprimují respirační i seromucinózní žlázky CK7 a CK19, zatímco CK20 je negativní. Vysokomolekulární keratiny a p63 jsou vhodné pro zobrazení myoepiteliálních buněk. Žlázové struktury SH jsou imunoreaktivní s protilátkou S100 protein, která je současně negativní ve větších žlázkách respiračního typu u REAHu (58, 60).

#### 1.7.3 SH a REAH jako možné prekurzorové léze?

V současné době neexistují definitivní rozlišovací kritéria mezi REAH s floridní seromucinózní žlázovou proliferací, SH a low-grade non-intestinálním sinonazálním adenokarcinomem (non-ITAC). Jo a spol. prezentovali sérii 29 low-grade non-ITAC, z nichž 6 bylo asociovaných s REAH (61). Obdobně pak SH prezentované Weinrebem a spol., měly obdobné histologické a imunohistochemické znaky, zahrnující ztrátu myoepiteliální vrstvy buněk kolem seromucinózních lalůčků (58).

Někteří autoři připodobňují žlázovou proliferaci SH k mikroglandulární adenóze prsu (62). Lze spekulovat, že termíny "adenóza" či "adenom" lépe vyjadřují podstatu těchto benigních žlázových proliferací než označení "hamartom".

## 1.8 Solitární fibrózní tumor hlavy a krku

Solitární fibrózní tumor (SFT) patří mezi hraničně maligní měkkotkáňové nádory dříve označované jako hemangiopericytomy (HPC) či HPC napodobující léze (63). Poprvé byl SFT popsaný na pleuře (64) avšak 30 % jich roste extrathorakálně, oblast hlavy a krku nevyjímaje. V terénu hlavy a krku jsou sinonazální trakt a orbita nejčastějšími místy výskytu.

## 1.8.1 Histologický a imunohistochemický profil

Mikroskopicky je SFT představitelem spektra rozmanitých fenotypů a záleží, zda převažuje celulární či fibrózní komponenta. Typická architektura je kombinací hypocelulárních a hypercelulárních okrsků. Hypocelulární oblasti jsou vnořené do kolagenního stromatu s výraznou vaskulaturou definovanou parožnatě se větvícími cévami. Hypercelulární oblasti jsou tvořené kulatými až vřetenitými buňkami rostoucími v rohožkovitých a provazcovitých formacích či růstem napodobující epiteloidní léze nebo fibrosarkomy.

SFT jsou imunohistochemicky difúzně pozitivní s CD34. Exprese CD34 však klesá směrem k malignímu fenotypu. Navíc CD34 může být detekován i v řadě jiných mezenchymálních nádorů napodobujících SFT, například v epiteloidním hemangioendoteliomu či bifenotypickém sinonazálním sarkomu. SFT dále konzistentně exprimuje bcl2 a CD99. Žádný z těchto markerů však není zcela specifický a imunoreaktivita je typická i pro jiné mezenchymální léze. SFT je obvykle negativní s cytokeratiny, aktinem, desminem a S100 proteinem. V případě diferenciálně diagnostických rozpaků je nukleární exprese markeru STAT6 vysoce specifická a senzitivní a odráží pro SFT charakteristickou *NAB2-STAT6* genovou fúzi. Senzitivita STAT6 dosahuje až 95 % a pouze silná a difúzní jaderná exprese je považována za pozitivní. Pouze u 2 % jiných mezenchymálních tumorů (fibrózní histiocytom, desmoidní tumor či dediferencovaný liposarkom) byla zjištěna STAT6 exprese, a to převážně cytoplasmatická a slabě nukleární (65).

#### 1.8.2 Genetický profil

Tři skupiny autorů nezávisle na sobě popsali translokaci *NAB2-STAT6* v SFT (66-68). Oba geny se nacházejí blízko sebe na chromozomu 12q13 a jsou přepisovány v opačných směrech. Fúzním produktem je chimérický onkoprotein, v němž je doména represoru NGFI-A vázajícího proteinu 2 (*NAB2*) vyměněna za transaktivační doménu karboxy-konce signálního transduktoru a aktivátoru transkripce 6 (*STAT6*). Vzniklý onkoprotein zvyšuje buněčnou proliferaci. Studie meningeálních SFT a hemangiopericytomů potvrdila, že meningeální hemangiopericytomy jsou ve skutečnosti hypercelulárními variantami SFT (69).

#### 1.8.3 Prognostické faktory a chování

Neexistuje spojitost mezi morfologií a chováním SFT. Existují však kritéria malignity, která zahrnují: velikost léze více než 50 mm, rozsev nemoci v době diagnózy, infiltrativní okraje, hypercelulární růst, jaderná pleomorfie, přítomnost sarkomatoidních oblastí čili dediferenciace, nekrózy a mitotická aktivita (4 mitózy/10 zorných polí velkého zvětšení) (70). Se špatnou prognózou a klinickými výsledky jsou podle autorů Gold a spol. asociované ty extrathorakální SFT, které mají pozitivní chirurgické okraje, velikost převyšující 10 cm a maligní histologické znaky (popsané výše) (70).

## 1.8.4 Diferenciální diagnóza

Diferenciální diagnóza SFT čítá řadu primárních i sekundárních novotvarů zejména mezenchymálního původu (viz **Tabulka č. 5**). Žádný z diskutovaných nádorů však nevykazuje iunohistochemicky silnou jadernou expresi STAT6 a geneticky není definován *NAB2-STAT6* fúzí. Existuje však malé procento lézí, v nichž lze detekovat cytoplazmatickou a slabší jadernou pozitivitu STAT6. Nejdiskutovanější je STAT6 exprese v dediferencovaném liposarkomu, jejíž přítomnost lze vysvětlit sekvencí genů na 12 chromozomu. Zde se nachází *STAT6*, *MDM2* a *CDK4* v těsné blízkosti. *MDM2* a méně *CDK4* bývají amplifikované v dediferencovaném liposarkomu, zatímco *STAT6* nikoliv. Přítomnost *STAT6* je tak výsledkem společné amplifikace jednotlivých genů při doplňujících vyšetřeních.

## 1.9 Reaktivní hyperplastické léze dutiny ústní

## 1.9.1 Charakteristika a klasifikace reaktivních hyperplastických lézí dutiny ústní

Reaktivní hyperplastické léze jsou skupinou fibrózních změn pojivové tkáně dutiny ústní. Obvykle vznikají v ústní sliznici jako odpověď na zranění typu lokálního chronického dráždění v souvislosti se zubním kamenem, zubními výplněmi, drobnou traumatizací a iatrogenním poškozením. Sliznice reaguje na dráždění procesem lokální hyperplázie, která je mikroskopicky tvořená zralým kolagenem, fibroblasty, mineralizovanou tkání, endoteliemi a obrovskými vícejadernými buňkami. Nejčastěji jsou postiženy ženy ve druhé dekádě života (71).

Tyto léze sice nejsou považované za neoplázie, ale mohou být s nimi zaměněny. Klasifikace hyperplastických lézi sliznice dutiny ústní zahrnuje jednotky: fibrózní hyperplázie, pyogenní granulom, fibrózní epulis s osifikací nebo periferní osifikující fibromy a periferní obrovskobuněčné granulomy (72).

## 1.9.2 Periferní orální fibromy

Osifikující či neosifikující periferní orální fibromy (POF) jsou si navzájem velmi podobné léze až na přítomnost zralých metaplastických kostních ostrůvku v osifikující variantě. Neosifikující varianta je však na rozdíl od osifikujícího protějšku histologicky shodná s ostatními nodulárními fibrózními lézemi traumatického původu. Proč některé léze osifikují a jiné ne, je kontroverzní téma. Elanagai a spol. studovali expresi osteopontinu v normální gingivální tkáni a v různých fokálně reaktivních lézích včetně POF, aby ozřejmili příčinu osifikace (73). Zjistili, že osteopontin je exprimovaný ve všech osifikujících POF. Též se domnívali, že POF vzniká na podkladě stromálních buněk – osteoblastů (produkujících osteopontin), které jsou odvozené z periodontálních ligament.

# SOUHRN KOMENTOVANÝCH PUBLIKACÍ

# 1.10 SEKREČNÍ KARCINOM SLINNÝCH ŽLÁZ A SINONAZÁLNÍHO TRAKTU

## 1.10.1 SEKREČNÍ KARCINOM MAMMÁRNÍHO TYPU DUTINY NOSNÍ: CHARAKTERISTIKA 2 PŘÍPADŮ A JEJICH ODLIŠENÍ OD LOW-GRADE SINONAZÁLNÍHO ADENOKARCINOMU

V přiložené publikaci jsme se blíže zabývali výskytem SC ve sliznici nosní dutiny. V prvním případě se jednalo o 51letou ženu bez předchozí historie karcinomu prsu. Pacientka pociťovala střídavé bolesti za pravým okem a příležitostně bolesti hlavy. Zobrazovací metody nalezly tumor v pravé straně nosního septa, který byl chirurgicky resekovaný. V odstupu 10 let od diagnózy byla pacientka naživu a bez obtíží. Ve druhém případě se jednalo o 65letou ženu, v osobní anamnéze pouze s pleomorfním adenomem dutiny ústní před 5 lety od aktuální diagnózy. Počítačová tomografie zachytila tumor pravé nosní dutiny prorůstající do nazofaryngu, který byl odstraněný rozsáhlou resekcí. V odstupu 4 let od diagnózy byla žena bez recidivy.

Histologicky byly oba případy obdobného vzhledu, neopouzdřené, nodulárně utvářené léze s tubulo-papilárním růstem a invazivními okraji. Nádorové buňky měly low-grade malá vezikulární jádra s jemně granulovaným chromatinem. Sekreční materiál pozitivní v barvení PAS (periodic acid-Shiff) byl též přítomen. Imunohistochemicky byly oba případy pozitivní v barvení CK7, S100 protein, mammaglobin, SOX10 a GATA3. Negativní markery byly CK20, SATB2, CDX2 a DOG1. V obou případech byla prokázaná translokace *ETV6-NTRK3* metodou sekvenování nové generace (NGS). V prvním případě byla zastižena atypická fůze mezi exony 5-13 a ve druhém případě typická fůze mezi exony 5-15.

V porovnání s patologií slinných žláz je diferenciální diagnostika SC v sinonazálním traktu obtížnější. Zde se do popředí diferenciální diagnostiky dostávají nádory typu low-grade sinonazálních adenokarcinomů (LG SNAC), které představují histologicky heterogenní skupinu nádorů zahrnující jak adenokarcinomy intestinálního typu (ITAC) tak nonintestinálního typu (non-ITAC). Skupina non-ITAC obsahuje nově popsanou kontroverzní jednotku, a to ETV6-rearanžovaný LG SNAC (74). Tento nádor byl popsán ve 3 případech doposud pouze v jediné publikaci (74). Diferenciálně diagnosticky by měl být ETV6rearanžovaný LG SNAC odlišen od sinonasálního SC. Navzdory identickému genetickému pozadí obou jednotek byly popsány následující charakteristické rysy napomáhající v jejich diferenciaci: ETV6-rearanžovaný LG SNAC roste převážně tubulárně a je tvořený kubickými až cylindrickými buňkami s příležitostnými apokrinními rysy, místy lze zastihnout i trabekulární růst, nádorové buňky ETV6-rearanžovaného LG SNAC jsou nahloučené těsně k sobě s minimem vmezeřeného stromatu, s minimální či nulovou sekreční aktivitou. V porovnání s těmito znaky měly oba případy SC nosní dutiny hyalinizovaná septa a značnou produkci sekrečního materiálu. ETV6-rearanžovaný LG SNAC je pozitivní v barvení DOG1, negativní v mammaglobinu a pouze ojediněle byl detekovaný S100 protein. Hlavním důvodem pro odlišení obou jednotek je potenciální rozdíl v biologickém chování. Zatímco ETV6rearanžovaný LG SNAC se chová příznivě, SC je low-grade maligní nádor, který v ojedinělých případech může podlehnout high-grade transformaci (42).

# Mammary Analog Secretory Carcinoma of the Nasal Cavity Characterization of 2 Cases and Their Distinction From Other Low-grade Sinonasal Adenocarcinomas

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Abstract: Secretory carcinoma, originally described as mammary analog secretory carcinoma (MASC), is a low-grade salivary gland tumor characterized by a t(12;15)(p13;q25) translocation, resulting in an ETV6-NTRK3 gene fusion. Most MASCs are localized to the parotid gland and intraoral minor salivary glands. Moreover, ETV6-rearranged carcinomas with secretory features have been reported recently in the thyroid (with and without a history of radiation exposure), skin, and in very rare instances in the sinonasal tract. Here, we describe 2 cases of primary MASC in the sinonasal tract and provide a detailed clinical and histopathologic characterization of their morphology, immunohistochemical profile, and genetic background and highlight features allowing for its separation from its recently described molecular mimicker, ETV6-rearranged low-grade sinonasal adenocarcinoma.

**Key Words:** nasal cavity, mammary analog secretory carcinoma, MASC, low-grade sinonasal adenocarcinoma, *ETV6-NTRK3* 

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**S** ecretory carcinoma (SC), originally described as mammary analog secretory carcinoma (MASC), is a low-grade salivary gland tumor characterized by a t(12;15) (p13;q25) translocation, resulting in an *ETV6-NTRK3* gene fusion. In addition to having identical genetics with SC, MASC expresses S100 and mammaglobin while being

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negative for DOG-1 and p63, thus highly resembling SC of the breast.<sup>2</sup> However, these features are unique among tumors of the salivary gland.

Most MASCs are localized in the parotid gland, submandibular gland, and minor salivary glands of the oral cavity, such as soft palate, lips, base of the tongue, and buccal mucosa. Since its description in the major and minor salivary glands, <sup>1</sup> MASC has been described in several other locations, such as skin, <sup>3–5</sup> thyroid, <sup>6–8</sup> and the sinonasal tract. <sup>9,10</sup> The first case of MASC of the sinonasal tract was reported by Lurquin et al, <sup>9</sup> and, very recently, another case with highgrade transformation was reported in the maxillary sinus. <sup>10</sup>

Primary sinonasal adenocarcinomas (SNACs) are uncommon and morphologically heterogenous. <sup>11</sup> These tumors are divided into nonsalivary and salivary types. Nonsalivary-type SNACs are further classified into 2 broad categories: intestinal-type adenocarcinoma (ITAC) and the non-intestinal-type adenocarcinoma (non-ITAC). <sup>12</sup> The non-intestinal-type SNAC is of presumed surface epithelium/seromucinous gland origin and accounts for <1% of head and neck cancers. <sup>11–13</sup> It is morphologically a very diverse group, as it can show high-grade or low-grade features, as determined by the proliferative activity and pattern of growth. <sup>14–17</sup> An increasing number of distinct types of non-ITAC has been recognized recently, including a subset with rearrangement of the *ETV6* gene, the so-called *ETV6* gene–rearranged sinonasal low-grade SNAC. <sup>18</sup>

By screening a series of low-grade SNACs with fluorescence in situ hybridization (FISH) and/or reverse transcription polymerase chain reaction (RT-PCR), we identified 2 cases of primary MASC arising in the nasal cavity, and herein we provide a detailed clinical, histopathologic, and molecular characterization. Recognizing MASC in the sinonasal tract among other SNACs of the salivary type, as well as *ETV6*-rearranged low-grade SNAC, is important, as the correct diagnosis is prognostically relevant, and *ETV6*-related fusions serve as therapeutic targets. <sup>19,20</sup>

#### **MATERIALS AND METHODS**

#### **Patient Material**

Fifteen cases of low-grade non-intestinal-type SNAC with secretory features resembling MASC were identified in a review of the Salivary Gland Tumor Registry, at the

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TABLE 1. Antibodies Used for Immunohistochemical Study Antigen Retrieval/Time (min) Antibody Specificity Dilution Clone Source S100 protein CC1/20 Polyclonal RTU Ventana Medical Systems 304-1A5 OV-TL 12/30 CC1/36 CC1/36 Mammaglobin DakoCytomation CK7 1:200 DakoCytomation CC1/36 CC1/64 CC1/64 CC1/64 CK20 Ks20.8 EPR2764Y 1:100 DakoCytomation Cell Marque CDX2 RTU Cell Marque Ventana Medical Systems GCDFP-15 EP1582y RTU p63 DOG-1 4A4 RTU SP31 L50-823 RTU 1:200 CC1/36 CC1/52 Cell Marque BioCareMedical GATA-3 Cell Marque
Cell Signaling
Sigma Aldrich
AssayDesigns Inc.
Ventana Medical Systems Polyclonal A7H6R CC1/64 CC1/64 SOX10 1:100 Pan-TRK 1:20 SATB2 STAT5a Polyclonal Polyclonal CC2/68 CC1/36 1:100 MIB1 30-9 RTU CC1/64

CC1 indicates EDTA buffer, pH 8.6; RTU, ready to use.

Department of Pathology, Faculty of Medicine in Plzen, and Biopticka Laboratory Ltd, Plzen, Czech Republic. Two additional cases with features mimicking MASC were retrieved from the files of the Institute of Pathology, Friedrich Alexander University, Erlangen, Germany, thus amounting to a total of 17 cases. Clinical follow-up was obtained from the patients, their physicians, or from referring pathologists.

#### Histology and Immunohistochemistry

For conventional microscopy, tissues were fixed in formalin, routinely processed, embedded in paraffin (FFPE), cut, and stained with hematoxylin and eosin. In most cases, additional stains were also performed, including periodic acid-Schiff with and without diastase, mucicarmine, and alcian blue at pH 2.5.

For immunohistochemistry, 4-μm-thick sections were cut from paraffin blocks and mounted on positively charged slides (TOMO; Matsunami Glass Ind., Japan). Sections were processed on a BenchMark ULTRA (Ventana Medical Systems, Tucson, AZ), deparaffinized, and subjected to heat-induced epitope retrieval by immersion in a CC1 solution (pH 8.6) at 95°C. Following antigen retrieval, sections were stained with a pan-TRK antibody cocktail consisting of rabbit monoclonal antibodies, all obtained from Cell Signaling (Danvers, MA), targeting pan-TRK (clone A7H6R, active against TrkA, TrkB, and TrkC, 1:50 dilution), ROS1 (clone D4D6, 1:50), and ALK (clone D5F3, 1:50), as described elsewhere. 21

All other primary antibodies used are summarized in Table 1. Visualization was performed using the ultraView Universal DAB Detection Kit (Roche Diagnostics, Mannheim, Germany) and ultraView Universal Alkaline

Phosphatase Red Detection Kit (Roche Diagnostics). The slides were counterstained with Mayer hematoxylin. Appropriate positive and negative controls were used.

#### Molecular Genetic Study Detection of ETV6-NTRK3 Fusion Transcript by RT-PCR

RNA was extracted using the RecoverAll Total Nucleic Acid Isolation Kit (Ambion, Austin, TX). cDNA was synthesized using the Transcriptor First Strand cDNA Synthesis Kit (RNA input 500 ng) (Roche Diagnostics). All procedures were performed according to the manufacturer's protocols. Amplification of a 105 bp product and a 133 bp product of the  $\beta 2$ -microglobulin gene, and a 247 bp product of the PGK gene was used to test the quality of the extracted RNA, as previously described.  $^{22-24}$  This resulted in the detection of the classic fusion transcript of exon 5 of the ETV6 gene and exon 15 of the NTRK3 gene.  $^{25}$ 

For PCR,  $2 \mu L$  of cDNA was added to the reaction, which consisted of  $12.5 \mu L$  of HotStar Taq PCR Master Mix (Qiagen, Hilden, Germany), 10 pmol of each primer (Table 2), and distilled water up to  $25 \mu L^{.26,27}$  The amplification program comprised denaturation at  $95^{\circ}\text{C}$  for 14 minutes followed by 45 cycles of denaturation at  $95^{\circ}\text{C}$  for 1 minute; annealing at temperatures shown in Table 2 was carried out for 1 minute and extension at  $72^{\circ}\text{C}$  for 1 minute. The procedure was completed by incubation at  $72^{\circ}\text{C}$  for 1 minutes.

Successfully amplified PCR product was purified with magnetic particles using Agencourt AMPure (Agencourt

Original Primer Name	Sequence	Annealing Tempearture (°C)	Localization
TEL971* (ETV6A†)	ACCACATCATGGTCTCTGTCTCCC	65	ETV6 exon 5 outer
TRKC1059* (NTRK3A†)	CAGTTCTCGCTTCAGCACGATG	65	NTRK3 exon 15 oute

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Bioscience Corporation, A Beckman Coulter Company, Beverly, MA). The product was then bidirectionally sequenced using Big Dye Terminator Sequencing Kit (PE/Applied Biosystems, Foster City, CA) and purified with magnetic particles using Agencourt CleanSEQ (Agencourt Bioscience Corporation); all this was carried out according to the manufacturer's protocols and run on an automated sequencer ABI Prism 3130xl (Applied Biosystems) at a constant voltage of 13.2 kV for 11 minutes.

#### Detection of ETV6 and NTRK3 by FISH Method

Four-µm-thick FFPE sections were placed onto positively charged slides. Hematoxylin and eosin-stained slides were examined for determination of areas for cell counting.

The unstained slides were routinely deparaffinized and incubated in the 1× Target Retrieval Solution Citrate pH 6 (Dako, Glostrup, Denmark) at 95°C/40 minutes and subsequently cooled for 20 minutes at room temperature in the same solution. The slides were washed in deionized water for 5 minutes and digested in protease solution with Pepsin (0.5 mg/mL) (Sigma Aldrich, St Louis, MO) in 0.01 M HCl at 37°C/25 to 60 minutes according to the sample conditions. The slides were then placed in deionized water for 5 minutes, dehydrated in a series of ethanol solution (70%, 85%, 96% for 2 min each), and air-dried.

For the detection of ETV6 rearrangement, a commercial probe, Vysis ETV6 Break Apart FISH Probe Kit (Vysis/Abbott Molecular, Illinois), was used. The ETV6 probe was mixed with water and LSI/WCP (Locus-Specific Identifier/Whole Chromosome Painting) Hybridization buffer (Vysis/Abbott Molecular) in a 1:2:7 ratio, respectively.

The probe for the detection of the rearrangement of the *NTRK3* gene region was mixed from custom-designed SureFISH probes (Agilent Technologies Inc., Santa Clara, CA). Chromosomal regions for *NTRK3* break-apart probe oligos are chr15:87501469-88501628 and chr15:88701444-89700343. The probe mixture was prepared from corresponding probes (each color was delivered in a separated well), deionized water, and LSI Buffer (Vysis/Abbott Molecular) in a 1:1:1:7 ratio, respectively.

An appropriate amount of mixed probe was applied on specimens, covered with a glass coverslip, and sealed with rubber cement. The slides were incubated in the ThermoBrite instrument (StatSpin/Iris Sample Processing, Westwood, MA) with codenaturation at 85°C/8 minutes and hybridization at 37°C/16 hours. The rubber-cemented coverslip was then removed, and the slide was placed in posthybridization wash solution (2× SSC/0.3% NP-40) at 72°C/2 minutes. The slide was air-dried in the dark, counterstained with 4', 6'-diamidino-2-phenylindole (DAPI; Vysis/Abbott Molecular), coverslipped, and immediately examined.

#### **FISH Interpretation**

The sections were examined with an Olympus BX51 fluorescence microscope (Olympus Corporation, Tokyo, Japan) using a  $\times 100$  objective and filter sets Triple Band Pass

(DAPI/SpectrumGreen/SpectrumOrange), Dual Band Pass (SpectrumGreen/SpectrumOrange), and Single Band Pass (SpectrumGreen or SpectrumOrange).

For each probe, 100 randomly selected nonoverlapping tumor cell nuclei were examined for the presence of yellow or green and orange fluorescent signals. Yellow signals were considered negative, and separate orange and green signals were considered as positive.

Cutoff values were set to >10% of nuclei with chromosomal breakpoint signals (mean, +3 SD in normal non-neoplastic control tissues).

#### Sample Preparation for Next-generation Sequencing

For next-generation sequencing (NGS) studies, 2-3 FFPE sections (10 µm thick) were macrodissected to isolate tumor-rich regions. The samples were extracted for total nucleic acid using Agencourt FormaPure Kit (Beckman Coulter, Brea, CA), following the corresponding protocol with overnight digestion and an additional 80°C incubation, as described in the modification of the protocol by ArcherDX (ArcherDX Inc., Boulder, CO). Total nucleic acid was quantified using the Qubit Broad Range RNA Assay Kit (Thermo Fisher Scientific) and 2 µL of sample.

# RNA Integrity Assessment and Library Preparation for NGS

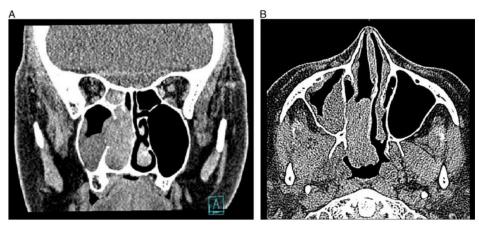
Unless otherwise indicated, 250 ng of FFPE RNA was used as an input for NGS studies. To assess RNA quality, the PreSeq RNA QC Assay using iTaq Universal SYBR Green Supermix (Biorad, Hercules, CA) was performed on all samples during library preparation to generate a measure for the integrity of RNA (in the form of a cycle threshold value). Library preparation and RNA QC were performed following the Archer Fusion Plex Proto-col for Illumina (ArcherDX Inc.). A custom primer set with 28 primers spanning regions on 3 specific genes of interest including ETV6 was used. Final libraries were diluted 1:100,000 and quantified in a 10 µL reaction following the Library Quantification for Illumina Libraries protocol and assuming a 200 bp fragment length (KAPA, Wilmington, MA). The concentration of the final libraries was around 200 n. The threshold representing the minimum molar concentration for which sequencing can be robustly performed was set at 50 nM.

#### NGS and Analysis (Archer)

The libraries were sequenced on an MiSeq sequencer (Illumina, San Diego, CA). The libraries were diluted to 4 nM, and equal amounts of up to 16 libraries were pooled per run. The recommended number of raw reads per sample was set to 500,000. Library pools were diluted to 16 pM library stock with 10% 12.5 pM PhiX and loaded in the MiSeq cartridge. Analysis of sequencing results was performed using the Archer Analysis software (version 5; ArcherDX Inc.). Fusion parameters were set to a minimum of 5 valid fusion reads with a minimum of 3 unique start sites within the valid fusion reads.

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**FIGURE 1.** Representative imaging of case 2. A, Coronal computed tomography of case 2 demonstrating a right-sided nasal mass. B, The mass extends into the nasopharynx. There was no involvement of the maxillary sinus.

#### **RESULTS**

#### **Case Histories**

#### Case 1

A 51-year-old woman, nonsmoker without a prior history of breast cancer, presented with intermittent tenderness or pain behind the right eye and occasional forehead discomfort. A mass was identified on the right side of the nasal septum, and the patient was referred to surgical resection. The resected specimen measured  $1.5\times1.5\times0.4$  cm, and the patient was staged as T1N0M0. The patient was alive and showed no evidence of recurrent disease after >10 years of follow-up.

#### Case 2

A 65-year-old woman, nonsmoker without prior history of breast cancer, presented with right-sided nasal obstruction, nasopharyngeal secretion, and occasional retrobulbar pain. Past medical history was unremarkable except for a pleomorphic adenoma of the palate 5 years previously. Computed tomography identified a mass in the right nasal cavity extending into the nasopharynx. The patient underwent surgical excision of the mass including the middle turbinate along with maxillary sinus antrostomy, right ethmoidectomy, and partial resection of the nasal septum. The tumor measured 4×4×1.5 cm, and

the patient was staged as T2NxM0. The patient received adjuvant radiotherapy and is alive with no evidence of disease after 4 years of follow-up (Figs. 1A, B).

Detailed clinicopathologic findings in these 2 patients are presented in Table 3.

# Histopathology and Immunohistochemical Findings

Cases 1 and 2 had histologic features and immunoprofiles identical to salivary gland MASC. The tumors were unencapsulated and composed of tubular, papillary, and microcystic growth patterns with invasive margins (Fig. 2A). The tumor cells had low-grade vesicular and round to oval nuclei with fine, granular chromatin. Abundant eosinophilic homogenous extracellular periodic acid-Schiff with diastase–positive material (Fig. 2B) was present in both cases. The tumors were composed of solid microcystic growth patterns, in places divided by thick hyalinized fibrous septa (Fig. 2C). Focal necrotic areas were present in case 2 (Fig. 2D). In contrast to acinic cell carcinoma (AciCC), both tumors lacked cytoplasmic periodic acid-Schiff with diastase–positive zymogen granules. Mitoses were few, and there was no lymphovascular invasion or perineural growth.

In contrast, cases 3 to 17 displayed histologic features of low-grade tubulopapillary adenocarcinomas or

TABL	TABLE 3. Clinical Findings in 2 MASCs of the Nasal Cavity									
Cases	Cases Age (y)/Sex Site Size (cm) Stage (TNM) Local Recurrence Symptoms (y) Treatment Follow-up (y) Outcome									
1	51/F	Right nasal septum			No	1-2	Surgery	14	Alive NED	
2 E i	2 65/F Right nasal cavity 4×4×1.5 pT2pNxM0 No 1 Surgery+RT 4 Alive NED  F indicates female: NED, no evidence of disease: RT radiotherapy.									

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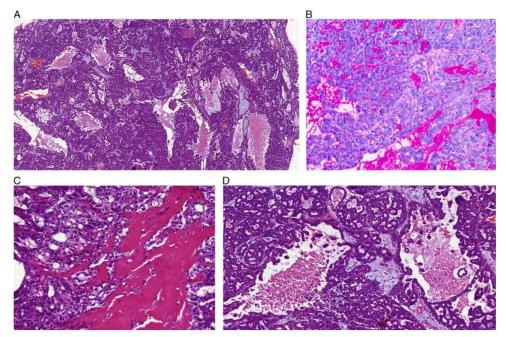


FIGURE 2. Histologic findings of sinonasal MASC. A, MASC shows admixed tubular, papillary, and microcystic growth patterns. B, Abundant periodic acid-Schiff with diastase-positive extracellular material within tubular and cystic spaces. C, The tumors were composed of solid, microcystic growth patterns, occasionally divided by thick hyalinized fibrous septa. D, Focal necrosis was present in case 2.

low-grade non–intestinal-type SNAC, not otherwise specified.  $^{13,16,17}$ 

The immunohistochemical findings are summarized in Tables 4 and 5. Both MASCs were positive for CK7,

TABLE 4. Immunohistochemical Results of 2 Cases of MASC in the Nasal Cavity

Antibody	Case 1	Case 2
CK7	+(D)	+(D)
S100	+(D)	+(F)
Mammaglobin	+(D)	+(F)
GATA3	+(D)	+(D)
SOX10	+(D)	+(D)
Pan-TRK	+	+
Stat5	+	+
p63	Neg	Neg
CK20	Neg	Neg
CDX2	Neg	Neg
DOG-1	Neg	Neg
GCDFP*	+(F)*	+(E)*
MIB1	5%	40%

<sup>\*</sup>Immunochemistry performed at Department of Otorhinolaryngology and Maxillofacial Surgery, Køge University Hospital, and Department of Otolaryngology Head & Neck Surgery Rigshospitalet.

D indicates diffuse staining; E, staining of extracellular material; F, focal

SOX10, GATA3, STAT5, and S100 (diffuse and strong staining in all tumor cells). Immunohistochemical staining for mammaglobin was diffusely positive in case 1 and focally positive in case 2 (Figs. 3A–D). Both cases were negative for p63 protein, CK20, CDX2, SATB2, and DOG-1 (Table 4). The remaining 15 cases, except for case 13, were negative for mammaglobin. Case 13 was S100 protein/SOX10/GATA3-positive with focal staining for mammaglobin in <5% of the tumor cells. Data from all 17 cases are summarized in Table 5.

#### **Genetic Findings**

After histologic and immunohistochemical characterization, all 17 cases were characterized for the presence of the ETV6-NTRK3 fusion transcript and/or rearrangement of ETV6. These findings are summarized in Table 6. Two cases, cases 1 and 2, showed ETV6 gene rearrangement by FISH. Case 1 was negative with RT-PCR, but a product was identified with RT-PCR in case 2, and sequencing confirmed an exon 5 to 15 junction. In case 1, NGS identified an atypical exon 5-13 fusion. Cases 3 to 17 were negative or not analyzable with either RT-PCR or FISH, or were negative for both methods (Table 6 and Figs. 4A-C).

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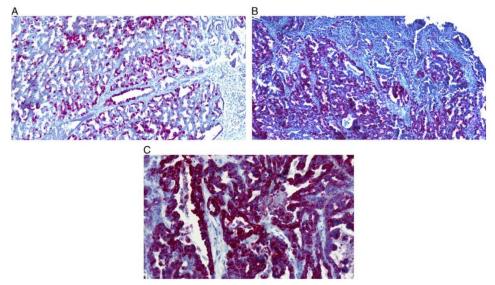
TABLE 5.	Immunohistoch	emical	Kesults (	ot All 17 C	Lases								
Case No.	Final Diagnosis	CK7	CK20	DOG-1	GATA3	SOX10	S100	MGA	p63	STAT5	MIB1	CDX2	SATB2
1	MASC	+	Neg	Neg	+	+	+	+	Neg	+	5%	Neg	Neg
2	MASC	+	Neg	Neg	+	+	+	+	Neg	+	40%	Neg	Neg
3	LG SNAC	+	Neg	Neg	+	+	+	Neg	Neg	ND	ND	Neg	ND
4	LG SNAC	Neg	Neg	Neg	+	+	+	Neg	Neg	ND	ND	Neg	ND
5	LG SNAC	+	Neg	Neg	Neg	Neg	Neg	Neg	Neg	ND	20%	Neg	Neg
6	LG SNAC	+	Neg	Neg	Neg	Neg	Neg	Neg	Neg	+	3%	Neg	Neg
7	LG SNAC	+	Neg	Neg	+	+	Neg	Neg	Neg	+	3%	Neg	Neg
8	LG SNAC	+	Neg	Neg	ND	+	+	Neg	Neg	ND	5%	Neg	ND
9	LG SNAC	+	Neg	Neg	Neg	+	+	Neg	Neg	+	3%	Neg	Neg
10	LG SNAC	+	Neg	NA	NA	NA	Neg	NA	NA	NA	NA	NA	Neg
11	LG SNAC	+	Neg	Neg	Neg	Neg	Neg	Neg	Neg	ND	90%	Neg	ND
12	LG SNAC	+	Neg	Neg	Neg	Neg	+	Neg	+	+	5%	Neg	ND
13	LG SNAC	+	Neg	Neg	+	+	+	+(F) 5%	Neg	+	10%	Neg	ND
14	LG SNAC	+	Neg	Neg	Neg	Neg	+(F)	Neg	+	+(F)	2%	Neg	Neg
15	LG SNAC	+	Neg	Neg	Neg	Neg	+	Neg	Neg	Neg	2%	Neg	Neg
16	LG SNAC	+	Neg	Neg	ND	+(F)	+	Neg	Neg	ND	2%	Neg	Neg
17	LG SNAC	+	Neg	Neg	Neg	+	Neg	Neg	Neg	ND	0%	ND	Neg

F indicates focally; LG, low grade; NA, not analyzable; ND, not done; Neg, negative.

#### **DISCUSSION**

In contrast to the sinonasal tract, diagnosing MASC in the major salivary glands as well as the oral minor glands is not difficult in most cases. MASC is well characterized not only by histologic and immunohistochemical features but also by the *ETV6-NTRK3* gene fusion. However, if MASC is present in unexpected locations, the differential diagnosis is more challenging. MASC can be confused with other salivary gland tumors, including AciCC and adenocarcinoma not otherwise specified. <sup>28</sup>

More than half of cases previously diagnosed as zymogen granule–poor AciCC were positive for *ETV6* translocation on rereview and therefore classified as MASC, and most tumors previously diagnosed as AciCC of the minor salivary glands also represent MASC.<sup>29,30</sup> In contrast to AciCC, MASC shows no basophilic granularity in the cytoplasm of any of the constituent cells, this being the hallmark of the serous acinar cells of AciCC. Moreover, MASC has a completely different immunohistochemical profile than AciCC, almost always strongly expressing



**FIGURE 3.** Immunohistochemical characteristics of MASC in the sinonasal tract. In both MASCs, tumor cells were intensely and diffusely positive for mammaglobin (A), S100 (B), and CK7 (C).

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TARLE 6	Results of	Molecular	Analysis of 1	7 Cases of	Sinonasal	Carcinomas

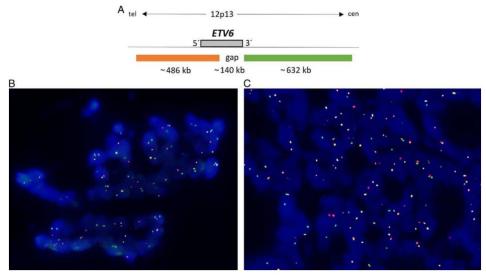
		F	ISH	RT-PCR		
Cases	Final Diagnosis	ETV6	NTRK3	Exons 5-15 Fusion Transcript	Archer NGS	Gene Status
1	MASC	Break	NA	Neg	ETV6-NTRK3 exons 5-13	ETV6-NTRK3
2	MASC	Break	Break	+	ND	ETV6-NTRK3
3	LG SNAC	NA	Intact	NA	ND	Neg
4	LG SNAC	Intact	Intact	Neg	ND	Neg
5	LG SNAC	Intact	ND	Neg	ND	Neg
6	LG SNAC	Intact	ND	Neg	ND	Neg
7	LG SNAC	Intact	ND	Neg	ND	Neg
8	LG SNAC	NA	ND	Neg	ND	Neg
9	LG SNAC	Intact	ND	ND	ND	Neg
10	LG SNAC	Intact	ND	ND	ND	Neg
11	LG SNAC	Intact	ND	Neg	ND	Neg
12	LG SNAC	NA	ND	NA	ND	NA
13	LG SNAC	NA	ND	Neg	ND	Neg
14	LG SNAC	Intact	ND	Neg	ND	Neg
15	LG SNAC	Intact	ND	Neg	ND	Neg
16	LG SNAC	NA	ND	NA	ND	NA
17	LG SNAC	Intact	ND	Neg	ND	Neg

LG indicates low grade; NA, not analyzable; ND, not done.

S100 protein and mammaglobin,  $^{1,31,32}$  and lacking DOG-1 expression.  $^{33}$ 

Low-grade SNACs represent a histologically heterogenous group of tumors, including non-ITAC and ITAC subtypes. <sup>13</sup> In recent years, an increasing number of distinct types of SNACs have been recognized, including HPV-related multiphenotypic sinonasal carcinoma, SMARCB1-deficient sinonasal carcinoma, and tubulopapillary low-grade SNAC. <sup>16,34–37</sup> Recently, Andreasen et al. <sup>18</sup> reviewed a series of low-grade SNACs of non-ITAC

type with tubulopapillary growth pattern and found a subset of tumors harboring ETV6 rearrangements and the ETV6-NTRK3 fusion in 2 of 3 cases. From a strictly genetic perspective, ETV6-rearranged low-grade SNAC represents a pitfall for identifying true sinonasal MASC. However, despite the identical genetic features of sinonasal MASC and ETV6-rearranged low-grade SNAC, the following features allow for accurate separation. First, all 3 ETV6-rearranged low-grade SNACs reported were predominantly tubular, composed of cylindrical to cuboidal



**FIGURE 4.** FISH. A, Design of the *ETV6* break-apart probe. B, Case 1 showing separate green and orange signals for *ETV6*. C, *NTRK3* indicating concomitant rearrangement of both genes.

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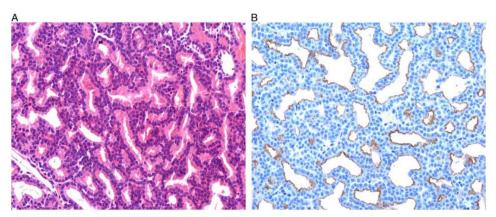


FIGURE 5. Histologic and immunohistochemical findings in ETV6-rearranged low-grade SNACs. A, ETV6-rearranged low-grade SNACs are composed of glands arranged back-to-back with little intervening stroma and minimal to absent secretory features. B, Tumors are focally DOG-1 positive.

tumor cells with occasional apocrine features and focal trabecular areas, which were not prominent features of sinonasal MASC. Second, tumor cells in *ETV6*-rearranged low-grade SNAC were arranged back-to-back with little intervening stroma with minimal or absent secretory features (Fig. 5A).<sup>18</sup> In contrast, both our MASCs showed abundant hyalinized septae and pronounced secretory features. Third, ETV6-rearranged low-grade SNAC is positive for DOG-1, negative for mammaglobin, and negative or patchy positive for S100, with the opposite being the case in MASC (Fig. 5B). Fourth, both MASCs presented here were invasive, whereas this was not seen in ETV6-rearranged low-grade SNAC.

The most important reason for separating these 2 entities is the difference in clinical behavior. While lowgrade non-ITAC, including ETV6-rearranged low-grade SNAC, behaves in an essentially benign manner, MASC is a bona fide malignancy. Awareness of the existence of MASC within this anatomic region is important not only for separating these from the recently described ETV6-rearranged low-grade SNAC but also for separating MASC from more aggressive SNACs of non-ITAC and ITAC types

In conclusion, we report 2 cases of sinonasal MASC and describe its unique features, which are valuable in its separation from ETV6-rearranged low-grade SNAC and other lowgrade SNACs. Importantly, this separation is merited not only for academic reasons, as the more aggressive nature of MASC could necessitate treatment with TRK inhibitors. <sup>19,20</sup>

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# 1.10.2 SEKREČNÍ KARCINOM MAMMÁRNÍHO TYPU SLINNÝCH ŽLÁZ: MOLEKULÁRNÍ ANALÝZA 25 PŘÍPADŮ *ETV6* REARANŽOVANÝH TUMORŮ, U KTERÝCH NEBYL DETEKOVÁN KLASICKÝ *ETV6-NTRK3* FÚZNÍ TRANSKRIPT STANDARDNÍ RT-PCR: 4 PŘÍPADY DEFINOVANÉ *ETV6-X* GENOVOU FÚZÍ

Práce se zabývá klinickou a molekulární analýzou 25 případů morfologicky a imunohistochemicky typických SC. Všechny případy obsahovaly zlom *ETV6* genu a v 16 případech byl zjištěný zlom genu *NTRK3* metodou FISH, avšak klasická *ETV6-NTRK3* fúze nebyla zjištěna standardní RT-PCR. Ve čtyřech případech byl klasický fúzní transkript detekovaný senzitivní "nested" RT-PCR. V pěti případech byla zastižena atypická fúze *ETV6-NTRK3* mezi exony 4-14 či 5-14. Pět případů bylo neanalyzovatelných. Zbývající 4 případy byly negativní na přítomnost zlomu *NTRK3* genu a pozitivní na zlom *ETV6* genu.

Fúzní partner *ETV6* genu nebyl identifikován a fúze byla označena jako *ETV6-X*. Při sledování morfologických a klinických údajů pacientů s atypickými fúzemi a fúzí *ETV6-X* se zjistilo, že tyto SC mají histologicky častěji přítomná silná fibrózní septa a výrazně hyalinizované stroma. Byla předložena hypotéza, že SC s atypickou fúzí a non *NTRK3* fúzním partnerem mohou růst více infiltrativně a mohou mít agresivnější biologické chování.

# Mammary Analogue Secretory Carcinoma of Salivary Glands

Molecular Analysis of 25 ETV6 Gene Rearranged Tumors With Lack of Detection of Classical ETV6-NTRK3 Fusion Transcript by Standard RT-PCR: Report of 4 Cases Harboring ETV6-X Gene Fusion

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Abstract: ETV6 gene abnormalities are well described in tumor pathology. Many fusion partners of ETV6 have been reported in a variety of epithelial and hematological malignancies. In salivary gland tumor pathology, however, the ETV6-NTRK3 translocation is specific for mammary analogue secretory carcinoma (MASC), and has not been documented in any other salivary tumor type. The present study comprised a clinical and molecular analysis of 25 cases morphologically and immunohistochemically typical of MASC. They all also displayed the ETV6 rearrangement as visualized by fluorescent in situ hybridization but lacked the classical ETV6-NTRK3 fusion transcript by standard reverse-transcriptase-polymerase chain reaction. In 4 cases, the classical fusion transcript was found by more sensitive, nested reverse-transcription-polymerase chain reaction. Five other cases harbored atypical fusion transcripts as detected by both standard and nested reverse-transcriptionpolymerase chain reaction. In addition, fluorescent in situ hybridization with an NTRK3 break-apart probe was also performed; rearrangement of NTRK3 gene was detected in 16 of 25 cases. In 3 other cases, the tissue was not analyzable, and in 2 further cases analysis could not be performed because of a lack of appropriate tissue material. Finally, in the 4 remaining cases whose profile was NTRK3 split-negative and ETV6 split-positive, unknown (non-NTRK) genes appeared to fuse with ETV6 (ETV6-X fusion). In looking for possible fusion partners, analysis of rearrangement of other kinase genes known to fuse with ETV6 was also performed, but without positive results. Although numbers were small, correlating the clinico-pathologic features of the 4 ETV6-X fusion tumors and 5 MASC cases with atypical fusion transcripts raises the possibility of that they may behave more aggressively.

Key Words: mammary analogue secretory carcinoma, MASC, ETV6-NTRK3, ETV6-X fusion transcript, clinicopathologic

(Am J Surg Pathol 2016;40:3-13)

ammary analogue secretory carcinoma (MASC) of ammary analogue secretor, emelantical salivary gland origin is a recently described tumor that harbors a characteristic balanced chromosomal translocation, t(12;15) (p13;q25) resulting in an ETV6-NTRK3 fusion 1 identical to that in secretory carcinoma of the breast.<sup>2</sup> Histologically, MASC is composed of uniform cells with bland-looking vesicular nuclei and eosinophilic vacuolated cytoplasm, arranged in tubular, microcystic and solid growth patterns with abundant periodic acid-Schiff -positive secretions. MASC may histologically resemble zymogen granule-poor acinic cell carcinoma, low-grade cribriform cystadenocarcinoma, and adenocarcinoma not otherwise specified. However, the diagnosis of MASC in most cases is not difficult based on histologic, immunohistochemical, and molecular features. Detection of ETV6 by fluorescent in situ hybridization (FISH) is technically feasible and more than 150 cases of MASC have been published in the last 4 years since its original description in 2010.

There have been several studies extending the description of the clinical, histologic, and immuno-histochemical features of MASC<sup>3-12</sup> and the number of

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reported MASCs has grown considerably. <sup>13–15</sup> However, the molecular genetic and epigenetic background of MASC has not been completely studied, except for the *ETV6-NTRK3* fusion. Therefore, a recent paper by Ito et al<sup>16</sup> describing 2 MASC cases with *ETV6* fused with an unknown gene partner immediately attracted our attention; the authors hypothesized that such tumors may have unique clinicopathologic features.

Up until then, in all cases of MASC where the fusion was known, this was *ETV6* with *NTRK3*, and no other fusion partners have been reported so far. Nevertheless, for several years, we have been aware of a number of MASC cases positive for the *ETV6* gene split as visualized by FISH, but in which the classical *ETV6-NTRK3* fusion transcript (exon 5-exon 15 junction) was not detected by standard reverse-transcriptase polymerase chain reaction (RT-PCR). Twenty-five such MASC cases were retrieved from our consultation files and further analyzed.

#### **MATERIALS AND METHODS**

Among more than 4300 cases of primary salivary gland tumors, 121 cases of the newly recognized entity MASCs were retrieved from the consultation files of the Salivary Gland Tumor Registry, at the Department of Pathology, Faculty of Medicine in Plzen, Czech Republic (AS). The histopathologic features of all tumors and the immunohistochemical stains when available were reviewed by 2 pathologists (A.S. and M.B.). A diagnosis of MASC was confirmed in cases that displayed, if at least focally, histologic features consistent with original description in conjunction with appropriate immunohistochemical profile, that is coexpression of S-100 protein, cytokeratin CK7, and mammaglobin with absence of p63 and DOG1 staining. For the purpose of this particular study, we have included only unequivocal cases of MASC positive for ETV6 rearrangement by FISH. Twenty-five tumors harboring ETV6 gene rearrangement as visualized by FISH but without detection of the classical ETV6-NTRK3 fusion transcript by standard RT-PCR were further analyzed.

For conventional microscopy, the excised tissues were fixed in formalin, routinely processed, embedded in paraffin, cut, and stained with hematoxylin-eosin. In most cases, additional stains were also performed, including periodic acid-Schiff with and without diastase, mucicarmin, and alcian blue at pH 2.5.

For immunohistochemical studies, 4-µm-thick sections were cut from paraffin blocks, mounted on slides coated with 3-aminopropyltriethoxy-silane (Sigma, St. Louis), deparaffinized in xylene, and rehydrated in descending grades (100% to 70%) of ethanol. Sections were then subjected to heat-induced epitope retrieval by immersion in a CC1 solution at pH 8, at 95°C. Endogenous peroxidase was blocked by a 5-minute treatment with 3% hydrogen peroxide in absolute methanol. The slides were then stained by immunostainer BenchMark ULTRA (Roche). The primary antibodies used are summarized

in Table 1. The bound antibodies were visualized using the Histofine Simple Stain MAX PO (Multi) Universal Immuno-peroxidase Polymer, anti-mouse and rabbit (Nichirei Biosciences Inc., Tokyo, Japan), and 3-3'-diaminobenzidine (Sigma) as chromogen. The slides were counterstained with Mayer hematoxylin. Appropriate positive and negative controls were employed.

Clinical follow-up was obtained from the patients, their physicians, or from referring pathologists.

#### Molecular Genetic Study Detection of ETV6-NTRK3 Fusion Transcript by RT-PCR

RNA was extracted using the RecoverAll Total Nucleic Acid Isolation Kit (Ambion, Austin, TX). cDNA was synthesized using the Transcriptor First Strand cDNA Synthesis Kit (RNA input 500 ng) (Roche Diagnostics, Mannheim, Germany). All procedures were performed according to the manufacturer's protocols. Amplification of a 105 and 133 bp product of the  $\beta$ 2-microglobulin gene and 247 bp product of PGK gene was used to test the quality of the extracted RNA as previously described. <sup>17–19</sup> A detection of classical, exon 5 of ETVG gene and exon 15 of ETVG gene and exon 15 of ETVG gene and exon 14 of the ETVG gene and exon 15 of ETVG gene and exon 15 of the ETVG gene and exon 16 the ETVG gene and exon 17 of the ETVG gene and exon 18 of the ETVG gene and exon 19 of ETVG gene and exon 19 of

For single-round PCR,  $2\,\mu L$  of cDNA was added to reaction consisted of  $12.5\,\mu L$  of HotStar Taq PCR Master Mix (Qiagen, Hilden, Germany),  $10\,\mathrm{pmol}$  of each primer (Table 2) and distilled water up to  $25\,\mu L$ . The amplification program comprised denaturation at  $95\,^{\circ}\mathrm{C}$  for  $14\,\mathrm{minutes}$  and then  $45\,\mathrm{cycles}$  of denaturation at  $95\,^{\circ}\mathrm{C}$  for  $1\,\mathrm{minute}$ , annealing at temperature seen in Table 2 for  $1\,\mathrm{minute}$ , and extension at  $72\,^{\circ}\mathrm{C}$  for  $1\,\mathrm{minute}$ . The program was finished by incubation at  $72\,^{\circ}\mathrm{C}$  for  $7\,\mathrm{minute}$ . For nested PCR, the same reaction conditions were set. One microliter of PCR product from the first round was used as a template.

Successfully amplified PCR products were purified with magnetic particles Agencourt AMPure (Agencourt Bioscience Corporation, A Beckman Coulter Company, Beverly, MA). Products were then bidirectionally sequenced using Big Dye Terminator Sequencing kit (PE/Applied Biosystems, Foster City, CA), purified with magnetic particules Agencourt CleanSEQ (Agencourt Bioscience Corporation), all according to the manufacturer's protocol and run on an automated sequencer ABI Prism 3130xl (Applied Biosystems) at a constant voltage of 13.2 kV for 11 minutes.

# Detection of ETV6, NTRK3, and Other 13 Genes Break by FISH Method

From each tumor, 4-µm-thick sections were cut from formalin-fixed paraffin-embedded blocks and placed

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Antibody Specificity	Clone	Dilution	Antigen Retrieval/Time	Source
S-100 protein	Polyclonal	1:2000	CC1/20 min	DakoCytomation
CK7	OV-TL 12/30	1:200	CC1/36 min	DakoCytomation
GCDFP-15	EP1582y	RTU	CC1/64 min	Cell Marque
Mammaglobin	304-1A5	RTU	CC1/36 min	DakoCytomation
STAT 5a	Polyclonal	1:400	CC1/36 min	Assay Designs Inc
Ki-67	30-9	RTU	CC1/64 min	Ventana
P63	4A4	RTU	CC1/64 min	Ventana
DOG1	K9	RTU	CC1/36 min	Leica
GATA3	L50-823	1:250	CC1/52 min	BioCare Medical
SOX10	Polyclonal	1:50	CC1/64 min	Cell Marque

CC1 indicates EDTA buffer, pH 8.6; RTU, ready to use.

on positively charged slides. The slides were routinely deparaffinized in xylen 3 times for 5 minutes and then washed twice in 100% ethanol, once in 95% ethanol and once in deionized water for 5 minutes. Then, the slides were heated in the 1 × Target Retrieval Solution (pH 6) (Dako, Glostrup, Denmark) for 40 minutes at 95°C and subsequently cooled for 20 minutes at room temperature in the same solution. The slides were washed in deionized water for 5 minutes and tissues were covered with the Proteinase K (20 mg/mL) (SERVA, Heidelberg, Germany) for 10 minutes at room temperature. The slides were then placed into deionized water for 5 minutes, dehydrated in a series of ethanol solution (70%, 85%, 96% for 2 min each) and air-dried.

An appropriate amount of mixed break-apart probe (Table 3) was applied on each specimen and was

**TABLE 2.** Primers for Detection of *ETV6-NTRK3* Fusion

Original Primer Name	Sequence	Localization
ETV6-ex4-F3	AGCCGGAGGTCATACTGCAT	ETV6 exon 4
ETV6-ex4-F4	CATTCTTCCACCCTGGAAAC	ETV6 exon 4 outer
ETV6B*	ACATCATGGTCTCTGTCTCCCCGC	ETV6 exon 5
TEL971† (ETV6A*)	ACCACATCATGGTCTCTGTCTCCC	ETV6 exon 5
NTRK3- ex14-R1	GTGATGCCGTGGTTGATGT	NTRK3 exon 14 inner
NTRK3 ex14-R2	AGTCATGCCAATGACCACAG	NTRK3 exon 14 outer
NTRK3B*	TTCTCGCTTCAGCACGATGTCT	NTRK3 exon 15 inner
TRKC1059† (NTRK3A*)	CAGTTCTCGCTTCAGCACGATG	NTRK3 exon 15 outer

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incubated in the ThermoBrite instrument (StatSpin/Iris Sample Processing, Westwood, MA) at  $85^{\circ}\mathrm{C}$  for 8 minutes and then at  $37^{\circ}\mathrm{C}$  for 16 hours. Subsequently, the slide was washed in  $2\times SSC/0.3\%$  NP-40 solution at  $72^{\circ}\mathrm{C}$  for 2 minutes and counterstained with DAPI.

#### **FISH Interpretation**

Each specimen was examined with an Olympus BX51 fluorescence microscope using a 100 × objective and filter sets Triple Band Pass (DAPI/SpectrumGreen/SpectrumGrange), Dual Band Pass (FITC/Texas Red), and Single Band Pass (SpectrumGreen or SpectrumOrange). Scoring was performed by counting the number of fluorescent signals in 100 randomly selected non-overlapping tumor cell nuclei. The slides were independently enumerated by 2 observers (T.V. and P.S.). The cut-off value for gene break was set at 10%.

#### **RESULTS**

#### **Molecular Genetic Findings**

After making the histologic diagnosis of MASC and confirming the *ETV6* split, 25 cases with the absence of classical, exon 5-exon 15, ETV6-NTRK3 fusion transcript as detected by standard RT-PCR were analyzed in greater detail (Table 4). The classical fusion transcript was analyzed by potentially more sensitive nested RT-PCR. <sup>14</sup> In addition, atypical, exon 4-exon 14, ETV6-NTRK3 fusion transcript, and also possible combinations of exons involved in classical and atypical junction were analyzed by nested RT-PCR and/or RT-PCR. In 4 cases, the classical fusion transcript was found by nested RT-PCR. Five other cases harbored atypical, exon 4-exon 14 or exon 5exon 14, ETV6-NTRK3 fusion transcript as detected by both, nested and/or standard RT-PCR (Fig. 1). The rest of the cases remained negative on RT-PCR. In addition to RT-PCR studies, FISH with NTRK3 break-apart probe was performed. The NTRK3 gene split was detected in 16 of 25 cases. In 3 cases, the tissue was not analyzable, and in 2 other cases analysis could not be performed because of lack of formalin-fixed paraffinembedded tissue material.

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TABLE 3.	<b>Probes</b>	Used	in	Study
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Gene Name	BAC Clone/Localization of Probe	Producer	Reaction Mixture (Probe-Probe:Water:Buffer)
ETV6	Commercial	Abbott/Vysis	1:2:7+
NTRK3	RP11-97O12 RP11-96B23	BlueGnome	1-1:1:7++
NTRK1	chr1:156188056-156785595 chr1:156851496-157451790	Agilent	1-1:1:7++
NTRK2	chr9:86361009-87360838 chr9:87560839-88561053	Agilent	1-1:1:7++
ABL1	RP11-17L7 RP11-143H20	BlueGnome	1-1:1:7++
ABL2	chr1:178664366-179063610 chr1:179203866-180203965	Agilent	1-1:1:7 + +
FGFR3	RP11-386I15 RP11-262P20	BlueGnome	1-1:1:7++
FLT3	RP11-438F9 RP11-502P18	BlueGnome	1-1:1:7 + +
FRK	chr6:115762599-116262838 chr6:116381774-116882068	Agilent	1-1:1:7++
JAK2	Commercial	KREATECH Diagnostics	Ready to use
LYN	chr8:56192225-56792519 chr8:56925305-57525154	Agilent	1-1:1:7 + +
PDGFRA	RP11-367N1 RP11-116G9	BlueGnome	1-1:1:7 + +
PDGFRB	Commercial	CYTOCELL Technologie	Ready to use
PER1	RP11-298H4 RP11-89A15	BlueGnome	1-1:1:7++
SYK	RP11-61N16 RP11-367F23	BlueGnome	1-1:1:7 + +

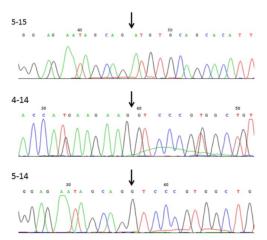
TABLE 4. Results of Molecular Genetic Analysis of ETV6 and NTRK3 Genes Rearrangement and ETV6-NTRK3 Fusion Transcripts

Case Number	FISH		RT-PCR	Nested RT-PCR	RT-PCR	Nested RT-PCR	RT-PCR
	ETV6 Break	NTRK3 Break	Classical 5-15	Classical 5-15	Atypical 4-14 <sup>1</sup> or 5-14 <sup>2</sup>	Atypical 4-14 <sup>1</sup>	Control Genes 105/133/247
Case 1	+	NA	-	_	-	-	+/+/-
Case 2	+	+	_	_	_	_	+/+/-
Case 3	+	+	_	+	_	_	+/+/+
Case 4	+	NA	_	_	_	_	+/-/-
Case 5	+	NP	_	_	-	_	+/+/+
Case 6	+	-	_	-	-		+/+/+
Case 7	+	NP	-	-	_	-	+/-/-
Case 8	+	+	1-3	+	-	-	+/+/-
Case 9	+	+	-	_	_	+ 1	+/+/+
Case 10	+	+	_	-	_	_	+/+/+
Case 11	+	NA	_	_	_	_	+/+/+
Case 12	+	+	_	+	_		+/+/+
Case 13	+	+	_	_	+ 2	-*	+/+/+
Case 14	+	+	-	_	+1	+ 1	+/+/+
Case 15	+	-	_	-	_	_	+/+/+
Case 16	+	+	_	_	_	-	+/+/-
Case 17	+	-	-	_	-	_	+/+/+
Case 18	+	+	-	+	_	-	+/+/-
Case 19	+	+	-	_	+ 1	+ 1	+/-/-
Case 20	+	_	_	_	_	_	+/+/+
Case 21	+	+	_		_	_	+/+/-
Case 22	+	+	_	_	_	-	+/+/-
Case 23	+	+	_	_	_	_	+/+/+
Case 24	+	+	_	_	_	_	+/+/+
Case 25	+	+	-	_	+ 1	+ 1	+/+/+

<sup>4, 5—</sup>numbers of exons of ETV6 gene; 14, 15—numbers of exons of NTRK3 gene.
\*Only 4-14 junction was analyzed by nested RT-PCR.
NA indicates not analyzable; NP, not performed.

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**FIGURE 1.** Sequence analysis of *ETV6-NTRK3* fusion transcripts. The most common exon 5-exon 15 (5-15) as well as 2 other atypical (4-14 and 5-14) fusions are shown. Arrows show point of fusion.

In the 4 remaining cases with the profile of NTRK3 split-negative and ETV6 split-positive, the fusion of ETV6 gene to a non-NTRK3 gene was suggested. To pursue possible fusion partners in these cases, we examined involvement of NTRK1 and NTRK2 genes, both members of the NTRK family. However, neither an NTRK1 nor NTRK2 gene split was detected (Fig. 2). Other kinase genes known to be possible partners of ETV6, such as ABL1, ABL2, FGFR3, FLT3, FRK, JAK2, LYN, PDGFRA, PDGFRB, PER1, and SYK were analyzed in cases, in which a sufficient formalin-fixed parafine-mbedded tissue was available (cases 17, 20). In case 17, no break of these genes was detected. In case 20, all genes except unanalyzable FLT3, PDGFRA, and SYK were negative, too.

## Clinical and Histologic Characteristics of the Study Group

The clinical and follow-up data are summarized in Table 5. There were 9 female and 16 male patients. The median patient age was 47 years, with a range between 15 and 77 years. The most common anatomic site of involvement was the parotid gland, occurring in 12 patients (48%). Other sites of origin were the submandibular gland in 6 patients (24%), minor salivary gland of buccal mucosa, and retromolar gingiva in 2 patients each (8%) and 3 tumors arose in the upper lip (12%).

#### Follow-up Data

Clinical follow-up data were obtained from 22 patients, and ranged from 9 months to 19 years (mean 3 y and 11 mo), 3 patients were lost to follow-up. Detailed clinical, follow-up, and histologic findings in 25 patients with MASC are summarized in Table 6.

Five of the 22 patients experienced locally recurrent tumor 1 month to 19 years after the primary diagnosis (mean 55 mo). Cervical lymph node metastases developed in 6 patients, one of whom died of disseminated cancer 20 months after diagnosis with nodal (4 mo after diagnosis of primary tumor) and multiple distant metastases to lungs, liver, and bones at 10 months (case 9). Fourteen of 22 patients were alive with no evidence of recurrent or metastatic disease at last follow-up.

All tumors were treated by surgical excision; in 9 cases the excision was radical with clear surgical margins, but in 11 cases the surgical margins were positive and in 4 cases the tumor infiltration was close (< 0.5 mm) to the surgical margins. One patient underwent subtotal conservative parotidectomy in combination with radiotherapy (case 5). Recurrences were treated by radical reexcision with clear surgical margins in all 5 patients, radiotherapy was used in 4 of these 5 patients with recurrent disease.

#### **Macroscopic Features**

The median tumor size was 2.1 cm, with a range of 0.5 to 5.0 cm. Grossly, most tumors were variably invasive: 4 were entirely circumscribed, 6 had focally infiltrative edges, and 15 were predominantly infiltrative.

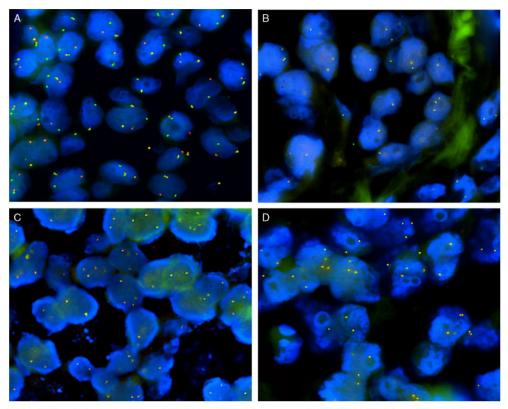
# Microscopic and Immunohistochemical Features

On low-power magnification, 3 major growth patterns of MASC were identified in our material. Firstly, some tumors were well circumscribed and surrounded by a thick, focally uninterrupted, fibrous capsule enclosing predominantly papillary and macrocystic structures (Fig. 3). The second pattern was characterized by solid and lobular growth with a multilobular structure divided by thick hyalinized or thin fibrous septa (Fig. 4). These tumors either lacked a capsule or were only partially encapsulated with prominent infiltrative borders. These cases were predominantly composed of microcystic and slightly dilated glandular spaces filled with variable amount of eosinophilic homogenous secretory material. A prominent fibrosclerotic stroma with isolated tumor cells in small islands or trabeculae were seen in central part of the tumor in 12/25 (48%) cases (Fig. 5). The third pattern comprised a macrocystic growth pattern, in which larger cystic structures were lined mostly by a single, and focally a double layer of cells with prominent or focal apocrine differentiation including hobnail cells (Fig. 6). The cysts contained abundant proteinaceous eosinophilic material. However, most tumors demonstrated 2 or more architectural patterns with microcystic, tubular, solid, and papillary patterns often occurring together

Regardless of the growth pattern of MASC, the tumor cells were often bland looking with abundant pale pink vacuolated and foamy cytoplasm and with vesicular oval nuclei with a single small but prominent nucleolus. The cytologic features were similar from cases to case. Range of nuclear atypia was assessed as grades 1 to 3. Mitotic figures were rare and necrosis was absent.

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**FIGURE 2.** FISH analysis reveal rearranged *ETV6* gene (A), and intact genes *NTRK1* (B), *NTRK2* (C), and *NTRK3* (D) respectively, using break-apart probes. Yellow (red/green fusion) signal demonstrates intact chromosome, separated red and green signals mean gene break.

Lymphovascular invasion was present in 2 cases (8%), and perineural invasion was seen in 12 tumors (48%) (Table 5).

# Histologic Findings in 4 MASC Cases NTRK3 Splitnegative ETV6 Split-positive (ETV6-X Fusion)

Invasive growth into fibroadipose tissue adjacent to salivary glands was seen in 3 of the 4 (cases 15, 17, 20), and the remaining tumor was received as multiple fragments, and thus invasion could not be assessed (case 6). In detail: skeletal muscle was involved in 1 case (case 15), lymphovascular invasion in 1 (case 15), and perineural invasion seen in 2 (cases 17, 15). A capsule was not present in any of these tumors. The fibrosclerotic stroma and thick hyalinized fibrous septa were particularly prominent in 2 of 4 cases. Nuclei were assessed as grade 1 in 3 tumors, and nuclear grade 2 in the remaining 1 (case 20) (Tables 4 and 6).

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# Histologic Findings in 5 MASC Cases With Atypical Profile of ETV6-NTRK3 Fusion Transcript

Except for 1 patient (case 25), all the other 4 tumors were invasive and nonencapsulated with infiltration of periglandular tissue and positive surgical margins. Perineural (2/5) and lymphovascular (1/5) invasion were observed. Four cases showed thick fibrous septa with focal hyalinization, and in each of 3 cases, trabeculae and nests of neoplastic cells were embedded in a completely hyalinized central part of the tumor (Fig. 7). Nuclei were assessed as grade 1 in 3 tumors (cases 14, 19, 25), and nuclear grade 3 in the remaining 1 (case 9) (Tables 4 and 6).

High-grade morphology was seen in 1 patient (case 9). The tumor was composed of 2 distinct carcinomatous components (Fig. 8). One component was a conventional MASC made of uniform neoplastic cells arranged in solid, tubular, and microcystic growth structures, divided by fibrous septa that were thick and partly hyalinized. The tumor cells had typical low-grade morphology;

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**TABLE 5.** Clinical and Histologic Findings in 25 MASC Cases With *ETV6*-Split and Absence of *ETV6-NTRK3* Fusion Transcript by Standard RT-PCR

	N/Total (%)
Age	
Median age (y)	47
Range (y)	15-77
Sex	
Female	9/25 (36)
Male	16/25 (64)
Anatomic location	
Parotid gland	12/25 (48)
Submandibular gland	6/25 (24)
Minor gland	7/25 (28)
Size (cm)	
Median	2.1
Range	0.5-5.0
Follow-up data available	22/25 (88)
Median follow-up period	3 y 11 mo
Range	9-228 mo
Metastatic disease	
Cervical LN metastasis	6/22 (28)
Distant metastasis	1/22 (4)
Local recurrent disease	5/22 (23)
Histologic findings	
Invasive growth	15/25 (60)
Perineural invasion	12/25 (48)
LVI	2/25 (8)
Prominent hyalinized stroma	12/25 (48)

LN indicates lymph node; LVI, lymphovascular invasion.

vesicular round to oval nuclei with finely granular chromatin and distinct centrally located nucleoli. The other component was sharply delineated from the conventional MASC. It was composed of anaplastic cells with abundant cytoplasm and large pleomorphic nuclei. Solid tumor islands revealed areas of large geographical comedo-like necrosis and desmoplastic stroma indicated invasion. The tumor cells of the high-grade component had significantly increased mitotic activity and nuclear polymorphism and failed to produce secretory material, in contrast to the low-grade component.

#### **Immunohistochemical Findings**

By immunohistochemistry, all examined MASC cases were positive for \$100 protein (25/25), mammaglobin (17/17), typically in strong and diffuse fashion (secretory material was also positive), and cytokeratin CK7 (17/17). GATA-3, SOX-10, and STAT5a staining was detected in most analyzed cases, respectively (17/17), (5/10), and (5/5). P63 protein was completely negative in most cases, with limited areas of positive peripheral myoepithelial cell staining suggestive of a focal intraductal component in 3 cases. DOG1 was negative in all cases (16/16). Proliferative activity was generally low, with mean MIB1 index 17% (range 5% to 40%).

#### **DISCUSSION**

MASC is a relatively newly described neoplasm of minor and major salivary glands characterized in most cases by a distinctive molecular alteration: a balanced

t(12;15) (p13;q25) chromosomal rearrangement resulting in the fusion of the *ETV6* and *NTRK3* genes. Interestingly, the same translocation, *ETV6-NTRK3*, can be seen not only in secretory carcinoma of breast, but also in infantile fibrosarcoma, congenital mesoblastic nephroma, some hematopoietic malignancies, ALK-negative inflammatory myofibroblastic tumors, and in radiation-induced papillary thyroid carcinoma. The *ETV6-NTRK3* fusion

The ETV6-NTRK3 fusion gene encodes a chimeric oncoprotein tyrosine kinase that activates the Ras-MAP kinase and phosphatidylinositol-3-kinase—Akt pathways. An ETV6 rearrangement may be detected from paraffinembedded tissue by break-apart FISH or alternatively, the fusion transcript can be identified by RT-PCR. Detection of ETV6 rearrangement from paraffin-embedded tissue is technically feasible, relatively widely available and is considered the gold standard for the diagnosis of MASC. However, in some of our MASC cases previously reported, RT-PCR failed to demonstrate the ETV6-NTRK3 fusion transcript, even though they were FISH positive. <sup>1,11,26</sup>

Recently, Ito et al<sup>16</sup> reported 2 cases of MASC with the ETV6 gene split detected by FISH but in which the ETV6 gene appeared to be fused with a gene partner other than NTRK3. They further demonstrated that neither NTRK1 nor NTRK2 genes were involved.16 In hematopoietic malignancies, > 30 ETV6 partner genes have been molecularly characterized,<sup>27</sup> but in MASC ETV6 usually fuses with NTRK3, and no other fusion partners have been reported so far. 1.16 However, the 2 cases reported by Ito et al. 16 plus several examples of MASC in our previous studies 1,11,26 that failed to demonstrate ETV6-NTRK3 fusion transcript by classical RT-PCR together suggested that ETV6 may on occasions fuse with an unknown non-NTRK gene partner (ETV6-X fusion). Therefore, we retrieved from our consultation files 25 such MASC cases all ETV6 split by FISH but negative for ETV6-NTRK3 fusion transcript as detected by standard RT-PCR.<sup>20</sup> This reaction analyzes the most common fusion in MASC, which is the junction of exon 5 of ETV6 and exon 15 of NTRK3 genes by single-round RT-PCR. In the present study, we used the more sensitive, nested RT-PCR, 16 and consequently we revealed the presence of the classical transcript in another 4 cases. In addition, we found 5 cases with atypical junctions. These junctions have not been described in MASC but are relatively common in radiation-induced papillary thyroid carcinoma.<sup>25</sup>

Simultaneously with the RT-PCR study, we performed FISH analysis of our tumors with various breakapart probes. Firstly, we investigated splits in the NTRK3 gene. This analysis revealed, as in the study of Ito et al. <sup>16</sup> the presence of ETV6-positive/NTRK3-negative cases. On 2 available samples, we then performed analysis for rearrangement of NTRK3 homologs NTRK1 and NTRK2 but these were negative, similar to the findings of Ito et al. <sup>16</sup> In addition to these NTRK homologs, we analyzed several kinase genes commonly fused with ETV6 in hematological malignancies, <sup>27</sup> but we were unable to

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Case Number	Age/ Sex	Primary Site	Tumor Size (mm)	Capsule	Invasion (LVI, PN)	Septa	Hyalinized Sclerosis
1	31/F	Buccal mucosa	10	Complete	No	No	No
2	24/F	Buccal mucosa	10	Invasive	No	No	No
3	38/F	Parotid	30	Invasive	PN+	+ +	+
4	48/M	Upper lip	10	Invasive	No	+ +	+
5	28/M	Parotid, deep lobe	$50 \times 30 \times 15$	Invasive	PN +	+ +	++
6	50/M	Lip	15	Invasive	No	No	No
7	66/F	Parotid	8	Complete	No	No	No
8	17/M	Parotid	$40 \times 35 \times 25$	Invasive	No	No	No
9	60/M	Parotid	$40 \times 35 \times 25$	Invasive	PN + LVI +	+ +	+++
10	39/M	Parotid	14	Incomplete	PN+	+	+
11	69/F	Retromolar gingiva	6	Complete	No	No	No
12	35/F	Parotid	$27 \times 15 \times 10$	Incomplete	PN +	+ +	No
13	15/M	Submandibular	18	Invasive	PN +	+ +	+
14	44/M	Submandibular	15	Incomplete	PN +	+ +	+
15	29/M	Parotid	23	Invasive	PN + LVI +	+	+ +
16	74/M	Parotid	$30 \times 30 \times 25$	Invasive	PN +	+	No
17	31/M	Submandibular	30	Invasive	PN +	+ +	++
18	73/M	Retromolar gingiva	$15 \times 20 \times 25$	Invasive	No	+	No
19	35/M	Submandibular	25	Invasive	No	+	No
20	77/F	Submandibular	25	Invasive	No	+ +	++
21	62/F	Lip	10	Complete	PN +	+	+
22	25/M	Parotid	13	Incomplete	No	+	No
23	62/M	Parotid	$23 \times 20 \times 20$	Incomplete	No	+	No
24	52/M	Submandibular	20	Invasive	No	+	No
25	48/F	Parotid	10	Incomplete	PN+	+ +	+

identify any possible ETV6 fusion partner. The remainder of our cases fell either firstly into a group with a different exon junction to that tested, or secondly into a group with expression below the detection limit of the methods used. or thirdly into a group with low quality of RNA.

The novel finding in our study is the identification of 5 MASC cases with atypical junctions, exon 4 of ETV6 with exon 14 of NTRK3 and exon 5 of ETV6 with exon 14 of NTRK3 that have not been described in MASC so far. Moreover, we confirmed the observation of Ito et al<sup>16</sup> that a subset of MASC cases very likely harbors ETV6 fused with non-NTRK genes (ETV6-X fusion)

What was a particularly interesting finding of our study is that in both these groups of MASC with atypical junctions and ETV6-X fusion, we observed a more aggressive invasive growth pattern in 6/9 (67%) together with more frequent perineural invasion in 5/9 (55%), and lymphovascular invasion in 2/9 (22%) than in the cases with classical ETV6-NTRK3 fusion. In addition, lymph node metastases were seen in 2 patients, and 1 patient even died of disseminated disease with multiple distant metastases. This is in accordance with recent observation of Ito et al,  $^{16}$  who published 2 cases of ETV6-X fusion MASC with invasive histologic features including perineural or vascular involvement—this is in contrast with

the generally low-grade behavior of MASC. Nevertheless, in our series, even MASC cases with the classical ETV6-NTRK3 fusion often displayed an invasive growth pattern (12/16) as well as perineural invasion (6/16) but lymphovascular invasion was not seen.

Ito et al16 have also suggested that MASC cases with ETV6-X fusion may have distinctive histomorphology, in particular, thick fibrous septa and abundant hyalinized stroma. We have found extensive hyalinized stromal fibrosis in 4/16 cases of MASC with classical ETV6-NTRK3 fusion transcript, remaining 12 cases were devoid of extensive central fibrosclerotic foci. Thick fibrous septa were observed in most cases of MASC with classical fusion (11/16). The MASC cases with atypical exon junctions ETV6-NRTK3 and ETV6-X fusions displayed more often central hyalinized fibrosis in 6/9 (66%) and thick fibrous septa in 7/9 (77%) than MASC with classical ETV6-NTRK3 fusion.

In conclusion, the present study indicates that ETV6 may fuse with genes other than NTRK3, or a subset of MASC cases may display atypical exon junctions ETV6-NTRK3. Our preliminary results also suggest that these atypical molecular features may be associated with more infiltrative histologic features of MASC, and less favorable clinical outcomes in patients, though the

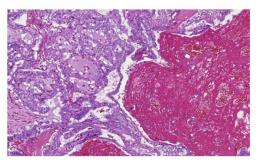
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<sup>\*</sup>PE—subtotal conservative parotidectomy.
†Close margins means distance from tumor < 0,5 mm.
CHT indicates chemotherapy; LN, lymph node; LVI, lymphovascular invasion; NA, not available; NED, no evidence of disease; PN, perineural invasion; RD, residual disease; RT, radiotherapy; SP, superficial parotidectomy.

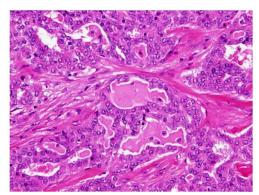
TABLE 6. (Conti	inued)					
			Local Recurrence			
Surgical Margins	TNM	Metastasis (y, mo)	(y, mo)	Treatment	Follow-up (y,mo)	Outcome
Clear	T1N0M0	No	No	Excision	11 mo	NED
Positive	T1N1M0	LN 2 y	Multiple 2 y	Excision	2 y, 4 mo	RD
Positive	pT2/cN0/cM0		rpT3 (19 y)	Excision, RT	19 y	NED
Positive	T1N0M0	No	rpT2 (2 mo)	Excision, re-excision margins clear	9 mo	NED
Positive	T3N0M0	No	No	*PE, RT	11 mo	NED
Positive	NA	NA	NA	NA	NA	NA
Clear	T1N0M0	No	No	Excision, SP	1 y, 6 mo	NED
Clear	T2N0M0	No (0/0)	No	Excision	12 y	NED
Positive	T4aN2bM1	LN 4 mo lung,	4 mo excision	Excision, RT	1 y, 8 mo	DOD
		liver, bones 10 mo				
Close†	T2N0M0	No	No	SP	3 y, 1 mo	NED
Clear	T1N0M0	No	No	Excision	2 y	NED
Clear	pT2NxMx	No	No	Excision	4 y	NED
Close†	T3N2bM0	LN +	No	Excision, RT	4 y	NED
Positive	T1N0M0	No	No	Resection	4 y, 11 mo	NED
Positive	T2N1M0	LN+	No	Local excision, RT no neck dissection	2 y, 10 mo	NED
Positive	T2N0M0	No	No	Excision RT, CHT	8 y	NED
Positive	pT3pN0M0	No	Residual tumor	Excision, radical resection (1 mo)	2 y	NED
Positive	T2N2M0	LN +	NA	NA	NA	NA
Clear	T2N2M0	LN + (3/36)	No	Excision, RT	4 y, 2 mo	NED
Clear	T2N0M0	No	No	Excision	1 y, 6 mo	NED
Clear	T1N0M0	No	No	Wide local excision	3 y	NED
Clear	T1N0M0	No	No	Excision	1 y, 3 mo	NED
Close†	T3N1M0	No (0/2)	No	Excision	7 y, 6 mo	NED
	T1N0M0	NA	NA	Excision	NA	NA
Close†	pT1N0M0	No	No	Excision	1 y, 3 mo	NED

numbers of published cases are low so far. Currently, molecular confirmation is considered the gold standard for the diagnosis of MASC, but there is growing body of evidence that the majority of tumors (up to 95%) can be accurately classified as MASC based solely on morphology and immunohistochemistry. <sup>28</sup> Recognizing MASC and testing for *ETV6* rearrangement may be, however, of potential value in patient treatment, because the presence of the *ETV6-NTRK3* translocation may represent a therapeutic target in MASC. Recent studies suggested



**FIGURE 3.** MASC (case 20, *ETV6-X* fusion) was composed of predominantly papillary and macrocystic structures.

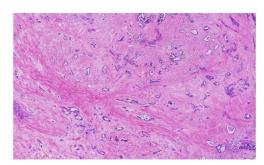
that the inhibition of ETV6-NTRK3 activation could serve as a therapeutic target for the treatment of patients with this fusion at other sites.  $^{29,30}$ 



**FIGURE 4.** MASC composed of multilobular structure divided by thick hyalinized fibrous septa with microcystic and slightly dilated glandular spaces filled with variable amount of eosinophilic homogenous secretory material.

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**FIGURE 5.** MASC (case 15, *ETV6-X* fusion) shows a prominent fibrosclerotic stroma with isolated tumor cells in small islands or trabeculae.



FIGURE 6. MASC with macrocystic growth pattern, in which larger cystic structures were lined mostly by a single, and focally a double layer of cells with prominent or focal apocrine differentiation including hobnail cells.

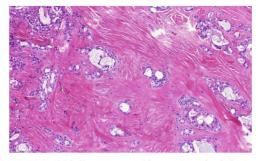


FIGURE 7. Thick fibrous septa with prominent hyalinization and trabeculae of neoplastic cells embedded in a completely hyalinized central part of the tumor were typical for *ETV6-X* fúsion (case 17).

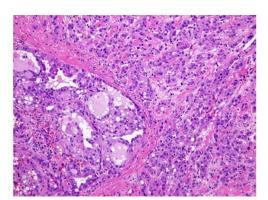


FIGURE 8. MASC with high-grade transformation was seen in 1 patient (case 9, atypical ETV6/NTRK3 fusion). The tumor was composed of 2 distinct carcinomatous components.

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# 1.10.3 MOLEKULÁRNÍ PROFILOVÁNÍ SEKREČNÍHO KARCINOMU MAMMÁRNÍHO TYPU ODHALILO SKUPINU NÁDORŮ DEFINOVANÝCH NOVOU *ETV6-RET* TRANSLOKACÍ

Metodické pokroky molekulární genetiky přinesly další diagnostický nástroj, a to metodu masivního paralelního sekvenování, tzv. next generation sequencing (NGS), jejíž použití umožnilo najít fúzního partnera SC s *ETV6-X* fúzí (34, 35). Metodou NGS byla otestovaná série nádorů obsahujících jednak 4 původní případy SC označené jako *ETV6-X* a jednak 26 případů SC s *ETV6* zlomem (detekovaným metodou FISH) či s morfologií a imunoprofilem odpovídajícími SC, které nebyly analyzovatelné metodou FISH pro nízkou kvalitu vstupního materiálu.

Tento postup odhalil v 10 případech novou a v SC doposud nepopsanou fúzi mezi exonem 6 genu *ETV6* a exonem 12 genu *RET*. Fúze byla potvrzena v 9 případech metodami RT-PCR či FISH a v 1 případě nebylo ke konfirmaci *ETV6-RET* fúze dostatečné množství materiálu.

Navazující klinické sledování bylo provedeno v 8 z 10 případů s potvrzenou *ETV6-RET* fúzí. Všechny tumory byly odstraněné chirurgickou excizí; 5 z nich bylo řešeno subtotální konzervativní parotidektomií a 4 případy prostou excizí, 1 případ byl bez informací o léčbě. Ve dvou případech následovala po chirurgické léčbě radioterapie. V jednom případě SC komplikovaného metastatickým rozsevem následovala po parciální parotidektomii konkomitantní chemoterapie s radioterapií.

Translokace ETV6-RET doposud nebyla popsána v žádném jiném nádoru slinných žláz než v SC.

Výstupem práce bylo popsání nové genové fúze *ETV6-RET* v 10 případech SC. Odlišení alternativní fúze s účastí *RET* onkogenu je důležité zejména u pacientů s pokročilým onemocněním, kde běžné terapeutické postupy selhávají. Obdobně jako tyrozinkinázový receptor C (TrkC lokus) *NTRK3* genu lze využít pro aplikaci tyrozinkinázových inhibitorů (43), tak i na pokročilé maligní onemocnění s *RET* fúzí lze cílit prostřednictvím RET-inhibitorů, jakými jsou například Blue 667 či LOXO 292 (45, 75, 76).

### Molecular Profiling of Mammary Analog Secretory Carcinoma Revealed a Subset of Tumors Harboring a Novel ETV6-RET Translocation

#### Report of 10 Cases

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Abstract: ETV6 gene abnormalities are well described in tumor pathology. Many fusion partners of ETV6 have been reported in a variety of epithelial, mesenchymal, and hematological malignancies. In salivary gland tumor pathology, however, the ETV6-NTRK3 translocation is specific for (mammary analog) secretory carcinoma, and has not been documented in any other salivary tumor type. The present study comprised a clinical, histologic, and molecular analysis of 10 cases of secretory carcinoma, with typical morphology and immunoprofile harboring a novel ETV6-RET translocation.

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Key Words: salivary, mammary analog secretory carcinoma, MASC, ETV6-NTRK3, ETV6-RET fusion transcript

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Mammary analog) secretory carcinoma of salivary gland origin is a recently described tumor that harbors a characteristic balanced t(12;15)(p13;q25) chromosomal translocation resulting in an ETV6-NTRK3 fusion<sup>1</sup> identical to that commonly found in secretory carcinoma (SC) of the breast.<sup>2</sup> The *ETV6-NTRK3* fusion gene encodes a chimeric tyrosine kinase with transforming activity in epithelial and myoepithelial cells in the mouse mammary gland.3

Over many years, Skalova et al<sup>1</sup> began to identify a distinctive, hitherto unrecognized neoplasm arising in the salivary glands characterized by morphologic and immunohistochemical features strongly reminiscent of those of SC of the breast. These salivary carcinomas are composed of microcystic and solid areas with abundant vacuolated colloid-like periodic acid-Schiff-positive secretory material within the microcystic spaces. These tumors had previously been categorized as either unusual variants of salivary acinic cell carcinoma (AciCC) or adenocarcinoma not otherwise specified. 1

Salivary SC was initially recognized as an entity different from AciCC on the basis of 3 major findings. First, SC showed no basophilia in the cytoplasm of any of the constituent cells, which is the hallmark of the serous acinar cells of AciCC resulting from the presence of cytoplasmic zymogen granules. Second, these neoplasms had a completely different immunohistochemical profile, almost always expressing S100 protein, mammaglobin, vimentin, STAT5, and MUC4, all of which are rarely expressed in AciCC. Finally, SCs were found to harbor an

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TABLE 1. Antibodies Used for Immunohistochemical Study Antibody Specificity Antigen Retrieval/Time (min) Clone Dilution Source S100 protein RTU Polyclonal CC1/20 Ventana OV-TL 12/30 CC1/36 CC1/64 Dako Cytomation Cell Marque CK7 GCDFP-15 RTU EP1582v CC1/36 CC1/36 CC1/64 Mammaglobin 304-1A5 RTU Dako Cytomation Polyclonal 30-9 1:400 Assay Designs Inc. Ventana STAT 5a Ki-67 RTU P63 4A4 RTU CC1/64 Ventana DOG1 GATA3 SP31 L50-823 Cell Marque BioCare Medical RTU CC1/36 1:200 CC1/52 SOX10 Polyclonal 1:100 CC1/64 Cell Marque

CC1 indicates EDTA buffer, pH 8.6; RTU, ready to use (prediluted).

ETV6-NTRK3 fusion gene due to a t(12; 15)(p13,q25) translocation, a finding identical to SC of the breast² and absent in AciCCs.¹ Because of the morphologic similarities and identical ETV6-NTRK3 fusion transcripts, the designation "mammary analog secretory carcinoma of salivary gland" has been proposed,¹ and the name was widely accepted and used in the literature. The most recent version of the World Health Organization Classification of Head and Neck Tumors, however, utilizes the terminology "secretory carcinoma"⁴ for consistency, and because SCs have been recently described at other extrasalivary and extramammary sites, such as thyroid gland,⁵-8 skin,9,¹0 and sinonasal mucosa.¹¹

The presence of the *ETV6-NTRK3* fusion gene has not been demonstrated in any other salivary gland tumor, but the same translocation can be seen not only in SC of breast, but also in infantile fibrosarcoma, congenital mesoblastic nephroma, certain hematopoietic malignancies, a small subset of gastrointestinal stromal tumors, se and in radiation-induced papillary thyroid carcinomas. Moreover, *ETV6-NTRK3* translocated papillary thyroid cancers have been recently described in adult patients with no history of radiation exposure.

radiation exposure.

The near 100% rate of *ETV6* gene rearrangement in SC has been subsequently confirmed by many other studies. 19–25 Detection of *ETV6* rearrangements by fluorescent in situ

hybridization (FISH) or the *ETV6-NTRK3* fusion by reverse-transcriptase polymerase chain reaction (RT-PCR) in formalin-fixed paraffin-embedded (FFPE) material is technically relatively straightforward and > 300 cases of SC have been published since its original description.

Up until now, in all published cases of SC where the fusion partner is identified, *ETV6* is fused with *NTRK3*, and no other fusion partners have been reported so far. Nevertheless, for several years, we have been aware of several SC cases positive for the *ETV6* gene split as visualized by FISH, but in which the classic *ETV6-NTRK3* fusion transcript (exon 5-exon 15 junction) was not detected by standard RT-PCR. A subset of SCs showing *ETV6* rearrangements with so far unknown partners have been recently reported and provisionally called *ETV6-X* translocated SCs, <sup>26</sup> in agreement with a study of Ito et al, <sup>27</sup> who found 2 such cases. In the present study using the next-generation sequencing (NGS) as a diagnostic platform, we describe 10 cases morphologically and immunohistochemically typical of SC, harboring a novel *ETV6-RET* translocation.

#### **MATERIALS AND METHODS**

Among >4500 cases of primary salivary gland tumors, 194 cases of SCs were retrieved from the consultation files of the Salivary Gland Tumor Registry, at

TABLE 2.	Primers f	or Detection	of ETV6-NTRK3	Fusion	Transcripts

Original Primer Name	Sequence	Annealing Temperature (°C)	Localization
ETV6-ex4-F3	AGCCGGAGGTCATACTGCAT	55	ETV6 exon 4 inner
ETV6-ex4-F4	CATTCTTCCACCCTGGAAAC	55	ETV6 exon 4 outer
ETV6B†	ACATCATGGTCTCTGTCTCCCCGC	55	ETV6 exon 5 inner
TEL971* (ETV6A†)	ACCACATCATGGTCTCTGTCTCCC	65	ETV6 exon 5 outer
NTRK3-ex14-R1	GTGATGCCGTGGTTGATGT	55	NTRK3 exon 14 inner
NTRK3 ex14-R2	AGTCATGCCAATGACCACAG	55	NTRK3 exon 14 outer
NTRK3B†	TTCTCGCTTCAGCACGATGTCT	55	NTRK3 exon 15 inner
TRKC1059* (NTRK3A†)	CAGTTCTCGCTTCAGCACGATG	65	NTRK3 exon 15 outer
ETV6-Archer1-F1	CGATGGGAGGACAAAGAATC	55	ETV6 exon 6
RET-Archer1-R1	AACCAAGTTCTTCCGAGGGA	55	RET exon 12
ETV6-Archer1-F2	CAACGGACTGGCTCGACTG	55	ETV6 exon 6
RET-Archer1-R2	GACCACTTTTCCAAATTCGCCT	55	RET exon 12

\*Bourgeois et al<sup>32</sup> †Ito et al,<sup>27</sup> Skalova et al<sup>26</sup>.

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**FIGURE 1.** A, The result of analysis by NGS with custom-designed ArcherDX Kit of case 3 (case 17 in Skalova et al<sup>26</sup>). Analysis revealed the presence of fusion transcript *ETV6-RET* joining exons 6 and 12, respectively. The red arrows represent position of primers. B, The confirmation of the presence of *ETV6-RET* fusion transcript by RT-PCR followed by Sanger sequencing. The black arrow indicates area of fusion.

the Department of Pathology, Faculty of Medicine in Plzen, and Biopticka Laborator Ltd, Plzen, Czech Republic (A.S. and M.M.).

The histopathologic features of all tumors and the immunohistochemical stains, when available, were reviewed by 2 pathologists (A.S. and M.B.). A diagnosis of SC was confirmed in cases that displayed, at least focally, histologic features consistent with original description on it conjunction with the appropriate immunohistochemical profile, that is, coexpression of S100 protein, cytokeratin CK7, and mammaglobin with the absence of p63 and DOG1 staining. For the purpose of this particular study, we have included 4 cases of SC with ETV6-X profile from study Skalova et al 26 and 26 cases of SC with ETV6 gene break found by FISH or with morphologic and immunohistochemical pattern of SC but without analyzable ETV6 gene break by FISH due to low quality or lack of material. Thus, a total of 30 SC cases were studied by NGS using ArcherDX Fusion Plex Kit.

For conventional microscopy, the excised tissues were fixed in formalin, routinely processed, embedded in paraffin (FFPE), cut, and stained with hematoxylin and eosin. In most cases, additional stains were also performed, including periodic acid-Schiff with and without diastase, mucicarmine, and alcian blue at pH 2.5.

For immunohistochemical studies, 4 µm thick sections were cut from paraffin blocks and mounted on positively charged slides (TOMO, Matsunami Glass Ind., Japan). Sections were processed on a BenchMark ULTRA (Ventana Medical System, Tucson, AZ), deparaffinized, and then subjected to heat-induced epitope retrieval by immersion in a CCl solution at pH 8.6 at 95°C. After antigen retrieval, sections were stained with a pan-RTK antibody cocktail consisting of rabbit monoclonal

antibodies, all obtained from Cell Signaling (Danvers, MA), targeting pan-Trk (A7H6R, active against TrkA, TrkB, and TrkC, 1:50 dilution), ROS1 (D4D6, 1:50), and ALK (D5F3, 1:50), as described previously.<sup>28</sup>

All other primary antibodies used are summarized in Table 1. The bound antibodies were visualized using the ultraView Universal DAB Detection Kit (Roche) and ultraView Universal Alkaline Phosphatase Red Detection Kit (Roche). The slides were counterstained with the Mayer hematoxylin. Appropriate positive and negative controls were used.

Clinical follow-up was obtained from the patients, their physicians, or from referring pathologists.

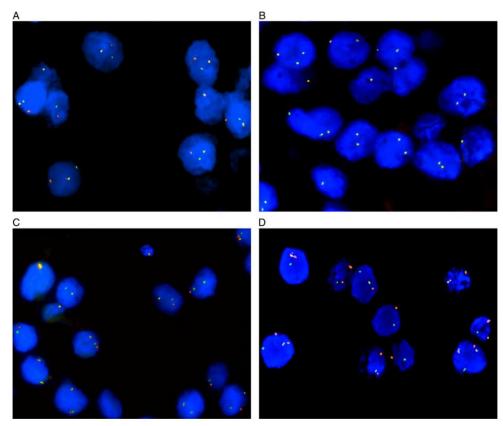
#### **Molecular Genetic Study**

# Detection of ETV6-NTRK3 and ETV6-RET Fusion Transcripts by RT-PCR

RNA was extracted using the RecoverAll Total Nucleic Acid Isolation Kit (Ambion, Austin, TX). cDNA was synthesized using the Transcriptor First Strand cDNA Synthesis Kit (RNA input 500 ng; Roche Diagnostics, Mannheim, Germany). All procedures were performed according to the manufacturer's protocols. Amplification of a 105 and 133 bp product of the μ2-microglobulin gene and 247 bp product of the *PGK* gene was used to test the quality of the extracted RNA as previously described.<sup>29–31</sup> A detection of classic exon 5 of *ETV6* gene and exon 15 of *NTRK3* gene,<sup>32</sup> as well as atypical exon 4 of the *ETV6* gene and exon 14 of the *NTRK3* gene (and their combinatorial variants) fusion transcript was performed by RT-PCR. In addition, more sensitive, nested RT-PCR was performed for the detection of classic<sup>26,27</sup> as well as selected atypical junction of transcripts. Except that,

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**FIGURE 2.** Interphase FISH analysis using break-apart probes (A–C) and dual-fusion probe (D). Positive cells with break-apart *ETV6* (A) and *RET* (C) probes contain nucleus with separate (split) orange and (or) green signals indicating a rearrangement (break) of 1 copy of the gene region and also 1 orange/green (yellow) fusion signal representing 1 normal (intact) copy of homolog locus. Negative cells with break-apart *NTRK3* (B) probe contain only normal (not split) yellow signal. Positive cells using fusion probe *ETV6-RET* (D) show orange/green (yellow) fusion signals representing translocation *ETV6-RET* and also separate orange and green signals showing the intact genes.

RT-PCR for ETV6-RET fusion transcript detection was also carried out after receiving of the NGS results.

For single-round PCR,  $^2$  L of cDNA was added to reaction consisting of  $12.5\,\mu\text{L}$  of HotStar Taq PCR Master Mix (QIAgen, Hilden, Germany), 10 pmol of each primer (Table 2), and distilled water up to  $25\,\mu\text{L}$ . The amplification program comprised denaturation at  $95^{\circ}\text{C}$  for 14 minutes and then 45 cycles of denaturation at  $95^{\circ}\text{C}$  for 1 minute, annealing at temperature seen in Table 2 for 1 minute and extension at  $72^{\circ}\text{C}$  for 1 minute. The program was finished by incubation at  $72^{\circ}\text{C}$  for 7 minutes. For nested PCR, the same reaction conditions were utilized. One microliter of PCR product from the first round was used as a template.

Successfully amplified PCR products were purified with magnetic particles of Agencourt AMPure (Agencourt

Bioscience Corporation, A Beckman Coulter Company, Beverly, MA). Products were then bidirectionally sequenced using Big Dye Terminator Sequencing Kit (Applied Biosystems, Foster City, CA), and purified with magnetic particles of Agencourt CleanSEQ (Agencourt Bioscience Corporation), all according to the manufacturer's protocol, and run on a automated sequencer ABI Prism 3130xl (Applied Biosystems) at a constant voltage of 13.2 kV for 11 minutes.

# Detection of Alteration of ETV6, NTRK3, and RET Genes by FISH Method

Four-micrometer-thick FFPE sections were placed onto positively charged slides. Hematoxylin and eosin-stained slides were examined for determination of areas for cell counting.

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TABLE	3. Molec	ular Gene	tics Findings	in 10 Cases	of SC With ET	V6-RET Fusion			
Case #	Case #	NGS Results	Fusion	FISH ETV6ba	FISH NTRK3ba	RT-PCR ETV6-NTRK3	FISH RETba	FISH ETV6-RET	RT-PCR ETV6-RET
1	6*	+	ETV6-RET	+		_	+	+	+
2	15*	+	ETV6-RET	+	_	_	+	ND	+
3	17*	+	ETV6-RET	+	_	_	+	+	+
4	20*	+	ETV6-RET	+	_	-	+	+	-
5		+	ETV6-RET	+	-	-	+	+	+
6		+	ETV6-RET	ND	ND	-	ND	+	+
7		+	ETV6-RET	+	_	-	+	+	+
8		+	ETV6-RET	NA	NA	-	NA	+	+
9		+	ETV6-RET	ND	ND	-	ND	ND	-
10	12†	+	ETV6-RET	+	_	-	+	+	+

\*Skalova et al<sup>26</sup> (Table 6). †Stevens et al<sup>8</sup> (Table 2). NA indicates not analyzable; ND, not done due to lack of material.

The unstained slides were routinely deparaffinized and incubated in the ×1 Target Retrieval Solution Citrate pH 6 (Dako, Glostrup, Denmark) at 95°C for 40 minutes and subsequently cooled for 20 minutes at room temperature in the same solution. Slides were washed in de-ionized water for 5 minutes and digested in protease solution with Pepsin (0.5 mg/mL; Sigma Aldrich, St Louis, MO) in 0.01 M HCl at 37°C for 25 to 60 minutes, according to the sample conditions. Slides were then placed into deionized water for 5 minutes, dehydrated in a series of ethanol solutions (70%, 85%, 96% for 2 min each), and air dried.

Two commercial probes were used for the detection of rearrangement of ETV6 and RET genes, Vysis ETV6 Break Apart FISH Probe Kit (Vysis/Abbott Molecular, IL) and ZytoLight SPEC RET Dual Color Break Apart Probe (ZytoVision GmbH, Bremerhaven, Germany). ETV6 probe was mixed with water and LSI/WCP (Locus-Specific Identifier/Whole Chromosome Painting) Hybridization buffer (Vysis/Abbott Molecular) in a 1:2:7 ratio, respectively. RET probe was factory premixed.

Probes for detection of rearrangement of NTRK3 gene region and ETV6-RET genes fusion were mixed from custom designed SureFISH probes (Agilent Technologies Inc., Santa Clara, CA). Chromosomal regions for NTRK3 break-apart probe oligos are chr15:87501469-88501628 and chr15:88701444-89700343; for ETV6-RET fusion probe, chr12:11675872-12175711 and chr10:43354893-43849282. Probe mixture was prepared from corresponding probes (each color was delivered in a separate well), deionized water, and LSI Buffer (Vysis/Abbott Molecular) in a 1:1:1:7 ratio, respectively.

An appropriate amount of mixed and premixed probes was applied on specimens, covered with a glass coverslip, and sealed with rubber cement. Slides were incubated in the ThermoBrite instrument (StatSpin/Iris Sample Processing, Westwood, MA) with codenaturation at 85°C for minutes and hybridization at 37°C for hours. Rubber cemented coverslip was then removed and the slide was placed in posthybridization wash solution (2×SSC/0.3% NP-40) at 72°C for 2 minutes. The slide was air dried in the dark, counterstained with 4',6'-diamidino-2-phenylindole DAPI (Vysis/Abbott Molecular), coverslipped, and immediately examined.

#### **FISH Interpretation**

The sections were examined with an Olympus BX51 fluorescence microscope (Olympus Corporation, Tokyo, Japan) using a ×100 objective and filter sets Triple Pass (DAPI/SpectrumGreen/SpectrumOrange), Dual Band Pass (SpectrumGreen/SpectrumOrange), and Single Band Pass (SpectrumGreen or SpectrumOrange).

		NGS		Exons Included in	No. Valid Fusion	% of Reads Supporting	No. Unique Start
Case #	Case #	Results	Fusion	Fusion	Read	Fusion	Sites
1	Case 6*	+	ETV6-RET	6-12	51	100	17
2	Case 15*	+	ETV6-RET	6-12	84	20.1	33
3	Case 17*	+	ETV6-RET	6-12	1126	23.5	183
4	Case 20*	+	ETV6-RET	6-12	15	1.8	10
5		+	ETV6-RET	6-12	163	11.4	63
6		+	ETV6-RET	6-12	21	100	11
7		+	ETV6-RET	6-12	49	14	32
8		+	ETV6-RET	6-12	100	86.2	51
9		+	ETV6-RET	6-12	41	60.3	14
10	Case 12†	+	ETV6-RET	6-12	24	14.8	17

\*Skalova et al<sup>26</sup> (Table 6). †Stevens et al<sup>8</sup> (Table 2).

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TABLE 5. Clinical Findings in 10 SCs With FTV6-RFT Fusion Transcript

Case #	Age/ Sex	Case #	Stadium TNM	Local Recurrence	Length of Symptoms	Metastasis (y, mo)	Treatment	Follow- up	Outcome
Case n	БСА	Cusc #	Statitum 114141	Recuirence	Symptoms	(5, 1110)	Treatment	ир	Outcome
1	50/M	Case 6*	NA	NA		NA	NA	NA	NA
2	29/M	Case 15*	pT2pN1M0	No	6 y	LN+	SP and RT, no neck dissection	4 y 6 mo	Alive NED
3	31/M	Case 17*	pT3pN0M0	Residual tumor	7 y	No	Excision radical resection (1 mo)	4 y	Alive NED
4	77/F	Case 20*	pT2N0M0	No	1 y	No	Excision, RT	3 y	DOC
5	51/M		pT3N0M0	Residual tumor	15 mo	No	Excision SP after 3 mo	8 mo	Alive NED
6	20/F		pT3N0M0	No	NA	No	PE	NA	NA
7	55/M		pT3N0M3	No	3 y	Multiple bone meta (pelvic, scapula) at 15 mo	PP, RT, and CHT	2 y	DOD
8	28/F		pT1N0M0	No	1 mo	No	Parotid resection and lymphadenectomy level II: 12 lymph nodes negative	2 y	Alive NED
9	33/M		pT1pN0 (0/2)	No	6 mo	No	PP	3 y 9 mo	Alive NED
10	34/M	Case 12†	pT2N0M0 (0/1)	No	Several months, very slow enlargement	No	SP	4 y 2 mo	Alive NED

\*Skalova et al<sup>26</sup> (Table 6). †Stevens et al<sup>8</sup> (Table 2).

CHT indicates chemotherapy; DOC, dead of other causes; DOD, dead of disease; LN, lymph nodes; NA, not available; NED, no evidence of disease; PE, parotidectomy; PP, partial parotidectomy; RT, radiotherapy; SP, superficial parotidectomy.

For each probe, 100 randomly selected nonoverlapping tumor cell nuclei were examined for the presence of yellow or green and orange fluorescent signals. Regarding break-apart probes, yellow signals were considered negative, and separate orange and green signals were considered positive; conversely, for fusion probe, yellow signals were considered positive, and separate orange and green signals were considered

Cutoff values were set to >10% and 20% of nuclei (break-apart and fusion probes, respectively) with chromosomal breakpoint signals (mean, +3 SD rounded up in normal non-neoplastic control tissues).

#### Sample Preparation for NGS

For NGS studies, 2 to 3 FFPE sections (10 µm thick) were macrodissected to isolate tumor-rich regions. Samples were extracted for total nucleic acid using Agencourt FormaPure Kit (Beckman Coulter, Brea, CA) following the corresponding protocol with an overnight digest and an additional 80°C incubation as described in modification of the protocol by ArcherDX (ArcherDX Inc., Boulder, CO). Total nucleic acid was quantified using the Qubit Broad Range RNA Assay Kit (Thermo Fisher Scientific) and 2 µL of sample.

#### RNA Integrity Assessment and Library Preparation for NGS

Unless otherwise indicated, 250 ng of FFPE RNA was used as input for NGS studies. To assess RNA quality, the PreSeq RNA QC Assay using iTaq Universal SYBR Green Supermix (Biorad, Hercules, CA) was performed on all samples during library preparation to generate a measure of the integrity of RNA (in the form of a cycle threshold value). Library preparation and RNA QC were performed following the Archer Fusion Plex Protocol for Illumina (ArcherDX Inc.). A custom primer set with 28 primers spanning regions on 3 specific genes of interest, including all 8 exons of *ETV6* gene in 3' direction, was designed and used. Final libraries were diluted 1:100,000 and quantified in a 10 µL reaction following the Library Quantification for Illumina Libraries protocol and assuming a 200 bp fragment length (KAPA, Wilmington, MA). The concentration of final libraries was around 200 nM. The threshold representing the minimum molar concentration for which sequencing can be robustly performed was set at 50 nM.

#### NGS and Analysis

Libraries were sequenced on a MiSeq sequencer (Illumina, San Diego, CA). They were diluted to 4 nM and equal amounts of up to 16 libraries were pooled per run. The optimal number of raw reads per sample was set to 500,000. Library pools were diluted to 16 pM library stock with 5% 12.5 pM PhiX and loaded into the MiSeq cartridge. Analysis of sequencing results was performed using the Archer Analysis software (v5; ArcherDX Inc.). Fusion parameters were set to a minimum of 5 valid fusion reads with a minimum of 3 unique start sites within the valid fusion reads.

#### **RESULTS**

#### **Molecular Genetic Findings**

Selected 30 cases of SC (as described earlier) were analyzed by NGS using the ArcherDX analysis platform.

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TABLE 6.	Clinical and Histologic Findings in 10 SCs V	Vith ETV6-RET Fusion Transcript

Case	Age/ Sex	Case #	Location	Tumor Size (mm)	Capsule	Surgical Margins	Thick Fibrous Septa	Hyalinized Sclerosis	Invasion (LVI, PN)	Cystic Pattern	Necrosis	Comments
1	50/M	Case 6*	Lip	15	NA	2	No	No	NA	No	-	Fragmented tissue
2	29/M	Case 15*	Parotid	23	No	1	+	++	PN+, LVI +Extraglandular, muscle invasion	No	-	Hyalinized, multilobular, microcystic, and solid growth pattern
3	31/M	Case 17*	Submand	30	No	2	++	++	PN+	No	_	Hyalinized, multilobular, microcystic
4	77/F	Case 20*	Submand	70	Focal		+	+	No	+	-	Multicystic, papillary with apocrine cells
5	51/M		Parotid	10	No	1	++	++	PN+	No	+	Central hyalinized sclerosis, invasive growth pattern
6	20/F		Parotid	40	No	2	+	+	Extraglandular	No	-	Lobular, microcystic
7	55/M		Parotid	70	No	2	+	+	PN+, perivascular	+	+	High grade component
8	28/F		Parotid	12	No	1	+	+	Intraglandular	No	-	Predominantly solid and microcystic
9	33/M		Parotid	17	+	1	No	No	No	+	_	Predominantly cystic
10	34/M	Case 12†	Parotid	19	+	0	No	No	No	+	-	Multicystic with mural nodules

<sup>\*</sup>Skalova et al26 (Table 6).

This analysis detected a novel ETV6-RET fusion transcript joining exon 6 of ETV6 gene and exon 12 of RET gene in 10 cases of salivary gland tumors displaying histologic and immunohistochemical features typical of SC (Fig. 1). All but 1 ETV6-RET positive SC case were then tested by at least 1 FISH probe for the presence of ETV6-RET rearrangements (Fig. 2). In case 9, there was no residual tissue material for confirmation of the NGS analysis by FISH tests. In addition, RT-PCR for the confirmation of the presence of ETV6-RET fusion transcript followed by Sanger sequencing on positive samples was performed in all 10 cases (Fig. 1). The results of the NGS tests, details of the analysis, and results of confirmatory genetic tests are summarized in Tables 3 and 4. In addition, NGS analysis resulted in detection of the ETV6-NTRK3 fusion in 15 SC cases. In 4 cases, NGS revealed negative results, and 1 case was unanalyzable (detailed data not shown). No other fusion transcripts different from ETV6-NTRK3 or ETV6-RET were found by NGS in any analyzable case of SC.

#### Clinical and Histologic Characteristics of the Study Group

The clinical and follow-up data of 10 patients with ETV6-RET translocated SC of salivary glands are

patients. The median patient age was 40.8 years, with a range between 20 and 77 years. The most common anatomic site of involvement was the parotid gland, occurring in 7 patients. Other primary sites of the origin included the submandibular gland and minor salivary gland of the upper lip in 2 patients and 1 patient, respectively.

summarized in Table 5. There were 3 female and 7 male

#### Follow-up Data

Clinical follow-up data were obtained from 8 patients, and ranged from 6 to 50 months (mean, 36 mo); 2 patients were lost to follow-up. Detailed clinical, follow-up, and histologic findings in 10 patients with ETV6-RET translocated SC are summarized in Table 5.

All tumors were treated by surgical excision; in 1 patient the excision was radical with clear surgical margins, in 4 cases the surgical margins were positive, and in 4 additional patients, the tumor infiltration was close (<0.5 mm) to the surgical margins. Five patients underwent subtotal conservative parotidectomy, in 3 of them in combination with radiotherapy (cases 2, 4, and 7). Residual tumors were treated by radical reexcision with clear surgical margins in 2 patients. Concomitant chemotherapy and radiotherapy was used in 1 patient with high-grade transformed SC complicated by metastatic

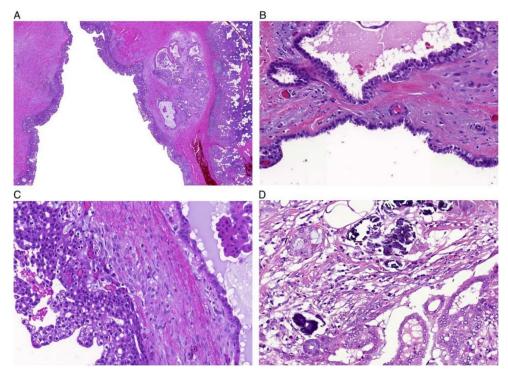
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Skalova et al. (Table 2).

Surgical margins: free-0; close (means distance from the tumor <0.5 mm) -1; positive-2.

F indicates female; LVI, lymphovascular invasion; M, male; NA, not available; PN, perineural invasion.



**FIGURE 3.** A, SC is well circumscribed and surrounded by a thick fibrous capsule enclosing predominantly multicystic growth pattern with multiple mural nodules. The cysts were lined mostly by a single or focally by a double layer of cells with prominent apocrine differentiation including hobnail (B) and vacuolated foamy cells (C). The fibrous capsule and septa comprised psammoma bodies in places (D).

disease at 15 months after surgery (case 7). Clinical and follow-up findings are summarized in Table 5.

#### **Macroscopic Features**

Detailed clinical and morphologic findings in 10 patients with *ETV6-RET* translocated SC are summarized in Table 6. The median tumor size was 3.6 cm, with a range of 1.0 to 7.0 cm. Grossly, most tumors were variably invasive: 2 were entirely circumscribed and encapsulated, 1 had focally infiltrative edges, and 7 were predominantly infiltrative.

#### Microscopic and Immunohistochemical Features

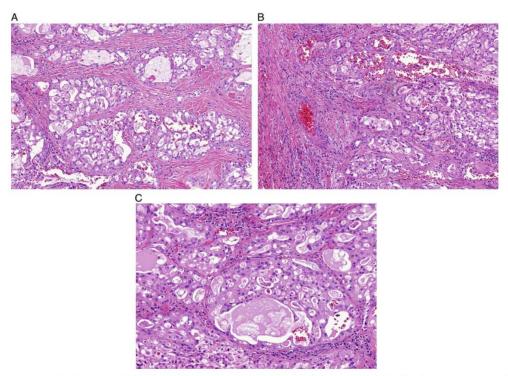
On low power magnification, 3 major growth patterns of SC were identified in our material. First, 3 tumors were well circumscribed and surrounded by a thick, focally uninterrupted, fibrous capsule enclosing a predominantly multicystic growth pattern with multiple mural nodules (Fig. 3A). The cysts were lined mostly by a single or focally by a double layer of cells with prominent apocrine differentiation including hobnail and vacuolated foamy cells (Figs. 3B, C), and contained abundant

proteinaceous eosinophilic material. The fibrous capsule and septa comprised psammomatoid calcifications in some places (Fig. 3D). The second pattern was characterized by solid and microcystic growth with a multilobular structure divided by thin fibrous septa (Fig. 4A). The tumors either lacked a capsule or were only partially encapsulated with prominent infiltrative borders (Fig. 4B). These cases were predominantly composed of microcystic and slightly dilated glandular spaces filled with a variable amount of eosinophilic homogenous secretory material (Fig. 4C). The third pattern, prevailing in 3 cases, comprised a prominent fibrosclerotic stroma with isolated tumor cells in small islands or trabeculae, which were seen in the central part of the tumor (Fig. 5A). In case 7, 2 different growth patterns were seen, in particular low-grade components arranged in multiple macrocystic and microcystic lobules with comedo-like necroses, and highgrade components with limited secretory material and high proliferative activity (Fig. 5B).

However, most tumors demonstrated 2 or more architectural patterns, with microcystic, tubular, solid, and papillary patterns often occurring together. Regardless of

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**FIGURE 4.** A, SC is characterized by solid and microcystic growth with a multilobular structure divided by thin fibrous septa. B, The tumor has a prominent infiltrative border. C, The tumor is predominantly composed of microcystic and slightly dilated glandular spaces filled with a variable amount of eosinophilic homogenous secretory material.

the growth pattern of SC, the tumor cells were often bland looking, with abundant pale pink vacuolated and foamy cytoplasm and with vesicular oval nuclei with a single small but prominent nucleolus. The cytologic features were similar from case to case. The range of nuclear atypia was assessed as grades 1 to 3. Mitotic figures were rare and necrosis was absent. Prominent perineural and intraneural invasion was seen in case 7 (Fig. 5C). Only 1 patient (case 2) presented with a single periparotideal lymph node metastasis at the time of diagnosis.

#### **Immunohistochemical Findings**

By immunohistochemistry, all examined SC cases were positive for S100 protein (Fig. 6A), mammaglobin (Fig. 6B), typically in strong and diffuse fashion (secretory material was also positive), and cytokeratin CK7 (Fig. 6C). GATA-3, SOX-10 (Fig. 6D), and STAT5a expression was detected in 3/6, 5/6, and 2/3 cases, respectively. P63 protein was completely negative in most cases, with limited areas of positive peripheral myoepithelial cell staining suggestive of a focal intraductal component in 3 cases. DOG1 was negative in all examined cases.

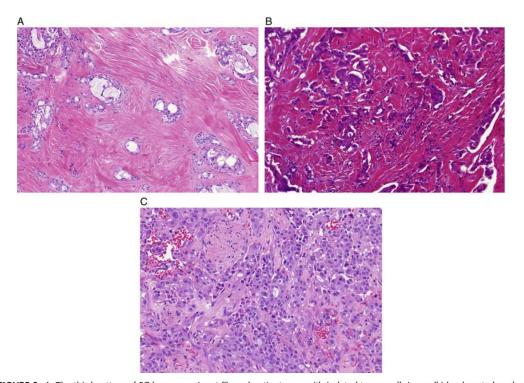
Proliferative activity was generally low, with a mean MIB1 index of 15% (range, 5% to 40%).

#### **DISCUSSION**

Salivary gland tumors are increasingly being found to have characteristic chromosomal rearrangements. SC is a salivary gland tumor that recapitulates the histology and genetics of a rare malignancy of the breast SC. These tumors are defined by the t(12;15)(q13;q15) translocation, a fusion of the ETV6 gene from chromosome 12 and the NTRK3 gene from chromosome 15. The same translocation has been detected in most cases of the infantile fibrosarcomas, congenital mesoblastic nephromas, 13 chronic eosinophilic leukemias, 33 acute myeloid leukemia, 14 and some papillary carcinomas of the thyroid with and without previous irradiation. 18 These groups of tumors are the focus of interest, because tumors with ETV6-NTRK3 fusion translocation respond well to treatment by entrectinib, which is a potent inhibitor of tyrosine kinases TRKA/B/C, ROS1, and ALK. The drug entrectinib is administered orally, is safe and well tolerated, and can cross the blood-brain barrier,

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**FIGURE 5.** A, The third pattern of SC has a prominent fibrosclerotic stroma with isolated tumor cells in small islands or trabeculae were seen in the central part of the tumor. B, High-grade component of SC with limited secretory material and high proliferative activity. C, Prominent perineural and intraneural invasion was seen in 1 tumor.

so that it can be effective for treatment of brain metastases.  $^{34,35}$ 

Originally it appeared that all cases of SC share the same ETV6-NTRK3 fusion translocation. However, in recent years Ito et al $^{27}$  and Skalova et al $^{26}$  described altogether 6 cases of SC with the ETV6 gene split detected by FISH, but in which the ETV6 gene appeared to be fused with a gene different to NTRK3. These cases were marked as SCs with ETV6-X fusion.

Herein, we describe a novel ETV6-RET fusion in 10 cases of salivary gland carcinomas with histologic features and IHC profile typical of SC, including the 4 ETV6-X SC cases published previously. En The presence of ETV6-RET fusion in SC was proven by at least 3 independent tests (NGS, FISH, RT-PCR), in all but 2 cases (Table 3, cases 4 and 9). In case 4, NGS and FISH confirmed ETV6-RET fusion but RT-PCR was negative, probably due to low or focal expression of fusion transcript (Table 4). Case 9 was the only sample unconfirmed by independent analysis. There was a lack of material for FISH analysis and RT-PCR for ETV6-RET fusion transcript detection was

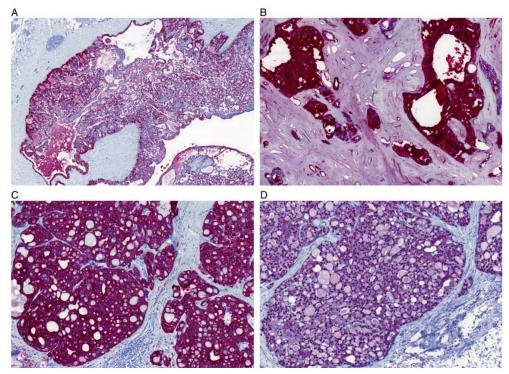
negative. In this case, low quality of RNA rather than low or focal expression is responsible for this result.

The alternative *ETV6-RET* transcription will be important for treatment of those SCs with uncontrolled regional growth or SCs with metastatic foci, as treatment with entrectinib and similar drugs with the same target specificity will probably be ineffective in these SCs with alternative fusion transcript different from *ETV6-NTRK3*. The alternative fusion partner different from *ETV6-NTRK3* in SC should not be of great surprise, because infantile fibrosarcoma with *ETV6-NTRK3* translocation may have alternative *EML4-NTRK3* translocation, <sup>36</sup> and there are descriptions of acute myeloid leukemias in which the *ETV6* gene fuses with many alternative fusion partners, including *ETV6-ABL1*, <sup>37</sup> *ETV6-LPXN*, <sup>38</sup> *ETV6-RUNX1*, <sup>39</sup> *ETV6-NCOA2*, <sup>40</sup> and many others.

To our knowledge, ETV6-RET fusions have not been reported in salivary gland tumors so far. Notably, however, recent studies using RNA sequencing have revealed that salivary duct carcinoma (SDC) may also be added to the growing list of gene fusion-positive salivary

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**FIGURE 6.** SC cases were all positive for S100 protein (A), mammaglobin (B), typically in strong and diffuse fashion (secretory material was also positive), and cytokeratin CK7 (C). SOX-10 positive nuclear expression is present (D).

carcinomas. *NCOA4-RET* fusions have been found in 2 SDCs. <sup>41</sup> Both *NCOA4-RET* translocated SDCs were positive for androgen receptors, and the tumors progressed in spite of undergoing concurrent chemoradiation, combination chemotherapy, and dual androgen deprivation therapy. Both patients with *NCOA4-RET* translocation, however, benefited from *RET*-targeted therapy. <sup>41</sup>

The treatment of SC has varied, ranging from simple excision to radical resection, neck dissection, adjuvant radiotherapy, and/or adjuvant systemic chemotherapy. 1,42,43 For patients presenting with a locally advanced, recurrent, or metastatic disease, the treatment options are currently limited and mainly palliative 42,43 Therefore, SCs with ETV6-RET fusion translocation must be clearly distinguished from SCs with ETV6-NTRK3 translocation, because the drugs RXDX105 and LOXO 292, effective with various tumors driven with RET gene alterations, are being tested for the treatment. SCs with ETV6-RET translocation might respond much better to these drugs, whereas entrectinib and similar inhibitors of tyrosine kinases TRKA/B/C will probably be ineffective. 44-47

In summary, a novel finding in our study has been the discovery of a subset of SC patients with ETV6-RET fusions who may benefit from RET-targeted therapy. Many salivary gland malignancies are still included in the group of adenocarcinomas not otherwise specified. We believe that detailed genomic profiling and NGS of a large cohort of these unspecified neoplasms may lead to the identification of novel gene fusions and driver mutations characterizing new clinically relevant subgroups of salivary gland carcinomas. This study highlights that further molecular analyses of salivary gland tumors are warranted and deserve special attention to identify new tumor types with possible therapeutic implications.

#### **ACKNOWLEDGMENTS**

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# 1.10.4 ROZŠÍŘENÍ MOLEKULÁRNÍHO SPEKTRA SEKREČNÍHO KARCINOMU SLINNÝCH ŽLÁZ S NOVOU *VIM-RET* FÚZÍ

Přiložená studie hodnotí molekulárně genetický profil v souboru 49 SC. Ve 40 případech byla zjištěna klasická *ETV6-NTRK3* fúze, v 8 případech se jednalo o *ETV6-RET* a v jednom případě byla zjištěna fúze *VIM-RET*. Navíc byla v jednom případě SC metodou NGS zjištěna duální fúze *ETV6-NTRK3* a *MYB-SMR3B*.

Případ s *VIM-RET* fúzí byl představitelem klasického SC. Kromě případu SC příušní žlázy s *CTNNA1-ALK* reportovaného Sasakim a spol. (40) se jedná o druhý případ SC bez účasti 5'*ETV6* genu. I tento pacient by (obdobně jako pacienti z předchozích studií týkajících se *RET*-onkogenu) mohl profitovat z biologické léčby RET-inhibitory (45, 75, 76).

Druhý případ nádoru s duální fúzí byl naproti tomu variantou high-grade SC. Histologicky byl solidně rostoucí s hyalinizací až sklerotizací stromatu, místy se zastiženým desmoplastickým stromatem a komedo nekrózami. Tento pacient vyvinul v odstupu 48 a 62 měsíců lokální recidivy. Molekulárně geneticky byla zjištěna duální fúze sestavená z klasického fúzního transkriptu ETV6-NTRK3 a enigmatické fúze MYB-SMR3B. MYB gen je známý z translokace MYB-NFIB adenoidně cystického karcinomu (77). V porovnání s pokročilými znalostmi o MYB genu je povědomí o SMR3B genu zatím nedostatečně prozkoumané a ví se pouze, že se funkčně uplatňuje výhradně ve slinných žlázách. Lze tudíž spekulovat, že společně s genem MYB může hrát roli v progresi nádorového onemocnění a v možné high-grade transformaci SC.

#### Original Article

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3		. Construire of Constant	61
	Expanding the Molecular		63
5	Carcinoma of Salivary Glands	With a Novel <i>VIM-RET</i> Fusion	65
AQ1	Alena Skálová, MD, PhD,*† Martina Banečk	ova, MD,*† Lester D.R. Thompson, MD,‡	67
9	Nikola Ptáková, MSc,§ Todd M. Stev Martin Hyrcza, MD, PhD,# Michael Michal Jr, I		69
11	Thalita Santana, DDS, MSc,†† Michael M		71
13	and Ilmo Leivo, I		
15			73
17		whereas 9 cases (18%) revealed an alternate fusion. Of the 9 cases	75
19	<b>Background:</b> Secretory carcinoma (SC), originally described as mammary analogue SC, is a predominantly low-grade salivary	negative for the ETV6-NTRK3 fusion, 8 cases presented with	77
19	gland neoplasm characterized by a recurrent t(12;15)(p13;q25)	ETV6-RET fusion. In the 1 remaining case in the parotid gland, next-generation sequencing analysis identified a novel VIM-RET	79
21	translocation, resulting in ETV6-NTRK3 gene fusion. Recently, alternative ETV6-RET, ETV6-MAML3, and ETV6-MET fusions	fusion transcript. In addition, the analysis indicated that 1 recurrent high-grade case in the submandibular gland was positive	81
23	have been found in a subset of SCs lacking the classic ETV6-NTRK3 fusion transcript, but still harboring ETV6 gene rearrangements.	for both ETV6-NTRK3 and MYB-SMR3B fusion transcripts.	83
25	<b>Design:</b> Forty-nine cases of SC revealing typical histomorphology	<b>Conclusions:</b> A novel finding in our study was the discovery of a <i>VIM-RET</i> fusion in 1 patient with SC of the parotid gland who	
27	and immunoprofile were analyzed by next-generation sequencing using the FusionPlex Solid Tumor kit (ArcherDX). All 49 cases of	could possibly benefit from RET-targeted therapy. In addition, 1	85
29	SC were also tested for ETV6, RET, and NTRK3 break by fluo-	recurrent high-grade case was shown to harbor 2 different fusions, namely, ETV6-NTRK3 and MYB-SMR3B. The expanded molec-	87
	rescence in situ hybridization and for the common ETV6-NTRK3 fusions using reverse transcription polymerase chain reaction.	ular spectrum provides a novel insight into SC oncogenesis and carries important implications for molecular diagnostics, as this is the	89
31	Results: Of the 49 cases studied, 37 (76%) occurred in the parotid	first SC-associated translocation with a non-ETV6 5' fusion partner.	91
33	gland, 7 (14%) in the submandibular gland, 2 (4%) in the minor salivary glands, and 1 (2%) each in the nasal mucosa, facial skin,	This finding further expands the definition of SC while carrying implications for selecting the appropriate targeted therapy.	93
35	and thyroid gland. SCswere diagnosed more frequently in males (27/49 cases; 55%). Patients' age at diagnosis varied from 15 to	Key Words: salivary glands, minor, mammary analogue secre-	AQ3
37	80 years, with a mean age of 49.9 years. By molecular analysis,	tory carcinoma, pathology, molecular, salivary gland neoplasms, carcinoma, gene rearrangement, gene fusion, VIM-RET, ETV6-	95
39	40 cases (82%) presented the classic ETV6-NTRK3 fusion,	NTRK3, ETV6-RET	97
41	From the *Department of Pathology; **Biomedical Center, Faculty of Medicine in Plzen, Charles University; †Biopticka Laboratory Ltd;	(Am J Surg Pathol 2020;00:000–000)	99
	§Molecular Genetic Laboratory, Biopticka Laboratory Ltd, Plzen, Czech Republic; ‡Department of Pathology, Southern California	Countain consistence (SC) originally described as manner	101
43	Permanente Medical Group, Woodland Hills, CA;   Department of Pathology, University of Alabama at Birmingham, Birmingham, AL;	<b>S</b> ecretory carcinoma (SC), originally described as mammary analogue secretory carcinoma (MASC), is a predominantly	103
45	¶Diagnostic and Research Institute of Pathology, Medical University of Graz, Graz, Austria; #Department of Pathology and Laboratory	low-grade salivary gland neoplasm characterized by a recurrent t(12;15)(p13;q25) translocation, resulting in <i>ETV6-NTRK3</i>	105
47	Medicine, University of Calgary, Calgary Laboratory Services, Foothills Medical Centre, Calgary, AB, Canada; ††Department of	gene fusion. The same <i>ETVO-NTRK3</i> fusion gene has been recognized earlier in SC of the breast, and several other rare	107
AQ2	Oral Pathology, Faculty of Dentistry, University of São Paulo, São Paulo, Brazil; ‡‡Institute of Biomedicine, University of Turku; and	malignancies, including infantile fibrosarcoma, congenital	
51	§§Department of Pathology, Turku University Hospital, Turku, Finland.	mesoblastic nephroma, <sup>4</sup> papillary thyroid cancer, <sup>5</sup> and various hematological neoplasms. <sup>6</sup>	109
53	Preliminary results reported as a poster presentation at the United States and Canadian Academy of Pathology Annual Meeting in National	On the basis of overlapping morphologic, immunohistochemical (S100 protein and mammaglobin [MMG] pos-	111
	Harbor, md, March 16-21, 2019 (Poster #411). Conflicts of Interest and Source of Funding: The authors have disclosed	itivity/p63 negativity), and molecular genetic features with SC	113
55	that they have no significant relationships with, or financial interest in, any commercial companies pertaining to this article.	of the breast, <sup>2</sup> we proposed the term "mammary analogue secretory carcinoma of salivary gland." More recently, the	115
57	Correspondence: Alena Skálová, MD, PhD, Sikl's Department of Pathology, Charles University, Faculty of Medicine in Pilsen, E. Benese	World Health Organization (WHO) Classification of Tumors of the Head and Neck recommended a nomenclature change	117
59	13, Pilsen 305 99, Czech Republic (e-mail: skalova@fnplzen.cz). Copyright © 2020 Wolters Kluwer Health, Inc. All rights reserved.	of MASC to SC. <sup>7</sup>	11/

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Since its initial description, a number of published series have expanded and further defined the clinicopathologic characteristics of salivary SC.<sup>8-15</sup> Histomorphologically, most 3 tumors have been described as infiltrative. Fibrous septae divide the tumors into multiple lobules that contain microcvstic/ cribriform, solid, tubular/glandular, follicular, or papillarycystic structures with abundant intraluminal secretions. The neoplastic cells appear bland with round to oval and hyperchromatic to vesicular nuclei with inconspicuous small nucleoli. The cytoplasm has an eosinophilic, granular to vacuolated appearance.  $^{1}$  The recent description of 15 cases of macrocystic  $SC^{16}$  further expanded the morphologic spectrum of salivary 11 13 SC. Moreover, since its initial description in the salivary glands, cases of SC have been reported in a multitude of new locations, such as the skin,  $^{17-19}$  the sinonasal tract,  $^{20-22}$  the thyroid,  $^{23-25}$ 15 and the lung,<sup>26</sup> further highlighting the anatomic spectrum of 17 The vast majority of SC cases harbor the canonical

19 ETV6-NTRK3 translocation. Moreover, the current WHO Classification of Tumors of the Head and Neck of 2017 recognizes that the integration of molecular advances into clinical practice is inevitable and includes the ETV6-NTRK3 gene fusion in the definition of SC. However, a subset of SC cases have been described that harbor *ETV6* gene rearrangements, but lack the classic *NTRK3* fusion partner.<sup>27,28</sup> With the advent of targeted next-generation sequencing (NGS) assays, this was followed by the identification of novel alternative ETV6 partners in a subset of SCs, such as RET, <sup>29</sup> MET, <sup>30</sup> and MAML3. <sup>31</sup> 29

To our knowledge, this is the first VIM-RET gene fusion reported in SC. This finding further expands the molecular definition of SC and carries implications for 31 33 diagnostics and selection of appropriate targeted therapy.

#### **MATERIALS AND METHODS**

#### Case Selection and Clinicopathologic Review

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The study was approved by the institutional review board. Forty-eight cases of SC of salivary glands and 1 SC 39 of thyroid gland were retrieved from routine surgical pathology files and from tumors contributed by the co-41 authors to the consultation files of the Salivary Gland Tumor Registry, at the Department of Pathology, Faculty of Medicine in Plzen, and Biopticka Laboratory Ltd (Plzen, 45 Czech Republic). The cases were submitted either for confirmation of the original diagnosis or because of possible targeted treatment of the patient. Clinical follow-up was obtained from the patients, their physicians, or from referring patholo-49 gists. The histopathologic features and the immunohistochemical stains of all tumors were reviewed (A.S., M.B.), and the diagnosis of SC was confirmed on the basis of morphologic and immunohistochemical features consistent with the original 53 description.1 The latter features comprised coexpression of S100 protein, SOX10, CK7, and MMG in the absence of DOG1 and p63 staining. 55

For immunohistochemical studies, 4-µm-thick sections were cut from paraffin blocks and mounted on positively 57 charged slides (TOMO; Matsunami Glass Ind., Sections were processed on a BenchMark ULTRA

TABLE 1. Antibodies Used for Immunohistochemical Study AQ4 Antigen Antibody Retrieval Dilution Specificity Clone Time (min) Polyclonal S100 protein CC1/20 Ventana DakoCvtomation MMG 304-1A5 RTU CC1/36 OV-TL 12/30 1:200 CC1/36 DakoCytomation p63 4A4 RTU CC1/64 Ventana DOG1 SP31 CC1/36 Cell Marque GATA-3 L50-823 CC1/52 BioCareMedical 1:200 Cell Marque Polyclonal A7H6R SOX10 1:100 CC1/64 Pan-TRK 1:20 CC1/64 Cell Signaling CC1/64 CC1/36 MIR1 30-9 RTU Ventana EP769Y 1:100 Abcam CC1-EDTA buffer, pH 8.6. RTU indicates ready to use

(Ventana Medical SystemS, Tucson, AZ), deparaffinized, and then subjected to heat-induced epitope retrieval by immersion in a CC1 solution at pH 8.6 at 95°C. After antigen retrieval, sections were stained with a pan-TRK antibody cocktail consisting of rabbit monoclonal antibodies, all obtained from Cell Signaling (Danvers, MA), targeting pan-Trk (A7H6R, active against TrkA, TrkB, and TrkC, 1:50 dilution), ROS1 (D4D6, 1:50), and ALK (D5F3, 1:50), as described previously.<sup>29</sup> All the other primary antibodies used are summarized in Table 1. The bound antibodies were visualized using the ultraView Universal DAB Detection Kit (Roche) and the ultraView Universal Alkaline Phosphatase Red Detection Kit (Roche). The slides were counterstained with the Mayer hematoxylin. Appropriate positive and negative controls were used.

All cases were analyzed by NGS using the Fusion-Plex Solid Tumor kit (ArcherDX). All 49 cases of SC were also tested for ETV6, RET, and NTRK3 break by fluorescence in situ hybridization (FISH) and for the common ETV6-NTRK3 fusion using reverse transcription polymerase chain reaction (RT-PCR).

### **Molecular Genetic Studies**

Sample Preparation for NGS and RT-PCR

For NGS and RT-PCR analysis, 2 to 3 formalinfixed, paraffin-embedded (FFPE) sections (10 µm thick) were macrodissected to isolate tumor-rich regions. Samples were extracted for total nucleic acid using the Agencourt FormaPure Kit (Beckman Coulter, Brea, CA). Both the RNA integrity assessment and detailed description of the analysis carried out using the Archer FusionPlex Solid Tumor Kit were reported previously by our group.29

#### **RNA Integrity Assessment and Library Preparation** for NGS

Unless otherwise indicated, 250 ng of FFPE RNA was used as an input for NGS library construction. To assess RNA quality, the PreSeq RNA QC Assay using iTaq Universal SYBR Green Supermix (Biorad, Hercules, CA) was performed on all samples during library preparation to generate a measure of the integrity of RNA (in the form of a

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cycle threshold [C<sub>t</sub>] value). Library preparation and RNA QC were performed following the Archer FusionPlex Protocol
 for Illumina (ArcherDX Inc.). The Archer FusionPlex Solid Tumor Kit was used. Final libraries were diluted 1:100,000 and
 quantified in a 10 μL reaction following the Library Quantification for Illumina Libraries protocol and assuming a 200 bp
 fragment length (KAPA, Wilmington, MA). The concentration of final libraries was around 200 nM. The threshold
 representing the minimum molar concentration for which sequencing can be robustly performed was set at 50 nM.

#### NGS Sequencing and Analysis

13 Libraries were diluted to 4 nM and sequenced on a NextSeq sequencer (Illumina, San Diego, CA). The optimal 15 number of raw reads per sample was set to 3 million. Library pools were diluted to 1.6 pM library stock with 20% 1.8 pM 17 PhiX and loaded in the NextSeq cartridge. The fusion and other rearrangement detection algorithm of Archer Analysis 19 relies on the specificity of the gene-specific primers used in the amplification steps in the AMP process. The resulting FASTQ 21 files were analyzed using the Archer Analysis software (version 5.1.7; ArcherDX Inc.).

# Detection of Alterations of ETV6, NTRK3, and RET Genes by the FISH Method

Four-micron-thick FFPE sections were placed onto positively charged slides. Hematoxylin and eosin–stained slides were examined for determination of areas for cell counting. The unstained slides were routinely deparaffinized and incubated in the ×1 Target Retrieval Solution Citrate at pH 6 (Dako, Glostrup, Denmark) and at 95°C for 40 minutes and subsequently cooled for 20 minutes at room temperature in the same solution. Slides were washed in deionized water for 5 minutes and digested in protease solution with pepsin (0.5 mg/mL; Sigma Aldrich, St Louis, MO) in 0.01 M HCl at 37°C for 25 to 60 minutes, according to the sample conditions. Slides were then placed in deionized water for 5 minutes, dehydrated in a series of ethanol solutions (70%, 85%, and 96% for 2 min each), and air dried.

Two commercial probes were used for the detection of rearrangement of ETV6 and RET genes, the Vysis ETV6 41 Break-Apart FISH Probe Kit (Vysis/Abbott Molecular, IL), and ZytoLight SPEC RET Dual Color Break-Apart Probe (ZytoVision GmbH, Bremerhaven, Germany). The ETV6 probe was mixed with water and LSI/WCP (Locus-Specific Identifier/Whole Chromosome Painting) Hybridization buffer (Vysis/Abbott Molecular) in a 1:2:7 ratio, respectively. The RET probe was factory premixed. Probes for the detection of rearrangement of the NTRK3 gene region were mixed from custom-designed SureFISH probes (Agilent Technologies Inc., Santa Clara, CA). Chromosomal regions for NTRK3 break-apart probe oligos are chr15:87501469-88501628 and chr15:88701444-89700343. Probe mixture was prepared from the corresponding probes (each color was delivered in a separate well), deionized water, and LSI Buffer (Vysis/Abbott Molecular) in a 1:1:1:7 ratio, respectively.

An appropriate amount of mixed and premixed probes was applied on the specimens, covered with a glass coverslip, and sealed with rubber cement. Slides were incubated in the

ThermoBrite instrument (StatSpin/Iris Sample Processing, Westwood, MA) with codenaturation at 85°C for 8 minutes and hybridization at 37°C for 16 hours. The rubber cemented coverslip was then removed and the slide was placed in a posthybridization wash solution (2×SSC/0.3% NP-40) at 72°C for 2 minutes. The slide was air dried in the dark, counterstained with 4′,6′-diamidino-2-phenylindole (DAPI; Vysis/Abbott Molecular), coverslipped, and examined immediately.

#### **FISH Interpretation**

The sections were examined with an Olympus BX51 fluorescence microscope (Olympus Corporation, Tokyo, Japan) using a ×100 objective and filter sets Triple Band Pass (DAPI/SpectrumGreen/SpectrumOrange), Dual Band Pass (SpectrumGreen/SpectrumOrange). For each probe, 100 randomly selected nonoverlapping tumor cell nuclei were examined for the presence of yellow or green and orange fluorescent signals. For the break-apart probe, yellow signals were considered negative, and separate orange and green signals were considered positive. Cutoff values for the break-apart probes were set at > 10% of nuclei with chromosomal breakpoint signals (mean+3 SD in normal non-neoplastic control tissues).

#### **RESULTS**

#### **Clinical Characteristics**

Among the 49 studied cases, 37 (76%) occurred in the parotid gland, 7 (14%) in the submandibular gland, 2 (4%) in the minor salivary glands, and 1 (2%) each in the nasal mucosa, facial skin, and thyroid gland. The majority of cases were diagnosed in males (27; 55%). Patient age at diagnosis varied from 15 to 80 years, with a mean age of 49.9 years. Six SC cases were previously published. <sup>13,29,32,33</sup> The clinicopathologic, immunohistochemical, and molecular genetic findings of all 49 SC cases are summarized in Table 2.

#### Pathologic Features and Immunophenotype

The typical histologic features seen in most ETV6-NTRK3 fused SC included solid and microcystic growth pattern with a multilobular architecture divided by thin fibrous septa (Fig. 1A). The tumors either lacked a capsule (42/48; 86%) or were only partially encapsulated (7/48; 14%), mostly showing prominent infiltrative borders (31/48; 65%). The tumor cells had vesicular low-grade nuclei with finely granular chromatin and distinctive centrally located nucleoli, surrounded by pale pink granular or vacuolated cytoplasm. Most SC cases were predominantly composed of solid and microcystic (30/49; 61%) and slightly dilated glandular spaces filled with a variable amount of eosinophilic homogenous secretory material (Fig. 1B). A less common growth pattern previously seen in SCs harboring the ETV6-RET gene fusion,<sup>29</sup> comprised a prominent fibrosclerotic stroma with isolated tumor cells in small islands or trabeculae, which were often seen in the central part of the tumor (Fig. 1C). Such a prominent sclerotic stroma was present in 5 of 8 (60%) ETV6-RET translocated SCs, but focal hyalinized stromal septa were seen in many other SCs including cases with ETV6-NTRK3 fusion (Table 2).

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Cas No.	e Age	Sex	Site	Type of Growth	Fibrous Septa/ Hyalinization	Capsule/ Invasion		IHC MMG	NGS	FISH	RT-PCR
1	56 60	Female Male	Parotid Submandibular	Solid, microcystic Solid	Yes/yes Yes/yes	Invasion Invasion	3+ 3+	3+ 2+	VIM-RET ETV6-NTRK3	ND ND	VIM-RET ETV6-NTRK3
332	47	Female	gland Parotid left	Glandular.	NA/NA	Invasion	3+	3+	MYB- $SMR3B$	ETV6-NTRK3	
432	37	Male	Submandibular	macrocystic Tubular, glandular	Yes/yes	Invasion	3+	3+		ETV6-NTRK3	NA, neg
_	40		gland right	0.11.1	77 /	6: " 1	2 .	2.	PERIOR MEDICA	ND	ND
5	49 46	Male Female	Parotid left Parotid	Solid, microcystic Solid, microcystic	Yes/no Yes/yes*	Circumscribed Invasion	3+ 3+	3+ 3+	ETV6-NTRK3 ETV6-RET	ND	ND
7	52	Male	Parotid	Solid, microcystic Solid, papillary	No/yes	Invasion	2+	3+	ETV6-NTRK3	Neg ETV6	Neg ETV6-NTRK3
8	67	Male	Submandibular gland	Cystic	No/no	Circumscribed	3+	2+	ETV6-NTRK3	ETV6	Neg
9	51	Female	Nasal mucosa	Tubular, microcystic, papillary	Yes/no	Invasion	1+	1+	ETV6-NTRK3	ETV6	Neg
10	52	Male	Parotid	Microcystic, lobular solid	Yes/no	Invasion	2+	3+	ETV6-NTRK3	ETV6	ETV6-NTRK3
11	36	Male	Parotid	Lobular solid, papillary, microcystic	Yes/yes	Invasion	2+	ND	ETV6-NTRK3	ETV6	ETV6-NTRK3
12	21	Male	Parotid	Nodular, cystic	Yes/no	Circumscribed	2+	ND	ETV6-NTRK3	ETV6	ETV6-NTRK3
13	74	Female	Parotid	Cystic, papillary, apocrine features	Yes/no	Circumscribed	2+	ND	ETV6-NTRK3	ETV6	ETV6-NTRK3
14	53	Female		Multilobular, papillary	Yes/yes	Circumscribed but invasion	2+	2+	ETV6-NTRK3	ETV6	ETV6-NTRK3
15	35	Female		Cystic, micropapillary, tubular		Circumscribed	1+	1+	ETV6-NTRK3	ETV6	ETV6-NTRK3
16	15	Male	Parotid left	Multilobular solid	Yes/yes	Invasion	2+	3+	ETV6-NTRK3	ETV6	ETV6-NTRK3
17	26		Submandibular gland left	Multicystic, solid, micropapillary	Yes/yes	Circumscribed but invasion	1+	3+	ETV6-NTRK3	ETV6	ETV6-NTRK3
18 19	47 59	Female	Parotid right	Macrocystic, solid, microcystic	No/no No/no	Partially encapsulated	2+	3+ 3+	ETV6-NTRK3 ETV6-NTRK3	NA ETV6	NA ETV6-NTRK3
20	52	Female	Lower lip mucosa Parotid right	Nodular, solid, microcystic Lobular, solid,	Yes/yes	Encapsulated Circumscribed,	1+	1+	ETV6-NTRK3	ETV6	ETV6-NTRK3
20	32	Male	Paroud right	microcystic, macrocystic, papillary	i es/yes	partially encapsulated	1+	1+	EIVO-NIKKS	EIVO	EIVO-NIKKS
21	30	Female	Parotid left	Cystic, microcystic, papillary, apocrine	No/no	Circumscribed	1+	3+	ETV6-NTRK3	ETV6	ETV6-NTRK3
22	80	Female	Parotid right	Solid, microcystic	Yes/yes	Circumscribed partially	2+	3+	ETV6-NTRK3	ETV6	ETV6-NTRK3
				2.17		encapsulated					
23	77	Female	Parotid right	Solid, microcystic	Yes/yes	Circumscribed	3+	2+	ETV6-NTRK3	ETV6	ETV6-NTRK3
24	60	Male	Parotid right	Multinodular, micropapillary, microcystic	Yes/yes	Circumscribed but invasion	3+	3+	ETV6-NTRK3	ETV6	ETV6-NTRK3
25	66	Male	Thyroid gland left	Solid microcystic, tubular, papillary,	Yes/yes	Circumscribed but invasion	1+	3+	ETV6-NTRK3	ETV6-NTRK3	ND
26 <sup>33</sup>	34	Male	Parotid	apocrine Solid microcystic,	No/yes	Circumscribed	2+	3+	ETV6-RET	ETV6-RET	ND
27		F 1	D	papillary	V	T	2.	1 -	ETIM NUDBER	ETHE MEDICA	NID
27 28	52 60	Female Female	Parotid Parotid right	Solid microcystic Solid microcystic, apocrine features	Yes/yes No/yes	Invasion Circumscribed but invasion	3+ 1+	1+ 3+	ETV6-NTRK3 ETV6-NTRK3	ETV6-NTRK3 ETV6	ND Neg
29	66	Male	Parotid right	Solid microcystic, tubular, papillary	Yes/yes	Invasion	3+	3+	ETV6-NTRK3	ETV6-NTRK3	Neg
30	20	Female	Submandibular gland	Solid microcystic	Yes/yes	Invasion	2+	3+	ETV6-NTRK3	NA	Neg
31	48	Female		Solid microcystic, tubular, papillary	Yes/yes	Invasion	1+	1+	ETV6-NTRK3	ETV6	ETV6-NTRK3
32	28	Female	Parotid right	Solid microcystic	Yes/yes*	Invasion	1+	3+	ETV6-RET	ETV6-RET	Neg
33	26	Female	Parotid	Micropapillary, microcystic, apocrine	Yes/no	Invasion	1+	2+	ETV6-NTRK3	ETV6	Neg
34	55	Male	Parotid	Microcystic micropapillary	Yes/yes*	Circumscribed but invasion	1+	2+	ETV6-RET	NA	Neg
35	58	Male	Parotid	Solid microcystic, multilobular	Yes/yes	Invasion	2+	3+	ETV6-NTRK3	NA	Neg
36	54	Female	Parotid	Solid microcystic, multilobular	Yes/yes	Invasion	3+	2+	ETV6-NTRK3	ND	Neg
37	74	Male	Parotid	Cystic, solid	Yes/yes	Circumscribed	2+	3+	ETV6-NTRK3	ND	Neg

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1	TABL	BLE 2. (continued)										
3	Case No.	Age (y)	Sex	Site	Type of Growth	Fibrous Septa <i>l</i> Hyalinization	Capsule/ Invasion	IHC S100	IHC MMG	NGS	FISH	RT-PCR
7	39	51	Male	Parotid	Solid microcystic lobular	Yes/yes	Circumscribed but invasion	2+	3+	ETV6-NTRK3	ND	Neg
/	40	73	Male	Parotid	Cystic, solid	No/yes	Circumscribed encapsulated	2+	3+	ETV6-NTRK3	ETV6	Neg
9	41	66	Male	Parotid	Solid papillary	Yes/yes	Encapsulated	3+	ND	ETV6-NTRK3	ETV6	Neg
	42 <sup>29</sup>	77	Male	Submandibular gland	Cribriform, cystic papillary	Yes/yes	Circumscribed encapsulated	3+	1+	ETV6-RET	ETV6-RET	Neg
11	43	39	Female	Palate	Solid microcystic	NA	NA	3+	3+	ETV6-NTRK3	NA	Neg
13	44 <sup>29</sup>	31	Male	Submandibular gland	Lobular, microcystic, solid	Yes/yes*	Invasion	3+	3+	ETV6-RET	ETV6	Neg
13	$45^{13}$	73	Male	Parotid	Solid, microcystic	Yes/yes	Invasion	3+	3+	ETV6-NTRK3	ETV6	ETV6-NTRK3
15	46	41	Male	Parotid	Cystic papillary	No/no	Circumscribed encapsulated	1+	ND	ETV6-NTRK3	NA	Neg
	47	20	Female	Parotid	Solid microcystic, tubular	Yes/no	Circumscribed but invasion	3+	ND	ETV6-RET	RET	Neg
17	48	78	Male	Facial skin	Cystic, cribriform, tubular	Yes/yes	Invasion	2+	Neg	ETV6-NTRK3	ND	ND
19	49	29	Male	Parotid left	Solid, microcystic	Yes/yes*	Circumscribed but invasion	3+	3+	ETV6-RET	ETV6-RET	Neg

21 Scoring system for assessment of IHC stainings for S100 protein and MMG: 1+, <50%; 2+, 50% to 75%; 3+, 75% to 100% \*Prominent fibroscleratic stroma

IHC indicates immunohistochemistry; NA, not available; ND, not done; neg, negative.

SC with high-grade (HG) transformation consisted of 2 distinct sharply delineated carcinomatous components (Fig. 1D). One was a conventional SC composed of uniform neoplastic cells arranged in solid, tubular, and microcystic growth structures, divided by fibrous septa that were partly hyalinized. In contrast, the HG component appeared as a distinct population of anaplastic cells arranged in trabecular patterns, and with common perineurial invasion (Fig. 1D). Irregular-shaped tumor islands with large geographic comedo-like necrosis were often seen in the HG component of SC. The tumor cells herein showed nuclear pleomorphism, distinctive nucleoli and they lacked secretory activity (Fig. 1E).

Yet another growth pattern, seen in both ETV6-NTRK3 and ETV6-RET fused SC cases, was a predominantly multicystic 1 (6/49; 12%). The cysts were lined mostly by a single or focally a double layer of cells with prominent apocrine differentiation; hobnail cells and vacuolated foamy cells were present as well (Fig. 1F). Very rare SCs were well circumscribed and surrounded by a thick, fibrous capsule, composed of 1 single cystic space that contained abundant proteinaceous eosinophilic material (3/49; 6%). Histologic findings are summarized in Table 2.

#### **Immunohistochemical Findings**

By immunohistochemistry, most examined cases were strongly and diffusely positive for S100 protein (Fig. 2A) and
MMG (Fig. 2B). Both markers also stained the secretory material. In particular, immunostaining for S100 protein was seen in all cases of SC. Strong and diffuse nuclear-cytoplasmic expression in almost all neoplastic cells was seen in 19 (39%)
cases, and intermediate positivity in 16 (33%) cases and mild in 14 (28%) cases. MMG was strongly expressed in 29 SCs (59%); intermediate and mild positivity was encountered in 7 (14%) and 6 (12%) cases, respectively. Immunohistochemical findings
of S100 and MMG are presented in Table 2. Other expressed

antibodies included CK7 (Fig. 2C), GATA-3, and SOX10 (Fig. 2D). The p63 protein was negative in most cases, but showed limited areas of positive peripheral myoepithelial cell staining suggestive of a focal intraductal component in 3 cases. DOG1 was negative in all examined cases. Proliferative activity was generally low, with a mean MIB-1 index of 15% (range: 5% to 40%).

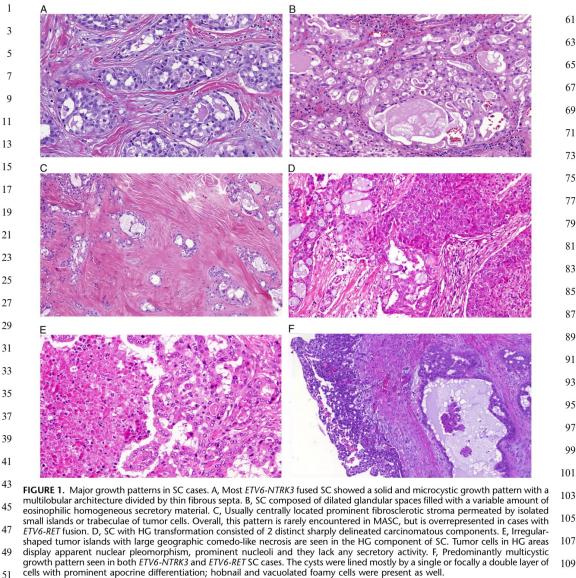
# Index Case 1: Salivary SC With a Novel VIM-RET Fusion

Histologically, this case featured a typical SC morphology, characterized by a predominantly solid and microcystic growth pattern with a multilobular architecture divided by thin fibrous septa (Fig. 3A). Perineurial growth was noted (Fig. 3B). Some areas showed macrocystic transformation. The cystic spaces were lined by a single layer of neoplastic cells and contained an eosinophilic material (Fig. 3C). Dense hyalinization with isolated tumor cell nests was present in some areas (Fig. 3D). Tumor cells were diffusely positive for MMG (Fig. 3E) and S100 protein (Fig. 3F).

# Index Case 2: Salivary SC With Dual ETV6-NTRK3 and Novel MYB-SMR3B Fusions

SC of the submandibular gland showed a multifocal and widely invasive growth pattern in the submandibular gland (Fig. 4A). The tumor had a predominantly solid architecture; hyalinization and sclerotic areas were prominent. In some areas, the desmoplastic stroma surrounded isolated tumor cell nests. The neoplastic cells showed a nuclear pleomorphism and minimal residual secretory activity (Fig. 4B). Occasional comedo-like necrosis was present (Fig. 4C). A cytology specimen featured a pleomorphic cell population, with prominent nuclei and nuclear grooves (Fig. 4D). The cells had a high mitotic activity with an MIB-1 index of 40% (Fig. 4E). By immunohistochemistry, S100 protein, CK7, MMG, SOX10,

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cells with prominent apocrine differentiation; hobnail and vacuolated foamy cells were present as well.

CK7, and pan-TRK were diffusely positive in all neoplastic 53 cells. Nuclear staining for MYB was found in most neoplastic cells (Fig. 4F). 55

#### Index Case 3: SC of Thyroid Gland

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This tumor was predominantly microcystic, with some areas showing fusion of the microcysts, thereby creating a cribriform pattern. Eosinophilic to basophilic secretions with scalloped edges were diffusely present within the microcysts. Focally dilated cysts containing variably thick and sometimes edematous fibrovascular cores were present. From these fibrovascular cores emanated nonhierarchically branched micropapillae, focally forming a "medusa head"-type appearance. The stroma was sclerotic to desmoplastic. The pushing type of

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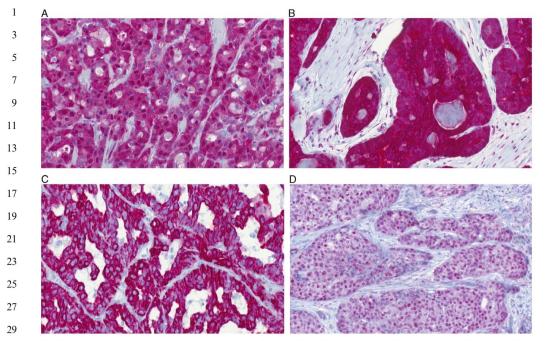


FIGURE 2. Immunohistochemical findings in the SC cohort. A-D, All studied cases showed typical immunohistochemical features 31 of SC. The tumor cells showed membranous and nuclear S100 (A) and MMG (B) positivity, membranous CK7 (C) positivity, and nuclear SOX10 (D) positivity.

invasion predominated and extended into extrathyroidal tissue 35 including skeletal muscle. Focally, small neoplastic tubular 37 formations irregularly invaded into the surrounding tissues as well. Nuclei of the neoplastic cells were uniform, low grade, and oval, with a single inconspicuous to medium-sized nucleolus. Although nuclear grooves were present, no nuclear pseudoinclusions were seen and the chromatin patterns and nuclear size were distinct from conventional papillary thyroid carcinoma. Cytoplasm was amphophillic to eosinophilic. Focal apocrine snouts were noted. No necrosis or HG transformation was identified. MMG and S100 protein, and GATA-3, were diffusely expressed. TTF-1, thyroglobulin, PAX8, and NKX3.1 were all negative. A break-apart FISH study for ETV6 was positive; NGS analysis revealed ETV6-NTRK3 fusion con-

#### **Molecular Findings and Clinicopathologic** 51 Correlates

53 Forty SC cases (82%) presented the classic ETV6-NTRK3 fusion. Of the 9 ETV6-NTRK3 fusion-negative cases, 55 8 presented with an ETV6-RET fusion. A novel VIM-RET fusion transcript was identified in 1 case of SC of the parotid gland (Fig. 5A) by NGS analysis. In addition, 1 recurrent HG C of the submandibular gland was positive for both ETV6-59 NTRK3 and MYB-SMR3B fusion transcripts (Fig. 5B).

In the FISH analysis, ETV6 splits were detected in 33/49 (67%), NTRK3 splits in 5/49 (10%), and RET rearrangement in 5/49 (10%) (Table 2). The ETV6-NTRK3 fusion was confirmed in 19 SCs (39%) by RT-PCR. The single SC harboring VIM-RET fusion was confirmed by RT-PCR as well. All results of molecular testing are summarized in detail in Table 2.

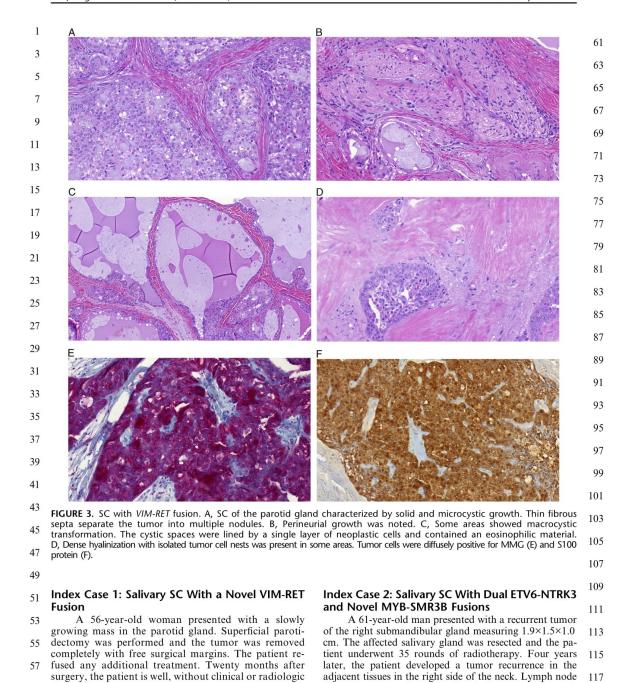
#### Clinical Outcome Highlighting the Potential for Local Recurrence and Distant Metastasis

Forty-three patients (88%) had clinical follow-up data available, with a median follow-up of 61.2 months (range: 7 to 192 mo). Nine patients developed local recurrence, with multiple recurrences in 2 of the patients between 12 and 36 months after initial surgery. Six patients in our cohort died, 3 of them at 24, 42, and 50 months after primary surgery due to metastatic disease with multiple distant metastases to lymph nodes, bones, and lungs, whereas the remaining 3 patients died of other unrelated causes with no evidence of tumor. Three patients are alive with recurrent or residual disease at 48, 60, and 187 months of follow-up. The remaining 34 patients were disease and recurrence free at the last contact, with a median follow-up of 45 months after primary diagnosis (range: 7 to 192 mo). Follow-up was unavailable in 6 cases. No patient has received treatment with TRK inhibitors so far.

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firmed by RT-PCR.

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signs of tumor recurrence.

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dissection was performed and a single metastatic lymph

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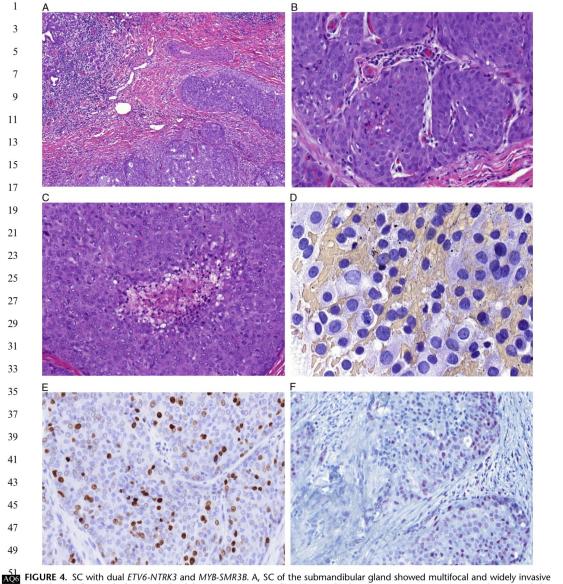
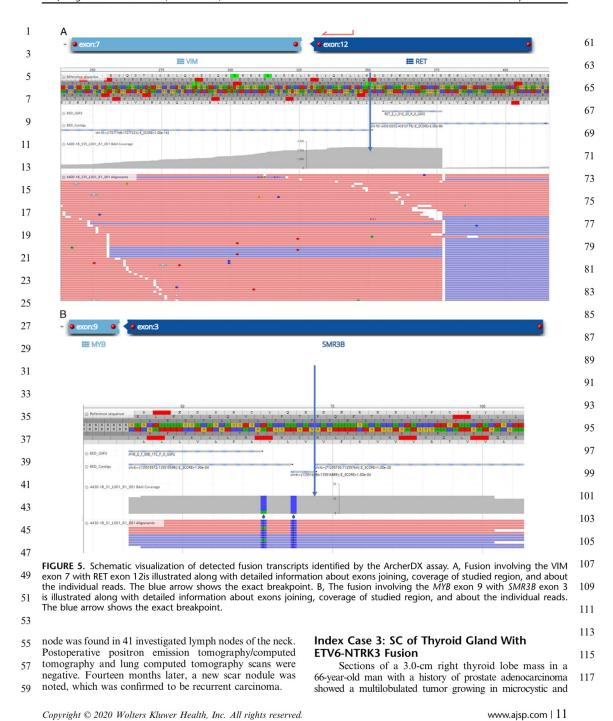


FIGURE 4. SC with dual ETV6-NTRK3 and MYB-SMR3B. A, SC of the submandibular gland showed multifocal and widely invasive growth into the residual normal glandular structures. B, Hyalinization and sclerotic areas were prominent and separated the areas of low-grade tumor cells (black star) with minimal residual secretory activity from HG areas (black arrowhead) composed of isolated tumor nests surrounded by a desmoplastic stroma. C, Comedo-like necrosis was present. D, Cytology preparation (PAP stain) featured a pleomorphic cell population with nuclear grooves, prominent nucleoli, and occasionally mitoses (red arrowhead). E, MIB 1 index (Ki-67) was 40%. F, Tumor cells were positive with the MYB antibody.

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papillocystic patterns within the thyroid. Although no lymphovascular space or perineural invasion was identified,
 metastatic SC histologically identical to the primary thyroid tumor was identified in 2 ipsilateral level 3 nodes. The metastatic deposits measured about 1.5 cm each, with one of them showing a focal limited extranodal extension about 1.0 mm
 from the node capsule. There were 25 and 5 separate negative lateral neck and level 6 lymph nodes, respectively. The patient
 underwent adjuvant radiotherapy, which was completed 3 months after thyroidectomy, and is currently alive, with no
 evidence of recurrence at 36 months of follow-up.

DISCUSSION

A specific chromosomal translocation t(12;15)(p13; q25) resulting in ETV6-NTRK3 gene rearrangement was initially
 considered a distinct molecular signature of SC. However, more recent studies have raised the possibility of alternative
 ETV6 partners, first published as SCs with ETV6-X gene fusions. <sup>27,28</sup> Subsequently, one such alternative rearrangement in a subset of SC was shown to be an ETV6-RET gene fusion. <sup>29</sup> The identification of this novel transcript further
 expanded the molecular diversity of SC and opened the possibility of integrating targeted RET inhibitors as a potential
 therapeutic strategy.

Although most SCs are slow-growing, low-grade malignancies that can be effectively managed by surgery alone, a small subset of SCs may transform into an aggressively growing HG carcinoma.<sup>34</sup> Moreover, even some SCs with lowgrade morphology can present with more aggressive behavior that cannot be managed by surgery alone. <sup>39</sup> SCs with the classic ETV6-NTRK3 gene fusion are well known to respond to new specific TRK tyrosine kinase inhibitors Vitrakvi (larotrectinib) and Rozlytrek (entrectinib) or to the second-generation tyrosine kinase inhibitors selitrectinib (LOXO-195)35 and repotrectinib.36 A small subset of SCs reveals, however, alternative fusion partners different from *ETV6-NTRK3*, such as *ETV6-ET*<sup>29</sup> and *VIM-RET* translocation.<sup>37</sup> These *RET*– fusion-positive tumors would obviously be unreactive to all first-generation and second-generation TRK-specific inhibitors. There are, however, specific *RET* inhibitors, such as selpercatinib (LOXO-292) and pralsetinib (Blu-667), under development, which are effective in the treatment of RETfusion-positive tumors, and which are expected to be effective with these *RET*-fusion-positive SCs as well.<sup>38</sup>

The initial description of SC included only ETV647 NTRK3 gene fusions, but since then, other alternative chimeric fusions have been reported such as ETV6-RET,
49 ETV6-MET, dual ETV6-NTRK3, and ETV6-MAML3. <sup>29-31</sup>
There is no consensus on whether molecular documentation
51 is an absolute requirement to render the diagnosis of SC,
52 especially in the setting of typical cytopathologic and/or light
53 microscopic features in conjunction with diffuse MMG and
54 S100 protein immunoreactivity. <sup>39</sup> Although the current
55 WHO Classification includes ETV6-NTRK3 fusion in the
56 definition of SC, it does not, however, state that molecular
57 testing is a requirement for a histopathologic diagnosis of
58 salivary gland tumors. Moreover, there are other salivary
59 gland carcinomas characterized by recurrent translocations

and gene rearrangements such as intraductal carcinoma (*NCOA4-RET* and *TRIM27-RET*),<sup>40,41</sup> the cribriform variant of polymorphous (low-grade) adenocarcinoma (*PRKD1-3* rearrangements),<sup>42</sup> clear cell carcinoma (*EWSR1-ATF1*),<sup>43</sup> mucoepidermoid carcinoma (*CRTC1-MAML2*),<sup>44,45</sup> and adenoid cystic carcinoma (*MYB-NFIB*),<sup>46</sup> which do not harbor their respective genetic abnormality in 100% of reported cases. However, the molecular confirmation of both fusion partners is a recommended requirement for aggressive cases where targeted therapies are considered.

Until recently, SC cases with an intact ETV6 gene were viewed with skepticism. As the molecular profile of SC continues to expand, it would be expected that the ETV6-negative cases could result from an alternative genetic abnormality. Several *ETV6*-negative cases were reported in a cohort of macrocystic SCs<sup>16</sup> and also in a subset of SC cases with a more aggressive behavior.<sup>47</sup> Moreover, a novel *NFIX*-PKNI gene fusion was reported recently in 1 case of cutaneous SC.  $^{48}$  From a routine pathology perspective, our present report of the first non-ETV6 5' fusion partner in salivary SC also carries important implications for the choice of a molecular diagnostic technique. Although traditionally, many challenging diagnostic cases were confirmed molecularly using the ETV6 FISH break-apart probe, our current finding of the VIM-RET fusion renders such a negative FISH result inconclusive. Therefore, along with the increasing clinical interest in the recognition of the particular 3' fusion gene, it further supports the use of NGS sequencing for SCs where molecular confirmation is necessary for therapeutic choices.

The VIM gene, located at chromosome 10p13, encodes for vimentin, a ubiquitous intermediate filament protein of mesenchymal cells, which is, however, also present in some epithelia. Along with microtubules and actin microfilaments, intermediate filaments form part of the cytoskeleton of cells. Overexpression of vimentin has been suggested to signal the onset of epithelial-mesenchymal transformation during cancer progression. <sup>49</sup> The RET gene encodes for the well-known receptor tyrosine kinase protein. Although translocations involving the RET gene are relatively common in many malignancies, <sup>50</sup> its partnership with the VIM gene in gene fusions is infrequent. VIM fusions were described, however, in hemangioma <sup>51</sup> and prostate cancer. <sup>52</sup> Importantly, in our SC case, the VIM gene joins RET in a manner that preserves the tyrosine kinase domain, suggesting oncogenic potential for this fusion.

The second novel gene fusion, which is accompanied by the classic *ETV6-NTRK3* fusion, is *MYB-SMR3B*. The *MYB* gene is a transcription factor and, similar to the previously mentioned *RET*, has been implicated in tumorigenesis of many malignancies. Fo In terms of salivary gland tumors, it forms the well-known *MYB-NFIB* fusion in adenoid cystic carcinoma. In contrast, translocations involving the *SMR3B* gene are rare, and, interestingly, the expression of this gene is nearly exclusive for salivary glands. We can speculate that its fusion with *MYB* may play a role in cancer progression and, perhaps, in acquisition of the HG features in this particular tumor case.

In conclusion, the main novel finding in our study is the discovery of a *VIM-RET* fusion in 1 patient with SC of

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- the parotid gland. Presumably, this patient might benefit from RET-targeted therapy. Furthermore, our finding indicates that a negative ETV6 FISH result does not rule
- out SC as a diagnostic alternative. In addition, 1 recurrent HG SC was shown to harbor 2 different fusions: ETV6-
- NTRK3 and MYB-SMR3B. These novel findings extend the
- molecular spectrum of SC, and provide a novel insight into its oncogenesis. VIM-RET fusion also carries important
- implications for molecular diagnostics as it represents the first SC-associated gene fusion with a non-ETV6 5' fusion partner. 11

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# 1.10.5 CYTOPATOLOGICKÉ ZNAKY SEKREČNÍHO KARCINOMU SLINNÝCH ŽLÁZ A POMOCNÉ TECHNIKY V DIAGNOSTICE: DOPAD NOVÉHO MILÁNSKÉHO SYSTÉMU NA REPORTOVÁNÍ CYTOPATOLOGIE SLINNÝCH ŽLÁZ

Poslední přiložená práce zabývající se problematikou SC se zaměřuje na jeho cytodiagnostiku s použitím nového Milánského klasifikačního systému reportování cytologií slinných žláz. Práce je zaměřená na roli cytologie v diagnostickém algoritmu pacientů s lézemi slinných žláz. Tenkojehlová aspirační cytologie (FNAC) je vnímána jako primární diagnostický test vhodný k předběžnému zhodnocení léze a následnému určení nejlepší možné terapie. V mnoha případech lze dobře rozlišit benigní nádory, jako je Warthinův tumor či pleomorfní (78). Dále lze s přijatelnou mírou specificity odlišit low-grade a high-grade karcinomy, které vyžadují elektivní řešení, kdy i s přispěním FNAC lze vybrat vhodnou operační techniku a v určitých případech rozhodnout i o míře radikality.

Studie se zabývá FNAC 6 případů SC, u kterých byla diagnóza SC posléze histologicky, imunocytochemicky (ICC) a molekulárně-geneticky potvrzena biopsií. Všechny aspiráty byly dostatečně celulární a buňky vytvářely více či méně kohezivní skupinky se středním stupněm jaderného polymorfismu. Cytoplazma byla eozinofilní, granulární a vakuolizovaná a to zejména v materiálu z cytobloku. Sekreční materiál byl pozitivní v barvení periodic acid-Shiff a jádra vykazovala pozitivní expresi S100 proteinu a mammaglobinu. Proliferační aktivita byla nízká.

Pro účely molekulární genetiky byl ve 4 případech dostupný cytoblok. Ve 3 případech metoda FISH prokázala zlom genu *ETV6* a v 1 případě byl materiál neanalyzovatelný pro nízkou vstupní kvalitu DNA. *NTRK3* fúzní partner byl potvrzený pouze ve 2 případech *ETV6* rearanžovaných SC. Ve zbylých případech, kde cytoblok nebyl dostupný nebo se jednalo o neanalyzovatelný materiál, byla zastižena translokace *ETV6-NTRK3* až ve finálním histologickém vzorku za použití metody NGS.

Kategorizace SC dle Milánského systému (MS) záleží na dostupnosti dalších vyšetření a na dostatečném množství materiálu. Pokud máme pouze nátěr na sklech, je téměř nemožné se snažit o přesnou cytologickou diagnózu a FNAC by měla být kategorizována jako "Salivární nádory s nejistým maligním potenciálem" (79). Pokud však máme dostupný cytoblok či jiný materiál, lze doplnit cytologii o ICC. Pozitivita ICC markerů S100 proteinu, mammaglobinu a SOX10 a negativní exprese DOG1 (vylučující acinický karcinom) a p63 vytváří dohromady sadu optimálních ICC markerů, které lze aplikovat v případě suspektního SC. Máme-li k dispozici navíc ještě molekulárně genetické metody, můžeme ICC podpořit o detekci *ETV6* zlomu. Pokud však genetické metody nelze využít a jsou-li k dispozici pouze ICC výsledky, měly bychom tumor zařadit do kategorie dle MS "Suspektní z malignity".

SC je cytologicky i histologicky obtížná jednotka pro překryv s jinými nádory slinných žláz. Klíčovým cytomorfologickým znakem, který by měl v cytologii vzbudit podezření na SC, je papilární uspořádání a jemně granulární cytoplasma buněk. Přesná cytologická diagnóza však vyžaduje další pomocná vyšetření, a to ICC či molekulárně genetické metody.

APMIS 127: 491-502

# Cytopathological features of secretory carcinoma of salivary glands and ancillary techniques in its diagnostics: impact of new Milan system for reporting salivary gland cytopathology

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Miesbauerová M, Tommola S, Šteiner P, Baněčková M, Skálová A, Kholová I. Cytopathological features of secretory carcinoma of salivary glands and ancillary techniques in its diagnostics: impact of new Milan system for reporting salivary gland cytopathology. APMIS 2019; 127: 491–502.

Secretory carcinoma (SC) of salivary glands is a newly described low-grade malignancy characterized by the presence of ETV6 rearrangement. Only a few cases and very small series with cytomorphology were reported so far. Six cases of fine-needle aspirations (FNAs) from afterward histologically, immunohistochemically and genetically confirmed SCs were retrieved from the archives of the authors. Ancillary immunocytochemistry (ICC) and translocation detection were performed on cell blocks (CBs). All aspirates were sufficiently cellular and cells were arranged in more or less cohesive groups with only mild nuclear polymorphism. The cytoplasm was eosinophilic, granulated and vacuolated, especially in CBs. Secretory material within the microcystic spaces was periodic acid-Schiff (PAS) positive. Triple positivity of immunomarkers S-100 protein, mammaglobin and vimentin was present. The proliferation index was low. Ancillary techniques suggested the possibility of SC in a few cytology cases; nevertheless, the final diagnosis was based on histomorphology, immunohistochemistry and genetics. The SC of salivary glands is detectable pre-operatively using ICC and genetics. The presence of the diagnostic ETV6 rearrangement increases the accuracy of FNA to the maximum. According to the Milan system, cases genetically not confirmed should be categorized as Suspicious for Malignancy or Salivary Gland Neoplasm of Uncertain Malignant Potential (SUMP), both requiring surgery.

Key words: Salivary glands; secretory carcinoma; ETV6; FNA; Milan system; mammary analog secretory carcinoma.

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The secretory carcinoma (SC) of salivary glands, originally described as mammary analog secretory carcinoma (MASC) by Skálová et al. in 2010 (1), is a low-grade malignancy included in the 4th edition of World Health Organization Classification of Head and Neck Tumours in 2017 under designation "secretory carcinoma" (2). As the original name implies, there is histomorphological, immunophenotypical and genetical resemblance to the secretory

carcinoma of the breast, which also harbors the same genetic translocation t(12; 15)(p13;q25) resulting in ETV6-NTRK3 gene fusion (1, 3). This specific fusion is confirmatory for SC and has not been reported in any other salivary gland tumors yet. New rearrangements ETV6-RET (4) and ETV6-MET (5) have been recently found in small subset of SCs, possibly associated with more aggressive behavior.

Most SCs occur in the parotid gland, followed by the submandibular gland and the minor salivary

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Table 1. Summary of clinicopathological features of presented cases

Table	ble 1. Summary of clinicopathological features of presented cases											
Case	Age/sex	Clinical symptoms	Localization	Original cytopatho- logical diagnosis	Original histopatho- logical diagnosis	Histopathological features	Immunohis tochemistry					
1	56/F	Mass 2.7 cm in max. diameter	Left parotid gland	PAP Class III – atypia, suspicious for malignancy	Secretory carcinoma	Infiltrative growth with hyalinization of the stroma. Tubular and cribriform structures with PAS-positive secretory material. Round to oval vesicular nuclei with prominent nucleoli. Pale abundant cytoplasm with yacuoles	CK7+, S100+, MGA+, p63-, CKPan+, vimentin+, EMA+, SMA-, AR-, calponin-, GCDFP15-, MIB1 6% PAS+ secretory material within the tubular spaces					
2	72/F	Mass 3.4 cm in max. diameter	Right parotid gland	Neoplasm (cellular PA, myoep- ithelioma)	Secretory carcinoma	Encapsulated uninodular tumor with hyalinized thick capsule and multiple septa. Tubular structures with colloid-like secretory material. Eosinophilic abundant cytoplasm with vacuoles containing eosinophilic material	CK7+, S100+, MGA+, p63-, vimentin+, EMA+, SMA-, GCDFP15-, DOGI focally+, MIBI 5-8% PAS+ secretory material within the tubular spaces					
3	34/M	Mass 1 cm in max. diameter	Right sub- mandibular gland	PAP Class III – atypia, suspicious for malignancy (low-grade malignancy, PA, myoepithelioma, basal cell adenoma)	Polymorphous low-grade adeno- carcinoma or low-grade salivary duct carcinoma	Infiltrative multinodular growth with hyalinized septa. Tubular and pseudoglandular structures with PAS-positive secretory material. Vesicular nuclei. Pale abundant finely granulated cytoplasm with oncocytic features and focal vacuoles. Perineural invasion	CK7+, S100+, MGA+, p63-, CKPan+, vimentin+, EMA+, GCDFP15-, AR-, calponin-, MIB1 5% PAS+ secretory material within the microcystic spaces					
4	47/M	Mass 1.9 cm in max. diameter	Left parotid gland	2 FNAs performed: (1) PAP Class II –	None – consultation	Infiltrative multinodular growth with septa containing	CK7+, S100+, MGA+, p63-, vimentin+, EMA+,					

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# SALIVARY SECRETORY CARCINOMA IN FNA

Table 1. (continued)

Case	Age/sex	Clinical symptoms	Localization	Original cytopatho- logical diagnosis	Original histopatho- logical diagnosis	Histopathological features	Immunohis tochemistry
				adenoma, oncocytoma (2) PAP Class III – atypia, suspicious for malignancy		sparse lymphoid stroma. Pseudoglandular, macrocystic and focally tubular cell arrangement. Pale abundant finely granulated cytoplasm with oncocytic features and focal vacuoles. Perineural invasion	SMA-, AR-, MIBI 10% PAS+ secretory material within the cystic spaces
5	37/M	Mass 1.7 cm in max. diameter	Right parotid gland	PAP Class III – atypia, suspicious for malignancy (AciCC, SC)	Secretory carcinoma	Encapsulated uninodular tumor with capsule without septation. Microcystic to cystic structures with thyroid follicle-like arrangement with colloid-like material within. Foamy and vacuolated cytoplasm with clear cell appearance. Perineural invasion	CK7+, S100+, MGA+, p63 focally+, vimentin+, EMA+, GCDFP15-, DOG1-, MIB1 5-10% PAS+ secretory material within the cystic spaces
6	20/M	Mass 4 cm in max. diam., deficit of the facial nerve	Left parotid gland	Monomorphic adenoma	Low-grade cystadeno- carcinoma with intranodal metastases	Cystic tumor with fibrous capsule and multiple small tumorous satellites beyond. The lining is composed of uniform, flattened and focally papillary formed cells	CK7+, S100+, MGA+, GATA3-, p63-, calponin-, CD31-, podoplanin-

F, female; M, male; PAP Class, Papanicolaou classification system; FNA, fine-needle aspiration; PA, pleomorphic adenoma; AciCC, acinic cell carcinoma; SC, secretory carcinoma; PAS-positive, periodic acid-Schiff-positive.

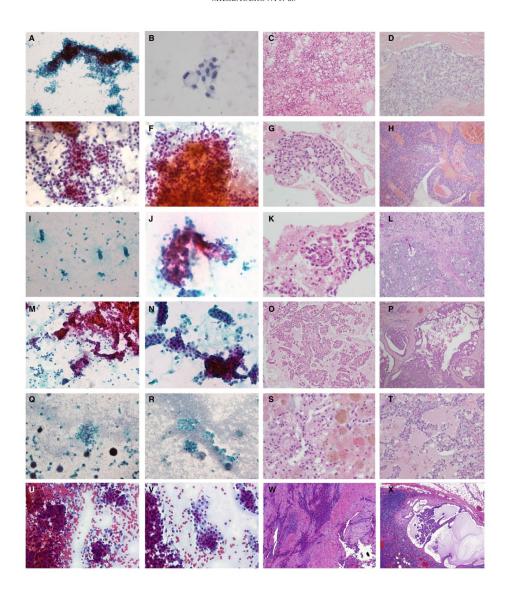
glands. Some extrasalivary tumors in the head and neck area contain *ETV6-NTRK3* translocation as well (6–8). Before the discovery of SC in 2010, these tumors were diagnosed as an unusual variant of acinic cell carcinoma (AciCC) poor of zymogen granules, mucoepidermoid carcinoma or as

adenocarcinomas not otherwise specified (ADCs NOS) (1). Histologically, the SC consists of uniform eosinophilic cells with vacuolated cytoplasm arranged in cystic, tubular, solid or papillary patterns. The cystic spaces are characteristically filled by periodic acid-Schiff (PAS)-positive secretory

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material. More than 200 articles on SC have been published so far, but very few are dedicated to the description of the cytomorphological features (9–23). For decades, the reporting of salivary gland cytology has been full of confusions and diversity

with the use of descriptive reports with no categories or with surgical pathology terminology. The development of a brand new uniform system for reporting salivary gland fine-needle aspirations (FNAs) has been highly required. The Milan

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Fig. 1. Cytological and histological features of the series of secretory carcinoma (SC) (A–D Case 1, E–H Case 2, I–L Case 3, M–P Case 4, Q–T, Case 5, U–X Case 6). (A) Papillary arranged cohesive cluster of uniform cells (Papanicolaou, ×100). (B) Cell group with prominent signet-ring cell nuclei which are uniformly oval (Papanicolaou, ×400). (C) Cribriform and solid arrangement has been seen in the cell block (CB) of this case (hematoxylin-eosin, ×100). (D) Tubular to cribriform nest is surrounded by a hyalinized stroma. Homogeneous secretory material is visible within the tubular spaces (hematoxylin-eosin, ×100). (E) Peripherally loosely cohesive groups of uniform cells with mucinous-like material (Papanicolaou, ×200). (F) Peripherally loosely cohesive groups of uniform cells with mucinous-like material (Papanicolaou, ×200). (F) Peripherally loosely cohesive groups of uniform cells with wacuolated cytoplasm and occasional signet-ring cells, within the tubular structures colloid-like secretory material is present in the CB (hematoxylin-eosin, ×200). (H) Tubular arrangement with colloid-like secretory material within the tubular and cystic spaces with the admixture of blood (hematoxylin-eosin, ×40). (I) Small cohesive clusters and singly dispersed cells in the scantly mucinous-like background (Papanicolaou, ×100). (J) Loosely cohesive, papillary arranged cell group with transgressing vessels (Papanicolaou, ×200). (K) Pseudoglandular fragments with striking squamoid-like appearance in CB. Nuclei are hyperchromatic and cytoplasm is finely granulated (hematoxylin-eosin, ×200). (L) Tubular and pseudoglandular structures with PAS-positive secretory material within the tubular and microcystic spaces, perineural invasion is seen (periodic acid-Schiff, ×100). (M) Papillary and trabecular cohesive clusters with dispersed cells on the mucinous-like background (Papanicolaou, ×100). (N) Cohesive papillary and trabecular structures with transgressing vessels (Papanicolaou, ×200). (O) Distinct papillae are covered by cylindri

System for Reporting Salivary Gland Cytology (MSRSGC) follows the trend of thyroid cytopathology and cervical cytology Bethesda and urinary cytopathology Paris reporting systems where each category has a defined risk of malignancy (ROM) and suggested therapeutic approaches (24).

In our study, we present six cases of SCs of salivary glands that were pre-operatively examined by fine-needle aspiration (FNA), ancillary techniques, and retrospectively classified according to the MSRSGC. We suggest the appropriate approach to cytological diagnosis of SC using ancillary techniques. The MSRSGC categorization is important in order to improve the communication and understanding between the cytopathologists and clinicians and to stratify ROM.

# MATERIALS AND METHODS

The series consists of six cases of histologically confirmed SCs with available FNA. Five cases were retrieved from the archive and consultation files of the Department of Pathology, Fimlab Laboratories, Tampere, Finland within a 5-year period (November 2011–December 2016) (IK, ST) and one case from the Salivary gland tumor registry of the Department of Pathology, Faculty of Medicine in Plzen and Biopticka Laboratory Ltd, Plzen, Czech Republic (AS). Clinical, cytomorphological, histomorphological and immunohistochemical (IHC) data were reviewed in all cases. The FNA has been performed with a 22G needle

under ultrasound guidance by the clinician. The aspirated material has been routinely processed: alcohol fixed and Papanicolaou stained. Four-µm-thick sections were cut from CBs and stained with hematoxylin-eosin and PAS with and without diastase. Ancillary immunocytochemical (ICC) studies were performed on 2-µm-thick sections with the following primary antibodies: S-100 protein (polyclonal, dilution 1:5000, Ventana Medical System, Tucson, AZ, USA), mammaglobin (clone 31A5, ready-to-use, Ventana Medical System), vimentin (clone V9, ready-to-use, Ventana Medical System), and Ki-67 (clone 30-9, ready-to-use, Ventana Medical System). Appropriate positive controls were used. The same laboratory procedure has been performed also on formalin-fixed, paraffin-embedded surgically removed specimens. Cases with available residual CB material underwent genetic examination for the detection of ETV6 and NTRK3 rearrangements by fluorescent in situ hybridization (FISH) using break-apart probes. ETV6-NTRK3 fusion transcript has been subsequently confirmed by reverse transcription polymerase chain reaction (RT-PCR) and Next Generation Sequencing (NGS) (1).

All procedures were performed in accordance with ethical standards of responsible Ethical Committee and with Declaration of Helsinki (1975, revised 1983). After approval by the Ethical Committee, informed consent of each individual was not requested.

# RESULTS

The studied cohort consisted of four males and two females aged from 20 to 76 years (mean 44.3 years). All patients presented with a palpable

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mass of various sizes (from 1 to 4 cm in diameter). Five tumors (83.3%) were localized in the parotid gland and one case (Case 3) in the submandibular gland. In all cases, FNA was followed by surgical removal of the lesion and histological and immunohistochemical examination. Clinical and demographic data are summarized in Table 1.

### Cytomorphological features and ICC

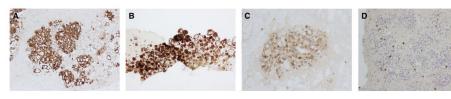
Total of seven FNAs were taken from six cases, with FNA performed twice in Case 4. In four out of six cases, the Papanicolaou classification was used for the evaluation of FNA. PAP Class III category (atypia, suspicious for malignancy) was suggested in all four cases (Cases 1, 3, 4 and 5), where the Papanicolaou classification was applied and a wide spectrum of probable benign neoplasms was listed: pleomorphic adenoma, myoepithelioma and basal cell adenoma. Only once (Case 5), a suspicion for SC or AciCC was raised. The FNA in Case 4 was performed twice with two different conclusions: the first FNA was evaluated as PAP Class II category (adenoma or oncocytoma) and the second FNA was evaluated as PAP Class III category (atypia, suspicious for malignancy). Two cases, where no classification system was used (Cases 2 and 6), were evaluated as benign neoplasms with suggested diagnoses of pleomorphic adenoma or myoepithelioma (Case 2) and monomorphic adenoma (Case 6) (Table 1).

Despite the variable morphology of SC, all six cases had several common cytological features in FNA cytology. The cellularity of all smears was highly satisfactory. Cells were arranged in variably cohesive clusters or sheets of different sizes. Single dispersed cells were always present. Papillary structures with transgressing vessels were commonly seen. In Cases 1 and 2, a cribriform pattern was featured. The background was diverse, ranging from a clear background with mucinous material (Cases 1, 3, 4) to hemorrhagic (Cases 2, 6) and cystic (Case 5). The

cytoplasm was variable in all cases. Except in Case 2, where the amount of cytoplasm was scant, the cytoplasm was abundant and finely granulated. In Cases 1, 4, 5 and 6, also vacuoles were present. Occasional signet-ring cells were found in Cases 1 and 4. Nuclei were either uniform, round to oval or mildly polymorphic. No mitoses were seen. In Case 5, the vacuolization of the nucleus was present. In Case 2, the nuclei were peripherally placed and gave the impression of myoepithelial differentiation. Furthermore, the nuclei were focally spindle shaped in Case 1. Chromatin was finely granulated and nucleoli were well discernible. Doubled nuclei were observed in Cases 3 and 4 (Fig. 1A,B,E,F,I,J,M,N,Q,R,U,V).

The CBs were available in five cases (Cases 1-5) and the morphological features of CB samples are summarized in Table 2. Compared to the cytotech specimens, the architecture of tissue fragments is better appreciated in CBs. It varied from cribriform, almost pseudoglandular arrangements to solid nests. The variability was visible, even within the single sample. Nuclear features were comparable to the findings in smears, but in the CBs the cytoplasmic features were easier to evaluate. Vacuolization with focal signet-ring cells was pronounced (Fig. 1G,S). In Case 2, the cytoplasmic vacuoles contained colloid-like substance (Fig. 1G). In Cases 3, 4 and 5, the cytoplasm was eosinophilic with oncocytic features. In Case 3, squamoid-like areas were seen (Fig. 1K). Only in Case 5, multiple siderophages and extracellular hemosiderin were present (Fig. 1S).

Ancillary techniques were performed on the CB material. The panel of PAS and four basic immunomarkers (S-100 protein, mammaglobin, vimentin and proliferation index) was positive in all cases except Case 5, where \$100 could not be evaluated because of insufficient material in the CB (Fig. 2). PAS was positive in the secretory material within microcystic spaces and tubular or cribriform structures in all five cases (Fig. 1L). The proliferation index (MIBI/Ki-67) was low, less than 5% in all cases.



**Fig. 2.** Immunohistochemical panel demonstrating the triple positivity and proliferation index in secretory carcinomas (SCs). (A) Mammaglobin immunopositivity in cytoplasm (Case 1, ×100). (B) Vimentin nuclear immunopositivity (Case 5, ×100). (C) S-100 protein nuclear immunopositivity (Case 2, ×200). (D) Proliferation index is low, less than 5% (case 1, Ki-67, ×100).

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Table 2. Cytomorphological features of presented cases in smears and in cell blocks

	Cellularity	Cell growth arrangement in smears	Background in smears	Nuclei in smears	Cytoplasm in smears	Cell growth arrangement in CBs	Nuclei in CBs	Cytoplasm in CBs	ICC in CBs
1	Rich	Cohesive clusters and cell groups of various sizes with papillary, trabecular and too less extent cribriform architecture. Singly dispersed cells.	Almost clear with very rare mucin- like secretory material	Mild polymorphism Irregularly placed Round to oval, rarely spindle shaped Variably rarely prominent nucleoli Finely granulated chromatin Occasionally binucleation	Abundant Finely granulated Focally vacuolated Occasional signet-ring cells	Solid, cribriform and occasionally pseudog- landular	Mild polymor- phism Irregularly placed Oval Focally vesicular with rare nucleation Focally prominent reddish nucleoli Finely granulated chromatin	Abundant, eosinophilic Finely granulated Small vacuoles Occasionally signet-ring cells	CK7+, S100+, MGA+, CKPan+, vimentin+, EMA+, SMA+ MIB1 3% PAS+
2	Moderate	Peripherally loosely cohesive sheets and large tissue fragments with papillary and occasionally cribriform structures with mucinous-like material intraluminally. Singly dispersed cells.	Hemorrhagic/ mucin-like secretory material	Uniform Peripherally placed (myoepithelial- like) Round to oval Hyperchromatic Inconspicuous nucleoli Finely granulated chromatin	Finely granulated Few	Tubular and cribriform	Mild polymor- phism Focally myoepithelial- like shaped Prominent nucleoli Finely granulated chromatin	Abundant, cosinophilic Vacuolated even grape-like and signet- ring cells, some containing colloid-like material	CK7+, S100+, p63-, EMA-, SMA+, AR-, CK5/6-, GFAP-, CEA+, DOG1+, CD117+ MIB1 low PAS+
3	Rich in both smears	Small cohesive mainly spherical clusters/loosely cohesive tubular and papillary structures with transgressing vessels. Singly dispersed cells	Clear/mucin- like secretory material	Uniform Centrally placed Round to oval bland Prominent nucleoli, focally doubled Hyperchromatic Occasionally binucleation	Polymor- phous Abundant Finely granular	Pseudog- landular	Uniform Centrally placed Round to oval Focally hyperchromatic, focally vesicular Prominent nucleoli	Abundant, eosinophilic Small multiple vacuoles Occasionally squamoid- like appearance	CK7+, S100+, MGA+, vimentin+ MIB1 < 5%
4	Rich	Small spherical cohesive clusters focally arranged in bigger papillary and trabecular structures with transgressing vessels and almost pseudoglandular structures. Singly dispersed cells	Mucin-like secretory material	Uniform Centrally placed Round Pinpoint nucleoli occasionally doubled Finely granulated chromatin	Abundant Finely granulated Occasional signet-ring cells	Pseudog- landular and tubular	Uniform Centrally placed Round Pinpoint nucleoli occasionally doubled Finely granulated chromatin Focally vesicular	Cylindric shaped Abundant Eosinophilic/ oncocytie Multiple small vacuoles Occasionally signet-ring cells	CK7+, S100+, MGA+, p63-, vimentin+, EMA+, SMA-, MIBI low PAS+
5	Rich	Loosely cohesive tissue fragments with trabecular and focally papillary structures with transgressing vessels. Singly dispersed cells	Cystic with plenty of macrophages and siderophages	Uniform Irregularly placed Round and focally vacuolated Inconspicuous nucleoli Finely granulated chromatin	Abundant Multiple small vacuoles Oncocytic- like	Solid	Uniform Irregularly placed Round to oval Prominent nucleoli	Abundant Eosinophilic/ oncocytie Multiple small vacuoles, focally bubble-like Intra- and extracyto- plasmic hemosiderin	CK7+, MGA+, CKPan+, vimentin+ MIB1 low PAS+
6	Rich	Huge clusters with some smaller, peripherally loosely cohesive clusters. Singly dispersed cells	Hemorrhagic	Mild polymorphism Irregularly placed Round Focally prominent nucleoli Fine chromatin	Abundant Focally cylindric shaped Foamy and focally vacuolated	ND	ND	ND	ND

ND, not determined; CB, cell block.

# ETV6 gene rearrangement detection by FISH

Fluorescent in situ hybridization was performed in Cases 1–4, as the CB material in Cases 5 and 6 was

not available anymore. The *ETV6* gene rearrangement was positive in three (Cases 1, 2 and 4) of the tested CBs (Fig. 3). The material for the remaining

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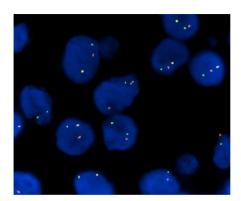


Fig. 3. FISH. FISH with ETV6 break-apart probe. Nuclei contain orange-yellow-green fusion signals from healthy alleles and separated orange and green signals from split alleles.

case (Case 3) was not analyzable due to the poor quality of DNA. The *NTRK3* gene was identified as a fusion partner in Cases 2 and 4, but was not confirmed in Case 1. For the remaining cases (Cases 3, 5 and 6), where CBs were not available or the material was insufficient, the translocation *ETV6-NTRK3* was confirmed in the final histological specimen and approved by the Next Generation Sequencing method.

### Histological features

Three out of six resected tumors were diagnosed as SCs. The remaining three cases were sent as consultations, two cases with the diagnosis of suspicious lowgrade malignancy and one with no specific diagnosis (Table 1). The presence of secretory material, uniform nuclei with variably prominent nucleoli and abundant cytoplasm were the common features in all cases. Contrary to the cellular uniformity, growth patterns, cell arrangements and the appearance of the cytoplasm varied from case to case. Case-specific features are listed in Table 1. Necrosis, angiolymphatic invasion, high mitotic activity and other signs of high-grade malignancy were not seen in any case. Results of IHC are listed in Table 1. The results of IHC in histology were identical to those in ICC in the CBs.

# DISCUSSION

Secretaoty carcinoma of the salivary glands is recently described low-grade malignancy with a diagnostic rearrangement of *ETV6* gene on chromosome 12, which is unique in salivary tumors. There are

three known fusion partners: NTRK3 which is the most common and originally found (1), RET (4) and the very recently described MET (5). Since the publication of the first cases by Skálová et al. (1), the number of diagnosed SCs from resected tissue has increased rapidly. Therapeutically, there is also an increasing importance of pre-operative FNA diagnostics. Correct cytological diagnoses according to the new MSRSGC can guide the clinician to the appropriate management (24).

Small series and few cases on the cytology of SCs previously published in the literature are summarized in Table 3 (9–23). Our findings are in agreement with the cytological features presented in MSRSGC (24) and with previous descriptions (9-23). All the smears were sufficiently cellular. Background varied from clear, mucinous to cystic with degenerative changes. The most common architectural pattern of the cells was cohesive clusters arranged in papillary-like structures with occasional transgressing vessels. Cytoplasm was usually abundant, eosinophilic, with focal oncocytic features with fine granulation and vacuolization. Signet-ring cells were seldom present. In one case, the vacuoles contained colloid-like sub-Rarely myoepithelial-like cells were stances. described both in our cohort and in the literature. Nuclei showed mild pleomorphism with focal binucleation, irregular placement and variably prominent nucleoli. Chromatin was finely granulated and no mitoses were seen. In a single case in our cohort, spindle-shaped nuclei and focal vacuolization of the nuclei were seen.

The described cytomorphology is non-specific for SC and is shared with multiple other tumors in salivary FNAs. In a pictures-based-survey of SC case among 109 cytopathologists, high diagnostic diversity was shown. In the mirror of relatively benign nuclear features and the malignant architectural appearance, the respondents answered both benign and low-grade malignancy depending if they stressed nuclear or architectural features (18). In the differential diagnosis, SC belongs to cystic and mucinous lesions with granulated or vacuolated eosinophilic cell cytoplasm. The SC is often misdiagnosed as AciCC, low-grade mucoepidermoid carcinoma (LG MEC), adenocarcinoma not otherwise specified (ADC NOS), neoplasia with myoepithelial differentiation, cellular pleomorphic adenoma (PA) or Warthin tumor (WT) (13). In cases with evident signs of high-grade malignancy with mitoses and necrosis, one should not forget SC in the differential diagnosis, as high-grade transformation of SC has been described (25). To exclude or confirm high-grade transformation, Ki-67 is useful on CBs.

It is evident that the salivary gland cytology is always challenging and rarely a final

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Reference	No. of cases	Original cytological diagnosis	Immunocytochemistry and special stains	Genetics
Petersson et al. (9)	1	Possible PA with oncocytic features	ND	ETV6 rearrangement by FISH, translocation ETV6-NTRK3 confirmed by RT-PCR (histology material)
Pisharodi et al. (10)	1	Myoepithelial neoplasm or AciCC	Mucicarmine+, cytokeratins+, vimentin+, MGA+, GCDFP15+, S100+, calponin-, SMA-	ETV6 rearrangement by FISH, translocation ETV6-NTRK3 confirmed by RT-PCR (histology material)
Lavine et al. (11) Takeda et al. (12)	1	Low-grade MEC ND	None ND	Not available in laboratory ETV6 rearrangement by FISH, translocation ETV6-NTRK3 confirmed by RT-PCR (histology material)
Bajaj et al. (13)	1	Suspicious for low-grade MEC	Mucicarmine +	ETV6 rearrangement by FISH (histology material)
Sethi et al. (14)	1	High-grade carcinoma (SDC, AciCC, MEC, ADC NOS)	S100+	ETV6 rearrangement by FISH, translocation ETV6-NTRK3 confirmed by PCR (histology material)
Samulski et al. (15)	1	1st read: PA 2nd read: LG salivary gland neoplasm (AciCC, MEC)	ND	ETV6 rearrangement (histology material)
Bellevicine et al. (16)	1	SC, AciCC-PCV	ND	ND
Inaba et al. (17)	1	AciCC mostly composed of intercalated duct cell type	ND	ETV6-NTRK3 by RT-PCR (histology material)
Kai et al. (18)	1	Atypia, suspicious for malignancy (Papanicolaou III)	ND	ETV6-NTRK3 by RT-PCR (histology material)
Oza et al. (19)	3	SC in all three cases	S100+, MGA+	ETV6-NTRK3 by RT-PCR (histology material)
Bishop et al. (20)	5	(1) Carcinoma (MEC) (2) Neoplasm (PA or MEC) (3) Neoplasm (AciCC, MEC) (4) Favor AciCC (5) Neoplasm (cellular PA)	ND	ETV6 rearrangement by FISH (histology material)
Griffith et al. (21)	6	(1) Papillary neoplasm (2) Low-grade epithelial neoplasm (3) Benign salivary epithelium (4) Low-grade salivary gland neoplasm (5) Low-grade salivary gland neoplasm (6) SC	Only Cases 1, 2 and 6 (1) \$100+, MGA+ (2) \$100- (6) \$100+	ETV6 rearrangement by FISH in Cases 1 and 6. Case 2 was considered to be inadequate for analysis (cell block)
Higuchi et al. (22)	7	ND ND	ND	ETV6 rearrangement by FISH, translocation ETV6-NTRK3 confirmed by RT-PCR (histology material)

specific diagnosis of malignancy is made without ancillary techniques (26, 27). Adequacy of the CBs depends primarily on the received material.

The skills of the clinicians performing the FNA and of the laboratory technicians are both important (28).

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Table 3. (continued)

Reference	No. of cases	Original cytological diagnosis	Immunocytochemistry and special stains	Genetics
Jung et al. (23)	9	(1) MEC (2) Favor AciCC (3) Suggestive of AciCC (4) PA (5) Suggestive of AciCC (6) Cellular PA (7) PA (8) Favor ADC (9) Mucin producing adenocarcinoma	ND	ETV6 rearrangement by FISH (histology material)

PA, Pleomorphic adenoma; AciCC, acinic cell carcinoma; MEC, mucoepidermoid carcinoma; SDC, salivary dust carcinoma; ADC NOS, adenocarcinoma not otherwise specified; SC, secretory carcinoma; FISH, fluorescent *in situ* hybridization; RT-PCR, reverse transcription polymerase chain reaction; ND, not determined, ICC, immunocytochemistry; LG, low-grade; PCV, papillary cystic variant.

We have designed a basic staining panel to support and confirm the diagnosis of SC. This panel includes S-100 protein/mammaglobin A (MGA)/SOX10 positivity and DOG1/p63 negativity. Although vimentin is a non-specific marker, it can be used as an indicator of myoepithelial differentiation. Nevertheless, if DOG1 is available, negativity of DOG1 excludes the diagnosis of AciCC and supports the diagnosis of SC.

In cases, where the SC diagnosis is raised, molecular testing should follow. ETV6 rearrangement is usually tested by FISH. If the result is positive, the diagnostic procedure is accomplished and the most accurate diagnosis is given to the clinician. If NTRK3 or RET is detected as one of the fusion partners, SC is potentially treatable even in very advanced stages by the inhibitors of tyrosine-kinase activity that have been recently approved by the FDA. Targeted therapies are aimed for patients with advanced stages of the disease.

In previously reported series (Table 3), the performed ancillary studies were often limited. Only Pisharodi (10) performed sufficient ICC panel in her case report but the molecular detection was not done on CB. Genetic examinations were performed mainly on the final histological material. Only in one reported series, the FISH study was performed pre-operatively on CBs in three of six cases with positive conclusive results in two samples (20). Our study is the only one with a sufficient immunostaining panel for each case but also with an appropriate genetic control of the presence of ETV6 rearrangement in three out of six cases on CBs.

Categorization of SC according to MSRSGC depends on the availability of ancillary studies. If smears are available only, it is almost impossible to

make a conclusive cytological diagnosis and the FNA should be classified as "Salivary gland Neoplasm of uncertain Malignant Potential (SUMP)." The ROM of this category is 35% according to MSRSGC, but a recent study by Liu et al. demonstrates a ROM of only 24% (29). If adequate CB or any other material is available, basic ICC according to the suggested staining panel should be performed, followed by genetic confirmation of the ETV6 rearrangement, then the diagnosis of SC is certain and the FNA cytology should be concluded as "Malignant" according to MSRSGC. If genetic examination is not available in the laboratory and only ICC is confirmative for the diagnosis of SC, the MSRSGC category "Suspicious for malignancy" should be applied.

In summary, SC is a challenging tumor both in cytology and histology because of its non-specific overlapping morphological features. The key cytomorphological features to raise the suspicion for SC are papillary architecture and distinct granular cytoplasm. Its precise cytological diagnosis requires ancillary ICC and molecular testing on CB material. The availability of CB facilitates also the cytomorphological assessment. The ICC results are concordant with the IHC results. Nevertheless, the genetic testing is not always successful on CB material, often due to the lack of remnant material. We have suggested a diagnostic approach to SC cytology and presented the first SC cytology series in the context of MSRSGC.

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# 1.11 DALŠÍ BENIGNÍ A MALIGNÍ JEDNOTKY HLAVY A KRKU

# 1.11.1 MOLEKULÁRNÍ PROFILOVÁNÍ INTRADUKTÁLNÍHO KARCINOMU SLINNÝCH ŽLÁZ ODHALILO PODSKUPINU KARCINOMŮ CHARAKTERIZOVANÝCH NCOA4-RET FÚZÍ A NOVOU TRIM27-RET FÚZÍ

Intraduktální karcinom (IC) je recentně definovaná jednotka ve WHO klasifikaci (21). V rámci diferenciální diagnózy jsou nejčastěji zmiňované sekreční karcinom (SC) a salivární duktální karcinom (SDC). První z přiložených publikací se zabývá vztahem mezi IC a SC. IC má obdobný imunoprofil jako SC, tj. S100 protein/ mammaglobin pozitivní, DOG1 negativní a p63 zachovanou myoepiteliální vrstvu kolem intraduktální komponenty IC či velmi vzácně i SC.

Retrospektivně bylo vyšetřeno 17 případů IC, v nichž nebyl prokázaný zlom *ETV6* genu metodou FISH ani fúze *ETV6-NTRK3* metodou RT-PCR. Analýza metodou NGS prokázala fúzi exonů 7 či 8 genu *NCOA4* s exonem 12 genu *RET* v 6 případech IC s fenotypem z vmezeřených duktů. Dále byla zjištěna fúze *TRIM27-RET* nacházející se mezi exony 3 a 12 ve 2 případech IC s apokrinní morfologií. Celkově byl *RET* zlom potvrzený ve 47 % případů IC. Přibližně v 50 % případů nebyla zastižena žádná fúze v naší studii ani ve studii Weinreba a spol (52). Pravděpodobně budou tyto *RET*-negativní IC obsahovat jinou fúzi, zatím nedetekovanou našimi stávajícími NGS platformami, což vyžaduje další zkoumání.

# Molecular Profiling of Salivary Gland Intraductal Carcinoma Revealed a Subset of Tumors Harboring NCOA4-RET and Novel TRIM27-RET Fusions

# A Report of 17 cases

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Abstract: Intraductal carcinoma (IC) is the new World Health Organization designation for tumors previously called "low-grade cribriform cystadenocarcinoma" and "low-grade salivary duct carcinoma." The relationship of IC to salivary duct carcinoma. noma is controversial, but they now are considered to be distinct entities. IC is a rare low-grade malignant salivary gland neoplasm with features similar to mammary atypical ductal hyperplasia or ductal carcinoma in situ, that shows diffuse S100 protein and mammaglobin positivity and is only partially defined genetically. (Mammary analogue) secretory carcinoma harboring ETV6-NTRK3, and in rare cases ETV6-RET fusion, shares

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histomorphologic and immunophenotypical features with IC. Recently, RET rearrangements and NCOA4-RET have been described in IC, suggesting a partial genetic overlap with mammary analogue secretory carcinoma. Here, we genetically characterize the largest cohort of IC to date to further explore this relationship. Seventeen cases of IC were analyzed by nextgeneration sequencing using the FusionPlex Solid Tumor kit (ArcherDX). Identified fusions were confirmed using fluorescence in situ hybridization break apart and, in some cases, fusion probes, and a reverse transcription polymerase chain reaction designed specifically to the detected breakpoints. All analyzed cases were known to be negative for ETV6 rearrangement by fluorescence in situ hybridization and for ETV6-NTRK3 fusion by reverse transcription polymerase chain reaction. Nextgeneration sequencing analysis detected a NCOA4-RET fusion transcript joining exon 7 or 8 of NCOA4 gene and exon 12 of RET gene in 6 cases of intercalated duct type IC; and a novel TRIM27-RET fusion transcript between exons 3 and 12 in 2 cases of salivary gland tumors displaying histologic and immunohistochemical features typical of apocrine IC. A total of 47% of IC harbored a fusion involving RET. In conclusion, we have confirmed the presence of NCOA4-RET as the dominant fusion in intercalated duct type IC. A novel finding in our study has been a discovery of a subset of IC patients with apocrine variant IC harboring a novel TRIM27-RET.

Key Words: salivary gland neoplasm, intraductal carcinoma, cystadenocarcinoma, mammary analogue secretory carcinoma, MASC, NCOA4-RET, TRIM27-RET, RET-targeted therapy

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ecretory carcinoma of the salivary glands, also known Secretory carcinoma of the salivary games, in (MASC), as mammary analogue secretory carcinoma (MASC), was originally described by Skálová et al, in 2010.1 Most of these tumors were previously categorized as acinic cell carcinoma,2-4 adenocarcinoma not otherwise specified or low-grade cystadenocarcinoma, but were recognized as a

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distinct entity based on their morphologic and molecular resemblance to secretory carcinoma of the breast, and subsequently adopted by the World Health Organization (WHO) Classification of Head and Neck Tumours in 2017 as secretory carcinoma of salivary glands. MASCs are characterized by abundant eosinophilic cytoplasm and secretions, consistent immunohistochemical positivity for S100 and mammaglobin, and recurrent ETV6-NTRK3 gene fusions. Increasing experience with MASCs, however, has also highlighted a small subset of cases that demonstrate divergent molecular findings. Most notably, Skálová et al. Tecently characterized 10 cases with alternate ETV6-RET fusion.

Intraductal carcinoma (IC) is the new WHO designation for tumors previously called "low-grade cribriform cystadenocarcinoma" and "low-grade salivary duct carcinoma." The relationship of IC to salivary duct carcinoma (SDC) has been controversial, but recently they are considered to be distinct entities. IC is a rare low-grade malignant salivary gland neoplasm with features similar to mammary atypical ductal hyperplasia or ductal carcinoma in situ, that shows diffuse S100 protein and mammaglobin positivity and an intact myoepithelial cell layer decorated by p63 protein, calponin, and cytokeratin 14.

MASC has many architectural and immunophenotypic features similar to, or identical with IC. Both tumors may share diffuse S100 protein and mammaglobin positivity and similar architectural features, such as cribriform-like secretory spaces, multicystic architecture and papillary growth pattern. These 2 morphologically similar entities should be, however, strictly separated. Pure IC is an in situ lesion with no capacity for metastasizing while MASC does not have any significant intraductal component and may metastasize. Most importantly, the neoplastic nests and cysts of IC are completely surrounded by a rim of non-neoplastic myoepithelial cells, which are positive for p63 protein, and other myoepithelial cell-related markers such as smooth muscle actin, CK14, and calponin. Thus, immunohistochemistry is helpful in differential diagnosis between IC and MASC; with p63 protein expression providing evidence of intact myoepithelial layer in IC while virtually negative in MASC.

Weinreb et al, 10 recently demonstrated recurrent

Weinreb et al, <sup>10</sup> recently demonstrated recurrent rearrangements involving *RET* in 47% of ICs with an intercalated duct phenotype and confirmed *NCOA4-RET* fusion in one such case using next-generation sequencing (NGS) and direct sequencing. Dogan et al, <sup>11</sup> presented, however, at the USCAP Annual Meeting on March 6th 2017 as a poster, *NCOA4-RET* fusion in one of 4 tumors morphologically and immunophenotypically compatible with MASC using the NGS assay. The proposed novel finding of *NCOA4-RET* fusion in MASC attracted our attention as it was in contrast with our experience. So far, we have tested > 300 cases of MASC and never found any other fusion than *ETV6-NTRK3* or *ETV6-RET*.<sup>1,8</sup>

There have been only limited genomic and immunohistochemical comparative studies of pure IC and MASC, so far. One case of "low-grade cribriform cystadenocarcinoma" tested as part of the control group in the original description of MASC was *ETV6* negative by fluorescence in situ hybridization (FISH). A second study found one case of "low-grade cribriform cystadenocarcinoma" to be ETV6 negative by FISH. A recent third study also showed ETV6 negativity by FISH in 5 cases of IC tested. In the study by Shah et al, there was a single case originally classified as MASC that was negative to ETV6 break by FISH, and positive for S100 and mammaglobin by immunohistochemistry, re-classified as IC on review. The study by Weinreb et al, also found no evidence of ETV6 rearrangement in any of their IC cases tested, and did not confirm the partner gene for RET in the majority of the tumors. Therefore, we used a comprehensive genetic analysis to examine the largest cohort of IC to date, with the goal of defining the most common gene fusions and potentially identifying novel fusions.

# **MATERIALS AND METHODS**

Among more than 6200 cases of primary salivary gland tumors, 16 cases of IC were retrieved from the consultation files of the Salivary Gland Tumor Registry, at the Department of Pathology, Faculty of Medicine in Plzen, and Biopticka Laboratory Ltd, Plzen, Czech Republic (A.S. and M.M.). Interestingly, 7 cases were originally diagnosed as MASCs by referring pathologists, and sent as consults for molecular confirmation. One additional case of predominantly apocrine IC was added which was originally reported as case no. 15 in Weinreb et al, <sup>10</sup> and tested by NGS.

The histopathologic features of all tumors and the immunohistochemical stains, when available, were reviewed by 2 pathologists (A.S. and M.B.). A diagnosis of IC was confirmed in cases that displayed histologic features consistent with original description in conjunction with the appropriate immunohistochemical profile, that is, co-expression of S100 protein, cytokeratin CK7, and mammaglobin in the absence of DOG1 staining. Moreover, an intact myoepithelial layer decorated by p63 and/or CK14 and calponin provided evidence of intraductal component. Widely invasive carcinomas were excluded. Tumors were classified further into 2 groups, according to criteria published recently<sup>10</sup>: those that showed an intercalated duct phenotype and those with an apocrine phenotype. This was determined both histologically and immunohistochemically. Thus, a total number of 17 IC cases were studied by NGS using ArcherDX FusionPlex kit.

For conventional microscopy, the excised tissues were fixed in formalin, routinely processed, embedded in paraffin (FFPE), cut, and stained with hematoxylin and eosin. In most cases, additional stains were also performed, including periodic acid-Schiff with and without diastase, mucicarmine, and Alcian blue at pH 2.5.

For immunohistochemical analysis, 4-µm-thick sections were cut from paraffin blocks and mounted on positively charged slides (TOMO, Matsunami Glass IND, Japan). Sections were processed on a BenchMark ULTRA (Ventana Medical System, Tucson, AZ), deparaffinized and then subjected to heat-induced epitope retrieval by immersion in a CC1 solution at pH 8.6 at 95°C. All

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**TABLE 1.** Antibodies Used for Immunohistochemical Study

Antigen Retrieval/ Antibody Source Specificity Clone Dilution (min) S100 protein Polyclonal RTU CC1/20 Ventana Mammaglobin CC1/36 DakoCytomation 304-1A5 RTU CC1/36 CC1/64 DakoCytomation Ventana OV-TL 12/30 1:200 4A4 RTU p63 DOG1 CC1/36 CC1/52 Cell Marque SP31 RTU GATA-3 1:200 L50-823 BioCareMedical Polyclonal 30-9 CC1/64 CC1/64 SOX-10 1:100 Cell Marque

RTU

RTU

CC1/64

CC1/36

Cell Marque

Cell Marque

CC1—EDTA buffer, pH 8.6. RTU indicates ready to use.

SP107

EP798Y

MIB1

Androgen

receptor Calponin

other primary antibodies used are summarized in Table 1. The bound antibodies were visualized using the ultraView Universal DAB Detection Kit (Roche) and ultraView Universal Alkaline Phosphatase Red Detection Kit (Roche). The slides were counterstained with Mayer's hematoxylin. Appropriate positive and negative controls were employed.

Where available, clinical follow-up was obtained from the patients, their physicians, or from referring pathologists.

# Molecular Genetic Study Sample Preparation for NGS and Reverse Transcriptase Polymerase Chain Reaction

For NGS and reverse transcriptase polymerase chain reaction (RT-PCR) analysis, 2 to 3 FFPE sections (10 µm thick) were macrodissected to isolate tumor-rich regions. Samples were extracted for total nucleic acid using Agencourt FormaPure Kit (Beckman Coulter, Brea, CA) following the corresponding protocol with an overnight digest and an additional 80°C incubation as described in modification of the protocol by ArcherDX (ArcherDX Inc., Boulder, CO). RNA component of the total nucleic acid was quantified using the Qubit Broad Range RNA Assay Kit (Thermo Fisher Scientific) and 2 µL of sample.

### **RNA Integrity Assessment and Library Preparation** for NGS

Unless otherwise indicated, 250 ng of FFPE RNA was used as input for NGS library construction. To assess RNA quality, the PreSeq RNA QC Assay using iTaq Universal SYBR Green Supermix (Biorad, Hercules, CA) was performed on all samples during library preparation to generate a measure of the integrity of RNA (in the form of a cycle threshold [Ct] value). Library preparation and RNA QC were performed following the Archer Fusion-Plex Protocol for Illumina (ArcherDX Inc.). The Archer FusionPlex Solid Tumor Kit was used. Final libraries were diluted 1:100,000 and quantified in a 10 µL reaction following the Library Quantification for Illumina Libraries protocol and assuming a 200 bp fragment length (KAPA, Wilmington, MA). The concentration of final libraries was around 200 nM. Threshold representing the minimum molar concentration for which sequencing can be robustly performed was set at 50 nM.

# NGS Sequencing and Analysis

Libraries were sequenced on a NextSeq. 500 sequencer (Illumina, San Diego, CA). They were diluted to 4 nM and equal amounts of up to 16 libraries were pooled per run. The optimal number of raw reads per sample was set to 3,000,000. Library pools were diluted to 1.8 pM library stock spiked with 20% PhiX and loaded in the NextSeq MID cartridge. Analysis of sequencing results was performed using the Archer Analysis software (v5; ArcherDX Inc.). Fusion parameters were set to a minimum of 5 valid fusion reads with a minimum of 3 unique start sites within the valid fusion reads.

### FISH Analysis of RET Break and TRIM27-RET Fusion

Four micrometer thick FFPE sections were placed onto positively charged slides. Hematoxylin and eosinstained slides were examined for determination of areas for cell counting.

The unstained slides were routinely deparaffinized and incubated in the ×1 Target Retrieval Solution Citrate pH 6 (Dako, Glostrup, Denmark) at 95°C for 40 minutes and subsequently cooled for 20 minutes at room temperature in the same solution. Slides were washed in deionized water for 5 minutes and digested in protease solution with Pepsin (0.5 mg/mL) (Sigma Aldrich, St. Louis, MO) in 0.01 M HCl at 37°C for 35 to 60 minutes according the sample conditions. Slides were then placed into deionized water for 5 minutes, dehydrated in a series of ethanol solution (70%, 85%, 96% for 2 min each) and air-dried.

For the detection of RET rearrangement, factory premixed commercial probe ZytoLight SPEC RET Dual Color Break Apart Probe (ZytoVision GmbH, Bremerhaven, Germany) have been used. For the TRIM27-RET dualfusion detection custom designed SureFISH probe (Agilent Technologies Inc., Santa Clara with chromosomal regions chr6:28631476-29131075 and chr10:43354893-43849282 have been used. Probe-mixture for the fusion detection was prepared from corresponding probes (each color was delivered in separated well), deionized water and LSI Buffer (Vysis/Abbott Molecular) in a 1:1:1:7 ratio, respectively.

An appropriate amount of mixed and premixed probes was applied on specimens, covered with a glass coverslip and sealed with rubber cement. Slides were incubated in the ThermoBrite instrument (StatSpin/Iris Sample Processing, Westwood, MA) with codenaturation at 85°C/8 minutes and hybridization at 37°C/16 hours. Rubber cemented coverslip was then removed and the slide was placed in post-hybridization wash solution (2×SSC/0.3% NP-40) at 72°C/2 minutes. The slide was air-dried in the dark, counterstained with 4', 6'-diamidino-2-phenylindole DAPI (Vysis/Abbott Molecular), cover slipped and immediately examined.

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TABLE 2. Primers for RT-PCR Analysis								
Primer Name	Sequence 5'-3'							
NCOA4-RET-7-12-F	CCCTTCCTGGAGAAGAGAGG							
NCOA4-RET-7-12-R	GTACCCTGCTCTGCCTTTCA							
NCOA4-RET-8-12-F	TACCCAAAAGCAGACCTTGG							
NCOA4-RET-8-12-R	CGCCTTCTCCTAGAGTTTTTCC							
TRIM27-RET-3-12-F	TGATCGCTCAGCTAGAAGAGAA							
TRIM27-RET-3-12-R	CCAAGTTCTTCCGAGGGAAT							

# **FISH Interpretation**

The sections were examined with an Olympus BX51 fluorescence microscope (Olympus Corporation, Tokyo, Japan) using a ×100 objective and filter sets Triple Band Pass (DAPI/SpectrumGreen/SpectrumOrange), Dual Band Pass (SpectrumGreen/SpectrumOrange) and Single Band Pass (SpectrumGreen or SpectrumOrange).

For each probe, 100 randomly selected nonoverlapping tumor cell nuclei were examined for the presence of yellow or green and orange fluorescent signals. Regarding break-apart probe, yellow signals were considered negative, separate orange and green signals were considered as positive; conversely for fusion probe, yellow signals were considered positive, separate orange and green signals were considered as negative. Cut-off values for break apart and fusion probes were set to  $<\!10\%$  and 20% of nuclei with chromosomal breakpoint and fusion signals, respectively (mean+3 SD in normal non-neoplastic control tissues).

# RT-PCR Analysis of NCOA4-RET and TRIM27-RET Fusion Transcripts

Two microliter of cDNA prepared by standard RT procedure using Transcriptor First Strand cDNA Synthesis Kit (RNA input 500 ng) (Roche Diagnostics, Mannheim, Germany) was added to reaction consisted of  $12.5\,\mu L$  of HotStar Taq PCR Master Mix (QIAgen, Hilden, Germany), 10 pmol of each fusion specific primer (Table 2) and distilled water up to 25 μL. The amplification program comprised denaturation at 95°C for 14 minutes and then 45 cycles of denaturation at 95°C for 1 minute, annealing at temperature 55°C for 1 minute and extension at 72°C for 1 minute. The program was finished by incubation at 72°C for 7 minutes.

TABLE 3. Detailed Molecular Findings of 17 Cases of Salivary Gland ICs

						FISH	RT-PCR	FISH	
	Agel			Exons	FISH	TRIM27-RET	Fusion	ETV6	RT-PCR
No.	Sex	Diagnosis	NGS	Joining	RET ba	Fusion	Specific	ba	ETV6-NTRK3
1	38/M	Pure IC intercalated duct type, solid microcystic, rich lymphoid stroma	NCOA4-RET	8-12	Positive*	ND	Positive	Negative	Negative
2	47/M	Pure IC intercalated duct type, solid multicystic, "MASC-like"	NCOA4-RET	7-12	Positive*	ND	Positive	Negative	Negative
3	54/M	IC with apocrine features, micropapillary, minimal invasion	TRIM27-RET	3-12	Positive	Positive	Positive	Negative	Negative
4	50/M	Pure IC intercalated duct type, solid multicystic, "MASC-like"	NA	-	NA	ND	Negative	Negative	Negative
5	58/M	Pure IC intercalated duct type, solid microcystic, apocrine, papillary	NA	_	NA	ND	ND	NA	Negative
6	81/M	Pure IC intercalated duct type, "roman bridges"	NA	_	Negative	ND	Negative	Negative	Negative
		Invasive component of epithelial- myoepithelial carcinoma							
7†	50/F	Pure IC intercalated duct type, unicystic	Negative	_	Negative	ND	ND	Negative	Negative
8	74/M	Pure IC intercalated duct type, papillary, multicystic	NCOA4-RET	8-12	Positive*	ND	Positive	Negative	Negative
9	36/F	Pure IC intercalated duct type, few apocrine cells	Negative	_	Negative	ND	ND	Negative	Negative
10	53/F	IC intercalated duct type, minimal invasion	Negative	_	Negative	ND	ND	Negative	Negative
11	75/F	IC with apocrine features, papillary, thick fibrous capsule	Negative	_	Negative	ND	ND	Negative	Negative
12	69/M	IC with apocrine features, unicystic	Negative	_	ND	ND	ND	ND	Negative
13	42/F	Pure IC intercalated duct type, unicystic	Negative		ND	ND	ND	Negative	Negative
14	61/F	Pure IC intercalated duct type, solid, microcystic, "MASC-like"	NCOA4-RET	7-12	Positive*	ND	Positive	Negative	Negative
15	36/M	Pure IC intercalated duct type, solid, microcystic, "MASC-like"	NCOA4-RET	8-12	Positive*	ND	Positive	NA	Negative
16	50/M	Pure IC intercalated duct type, solid, microcystic, "MASC-like"	NCOA4-RET	8-12	Positive	ND	Positive	ND	ND
17‡	66/M	IC with widespread apocrine features, and hybrid intercalated duct pattern	TRIM27-RET	3-12	Positive	Positive	Positive	ND	ND

<sup>\*</sup>Cases 1 to 2, 8, and 14 to 16 showed a *RET* FISH signal pattern indicative of an inversion/rearrangement (in one allele a gap between orange and green signals was observed, hovewer this gap didnot reach internal cut-off (≥2 signal diameters apart). †Published in Laco et al.<sup>22</sup> †Published as case no.15 in Weinreb et al.<sup>10</sup> F indicates female; M, male; NA, not analyzable; ND, not done.

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Successfully amplified PCR products were purified with magnetic particles Agencourt AMPure (Agencourt Bioscience Corporation, A Beckman Coulter Company, Beverly, MA). Products were then bi-directionally sequenced using Big Dye Terminator Sequencing kit (Applied Biosystems, Foster City, CA), purified with magnetic particles Agencourt CleanSEQ (Agencourt Bioscience Corporation), all according to manufacturer's protocol and run on an automated sequencer ABI Prism 3130×1 (Applied Biosystems) at a constant voltage of 13.2 kV for 11 minutes.

### **RESULTS**

# **Molecular Genetic Findings**

The 17 cases of IC were analyzed by NGS using the ArcherDX analysis platform. This analysis detected a NCOA4-RET fusion transcript joining exon 7 or 8 of NCOA4 gene and exon 12 of RET gene in 6 cases and a novel TRIM27-RET fusion transcript between exons 3 and 12 in 2 cases of salivary gland tumors displaying histologic and immunohistochemical features typical of

apocrine IC (Table 3). The fusion transcripts were confirmed by RT-PCR and sequencing (Figs. 1A–C). FISH analysis using *RET* break-apart probe showed 2 different patterns, one "negative" when distance criteria was used according to the interpretation rules, but still showing small separation of signals (cases 1 to 2, 8, and 14 to 16) (Fig. 2A), and a regular break (classic positive pattern) with apparent separation of signals demonstrated in 2 cases (cases 3 and 17) (Fig. 2B). Confirmation using FISH *TRIM27-RET* fusion probe displayed fusion signals with amplification (Fig. 2C). Localization of *RET*, *NCOA4*, and *TRIM27* genes for an explanation of 2 different patterns of FISH break-apart results is shown in the figure (Fig. 2D).

All analyzed cases were negative for ETV6-NTRK3 gene fusion by the FusionPlex kit, RT-PCR, and ETV6 gene was found intact by FISH (Table 3). No other fusion transcripts different from NCOA4-RET or TRIM27-RET were found by NGS in any analyzable case of IC. A total of 47% of analyzed cases harbored a RET fusion by NGS, an identical finding to that found by Weinreb et al. 10 By RT-PCR, the fusions NCOA4-RET and TRIM27-RET were confirmed in all NGS positive cases. The RET FISH break-apart probe and

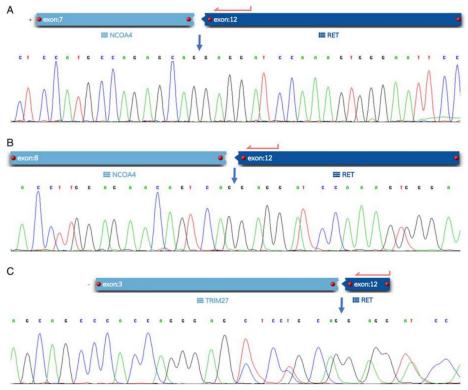
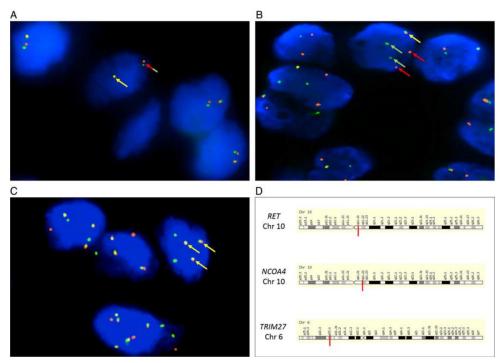


FIGURE 1. Schematic representation of the fusion transcripts with Sanger sequence of RT-PCR. NCOA4-RET exons 7 and 12 (A), NCOA4-RET exons 8 and 12 (B), TRIM27-RET exons 3 and 12 (C).

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**FIGURE 2.** FISH analysis. A, Seemingly negative *RET* break-apart probe with small separation of signals (red-green arrow). B, Regular *RET* break-apart probe positivity (red and green arrows); yellow arrows show intact gene in both cases. C, *TRIM27-RET* fusion probe positivity with signal amplification (yellow arrows). D, Scheme of chromosomal localization of *RET*, *NCOA4*, and *TRIM27* genes.

TRIM27-RET fusion probe successfully detected the RET rearrangement in both cases with TRIM27-RET. "False" negative FISH results were obtained in all NCOA4-RET cases for RET rearrangement owing to this fusion being the result of an intrachromosomal rearrangement with inversion. Although a narrow separation of the FISH signals was noted in each case. The molecular findings are summarized in Table 3.

# Clinical and Histologic Characteristics of the Study Group

The study cohort consisted of 15 pure ICs and 2 ICs with limited microinvasion. The patients with available clinical information included 11 males and 6 females, with a wide age-range at diagnosis of 36 to 81 years (mean, 55.3 y). The most common anatomic site of involvement was the parotid gland (no. = 16 patients), with one case in the buccal mucosa. The tumors ranged from 0.6 to 4.6 cm (mean, 1.64 cm). Detailed clinical, follow-up, and histologic findings in 17 patients with IC are summarized in Table 4.

Grossly, the tumors were entirely circumscribed and encapsulated in most cases, although infiltrative edges were seen in 2 cases. All tumors were treated by surgical excision with clear surgical margins. Five patients underwent subtotal

conservative parotidectomy (cases 2, 8, 14 and 15), followed by radiation therapy (case 8), and cervical lymph node dissection (case 2), respectively. Clinical follow-up data were obtained from 15 patients, and ranged from 8 months to 15 years (mean, 5 y and 5 mo); 2 patients were lost to follow-up.

# Microscopic and Immunohistochemical Features

Histologically, at low power magnification, most cases were well-circumscribed and encapsulated. Focal areas with microinvasion were seen in 2 cases of IC (case 3 and 10). In addition, in case 6 the tumor of parotid gland was a hybrid lesion composed of 2 separate components, one was invasive epithelial-myoepithelial carcinoma and the other pure IC without invasion. Lymphovascular and perineural invasion was absent in all cases. All tumors were characterized by luminal epithelial proliferations with ductal phenotype arranged mainly in multiple cystic patterns, besides solid and cribriform islands (Fig. 3A). Intracystic growth often formed micropapillary structures with anastomosing and fligreed epithelial tufts, comprising the so called Roman-bridges pattern (Fig. 3B). Eosinophilic proteinaceous secretion and hemorrhagic areas were occasionally observed in some cystic spaces, as well as

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TARIF 4	Clinicopathologic	Features of 1	16	Cases of	Salivary	Gland	Intraductal	Carcinomas

No.	Age/ Sex	Site	Size (cm)	Diagnosis	Nuclear Grade	S100 Protein/ MGA	AR	Treatment	Outcome (in months)
1	38/M	Parotid	4.0	Pure IC intercalated duct type, solid microcystic, rich lymphoid stroma	Low	+/+	-	Superficial parotidectomy	20 NED
2	47/M	Parotid	1.4	Pure IC intercalated duct type, solid multicystic, "MASC-like"	Low	+/+	-	Parotidectomy and neck dissection	36 NED
3	54/M	Parotid	2.2	IC with apocrine features, micropapillary, minimal invasion	High	+/+	+	Superficial parotidectomy	8 NED
4	50/M	Parotid	1.0	Pure IC intercalated duct type, solid multicystic, "MASC-like"	Low	+/+	-	Partial parotidectomy	57 NED
5	58/M	Parotid	1.5	Pure IC intercalated duct type, solid microcystic, apocrine, papillary	Low	+/+	+	Wide excision	144 NED
6	81/M	Parotid	NA	Pure IC intercalated duct type, "roman-bridges" Invasive component of epithelial-myoepithelial carcinoma*	Low	+/ND	-	NA	NA
7	50/F	Parotid	1.5	Pure IC intercalated duct type, unicystic	Low	+/+	-	Wide excision	72 NED
8	74/M	Parotid	1.5	Pure IC intercalated duct type, papillary, multicystic	Low	+/+	-	Parotidectomy and radiotherapy	62 NED
9	36/F	Buccal mucosa	0.6	Pure IC intercalated duct type, few apocrine cells	Low	+/+	ND	Wide excision	180 NED
10	53/F	Parotid	2.0	IC intercalated duct type, minimal invasion	Low	+/+	-	Parotidectomy	180 NED
11	75/F	Parotid	4.6	IC with apocrine features, papillary, thick fibrous capsule	Intermediate	+/+	ND	Parotidectomy	72 NED
12	69/M	Parotid	0.9	IC with apocrine features, unicystic	Low	+/ND		Parotidectomy	60 NED
13	42/F	Parotid	0.7	Pure IC intercalated duct type, unicystic	Low	+/ND	ND	Parotidectomy	60 NED
14	61/F	Parotid	1.5	Pure IC intercalated duct type, solid, microcystic, "MASC-like" Focal necrosis	Low	+/+	-	Partial parotidectomy (deep lobe)	8 NED
15	36/M	Parotid	1.0	Pure IC intercalated duct type, solid, microcystic, "MASC-like"	Low	+/+	-	Partial parotidectomy	8 NED
16	50/M	Parotid	2.5	Pure IC intercalated duct type, solid, microcystic, "MASC-like"	Low	+/+	-	Partial parotidectomy	12 NED
17*	66/M	Parotid	1.3	IC with widespread apocrine features, and hybrid intercalated duct pattern	Intermediate	+/+	+	NA	NA

\*Published as case no.15 in Weinreb et al. <sup>10</sup> F indicates female; MGA, mammaglobin; M, male; NA, not available; ND, not done; NED, no evidence of disease.

cholesterol clefts and foamy histiocytes. Tumor stroma was densely collagenized in 3 cases, while in one case there was rich lymphoid stroma with a growth pattern similar to Warthin tumor (case 1) (Fig. 3C).

On high-power magnification, all cases showed bland cytology, with tumor cells ranging from small to medium size, with indistinct cell borders, showing round or ovoid nuclei with dark condensed or finely dispersed chromatin and large pale to eosinophilic cytoplasm. Mitoses were inconspicuous and only in one case there were focal comedo necrosis (case 14). Tumor cells showed lipofuscin-like brown pigment within cells intracytoplasmic vacuoles in most cases (Fig. 3D). Six cases showed focal (cases 5, 9) or extensive apocrine differentiation (cases 3, 11, 12, 17) (Fig. 4A).

In ICs with apocrine and mixed hybrid growth patterns (6/17), most tumor cells were positive for androgen receptor (AR) (Fig. 4B). All examined IC cases were positive for \$100 protein (Fig. 5A), mammaglobin (Fig. 5B), and SOX-10 (Fig. 5C), typically in a strong and diffuse manner. An intact myoepithelial cell layer surrounding tumor islands was evidenced by p63 immunohistochemical expression (which was negative in other tumor cells) (Fig. 5D). Calponin, and CK 14 decorated an intact peripheral myoepithelial cell layer

in all examined cases, as well. Staining for DOG1 was negative in most cases, with limited areas of positive cells in one case. Proliferative activity was generally low, with a mean MIB1 index <5% (range 1% to 10%).

# **DISCUSSION**

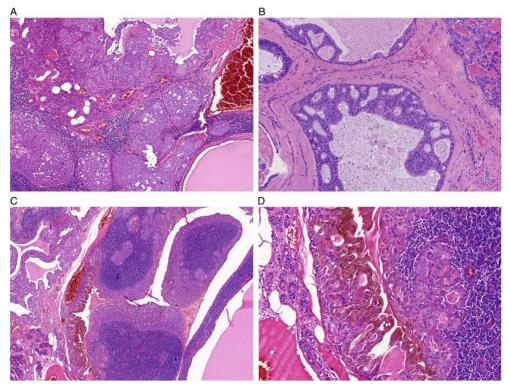
The term "intraductal carcinoma" of the salivary gland was first introduced in 1983 by Chen<sup>15</sup> in a description of a single case arising in minor salivary gland of the oral cavity. Subsequently, several case reports and small series of the same tumor entity were published with various names, including "low-grade salivary duct carcinoma,"  $^{16,17}$  and "low-grade cribriform cystadenocarcinoma."  $^{18}$  These all referred to a combination of cystic and solid structures of varying size formed by intraductal epithelial proliferation composed of cells resembling intercalated ductal cells and structures similar to atypical ductal hyperplasia and ductal carcinoma in situ of breast. In the current WHO classification, this tumor is now again regarded as an IC.

IC has been surrounded by controversy since its discovery not only because of variable terminologies, but mainly because of its uncertain relationship to SDC. 16-19

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**FIGURE 3.** IC, intercalated duct type. A, All tumors were characterized by luminal epithelial proliferations with ductal phenotype arranged mainly in multiple cystic patterns, besides solid and cribriform islands. B, Intracystic growth often formed micropapillary structures with anastomosing and filigreed epithelial tufts, comprising the so called Roman-bridges pattern. C, There was rich lymphoid stroma with growth pattern similar to Warthin tumor in case 1. D, Tumor cells showed lipofuscin-like brown pigment within cells intracytoplasmic vacuoles in most cases.

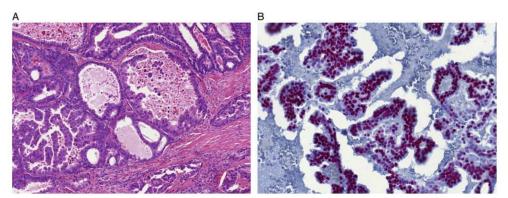
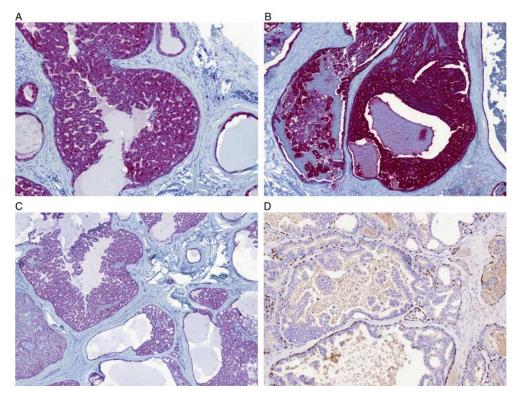


FIGURE 4. IC, apocrine variant. IC with widespread apocrine differentiation (A). Most tumor cells were positive for AR (B).

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**FIGURE 5.** IC, immunoprofile. IC cases were positive for \$100 protein (A), mammaglobin (B), and \$OX-10 (C), typically in a strong and diffuse manner. An intact myoepithelial cell layer surrounding tumor islands was evidenced by p63 immunohistochemical expression (which was negative in other tumor cells) (D). Calponin, and CK 14 (D) decorated an intact peripheral myoepithelial cell layer.

In typical cases, IC is noninvasive low-grade carcinoma composed of bland neoplastic cells positive for S100, which is in sharp contrast to SDC. SDC is defined by the recent WHO classification as an aggressive epithelial malignancy resembling high-grade mammary ductal carcinoma; and it is composed of polymorphic cells with S100 negative and AR positive immunoprofile. Therefore these 2 lesions are generally accepted as distinct and separate entities. Sp.21,22 Despite this, there are, in the literature, occasional well documented low-grade ICs with subsequent widespread invasion. Sp. Even more confusing are rare cases of true high-grade SDC reported as purely in situ lesions.

In recent years, many salivary gland low-grade carcinomas have been found to have pathognomonic translocations and characteristic chromosomal rearrangements. These include ETV6-NTRK3 and ETV6-RET in MASC, <sup>1,8</sup> MYB-NFIB and MYBL1-N-FIB in adenoid cystic carcinoma, <sup>25</sup> EWSR1-ATF1 in (hyalinizing) clear cell carcinoma, <sup>26</sup> CRTC1/CRTC3-

MAML2 in mucoepidermoid carcinoma, <sup>27</sup> and PRKD1-3 rearrangements in cribriform adenocarcinoma of tongue and other minor salivary glands. <sup>28</sup> These alterations are tumor-specific and are present in majority of the cases of the given tumor entity. Therefore they may serve as diagnostic markers useful for improvement of salivary gland tumor classification and taxonomy. There have been very few genomic studies to investigate the relationship of carcinomas with prevailing intraductal growth pattern and their morphologies and mimics. In particular, recently Weinreb et al, <sup>10</sup> reported a series of intraductal and invasive carcinomas of intercalated salivary duct origin. They categorized IC into intercalated salivary duct origin. They categorized IC into intercalated duct and apocrine phenotype. IC with intercalated duct differentiation harbored a NCOA4-RET fusion in one index case and an inversion pattern of RET rearrangement by FISH in a subset of cases suggested this may represent a dominant fusion. <sup>10</sup> Only one case in this study showed a classic break-apart pattern with RET FISH, suggesting an alternate fusion. <sup>10</sup> In contrast, IC with apocrine phenotype were shown to be negative for NCOA4-RET. <sup>10</sup>

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In the current study, which is the largest genomic study of IC to date, the NCOA4-RET was confirmed to be the dominant fusion in IC, and likely represented all the *RET* positive cases previously reported with an inversion pattern of rearrangement by FISH. A new finding in our study has been a discovery of a subset of IC patients with apocrine variant IC harboring a novel TRIM27-RET. The single case with a classic break-apart for RET reported by Weinreb et al,  $^{10}$  was retested in this study and confirmed to harbor TRIM27-RET. To our knowledge, TRIM27-RET has not been recognized in any other salivary gland tumor so far. A total of 8 of 17 cases (47%) were confirmed to harbor a RET fusion. Interestingly, recent studies using RNA sequencing have revealed that SDC may also be added to the growing list of gene fusion-positive salivary carcinomas, with NCOA4-RET fusions having been found in 2 SDCs.<sup>29</sup> Both NCOA4-RET translocated SDC were positive for AR, and the tumors progressed in spite of undergoing concurrent chemoradiation, combination chemotherapy, and dual androgen deprivation therapy. Both patients with NCOA4-RET translocation, however, benefited from RET-targeted therapy.<sup>29</sup>

IC has a generally identical immunoprofile with MASC (S100 protein/mammaglobin positive and DOG1/ p63 negative in tumor ductal cells with p63 protein immunostaining only in abluminal myoepithelial layer); interestingly MASC (particularly those with ETV6-RET gene fusions) seem to closely resemble NCOA4-RET translocated ICs by histomorphology as well. Therefore, it is possible that the NCOA4-RET gene fusion reported in one case of "MASC" actually represented a case of IC, possibly with invasion concealing its intraductal origin. 11

In conclusion, NGS analysis of 17 cases of IC detected a NCOA4-RET fusion transcript joining exon 7 or 8 of NCOA4 gene and exon 12 of RET gene in 6 cases of intercalated duct type IC; and a novel TRIM27-RET fusion transcript between exons 3 and 12 in 2 cases of salivary gland tumors displaying histologic and immunohistochemical features typical of apocrine IC for a total of 47% of IC cases. All analyzed cases were negative for ETV6-NTRK3 gene fusion by the FusionPlex kit, RT-PCR, and ETV6 gene was found intact by FISH. A novel finding in our study has been a discovery of a subset of IC patients with apocrine variant IC harboring a novel TRIM27-RET. The RET negative cases seen in this study and by Weinreb and colleagues, account for half of all ICs. There is likely a non-RET fusion or other finding that is not detectable using our current NGS platform and requires further study.

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# 1.11.2 NCOA4-RET A TRIM27-RET JSOU CHARAKTERISTICKÉ GENOVÉ FÚZE SALIVÁRNÍHO INTRADUKTÁLNÍHO KARCINOMU ZAHRNUJÍCÍ INVAZIVNÍ I METASTATICKÉ TUMORY: JE TERMÍN "INTRADUKTÁLNÍ" SPRÁVNĚ?

Druhá studie zabývající se problematikou IC, analyzuje 33 nových případů IC a porovnává je se sérií 20 salivárních duktálních karcinomů (SDC). Těchto 33 případů IC bylo IHC a molekulárně geneticky vyšetřeno a identifikované fúze byly konfirmované metodami FISH a RT-PCR.

Soubor 33 IC tvořilo 24 případů s fenotypem z vmezeřených duktů, 6 případů s čistě apokrinními rysy a 4 případy hybridní morfologie. Všechny IC exprimovaly p63 či p40, v intaktní myoepiteliální abluminální vrstvě. Celkem 24 případů IC exprimovalo S100 protein a SOX10. Většina IC s apokrinním a hybridním fenotypem byly jaderně AR pozitivní. Čisté apokrinní IC navíc byly pozitivní na AR a negativní na S100 protein/SOX10, vyjma jednoho případu se všemi pozitivními markery.

V 11 případech IC z vmezeřených duktů byla zjištěna fúze *NCOA4-RET*, přičemž ve 2 případech byl přítomen invazivní růst a v 1 byla zastižena rozsáhlá invaze s metastázou do lymfatické uzliny. Ve 2 případech IC s apokrinními rysy byla identifikována fúze mezi exonem 3 genu *TRIM27* a exonem 12 genu *RET*, jeden z těchto 2 případů byl invazivního růstu. Dále byly zastiženy 2 případy s nově identifikovanými fúzními transkripty *TUT1-ETV5* (fenotyp z vmezeřených duktů) a *KIAA1217-RET* (hybridní fenotyp), oba měly invazivní charakter. Zbylé 3 případy byly též invazivního charakteru, 2 z nich byly molekulárně geneticky neanalyzovatelné a 1 byl negativní. Celkem 42,4 % případů v sérii IC mělo zlom v genu *RET*.

Ve skupině 20 SDC, z nichž 10 bylo vyšetřeno molekulárně geneticky, nebyla zastižena žádná z výše popsaných fúzí. Imunohistochemicky se jednalo o klasické SDC, exprimující CK7 a negativní s S100 proteinem a s markery proti bazální-myoepiteliální vrstvě.

Z hlediska molekulárně genetického pozadí pouze jedna studie z roku 2016 využívající RNA sekvenaci objevila *NCOA4-RET* fúzi ve 2 případech SDC (80). Tyto případy byly klasifikovány jako SDC na podkladě pozitivity AR. Klinicky se jednalo o nádory, které neodpovídaly na chemoradioterapii ani na terapii androgeny, ale oba pacienti profitovali z léčby RET-inhibitory. I přesto že se jedná o sugestivní nález, v kontextu celé studie a zejména díky obrázkové dokumentaci není morfologie 2 případů *NCOA4-RET* translokovaných SDC kompatibilní s diagnózou SDC. Chybí též údaje o vyšetření SOX10, mammaglobin či S100 protein a AR byly jen fokálně pozitivní. Což nás přivádí k hypotéze, že tyto *RET*-translokované nádory nejsou pravými SDC a že, dle našeho názoru, spíše představují hybridní apokrinně/vmezeřenou variantu IC.

Různý molekulární profil IC a SDC podporuje tvrzení, že se jedná o odlišné maligní nádory slinných žláz. Nález nádorově-specifických fúzí *NCOA4-RET* a *TRIM27-RET* v podskupině invazivních karcinomů s fenotypem z vmezeřených duktů a apokrinním je více než podnětný. Přivádí nás k názoru, že spíše než termín "intraduktální karcinom" navržený WHO klasifikací z roku 2017 by se měl vžít termín "neinvazivní (či invazivní) karcinom z vmezeřených duktů" pro nádory s S100 protein/SOX10/mammaglobin pozitivitou, negativitou AR a se zachovanou myoepiteliální vrstvou. Tento typ karcinomu z vmezeřených duktů představuje jednu linii diferenciace, která může obsahovat onkocytární, apokrinní či světlobuněčnou komponentu. Nádory charakterizované pozitivitou AR, negativitou na S100 protein/mammaglobin či případně ohraničené *TRIM27-RET* genovou fúzí by měly být pojmenovány "karcinomy z vmezeřených duktů s apokrinními rysy". Termín SDC by pak měl být rezervovaný pro pokročile invazivní high-grade malignity a měl by být klasifikovaný podle

již dříve stanovených kritérií (81). Genetické alterace postihující SDC zahrnují amplifikaci genu *HER2-neu*, hotspot mutace *PIK3CA* a *HRAS* a mnoho dalších, které nebyly popsané v IC (55).

# NCOA4-RET and TRIM27-RET Are Characteristic Gene Fusions in Salivary Intraductal Carcinoma, Including Invasive and Metastatic Tumors

Is "Intraductal" Correct?

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Abstract: Intraductal carcinoma (IC) is the new WHO designation for tumors previously encompassed by "low-grade cribriform cystadenocarcinoma" and "low-grade salivary duct carcinoma." The relationship of IC to salivary duct carcinoma (SDC) is controversial, even though they are considered to be distinct entities. IC is a rare low-grade malignant salivary gland neoplasm with histopathological features reminiscent of atypical ductal hyperplasia or ductal

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carcinoma in situ of the breast, showing diffuse S100 protein and mammaglobin positivity, while it is partially defined genetically. Recently, RET rearrangements including NCOA4-RET and TRIM27-RET have been described in IC. Here, we genetically characterize the largest cohort of IC to date (33 cases) including 8 cases with focal or widespread invasive growth and 1 case with lymph node metastasis. Thirty-three cases of IC were analyzed by next-generation sequencing (NGS) using the FusionPlex Solid Tumor kit (ArcherDX). Identified gene fusions were confirmed using fluorescence in situ hybridization break-apart and fusion probes and an reverse transcription polymerase chain reaction designed specifically for the detected breakpoints. Ten cases of SDC were analyzed for comparison using NGS panels that detect mutations and fusion transcripts. NGS analysis detected an NCOA4-RET fusion transcript in 11 cases of intercalated ducttype IC joining exon 7 or 8 of NCOA4 gene and exon 12 of the RET gene. Eight cases of IC had an invasive growth pattern, including one with widespread invasion and lymph node metastasis. Three invasive ICs harbored an NCOA4-RET fusion transcript, while 1 case was negative, and 2 cases were not analyzable. In addition, a novel TRIM27-RET fusion transcript between exon 3 of TRIM27 and exon 12 of RET was identified in 2 cases of IC with apocrine features, and one of them displayed invasive growth. Two IC cases with invasive growth harbored novel fusions TUT1-ETV5 and KIAA1217-RET, respectively. A total of 42.4% of the cases in this series of IC harbored fusions involving RET. Such fusion transcripts were not detected in any of the 10 SDC cases. We have confirmed NCOA4-RET as a predominant fusion in intercalated duct-type IC, including 3 cases with invasive growth pattern. A novel finding in our series was a case of widely invasive intercalated duct-type IC, with a single lymph node metastasis that revealed an NCOA4-RET fusion transcript. We also demonstrated that a subset of apocrine ICs harbored a TRIM27-RET gene fusion, including one case with invasive growth. In contrast, neither NCOA4-RET nor TRIM27-RET fusions were detected in any tested SDCs. Thus, the distinct molecular findings in IC and SDC support that the

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tumors are separate malignant salivary tumor entities. The presence of tumor-type-specific NCOA4-RET or TRIM27-RET translocations in a subset of widely invasive carcinomas with intercalated duct-like immunoprofiles suggests that a recharacterization of IC including its redesignation as "intercalated duct carcinoma, invasive or noninvasive" may be appropriate.

**Key Words:** salivary gland neoplasms, intraductal carcinoma, intercalated duct carcinoma, apocrine, *NCOA4-RET*, *TRIM27-RET*, *RET*-targeted therapy, gene fusion, cohort studies

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n the 2017 World Health Organization Classification of Head and Neck Tumours, the tumor entity originally described as "low-grade salivary duct carcinoma" later called "low-grade cribriform cystadenocarcinoma"3 was renamed as intraductal carcinoma (IC). IC is a rare low-grade salivary gland malignancy with histomorphologic features reminiscent of atypical ductal hyperplasia or ductal carcinoma in situ of the breast. The tumor is, in typical cases, characterized by intraductal and intracystic proliferation of luminal ductal cells exhibiting solid, cribriform, and papillary patterns. Its in situ intraductal nature is demonstrated by an intact myoepithelial cell layer highlighted by antibodies to p63 protein, calponin, and/or cytokeratin 14. IC typically shows an intercalated duct phenotype demonstrating S100 protein and SOX10 positivity of luminal cells, while a subset of IC shows apocrine morphology further supported by androgen re-ceptor (AR) immunoexpression. ARare lesions show mixed features of the 2 (hybrid types).

Recent studies investigating the molecular genetics of IC showed recurrent rearrangements of the RET gene with a predominant NCOA4-RET fusion in the intercalated duct-type IC,<sup>5,6</sup> and a TRIM27-RET fusion in the apocrine-type IC.<sup>6,7</sup> Recurrent rearrangements involving RET have been identified in 47% of cases of low-grade IC with S100 positivity and intercalated duct phenotype.<sup>5,6</sup> NCOA4-RET fusion was described in noninvasive IC, and the RET gene was identified as an apparent early oncogenic driver.  $^{5.6}$  Interestingly, rare but well-documented cases of IC with focal or widespread invasive growth have been reported.<sup>4,6</sup> Although previous cases of IC with microinvasion were known,<sup>2,8,9</sup> the first case of \$100 protein positive and AR-negative intercalated duct-type IC with NCOA4-RET gene fusion and an invasive component was described recently by Weinreb et al (case 1).5 In our previous study, we reported invasive growth in one case of IC with apocrine features and TRIM27-RET gene fusion (case 3).6 Thus, there is a growing body of evidence indicating that RET-rearranged ICs are not always indolent in situ malignancies, but may be widely invasive

Moreover, *RET*-rearranged IC cases with hybrid intercalated duct and apocrine features have been reported.<sup>5,7</sup> These findings, in particular, intercalated predominant and pure apocrine carcinomas with widely invasive growth patterns and *RET* rearrangement suggest that the current

term "intraductal carcinoma" (WHO 2017)¹ should be replaced by a more appropriate designation. It is the opinion of the authors of this study that the term "intraductal carcinoma" is a misnomer because of cases with widely invasive carcinomas with a background of in situ intraductal low-grade lesions harboring NCA4-RET or TRIM27-RET gene fusions. To support this hypothesis, we have genetically characterized the largest cohort of IC to date (33 cases), including 8 cases with focal to widespread invasive growth and 1 case with lymph node metastasis. The relationship of IC to salivary duct carcinoma (SDC) has been controversial since the first description of IC.²,8-13 Ten cases of SDC were analyzed for comparison using nextgeneration sequencing (NGS) panels that detect mutations and fusion transcripts.

# **MATERIALS AND METHODS**

Among >6200 cases of primary salivary gland tumors, 33 cases of IC were retrieved from the consultation files of the Salivary Gland Tumor Registry, at the Department of Pathology, Faculty of Medicine in Plzen, and Biopticka Laboratory Ltd, Plzen, Czech Republic, and from the consultation files of the coauthors. Twentynine cases originally diagnosed as SDC were, for comparison, retrieved from the consultation files of the Salivary Gland Tumor Registry, and 10 of them were analyzed by NGS.

The histopathologic features of all tumors and the immunohistochemical stains, when available, were reviewed by 3 pathologists (A.S., T.S., and M.B.). A diagnosis of IC was confirmed in cases that displayed histologic features consistent with the original description in conjunction with the appropriate immunohistochemical profile, that is, co-expression of S100 protein, cytokeratin CK7, and mammaglobin in the absence of DOG1 staining. Moreover, an intact myoepithelial layer decorated by p63 and/or CK14 and calponin provided evidence of the intraductal component. Tumors were classified further into 2 groups, according to criteria published recently<sup>4</sup>: those that showed an intercalated duct phenotype and those with an apocrine phenotype. This was determined both histologically and immunohistochemically. Thus, a total of 33 IC cases were studied by NGS using ArcherDX Fusion Plex kit.

A diagnosis of SDC was confirmed in cases that displayed histologic features consistent with original description in conjunction with the appropriate immunohistochemical profile, using criteria published recently.<sup>14</sup>

For conventional microscopy, the excised tissues were fixed in formalin, routinely processed, embedded in paraffin (FFPE), cut, and stained with hematoxylin and eosin.

For immunohistochemical analysis, 4-µm-thick sections were cut from paraffin blocks and mounted on positively charged slides (TOMO, Matsunami Glass IND, Japan). Sections were processed on a BenchMark ULTRA (Ventana Medical System, Tucson, AZ), deparaffinized, and then subjected to heat-induced epitope retrieval by

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**TABLE 1.** Antibodies Used for Immunohistochemical Evaluation

Antibody			Antigen	
Specificity	Clone	Dilution	Retrieval/time	Source
S-100 protein	Polyclonal	RTU	CC1/20 min	Ventana
Mammaglobin	304-1A5	RTU	CC1/36 min	DakoCytomation
CK7	OV-TL	1:200	CC1/36 min	DakoCytomation
	12/30			
p63	4A4	RTU	CC1/64 min	Ventana
SOX-10	Polyclonal	1:100	CC1/64 min	Cell Marque
MIB1	30-9	RTU	CC1/64 min	Ventana
Androgen receptor	SP107	RTU	CC1/64 min	Cell Marque
Calponin	EP798Y	RTU	CC1/36 min	Cell Marque
CK 14	SP53	RTU	CC1/64 min	Cell Marque

CC1 indicates EDTA buffer, pH 8.6; RTU, ready to use.

immersion in a CC1 solution at pH 8.6 at 95°C. All primary antibodies used are summarized in Table 1. The bound antibodies were visualized using the ultraView Universal DAB Detection Kit (Roche) and ultraView Universal Alkaline Phosphatase Red Detection Kit (Roche). The slides were counterstained with Mayer's hematoxylin. Appropriate positive and negative controls were used.

Where available, clinical follow-up was obtained from the patients, their physicians, or from referring pathologists.

# **Molecular Genetic Study**

# Sample Preparation for NGS and Reverse Transcription Polymerase Chain Reaction

For NGS and reverse transcription polymerase chain reaction (RT-PCR) analysis, 2 to 3 FFPE sections (10 µm thick) were macrodissected to isolate tumor-rich regions. Samples were extracted for total nucleic acid using Agencourt FormaPure Kit (Beckman Coulter, Brea, CA). The RNA integrity was evaluated using PreSeq RNA QC Assay, as was previously described.<sup>6</sup>

# RNA Integrity Assessment and Library Preparation for NGS

Unless otherwise indicated, 250 ng of FFPE RNA was used as input for NGS library construction. To assess RNA quality, the PreSeq RNA QC Assay using iTaq Universal SYBR Green Supermix (Biorad, Hercules, CA) was performed on all samples during library preparation to generate a measure of the integrity of RNA (in the form of a cycle threshold [Ct] value). Library preparation and RNA QC were performed following the Archer Fusion-Plex Protocol for Illumina (ArcherDX Inc.). The Archer FusionPlex Solid Tumor Kit was used. Final libraries were diluted 1:100,000 and quantified in a 10 µL reaction following the Library Quantification for Illumina Libraries protocol and assuming a 200 bp fragment length (KAPA, Wilmington, MA). The concentration of final libraries was around 200 nM. Threshold representing the minimum molar concentration for which sequencing can be robustly performed was set at 50 nM.

### NGS Sequencing and Analysis

Libraries were diluted to 4 nM and sequenced on a NextSeq sequencer (Illumina, San Diego, CA). The optimal number of raw reads per sample was set to 3 million. Library pools were diluted to 1.6 pM library stock with 20% 1.8 pM PhiX and loaded in the NextSeq cartridge.

The fusion and other rearrangement detection algorithm in Archer Analysis relies on the specificity of the genespecific primers used in the amplification steps in the AMP process. The resulting FASTQ files were analyzed using the Archer Analysis software (version 5.1.7; ArcherDX Inc.).

# Fluorescence In Situ Hybridization Analysis of RET Break and TRIM27-RET Fusion

Four-micrometer-thick FFPE sections were placed onto positively charged slides. Hematoxylin and eosin-stained slides were examined for determination of areas for cell counting.

The unstained slides were deparaffinized and incubated in the 1× Target Retrieval Solution Citrate pH 6 (Dako, Glostrup, Denmark) at 95°C for 40 minutes and subsequently cooled for 20 minutes at room temperature in the same solution. The slides were washed in deionized water for 5 minutes and digested in protease solution with Pepsin (0.5 mg/mL) (Sigma Aldrich, St. Louis, MO) in 0.01 M HCl at 37°C for 35 to 60 minutes according to the sample conditions. The slides were then placed into deionized water for 5 minutes, dehydrated in a series of ethanol solution (70%, 85%, and 96% for 2 min each), and air-dried.

For the detection of *RET* rearrangement, factory premixed commercial probe ZytoLight SPEC RET Dual Color Break Apart Probe (ZytoVision GmbH, Bremerhaven, Germany) was used. For the *TRIM27-RET* dual-fusion detection custom-designed SureFISH probe (Agilent Technologies Inc., Santa Clara) with chromosomal regions chr6:28631476-29131075 and chr10:43354893-43849282 was used. Probe mixture for fusion detection was prepared from corresponding probes (each color was delivered in a separated well), deionized water, and LSI Buffer (Vysis/Abbott Molecular) in a 1:1:17 ratio, respectively.

An appropriate amount of mixed and premixed probes was applied on specimens, covered with a glass coverslip, and sealed with rubber cement. The slides were incubated in the ThermoBrite instrument (StatSpin/Iris Sample Processing, Westwood, MA) with co-denaturation at 85°C/8 minutes and hybridization at 37°C/16 hours. The rubber-cemented coverslip was then removed, and the slide was placed in posthybridization wash solution (2xSSC/0.3% NP-40) at 72°C/2 minutes. The slide was airdried in the dark, counterstained with 4′, 6′-diamidino-2-phenylindole DAPI (Vysis/Abbott Molecular), cover slipped, and immediately examined.

# Fluorescence In Situ Hybridization Interpretation

The sections were examined with an Olympus BX51 fluorescence microscope (Olympus Corporation, Tokyo, Japan) using a  $\times 100$  objective and filter sets

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Triple Band Pass (DAPI/SpectrumGreen/SpectrumOrange), Dual Band Pass (SpectrumGreen/SpectrumOrange), and Single Band Pass (SpectrumGreen or SpectrumOrange).

For each probe, 100 randomly selected nonoverlapping tumor cell nuclei were examined for the presence of yellow or green and orange fluorescent signals. As regards break-apart probe, yellow signals were considered negative, and separate orange and green signals were considered as positive; conversely, for fusion probe, yellow signals were considered positive, and separate orange and green signals were considered as negative. Cut-off values for break-apart and fusion probes were set to > 10% and 20% of nuclei with chromosomal breakpoint and fusion signals, respectively (mean+3 SD in normal non-neoplastic control tissues).

# RT-PCR Analysis of NCOA4-RET and TRIM27-RET Fusion Transcripts

Two sample of cDNA prepared by standard reverse transcription procedure using Transcriptor First Strand cDNA Synthesis Kit (RNA input 500 ng) (Roche Diagnostics, Mannheim, Germany) was added to the reaction mixture consisting of 12.5  $\mu L$  of HotStar Taq PCR Master Mix (QIAgen, Hilden, Germany), 10 pmol of each fusion-specific primer (Table 2), and distilled water up to 25  $\mu L$ . The amplification program comprised denaturation at 95° C for 14 minutes and then 45 cycles of denaturation at 95° C for 1 minute, annealing at a temperature of 55°C for 1 minute and extension at 72°C for 1 minute. The program was completed by incubation at 72°C for 7 minutes.

Successfully amplified PCR products were purified with magnetic particles Agencourt AMPure (Agencourt Bioscience Corporation, A Beckman Coulter Company, Beverly, MA). Products were then bidirectionally sequenced using Big Dye Terminator Sequencing kit (Applied Biosystems, Foster City, CA), purified with magnetic particles Agencourt CleanSEQ (Agencourt Bioscience Corporation), all according to the manufacturer's protocol, and run on an automated sequencer ABI Prism 3130×1 (Applied Biosystems) at a constant voltage of 13.2 kV for 11 minutes.

# **RESULTS**

# **Molecular Genetic Findings**

Thirty-three cases of IC were analyzed by NGS using the Anchored Multiplex PCR (AMP) chemistry technique (ArcherDX). An NCOA4-RET fusion transcript

TABLE 2. Primers Used for RT-PCR Analysis Sequence 5'-3' Name of Primer NCOA4-RET\_7-12\_F CCCTTCCTGGAGAAGAGAGAG NCOA4-RET\_7-12\_R NCOA4-RET\_8-12\_F GTACCCTGCTCTGCCTTTCA TACCCAAAAGCAGACCTTGG NCOA4-RET\_8-12\_R TRIM27-RET\_3-12\_F CGCCTTCTCCTAGAGTTTTTCC TGATCGCTCAGCTAGAAGAGAA TRIM27-RET\_3-12\_R TRIM27-RET\_3-12\_R TUT1-ETV5\_7-8\_F TUT1-ETV5\_7-8\_R KIA1217-RET\_14-9\_F CCAAGTTCTTCCGAGGGAAT GTACTCGGCCTAGCCTCCAC TCTGACATTTGCCGATGGTA CCAGTGGACAAGGTGGAACT KIA1217-RET\_14-9\_R AGGTGCCATAGCCAGCTTTA

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joining exon 7 or 8 of the NCOA4 gene and exon 12 of the RET gene was detected in 11 cases of intercalated duct or hybrid types of IC. A novel TRIM27-RET fusion transcript between exon 3 of TRIM27 and exon 12 of RET was detected in 2 cases with histologic and immunohistochemical features typical of the apocrine variant of IC. Two IC cases with invasion harbored novel fusions TUT1-ETV5 and KIAA1217-RET, respectively. The fusion transcripts were confirmed by RT-PCR and by sequencing. Fluorescence in situ hybridization (FISH) analysis using *RET* break-apart probe showed 2 different patterns. One was deemed "negative" when distance criteria of the break-apart probe were used according to interpretation rules, even if a minor separation of signals was seen (cases 1 to 2, 8, 15 to 19, 26, and 29). The other pattern showed a regular break (classic positive pattern) with separation of signals detected in 3 cases (cases 3, 28, and 31). Confirmation using FISH TRIM27-RET fusion probe displayed fusion signals with amplification. Localization of RET, NCOA4, and TRIM27 genes to obtain an explanation of the 2 different patterns in FISH breakapart results is shown in the Figure 1.

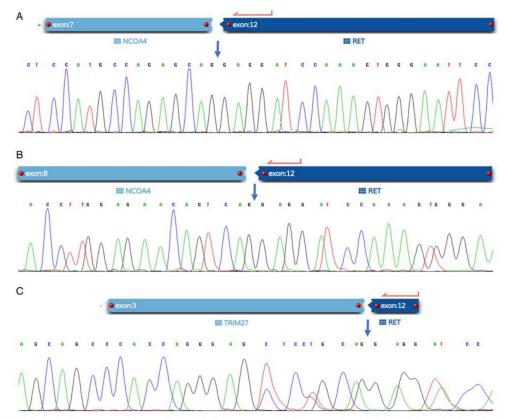
A total of 42.4% of the analyzed cases harbored an *RET* fusion by NGS. This was less than that in previous reports, <sup>5,6</sup> but almost one-third of our cases were found not analyzable (NA). By RT-PCR, the fusions *NCOA4-RET* and *TRIM27-RET* were confirmed in all NGS-positive cases. The *RET* break-apart probe and the *TRIM27-RET* fusion probe successfully detected rearrangement in both cases with *TRIM27-RET* fusion identified by NGS. Only a narrow separation of the FISH signals for *RET* rearrangement was obtained, as this rearrangement contains an intrachromosomal inversion. Such "false"-negative FISH results were obtained for all *NCOA4-RET* cases. The molecular findings are summarized in Tables 3 and 4.

Ten cases of high-grade salivary carcinomas, originally diagnosed as SDC, were analyzed by NGS using the Fusion Plex Solid Tumor and Comprehensive Thyroid and Lung (CTL) kits for Illumina (ArcherDX). The analysis revealed one case harboring an ETV6-NTRK3 fusion, and therefore it was reclassified as a high-grade secretory carcinoma. NGS also detected 5 likely pathogenic mutations in 5 SDC cases (*HRAS*: c.182A > G p.Gln61Arg, *HRAS*: c.37G > C p. Gly13Arg, *AKT1*: c.49G > A p.Glu17Lys, *PTEN* c.1003C > p.Arg335Ter). Homozygous deletion of locus 9p21 (CDKN2A) was detected in one case harboring an HRAS mutation. Two cases presented rearrangement of the MYB gene, while one case was positive for PLAG1 rearrangement. MDM2 amplification was found in one case harboring also a PTEN mutation. The other SDC cases were negative for MDM2 amplification and showed no rearrangement in the genes MYB, MYBL1, NFIB, NTRK1, RET, and PLAG1. Neither NCOA4-RET nor TRIM27-RET fusions were detected in any SDC cases.

# Clinical and Histologic Characteristics of the Study Group

The study series consisted of 33 ICs; 25 neoplasms were pure intraductal in situ lesions, while 8 cases showed apparent

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**FIGURE 1.** A–C, Sanger sequencing analysis of RT-PCR generated fusion transcripts NCOA4-RET (exon joining 7 to 12), NCOA4-RET (exon joining 8 to 12), and TRIM27-RET (exon joining 3 to 12). The arrow shows the fusion position.

invasive growth, in one case with a single metastasis in a periparotideal lymph node. The invasive carcinomas occurred in patients ranging in age from 36 to 64 years (mean: 52.5 y), and noninvasive IC in patients ranging in age from 17 to 81 years (mean: 56 y). The most common anatomic site of involvement was the parotid gland (n=28), and there was 1 case each in the buccal mucosa, the palate, and the submandibular gland. Detailed clinical and histopathologic findings and follow-up information of 33 patients with IC are summarized in Table 4.

#### Microscopic and Immunohistochemical Features

Histologically, at low-power magnification, the ICs were well circumscribed and encapsulated in most cases. They were characterized by luminal epithelial proliferations with intercalated duct phenotype arranged mainly in multiple cystic patterns, besides solid and cribriform islands in 24/33 (73%) (Fig. 2A). Intracystic growth often formed micropapillary structures with anastomosing epithelial tufts, with snouts,

decapitation secretion, and apocrine features in 6/33 cases (18%) (Fig. 2C). A hybrid intercalated duct and apocrine growth pattern were seen in 4/33 cases (12%). Widespread or focal invasive growth was found in 8 cases. In the cases of invasion, we estimate that the invasive component ranged roughly from 10 to 60% of the total tumor area. While no peritumoral lymphovascular invasion was noted in the IC cases, perineural invasion (Fig. 3A) and metastatic infiltration in a periparotid lymph node were observed in 1 case (Fig. 3B). Invasive areas revealed a compressed fibrotic stroma with desmoplasia. Case 6 was an unusual multinodular invasive low-grade carcinoma of the parotid gland composed of 2 distinctive growth patterns. A large part of the tumor was a low-grade salivary epithelial-myoepithelial carcinoma with apocrine differentiation in both the luminal epithelial and the abluminal myoepithelial cells, and it had a broadly invasive growth pattern. Another section of the same tumor revealed a noninvasive IC, of the intercalated duct type, with intracystic papillary and cribriform features.

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TABLE 3. Detailed Molecular Findings of 33 Cases of Salivary Gland Intraductal Carcinomas RT-**PCR** FISH **FISH** TRIM27-RET Fusion ETV6 RT-PCR Age Exons Specific No. (y)/Sex Diagnosis NGS Joining RET ba Fusion ba ETV6-NTRK3 Pure IC intercalated duct type, solid microcystic, rich lymphoid stroma 1 38/M NCOA4-RET 8-12 Positive\* ND Positive Negative Negative 47/M Pure IC intercalated duct type, solid NCOA4-RET 7-12 Positive\* ND Positive Negative Negative multicystic IC with apocrine features, micropapillary, invasive 54/M TRIM27-RET 3-12 Positive Positive Positive Negative Negative Pure IC intercalated duct type, solid multicystic 50/M NA NA ND Negative Negative Negative Pure IC hybrid intercalated duct and apocrine type, solid microcystic, ND ND 5 58/M NA NA NA Negative papillary 81/M Pure IC intercalated duct type, "roman NA Negative ND Negative Negative Negative bridges" Invasive component of epithelialmyoepithelial ca Pure IC intercalated duct type, unicystic Pure IC intercalated duct type, papillary, 50/F Negative NCOA4-RET ND ND Negative Negative Negative 8-12 Positive 74/M Positive\* ND Negative Negative multicystic

Pure IC intercalated duct type, few 36/F ND ND Negative Negative Negative Negative apocrine cells
IC intercalated duct type, stromal 10 53/F ND ND Negative Negative Negative Negative invasion, perineural invasion
IC with apocrine features, papillary, thick Negative 11 75/F ND ND Negative Negative Negative fibrous capsule
IC with apocrine features, unicystic 69/M ND Negative ND ND ND Negative 13 42/F Pure IC intercalated duct type, unicystic Pure IC intercalated duct type, solid, Negative ND ND ND Negative Negative 14 75/F ND Negative Negative Negative Negative Negative microcystic Pure IC intercalated duct type, solid, 15 61/F NCOA4-RET 7-12 Positive\* ND Positive NA Negative microcystic Pure IC intercalated duct type, solid, 16 36/M NCOA4-RET Positive\* ND Positive ND ND microcystic
IC with widespread apocrine features, and NCOA4-RET 17 50/M Positive\* Positive Positive ND ND hybrid intercalated duct pattern IC intercalated duct pattern with ND 18 36/M NCOA4-RET Positive\* Positive Negative ND widespread stromal invasion, periparotideal lymph node metastasis 19 48/M NCOA4-RET ND ND ND IC intercalated duct type, solid ND ND 8-12 microcystic Pure IC with apocrine features Pure IC with widespread apocrine features, and hybrid intercalated duct 54/M ND ND ND 20 Negative ND ND 21 51/M Negative ND ND ND ND ND 22 71/F ND ND ND ND ND Pure IC intercalated duct type, solid Negative microcystic
Pure IC intercalated duct type,
ure IC intercalated duct type
Pure IC intercalated duct type
IC intercalated duct type, solid, 64/M ND ND ND ND ND NA 24 25 70/MNA NA ND ND ND ND ND ND ND ND 17/M ND ND microcystic IC intercalated duct type, solid, 26 55/F NCOA4-RET 7-12 ND ND ND ND ND macrocystic, focally invasive IC intercalated duct type, solid, NA 27 ND ND ND ND ND macrocystic, focally invasive IC, apocrine variant, intracystic papillary TRIM27-RET 28 3-12 Positive Positive Positive ND ND and micropapillary structures predominate, focal intercalated duct type of growth with solid pattern, no invasive growth IC, predominantly in situ intercalated duct variant, focally invasive 52/M NCOA4-RET ND ND ND ND 29 7-12 Positive 64/M IC, intercalated duct variant, focally TUT1-ETV5 7-8 ND ND NA ND ND

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TABLE 3. (continued)

No.	Age (y)/Sex	Diagnosis	NGS	Exons Joining	FISH RET ba	FISH TRIM27-RET Fusion	RT- PCR Fusion Specific	FISH ETV6 ba	RT-PCR ETV6-NTRK3
31	47/F	IC, hybrid intercalated duct structures predominate, apocrine features, focally invasive	KIAA1217-RET	14-9	Positive	ND	Positive	ND	ND
32 33	70/F 48/F	IC, intercalated duct variant IC, intercalated duct variant	Negative NCOA4-RET	7-12	ND ND	ND ND	ND Positive	ND ND	ND ND

On high-power magnification, all cases showed bland cytologic features, with tumor cells ranging from small to medium size. They had indistinct cell borders and round or ovoid nuclei with dark condensed or finely dispersed chromatin and large pale to eosinophilic cytoplasm. None of the cases in this study had an oncocytic morphology, although an oncocytic variant has been described in the literature.<sup>15</sup> Mitoses were inconspicuous. In all 8 IC cases with invasive growth, we have seen compressed sclerotic fibrosis representing apparent desmoplastic reaction around the invasive foci of the tumors (Fig. 3C). These invasive ICs did not have higher MIB1 indices. Proliferative activity in ICs was generally low, with a mean MIB1 index <5% (ranging from 1% to 10%). No difference was seen between invasive and noninvasive ICs

The tumor islands of IC were surrounded by a layer of myoepithelial cells, as evidenced by the immunohistochemical expression of p63, calponin, and/or CK 14 (all of which were negative in luminal epithelial tumor cells) in a continuous abluminal pattern in 14/33 cases (43%) (Fig. 2B). A partly discontinuous immunostaining for p63 was seen in most cases (Fig. 3D). All IC cases assessed as completely intraductal were examined in total and stained with antibodies to calponin and p63/p40. Thus, hematoxylin and eosin-based information was verified by continuous immunostains corresponding to intact myoepithelial cell layers. In ICs with apocrine and mixed hybrid growth patterns (10/33 cases; 30%), most tumor cells were positive for nuclear AR (Fig. 2D). Diffuse and strong coexpression of S100 protein, mammaglobin, and SOX10 was detected in 24/33 cases (73%). Pure apocrine ICs were positive for AR and negative for S100 protein/SOX10 and mammaglobin in 4 cases, while, in one case, AR was strongly expressed together with S100 protein/SOX10 and mammaglobin.

#### **DISCUSSION**

The term "intraductal carcinoma" of the salivary gland was first introduced in 1983 by  $Chen^{10}$  in a description of a single case arising in the minor salivary gland of the oral cavity. Subsequently, several case reports and small series of the same tumor entity were published with various names, including "low-grade salivary duct carcinoma" 2.8 and

"low-grade cribriform cystadenocarcinoma." The latter designation was introduced by the editors of the 2005 WHO Classification of Head and Neck Tumours, although this term had never appeared in the literature before that point.3 This term referred to cystic and solid structures formed by intraductal epithelial proliferation of cells resembling intercalated duct cells and structures reminiscent of atypical ductal hyperplasia and ductal carcinoma in situ of the breast. In the 2017 WHO Classification of Head and Neck Tumours, this tumor is regarded as an IC. IC has been surrounded by controversy since its description not only because of variable terminologies but primarily because of the uncertain relationship to salivary duct carcinoma.<sup>2,3,8,11</sup> In typical cases, IC is a noninvasive low-grade carcinoma composed of bland neoplastic cells positive for S100 protein, in sharp contrast to those features seen in SDC. SDC is defined by the 2017 WHO classification as an aggressive epithelial malignancy resembling high-grade mammary ductal carcinoma. It is composed of pleomorphic S100 protein-negative and AR-positive neoplastic cells. <sup>16</sup> Therefore, these 2 lesions are generally accepted as distinct and separate entities. <sup>5,6,13,14</sup> However, the literature describes occasional well-documented low-grade ICs with widespread invasive growth.<sup>2,3,5,6</sup> Even more confusing are rare cases of true high-grade SDC reported as purely "in situ lesions."<sup>11</sup> Moreover, IC cases with hybrid intercalated duct and apocrine features,<sup>5,7</sup> oncocytic features,<sup>15</sup> and pure apocrine features with diffuse AR-positive and S100 protein/ mammaglobin and/or SOX10-negative immunoprofile<sup>4,6</sup> have been described.

In recent years, many salivary gland low-grade carcinomas have been found to demonstrate characteristic tumor type-specific chromosomal rearrangements, such as known as mammary analogue secretory carcinoma (also known as mammary analogue secretory carcinoma). 17,18 EWSR1-ATF1 and EWSR1-CREM in hyalinizing clear cell carcinoma of minor salivary glands, 19,20 CRTC1-MAML2 and CRTC3-MAML2 in mucoepidermoid carcinoma,21 ARID1A-PRKD1 and variant PRKD1, PRKD2, and PRKD3 fusions in cribriform adenocarcinoma of minor salivary glands and *PRKD1* somatic mutations in polymorphous adenocarcinoma, <sup>22-24</sup> and *HTN3-MSANTD3* in a subset of acinic cell carcinoma of the salivary gland.<sup>25</sup> The growing list of gene fusion-positive salivary carcinomas

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Cases 1 to 17 were published in Skálová et al.<sup>6</sup>

\*Cases showed an RET FISH signal pattern indicative of an inversion/rearrangement (in one allele a gap between orange and green signals was observed; hovewer, this gap did not reach internal cutoff (≥ 2 signal diameters apart).

F indicates female; M, male; NA, not analyzable; ND, not done.

Case No.	Age (y)/Sex	Site	Size (cm)	NGS (Archer)	FISH	RT-PCR	Outcome (mo)
1	38/M	PA	4.0×1.7×1.4	NCOA4-RET	RET+*	NCOA4-RET+	28 NED
					ETV6-	ETV6-NTRK3-	
2	47/M	PA	1.4	NCOA4-RET	RET+*	NCOA4-RET+	44 NED
					ETV6-	ETV6-NTRK3-	
3	54/M	PA	$2.2 \times 1.2 \times 0.9$	TRIM27-RET	$RET+\dagger$	TRIM27- $RET$ +	16 NED
					ETV6-	ETV6-NTRK3-	
4	50/M	PA	1.0	NA	ETV6-	ETV6-NTRK3-	65 NED
5	58/M	PA	$1.5 \times 1.0 \times 0.9$	NA	NA	ETV6-NTRK3-	152 NED
6	81/M	PA	_	NA	ETV6-	ETV6-NTRK3-	NAv
7	50/F	PA	15	No fusion	ETV6-	ETV6-NTRK3-	80 NED
8	74/M	PA	1.5	NCOA4-RET	RET+*	NCOA4- $RET$ +	70 NED
					ETV6-	ETV6-NTRK3-	
9	36/F	Buccal mucosa	0.6	No fusion	ETV6-	ETV6-NTRK3-	188 NED
10	53/F	PA	$2.0 \times 1.0 \times 1.0$	No fusion	ETV6-	ETV6-NTRK3-	188 NED
11	75/F	PA	$4.6 \times 4.0 \times 1.7$	No fusion	ETV6-	ETV6-NTRK3-	80 NED
12	69/M	PA	0.9	No fusion	ND	ETV6-NTRK3-	68 NED
13	42/F	PA	0.7	No fusion	ETV6-	ETV6-NTRK3-	68 NED
14	75/F	Hard palate	_	No fusion	MAML2-	ETV6-NTRK3-	NAv
						CRTC3-MAML2-	
						CTRC1-MAML2-	
15	61/F	PA	$1.5 \times 1.0 \times 1.4$	NCOA4-RET	ND	ETV6-NTRK3-	16 NED
16	36/M	PA	$1.0 \times 0.7 \times 0.7$	NCOA4-RET	RET+*	ETV6-NTRK3-	16 NED
					ETV6- NA		
17	50/M	PA	2.6	NCOA4-RET	RET+*	NCOA4- $RET$ +	20 NED
18	36/M	PA	5×4×4	NCOA4-RET	RET+*	NCOA4- $RET$ +	RT proton; 8 NED
					NTRK3-		
					ETV6-		
19	48/M	PA	_	NCOA4-RET	ND	ND	12 NED
20	54/M	PA	$1.5 \times 8 \times 0.5$	No fusion	ND	ND	NAv
21	51/M	PA	2	No fusion	ND	ND	NAv
22	71/F	PA	1.8	No fusion	ND	ND	NAv
23	64/M	Subm	_	NA	ND	ND	NAv
24	70/M	PA	1.5	NA	ND	ND	NAv
25	17/M	PA	1.0	NA	ND	ND	96 NED
26	55/F	PA	1.5	NCOA4-RET	ND	ND	48NED
27	59/M	PA	1.2	NA	ND	ND	60 NED
28	66/M	PA	_	TRIM27-RET	TRIM27+	TRIM27- $RET$ +	NAv
					$RET+\dagger$		
29	52/M	PA	2.8	NCOA4- $RET$	ETV6-	NCOA4- $RET$ +	NAv
					RET+*		
30	64/M	PA	1.9	TUT1-ETV5	ND	NA	NAv
31	47/F	PA	1.0	KIAA1217-RET	ETV6-	KIAA1217- $RET$ +	NAv
					$RET+\dagger$		
32	70/F	PA	2.5	No fusion	ND	ND	NAv
33	48/F	PA	2.4	NCOA4- $RET$	ND	NCOA4- $RET$ +	NAv

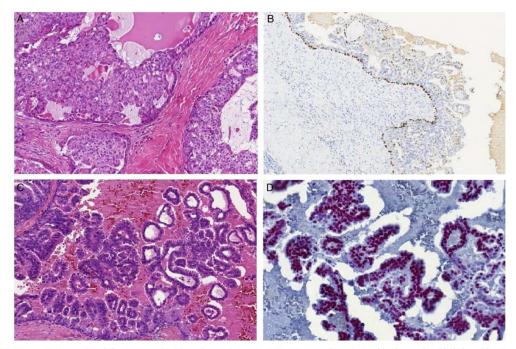
includes IC with an NCOA4-RET fusion reported recently.<sup>5,6</sup> In addition, we have described a subset of IC with apocrine morphology harboring a novel TRIM27-RET fusion.<sup>6</sup> Interestingly, one study using RNA sequencing has revealed *NCOA4-RET* fusions in 2 cases of salivary gland neoplasms classified as SDC on the basis of expression of AR.<sup>13</sup> Both NCOA4-RET translocated neoplasms progressed in spite of undergoing concurrent chemoradiation, combination chemotherapy, and dual androgen deprivation therapy. Both patients, however, benefited from RET-targeted therapy. 15 This would be a challenging finding, but the neoplasm described as NCOA4-RET-translocated SDC, and depicted in their Figure 3B is morphologically not compatible with SDC.13 No reference to SOX10, mammaglobin, or S100 protein was made, while their Figure 3B shows only focal AR staining. Therefore, it is not clear whether these *RET*-translocated neoplasms are true SDCs.<sup>13</sup> It is the opinion of the authors that they most likely represented a hybrid apocrine/intercalated variant of IC.

None of the above-cited gene fusions were documented in SDC cases of the previous study<sup>5</sup> or in this current series. In view of the tumor type-specific rearrangements described in salivary neoplasms so far, it is not likely that multiple separate salivary tumor types would have the same NCOA4-RET and RET rearrangements. Thus, NCOA4-RET and TRIM27-RET gene

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<sup>\*</sup>Cases showed an RET FISH signal pattern indicative of an inversion/rearrangement.
†Cases 3, 28, and 31 showed a classic break-apart signal pattern.
F indicates female; M, male; NA, not anlyzable; NAv, not available; ND, not done; NED, no evidence of disease; PA, parotid gland; RT proton, radiotherapy in proton center; subm, submandibular gland.



**FIGURE 2.** IC, intercalated duct variant (A, B), and apocrine variant (C, D). IC is characterized by luminal epithelial proliferations, with intercalated duct phenotype arranged in multiple cystic patterns (A), with the intact myoepithelial abluminal layer decorated by p63 protein in immunohistochemical staining (B). An apocrine variant of IC shows intracystic papillary structures composed of tumor cells exhibiting abundant eosinophilic cytoplasm with apical snouts of decapitation secretion (C) and androgen receptors in immunohistochemical staining (D).

fusions appear to present a rather specific marker of the intercalated duct and apocrine variants of IC, respectively, including cases of widely invasive and metastasizing neoplasms. Therefore, the 2017 WHO Classification term 'intraductal carcinoma" is confusing and should be replaced by a more appropriate and accurate one. We propose the designation "intercalated duct carcinoma, noninvasive (or invasive)" for neoplasms formed by intraductal epithelial proliferation composed of cells resembling intercalated duct cells with an S100 protein/ SOX10 and mammaglobin-positive and AR-negative immunoprofile, and an intact or focally disrupted abluminal myoepithelial cell layer. Thus, the intercalated duct carcinoma represents a line of differentiation toward intercalated duct features, but, in some cases, ICs may show oncocytic, <sup>15</sup> apocrine, or clear cell features. The neooncoyue, apocrine, or clear cell features. The neo-plasms characterized by apocrine morphology, and an AR-positive and \$100 protein/SOX10-negative im-munoprofile harboring the *TRIM27-RET* gene fusion, should be designated "intercalated duct carcinoma, with apocrine features." Interestingly, we observed one case of pure intercalated duct IC associated with invasive low-grade epithelial-myoepithelial carcinoma (EMCa).

Fittingly, EMCa also recapitulates the intercalated duct phenotype, <sup>26,27</sup> and has been suggested to differentiate in that direction. Moreover, the reported observation of background intercalated duct hyperplasia in some cases of EMCa suggests the possibility of an intercalated duct precursor lesion. <sup>28,29</sup>

In contrast to IC, the well-established term "salivary duct carcinoma" should be reserved for widely invasive high-grade malignancies and should be classified according to previously reported criteria. <sup>14</sup> SDCs have shown a number of genomic alterations including *HER2-neu* gene amplification, and hotspot *PIK3CA* and *HRAS* mutations and many others, not present in ICs. <sup>16,30–32</sup>

amplineation, and noispot *TIKSCA* and *TIKAS* mutations and many others, not present in ICs. <sup>16,30–32</sup>

A novel finding in our series was a case of widely invasive intercalated duct-type IC, with a single lymph node metastasis that revealed an *NCOA4-RET* fusion transcript. Two IC cases with invasive growth harbored novel fusions *TUTI-ETV5* and *KIAA1217-RET*, respectively. Finally, *NCOA4-RET* and *TRIM27-RET*, *TUTI-ETV5*, and *KIAA1217-RET* were not detected by NGS in any of the 10 SDCs studied as a comparison group. The molecular distinction reported herein between IC and SDC supports the idea that they represent separate malignant salivary tumor

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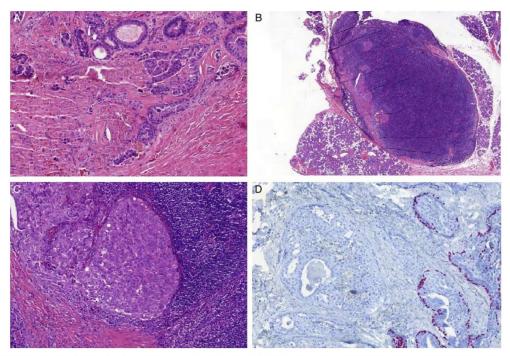


FIGURE 3. Invasive IC (A–D). The invasive growth pattern is seen in IC with perineural infiltration (A) and metastatic invasion in periparotideal lymph node (B, C). The discontinuous myoepithelial layer is seen in immunohistochemical staining with p63

entities, and should be considered separately in future classifications.

In summary, recurrent rearrangements involving the RET gene were identified in a subset of invasive and metastasizing IC, with intercalated duct and apocrine metastasizing IC, with intercatated duct and apostine variants resulting in *NCOA4-RET* and *TRIM27-RET* fusions, respectively. Patients with locally invasive, advanced, and metastatic *RET*-rearranged tumors could benefit from targeted therapy using *RET*-inhibitors. <sup>33–36</sup> However, the presence of oncogenic rearrangements cannot be predicted reliably by tumor morphology only. Therefore, we propose that salivary gland cancers should be tested in a screening program for targetable *RET* alterations, if available. Finally, our study indicates that ICs can also manifest significant invasive growth, and, consequently, a designation of these tumors as ICs seems inappropriate by conventional histopathologic rules. The authors suggest that IC should be redesignated as intercalated duct carcinoma, noninvasive or invasive.

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## 1.11.3 IMUNOHISTOCHEMICKÁ A GENETICKÁ ANALÝZA RESPIRAČNÍCH EPITELIÁLNÍCH ADENOMATOIDNÍCH HAMARTOMŮ A SEROMUCINÓZNÍCH HAMARTOMŮ: JEDNÁ SE O PREKURZOROVÉ LÉZE SINONAZÁLNÍCH LOW-GRADE TUBULOPAPILÁRNÍCH ADENOKARCINOMŮ?

Respirační epiteliální adenomatoidní hamartomy (REAH) a seromucinózní hamartomy (SH) jsou nádorům podobné léze nacházející se v dutině nosní, paranazálních sinusech a v nazofaryngu (82, 83). Patogeneze REAH a SH je stále nejistá. Jsou dávány do souvislosti s neoplastickou proliferací, mechanickým drážděním, zánětem či případně poruchou migrace tkáně v průběhu embryonálního vývoje. Low-grade tubulopapilární adenokarcinomy (LGTA) patří do skupiny non-salivárních a non-intestinálních sinonazálních adenokarcinomů.

Cílem studie bylo prokázat vztah REAH/SH s LGTA ve smyslu REAH/SH jako možného prekurzoru vzniku LGTA. Dále byla provedena molekulárně genetická studie REAH a SH.

Celkem jsme vyšetřili 10 případů REAH a SH imunohistochemicky (IHC) (MUC1, MUC2, MUC4, MUC5AC, MUC6, CK7, CK20, CDX2, SATB2, S100 protein, p63 a SOX10) a molekulárně geneticky (metoda NGS, analýza klonality metodou HUMARA). Imunohistochemické hodnocení proběhlo zvlášť pro komponenty serózní, mucinózní a respirační. Získané údaje byly porovnány s IHC daty 9 případů LGTA vyšetřených stejnými markery.

Serózní komponenta REAH/SH byla pozitivní s markery CK7 (10/10), SOX10 (9/10), MUC1 (8/10) a S100 proteinem (7/10), slabá a fokální pozitivita byla zjištěna s MUC4 (5/10) a p63 (1/10). Respirační komponenta REAH/SH byla pozitivní s MUC1 (10/10) MUC4 (10/10), MUC5AC (10/10) a p63 (9/10). Menší množství případů vykazovalo pozitivitu s CK7 (3/10). Zejména S100 protein a SOX10 zvýraznily tzv. pučení serózních acinů ze stran záhybů respiračního epitelu do stromatu. IHC profil LGTA zahrnoval následující pozitivní markery: CK7 (9/9), MUC4 (8/9), SOX10 (7/9), MUC1 (5/9), S100 (5/9), SATB2 (2/9), a MUC5AC (1/9). Shrneme-li IHC výsledky, dostaneme závěr, že serózní komponenta REAH/SH exprimuje markery CK7, MUC1 a SOX10 shodně s LGTA.

Molekulárně geneticky jsme v 1 případě SH metodou NGS zastihli *EGFR-ZNF267* genovou fúzi a v 1 případě SH byla potvrzena klonalita za použití HUMARA eseje.

REAH a SH jsou sice klasifikovány jako samostatné jednotky, z našeho pohledu však představují morfologicky a imunohistochemicky se prolínající spektrum jedné léze, která může být potenciálními prekurzorem maligních nádorových onemocnění.





Original contribution

# Immunohistochemical and genetic analysis of respiratory epithelial adenomatoid hamartomas and seromucinous hamartomas: are they precursor lesions to sinonasal low-grade tubulopapillary adenocarcinomas? \*\*,\*\*\*\*\*,\*\*

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#### Keywords:

Respiratory epithelial adenomatoid hamartoma; REAH; Seromucinous hamartoma; Low-grade tubulopapillary adenocarcinoma; Sinonasal tract; Nasal cavity Summary Respiratory epithelial adenomatoid hamartoma (REAH) and seromucinous hamartoma (SH) are rare tumor-like lesions of the nasal cavity, paranasal sinuses, and nasopharynx. The pathogenesis of REAH/SH is still unclear. Neoplastic proliferation, chronic mechanical irritation, inflammation, or possible embryological tissue misplacement are speculated as possible mechanisms of their development. Low-grade tubulopapillary adenocarcinoma (LGTA) is a rare variant of nonsalivary, nonintestinal type sinonasal adenocarcinoma. The aim of this study was to evaluate the immunohistochemical and genetic profiles of 10 cases of REAH/SH, with serous, mucinous, and respiratory components evaluated separately and to compare these findings with the features of 9 cases of LGTA. All cases of REAH/SH and LGTA were analyzed immunohistochemically with a cocktail of mucin antigens (MUC1, MUC2, MUC4, MUC5AC, MUC6) and with epithelial (CK7, CK20, CDX2, SATB2) and myoepithelial markers (S100 protein, p63, SOX10). The next-generation sequencing assay was performed using FusionPlex Solid Tumor Kit (ArcherDx) in 10 cases of REAH/SH, and the EGFR-ZNF267 gene fusion was detected in 1 of them. Two female REAH/SH cases were assessed for the presence of clonality. Using the human androgen receptor assay, 1 case was proved to be clonal. The serous component of REAH/SH was positive for CK7/MUC1 and SOX10 similarly to LGTA. Although REAH/SH and

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LGTA are histopathologically and clinically separate entities, the overlap in their morphological and immunohistochemical profiles suggests that REAH/SH might be a precursor lesion of LGTA. © 2019 Elsevier Inc. All rights reserved.

#### 1. Introduction

The sinonasal tract comprises a broad spectrum of reactive and neoplastic lesions [1-3], the classification of which, especially in small biopsy samples with a limited amount of tissue material, can be challenging. Most of the specimens are categorized as recurrent inflammatory polyps in patients with chronic hyperplastic rhinitis, followed by squamous papillomas and squamous cell carcinomas. In contrast, the lesions, such as hamartomas, Schneiderian papillomas, and various types of adenocarcinomas, are uncommon.

Respiratory epithelial adenomatoid hamartoma (REAH) and seromucinous hamartoma (SH) are rare lesions arising in the sinonasal tract. REAH was described for the first time by Wenig and Heffner in 1995 [4], whereas SH was initially described as a case report by Baillie and Batsakis in 1974 [5] and later in a small series by Weinreb at el [6]. Sinonasal hamartomas may present in early life with symptoms of the airway obstruction, or they may be asymptomatic and discovered later in adulthood.

Sinonasal adenocarcinomas (SNACs) are classified as either salivary or nonsalivary adenocarcinomas. Nonsalivary type adenocarcinomas encompass a wide spectrum of intestinal-type adenocarcinoma (ITAC) and non–intestinal-type adenocarcinoma (non-ITAC), of both low-grade (LG) and high-grade (HG) morphologies [1,3,7]. Whereas ITACs occur mostly in men and represent aggressive HG malignancies, LG non-ITACs have an excellent prognosis and no sex predilection. Low-grade tubulopapillary adenocarcinoma (LGTA) has been recognized as a distinctive variant of LG-SNACs. It is, characterized by variable morphologic features, including resemblance to the serous glandular component of SH [3,8-12].

Although REAH and SH are considered different entities, cases presenting histologic features of both REAH and SH have been reported, suggesting they both might be within a spectrum of the same lesion [6]. In recent studies, Ozolek and Hunt demonstrated alterations in tumor suppressor genes with intermediate fractional allelic loss in REAH compared to low rate in chronic sinusitis and high rate in sinonasal adenocarcinomas [13]. Jo et al described possible association of REAH with nonintestinal sinonasal adenocarcinomas in 6/29 cases [14]. Another study by Ambrosini-Spaltro et al showed mitochondrial DNA mutation rate in 5 SH cases [15].

Therefore, there is a growing body of evidence that, rather than hamartomas, REAH/SH may represent a benign neoplasm and carry a potential to become a precursor lesion of LGTA. To support this hypothesis, we have analyzed sinonasal REAH/SH and LGTA cases immunohistochemically and by molecular genetic methods.

#### 2. Materials and methods

Five cases of REAHs, 5 cases of SHs, and 9 cases of LGTAs (n = 9) were retrieved from the consultation files of the authors. The appropriate ethics committee approved this study.

#### 2.1. Histology and immunohistochemistry

For conventional microscopy, tissues were fixed in formalin, routinely processed, embedded in paraffin, cut, and stained with hematoxylin and eosin.

For immunohistochemistry, 2-µm-thick sections were cut from paraffin blocks and mounted on positively charged slides (TOMO, Matsunami Glass IND, Osaka, Japan). Sections were processed on a BenchMark ULTRA (Ventana Medical Systems, Tucson, AZ), deparaffinized, and subjected to heat-induced epitope retrieval by immersion in a CC1 solution (pH 8.6) at 95°C. The primary antibodies used in this study are summarized in Table 1. Visualization was performed using the ultraView Universal DAB Detection Kit (Roche, Arizona, USA) and ultraView Universal Alkaline Phosphatase Red Detection Kit (Roche). The slides were counterstained with Mayer hematoxylin. Appropriate positive and negative controls were used.

The WHO classification criteria for distinguishing REAH and/or SH from LGTA were used by 4 skilled head and neck pathologists (A. S., J. L., I. L., M. M.) [16]. REAHs presented as polypoid structures with respiratory epithelial buddings, with or without small amount of seromucinous glands. SHs

Antibody	Clone	Dilution	Antigen retrieval/time	Source
CK7	OV-TL 12/ 30	1:200	CC1/36 min	Dako Cytomation
CK20	Ks20.8	1:100	CC1/36 min	Dako Cytomation
CDX2	EPR2764Y	RTU	CC1/64 min	Cell Marque
SATB 2	polyclonal	1:100	CC2/68 min	Sigma Aldrich
MUC1	H23	RTU	CC1/64 min	Ventana
MUC2	MRQ-18	RTU	CC1/64 min	Cell Marque
MUC4	8G7	1:200	CC1/36 min	Santa Cruz
MUC5AC	MRQ-19	RTU	CC1/36 min	Cell Marque
MUC6	MRQ-20	RTU	CC1/36 min	Cell Marque
S-100 protein	polyclonal	RTU	CC1/20 min	Ventana
SOX 10	polyclonal	1:100	CC1/64 min	Cell Marque
p63	4A4	RTU	CC1/64 min	Ventana

were also bland-looking polypoid tumors composed of seromucinous glands with the predominance of serous component, occasionally admixed with lymphoplasmocytic infiltration and basal membrane thickening. No mitoses, invasion, or atypia was presented in both REAH and SH.

The morphological features of studied LGTAs are consistent with neoplasms described earlier by Skalova et al [9].

#### 2.2. Molecular genetic study 2.2.1. FusionPlex Solid Tumor Kit (AST) (ArcherDx)

FusionPlex Solid Tumor Kit (ArcherDX Inc., Boulder, CO) was used to construct cDNA library for the detection of fusion transcripts in 53 genes (Supplementary file: list of genes). All steps were performed according to the manufacturer's protocol (version LA135.F of the protocol, San Diego-USA), and the library was sequenced on an Illumina platform as described previously [17].

## 2.2.2. Detection of EGFR-ZNF267 fusion transcript by polymerase chain reaction and reverse-transcription polymerase chain reaction

RNA was extracted using the RecoverAll Total Nucleic Acid Isolation Kit (Ambion, Austin, TX). cDNA was synthesized using the Transcriptor First Strand cDNA Synthesis Kit (RNA input 500 ng; Roche Diagnostics, Mannheim, Germany). All procedures were performed according to the manufacturer's protocols.

Two microliters of cDNA or 50 ng of total NA was added to the reaction that consisted of 12.5  $\mu$ L of HotStar Taq PCR Master Mix (QIAgen, Hilden, Germany), 10 pmol of each custom-designed primer in several combinations (Table 1), and distilled water up to 25  $\mu$ L. The amplification program comprised denaturation at 95°C for 14 minutes and then 45 cycles of denaturation at 95°C for 1 minute, annealing at 60°C for 1 minute, and extension at 72°C for 1 minute. The program was finished by incubation at 72°C for 7 minutes. Successfully amplified polymerase chain reaction (PCR) products were purified with magnetic particles Agencourt AMPure (Agencourt Bioscience Corporation, A Beckman Coulter Company, Beverly, MA, USA). Products were then bidirectionally sequenced using Big Dye Terminator Sequencing kit (Applied Biosystems, Foster City, CA, USA), purified with magnetic particles Agencourt CleanSEO (Agencourt Bioscience Corporation), all according to manufacturer's protocol, and run on an automated sequencer ABI Prism 3130xl (Applied Biosystems, Foster City, CA, USA) at a constant voltage of 13.2 kV for 11 minutes.

Despite successful product amplification, subsequent sequencing was not successful.

## 2.2.3. Detection of X chromosome methylation status in the human androgen receptor locus

Clonality analysis using the testing of X chromosome methylation status in the human androgen receptor (HUMARA) locus began by the cleavage of DNA using the methylation-sensitive restriction enzymes (*Hha*I and *Hpa*II).

This was followed by PCR of cleaved and uncleaved DNA which amplifies small tandem repeats HUMARA. A set of

primers labeled with fluorescent substance 6-FAM was used. PCR products were then separated using an automatic sequencer ABI Prism 3130 XL. Fragmentation analysis compared the pattern of cleaved and uncleaved samples.

#### 3. Results

#### 3.1. Clinical findings

We collected a series of 10 REAH/SH cases, all of which were from the nasal cavity (Table 2). All REAHs/SHs were polypoid lesions. Five cases were classified as REAH; 2 of them were combined with areas of inflammatory nasal polyp structures. The other 5 cases were SH; 1 case was associated with chronic hyperplastic rhinitis.

Eight of 10 patients were males and 2 were females. There was no age predilection, with the age ranging between 11 and 76 years (mean 49.5). The age of males and females ranged between 11 and 69 years and between 23 and 76 years respectively, with an identical mean value of 49.5 years in both groups.

Nine LGTA cases of the sinonasal mucosa and the epipharynx were studied (Table 2). There was a female predilection—female to male ratio was 2:1. The patients ranged in age from 54 to 91 (mean 69.4) years. The size of the lesions varied from 6 to 70 (mean 69.4) mm. The presenting symptoms included nasal obstruction and chronic hyperplastic rhinitis. Four cases were localized in the nasal cavity, and 1 case each in the epipharynx, paranasal sinus, and the left tonsil. Case 6 showed an aggressive behavior with destruction of the maxilla, and the frontal and ethmoidal sinuses and with invasive growth into the orbit. The tumor in case 7 invaded the palate. Case 9 had to be reexcised 1 month after the first surgical treatment.

#### 3.2. Histological findings

Histologically, REAH cases were characterized by invaginated slit-like spaces lined by respiratory epithelium, which in some fields was detached from the surface, creating small- to medium-sized glands. They were composed of multilayered ciliated respiratory epithelium with numerous mucin-producing goblet cells. The glandular structures were surrounded by a thickened basement membrane (Fig. 1A). Occasional budding of the serous eosinophilic component from the side of a respiratory invagination was seen (Fig. 1B). Cases of SH additionally contained small to large seromucinous acini and ducts lined by a single layer of flattened cuboidal epithelial cells with eosinophilic cytoplasm, sometimes containing luminal secretory material. Entrapped respiratory epithelium similar to REAH was also present in some areas (Fig. 1C). One case of SH was associated with areas of chronic mucosal inflammation, stromal edema, and thickening of the surface epithelial basement membrane (Fig. 1D).

All LGTAs were composed of an admixture of uniform small glandular and tubular structures, lined by cuboidal or

No.	Sex	Age	Site	Size	Symptoms	Diagnosis
				(mm)		
REA	Н					
1	M	60	Nasal cavity	18	NA	SH
2	M	56	Nasal cavity	21	NA	REAH
3	M	63	Nasal cavity	22	NA	REAH in inflammatory nasal polyp
4	M	69	Nasal cavity	20	NA	REAH in inflammatory nasal polyp
5	M	46	Nasal cavity	14	NA	REAH
6	M	11	Nasal cavity	8	NA	SH
7	F	23	Nasal cavity	18	Chronic hyperplastic rhinitis	SH with hyperplastic polyp
8	M	30	Nasal cavity	23	NA	SH
9	F	76	Nasal cavity	20	NA	REAH
10	M	61	Nasal cavity	40	NA	SH
LGT	ΓA					
1	M	70	Nasal cavity	25	Nasal obstruction, chronic hyperplastic rhinitis for 5 y,	LGTA first diagnosed in 1995,
					watery secretion from the left nasal cavity, repeated excision of nasal polyps	recurred in 1996, 2003
2	F	76	Nasal cavity	15	Nasal cavity expansion	LGTA
3	F	54	Nasal cavity	25	Nasal obstruction	LGTA
4	F	86	Nasopharynx	10	Symptoms for several months	LGTA
5	F	58	Nasal cavity	21	Nasal obstruction for 2 y	LGTA
6	F	91	Paranasal sinuses	70	Destruction of sinus maxillaris, ethmoidalis and frontalis, partially invading the orbit	LGTA
7	M	74	Left tonsil	30	Palate invasion	LGTA
8	M	72	Nasal cavity	22	Recurrent polyps in nose and sinuses	LGTA
9	F	44	Anterior part of the	6	Recurrent nasal inflammation	LGTA
			ethmoid sinus			

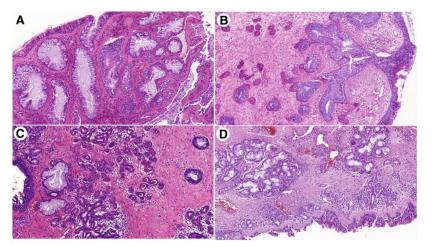


Fig. 1 A, Respiratory epithelial adenomatoid hamartoma is composed of invaginated respiratory epithelium which occasionally detaches from the surface, creating medium-sized glands with multilayered ciliated respiratory epithelium. Occasionally, mucus production may be seen. The glands are surrounded by a thickened basement membrane. B, The eosinophilic serous glands are sprouting from the invaginations of the respiratory epithelium. C, Seromucinous hamartoma with focal respiratory epithelium entrapments. The predominant components are small to large seromucinous acini and tubules, lined by single-layered epithelium, sometimes with eosinophilic secretory material within the lumen. D, Seromucinous hamartoma admixed with structures of hyperplastic polyp and with chronic lymphoplasmacytic infiltrate. There is also a thickening of the basement membrane and stromal edema.

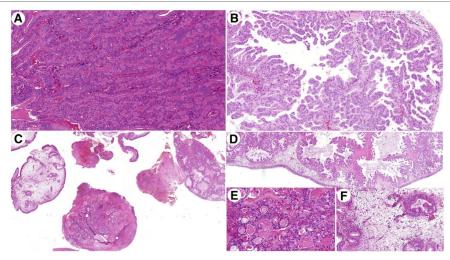


Fig. 2 A-C, Clonality (HUMARA) positive seromucinous hamartoma (case 7) is a fragmented polypoid lesion 18 mm in the largest diameter (A), composed of seromucinous acini (B) with chronic inflammation and thickening of the epithelial basement membrane (C). D-E, EGFR-ZNF267-rearranged seromucinous hamartoma (case 10) was a polypoid lesion measuring 40 mm in the largest diameter (D). It consisted of seromucinous glands with occasionally entrapped respiratory epithelial glands (E) and luminal secretory material (F). Glands are focally irregular with intraluminal snouts and spikes of cells and haphazard luminal cell layer stratification with partial loss of the outer myoepithelial layer. F, The larger the gland, the greater structural and occasionally also cytological abnormalities are seen. E and F, The stroma is dense and compact.

columnar epithelium (Fig. 2A). These areas alternated with a papillary growth pattern, composed of haphazardly branching fibrovascular micropapillae covered by bland looking epithelial cells (Fig. 2B). The neoplastic glands were arranged back to back, and there was no or little intervening stroma. Occasional tiny microcysts or intraepithelial mucin vacuoles were also seen (Fig. 2C and E). Four cases of LGTA had overlapping morphological features with REAH/SH despite fragmentation of tissues in most of them (Fig. 2C-F). Case 9 had to be reexcised. The reexcised specimen histologically showed an admixture of LGTA and REAH structures, with the latter being the dominant component. None of the cases showed squamoid morules [18].

#### 3.3. Immunohistochemical findings

The immunohistochemical findings of 10 cases of REAH/SH and 9 cases of LGTA are summarized in Tables 3 and 4, respectively.

The serous component of REAH/SH was positive for CK7 (10/10), SOX10 (9/10), MUC1 (8/10), and S100 (7/10), and weakly and focally positive for MUC4 (5/10) and p63 (1/10). The respiratory component of REAH/SH was positive for MUC1 (10/10), MUC4 (10/10), and MUC5AC (10/10), and showed scattered reactivity with CK7 (3/10) and p63 (9/10). This immunostaining was focally and irregularly confined only to the abluminal myoepithelial layer of

No.	CK	20		CK	7		CD	X2		SA	TB2		MUC	1		MU	JC2		MUC	4		MU	JC5A0	2	MU	JC6		S100			SO	X10		p63	3	
	S	M	R	S	M	R	s	M	R	S	M	R	S	M	R	S	M	R	S	M	R	S	M	R	S	M	R	S	M	R	S	M	R	S	M	. (
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5	-	-	- 1	+	-	+F	-	-	-	-	-	-	+	-	+W	-	-	-	+W	-	+	-	1-	+F	-	- 1	-	+	-	-	+	-	-	-	-	
7	-	-	-	+	-	-	-	-	-	-	-	-	+	-	+W	-		-	-	-	+	-		+F	-	-	-	-	-	-	+	-	-	-	-	
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No	CK20	CK7	CDX2	SATB2	MUC1	MUC2	MUC4	MUC5AC	MUC6	S100	SOX10	p63
Į.	_	+	_	_	+	_	+F	_	_	+	+	_
	-	+	-	-	_	_	+F	1-1	-	_	+	_
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	-	+	-	_	+	-	-	_	-	+	+	-
/1	_	+	_	+F	-	_	+	_	-	+	+	-
0/2	_	+	_	+F	_	_	+F	_	_	+	+	_

respiratory crypts, whereas other markers were completely negative (Fig. 3). S100 protein/SOX10 highlighted the budding of serous acini from the sides of invaginated respiratory epithelium (Fig. 3A and B) (Table 3).

The immunohistochemical profile of 9 LGTAs (Table 4) encompassed a positivity for CK7 (9/9), MUC4 (8/9), SOX10 (7/9), MUC1 (5/9), S100 (5/9), SATB2 (2/9), and MUC5AC (1/9), whereas CK20, CDX2, MUC2, MUC6, and p63 were negative in all cases (9/9).

The immunohistochemical features of the serous component of REAH/SH are identical to those of LGTA (Tables 3 and 4).

#### 3.4. Molecular findings

The molecular features of REAH/SH are summarized in Table 5.

All REAHs/SHs were analyzed using the FusionPlex Solid Tumor Kit (ArcherDx), and in case 10 (Fig. 4A-C), *EGFR-ZNF267* gene fusion has been found. This fusion was not confirmed by reverse-transcription PCR (RT-PCR).

All female cases of REAH/SH (2/10) were tested by HUMARA. Case 7 (Fig. 4D-F) was SH with areas of hyperplastic polyp and was positive for X chromosome methylation in the HUMARA locus—region q13. The second investigated case (case 9) was REAH without any SH component and was HUMARA negative.

#### 4. Discussion

Benign and malignant lesions of the sinonasal tract are rare, and their differential diagnosis can be challenging,

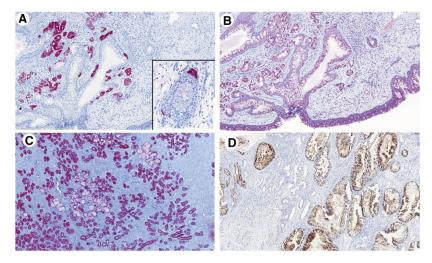


Fig. 3 IHC. A, S100 protein positivity is seen in the serous component of REAH; serous bud sprouting from the invaginated gland layered by respiratory epithelium (inset). B, SOX10—a similar view as in panel A. C, CK7 shows diffuse positivity in serous glands of seromucinous hamartoma, whereas mucinous glands are completely negative. D, MUC4 positivity in REAH; the serous component is negative or weakly positive.

No.	Diagnosis	Sex	NGS-AST	Illumina (TS 170)	HUMARA
1	SH	M	Neg	NA	
2	REAH	M	Neg	NA	
3	REAH in inflammatory nasal polyp	M	Neg	Neg	
4	REAH in inflammatory nasal polyp	M	Neg	Neg	
5	REAH	M	NA	NA	
6	SH	M	Neg	NA	
7	SH with hyperplastic polyp	F	NA	Neg	Pos
8	SH	M	ND	NA	
9	REAH	F	Neg	Neg	Neg
10	SH	M	Neg	EGFR-ZNF267 a	-

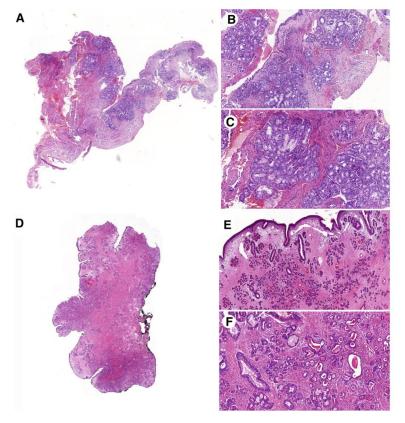


Fig. 4 A, Low-grade tubulopapillary adenocarcinoma is characterized either by tubular and glandular structures or (B) by micropapillary architecture with bland-looking epithelial cells arranged in a back-to-back pattern. C, Low-grade tubulopapillary adenocarcinoma showing micropapillary architecture (C, D), or tubules with mucus accumulation (C, E), and occasional fragments with REAH structures (C, F).

particularly in small biopsy samples. Various hamartomas of epithelial, mesenchymal, or mixed origin may occur in the sinonasal tract [16,19-21]. REAH [4,22-30], SH [5,6,31], and the recently recognized olfactory epithelial hamartomas [32] belong to the pure epithelial group. Chondroosseous hamartoma and respiratory epithelial hamartoma [33,34] belong to the mixed epithelial and mesenchymal group, whereas nasal chondromesenchymal hamartoma [20] represents the pure mesenchymal group. The etiology of many of these lesions including REAH is still the possible association to proinflammatory environment, and chronic rhinosinusitis is speculated. [25].

Mucins (MUC) are high–molecular weight glycoproteins which can be divided into secreted and membrane-related groups. Mucins are broadly included in the surface epithelial structures—mucus membranes with barrier function. Many studies have described mucin expression in different types of carcinomas of variable locations [35-43]. Nevertheless, only a few studies focused on mucins in the sinonasal tract. Whereas the mucin production in chronic sinusitis [38-41], the mucin profile of the signet ring cell carcinoma of the sinonasal tract [42], and the expression of different markers and mucins in the sinonasal adenocarcinomas [44-46] have been well characterized, the expression of mucins in REAH/SH has not been studied so far.

The S100 protein, SOX10, and mucin expression was found in the serous component of REAH/SH and LGTA. These findings further strengthened the connection between both lesions and suggested the origin of LGTA from the sero-mucinous glands rather than from the respiratory or squamous surface epithelium.

All cases of REAH/SH were analyzed by next-generation sequencing using FusionPlex Solid Tumor Kit (ArcherDx), which revealed *EGFR-ZNF267* gene fusion in SH in case 10. The fusion transcript was not confirmed by RT-PCR, most likely due to lower sensitivity of RT-PCR method (20%) compared to next-generation sequencing (NGS) (5%). The alternative explanation might be a lack of the neoplastic tissue in the paraffin block which was previously used for multiple immunohistochemical and molecular genetic analyses. The false positivity of NGS may not be excluded as well.

The EGFR-ZNF267 gene fusion has not been published so far, and the confirmation of the EGFR break by FISH breakapart probe is suggested in the next step of investigation.

In neoplastic lesions, monoclonality and polyclonality are 2 significant pathways of tumor cells development [47-51]. The monoclonal pathway and the principles HUMARA assay were explained in an earlier study by Boudewijns et al [47]. Briefly, the analysis of the X-chromosome inactivation allows detecting clonality in female patients. It is based on a theory of a random inactivation of a single paternal or maternal X-chromosome in all female cells during embryonal development and its amplification in all daughter cells. One type of the X-chromosome inactivation analysis uses the *HUMARA* gene, whose locus is located on the chromosome X in the region q13. The presence of monoclonality in a tumor is thought to confirm its neoplastic nature. However, nontumorous

lesions can be monoclonal as well, as discussed by Skalova et al in a study of 6 cases of sclerosing polycystic adenosis with monoclonal cell populations [51].

The polyclonal pathway is explained as 2 independent events in 2 different cells or group of cells that lead to the polyclonal type of tumorigenesis. [49]. However, this pathway is still under investigation, and the prevailing view remains that most neoplasms are of monoclonal origin [49].

In the present study, 2 cases of REAH/SH were analyzed using HUMARA assay, which demonstrated monoclonality in 1 case of SH (Case 7), further supporting the notion that it is indeed a neoplastic process [13,15].

In conclusion, the present study demonstrated that REAH and SH share many morphological and immunohistochemical features, forming a continuous spectrum. The eosinophilic serous epithelial buds originating in respiratory epithelial invaginations were positive for S100 protein and SOX10, thus having an identical immunoprofile as LGTA, whereas the respiratory component of REAH/SH was completely negative. Moreover, 4 cases of LGTA showed overlapping morphological features with REAH/SH. There was also an immunohistochemical overlap between both these lesions. This is the first study which demonstrated monoclonality in 1 case of SH, further supporting the notion that it is a neoplastic process. Although REAH/SH and LGTA are separate entities, our findings point toward the possibility that SH or the serous component of REAH might be the precursor lesion of LGTA.

#### Supplementary data

Supplementary data to this article can be found online at https://doi.org/10.1016/j.humpath.2019.09.018.

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## 1.11.4 REVIZE SOLITÁRNÍCH FIBRÓZNÍCH TUMORŮ HLAVY A KRKU: ZKUŠENOSTI JEDNÉ INSTITUCE S 20 PŘÍPADY A PŘEHLED LITERATURY

Cílem práce zabývající se solitárními fibrózními tumory (SFT) bylo představit naše zkušenosti s 20 případy těchto vzácných mezenchymálních lézí z oblasti hlavy a krku. Dále jsme diskutovali nejčastější nádory jim podobné, zdokumentovali přesný genetický profil účastnících se fúzních genů a prohledali literární prameny, obsahující molekulárně-genetické a klinicko-patologické informace týkající se SFT.

Všechny vyšetřované případy byly pozitivní v barvení STAT6 a ve všech byla potvrzena *NAB2-STAT6* genová fúze metodou NGS. Molekulárně-geneticky jsme zastihli 3 hlavní fúzní varianty: *NAB2ex2-STAT6int1* (5/20, 25 %), *NAB2ex6-STAT6ex16* (4/20, 20 %), and *NAB2ex4-STAT6ex2* (3/20, 15 %). Nalezené fúzní varianty byly rozděleny do dvou kategorií podle místa zlomu *STAT6* genu (84). Do první kategorie spadaly případy s 5′ fúzí *STAT6* genu neboli *STAT6-full* varianty. Druhou kategorií byly SFT s 3′ fúzí genu *STAT6*, které chybí DNA-vázající doména (DNA-binding domain = DBD), tato skupina byla pojmenovaná *STAT6-ΔDBD*.

Navazující klinické sledování bylo provedené u 16 pacientů. Jeden pacient s morfologicky maligním SFT vyvinul mnohočetné relapsy a metastázy do plic a mozkových plen, tento případ měl aberantní nukleární expresi FLI1 markeru, který doposud v SFT nebyl popsaný. Žádný jiný SFT z naší studie nevykazoval pozitivitu s FLI1 markerem. V další části studie jsme se věnovali revizi literatury. Shromáždili jsme 579 případů SFT z různých lokalit, které popisovaly typ fúze *NAB2-STAT6*. Dvě stě případů SFT reportujících participaci jednotlivých exonů v *NAB2-STAT6* fúzi bylo z oblasti hlavy a krku. V naší studii jsme zjistili, že metastazovaly pouze ty SFT hlavy a krku, v nichž byla zjištěná přítomnost *STAT6-full* varianty.

Diferenciální diagnóza SFT v oblasti hlavy a krku zahrnuje řadu benigních i maligních nádorů včetně meningiomu, epiteloidního hemangioendoteliomu, glomus tumoru, juvenilního angiofibromu, obrovskobuněčného fibroblastomu, sklerózujícího epiteloidního fibrosarkomu, hladkosvalových tumorů, bifenotypického sinonazálního sarkomu či metastázy low-grade endometriálního stromálního sarkomu. Navíc pokud SFT roste infiltrativně a dyskohezivně pouze v jednotlivých buňkách může imitovat metastatický lobulární karcinom prsu. Na druhou stranu, pokud již na diagnózu SFT pomýšlíme, STAT6 imunohistochemické vyšetření je velmi nápomocné a diagnózu případně potvrdíme detekcí *NAB2-STAT6* fúze.





Original contribution

## Solitary fibrous tumors of the head and neck region revisited: a single-institution study of 20 cases and review of the literature\*,\*\*,\*\*\*



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#### Keywords:

Solitary fibrous tumor; Hemangiopericytoma; NAB2-STAT6; Head and neck

Summary A solitary fibrous tumor (SFT) is a rare, NAB2-STAT6 fusion gene-associated mesenchymal neoplasm. It most commonly arises in the pleural site, but it can occur at many other sites, and rarely also in the head and neck (H&N) region. STFs may show many growth patterns and therefore can be easily mistaken for other more common H&N spindle cell or epithelial lesions. In this study, we present our experience in the diagnosis of 20 cases of SFT in the H&N region and discuss their most notable mimickers. In all cases, STAT6 expression was found positive by immunohistochemistry, and the NAB2-STAT6 fusion was confirmed by next-generation sequencing. Three major fusion variants were detected: NAB2ex2-STAT6int1 (5/20, 25%), NAB2ex6-STAT6ex16 (4/20, 20%), and NAB2ex4-

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STAT6ex2 (3/20, 15%). Clinical follow-up was available for 16 patients (median follow-up time: 84 months). One patient with a morphologically malignant SFT experienced multiple local recurrences, followed by dissemination into the lungs and meninges. This malignant SFT also displayed an aberrant FLI1 expression, which was not previously reported in SFT cases. We also summarize findings from 200 cases of SFT of the H&N region, which included cases from our study, and from previous studies that reported on the fusion status of the STAT6 gene. The results suggest that metastatic disease developed only in cases with STAT6 variants that included the DNA-binding domain (STAT6-full variants), which contradicts expectations from previous reports and deserves further investigation.

#### 1. Introduction

Solitary fibrous tumors (SFTs), including lesions previously diagnosed as hemangiopericytoma (HPC) or HPC-like lesions, are spindle cell neoplasms that can occur in any region of the body [1]. This entity was first described by Klemperer and Coleman [2] as a neoplasm primarily localized in the pleural region. Approximately 30% of SFTs/HPCs occur in extrathoracic locations, with or without attachment to serosal membranes [3]. These locations include extremities, vulva, thyroid gland, and the head and neck (H&N) region (6%), among others. Within the H&N region, the sinonasal tract and the orbit are the most commonly involved sites, making up 30% and 25% of cases, respectively [4–14].

Macroscopically, SFTs are usually well circumscribed and partially encapsulated white to reddish brown neoplasms.

Microscopically, SFTs reveal miscellaneous phenotypes. Based on their prevailing component, cellular and fibrous types of SFTs are distinguished. The typical SFT architecture is a combination of a hypercellular and hypocellular patterns (the so-called *patternless pattern*), with collagenous stroma and prominent branching, or staghorn-like vessels. Hypercellular areas are composed of round or spindle cells that form storiform, herringbone, fascicular, fibrosarcoma-like, or epithelioid patterns. Tumor cell nuclei are round to oval, with open vesicular chromatin and frequent nuclear pseudoinclusions [15].

There is no correlation between morphology and behavior of SFTs. The criteria of malignancy, which were proposed for extrapleural SFTs [8,13,15], include tumor size (>50 mm), disease dissemination at the time of presentation, infiltrative margins, hypercellularity, nuclear pleomorphism, the presence of sarcomatoid areas (dedifferentiation), necrosis, and mitotic activity (>4/10 highpower fields [HPF]). According to Gold et al. [16], the extrathoracic SFTs with (i) positive surgical margins, (ii) size exceeding 10 cm, and (iii) the malignant histological features described previously are associated with poor clinical outcomes and therefore deserve close surveillance. For meningeal SFTs/HPCs, a three-tiered grading is

available based on the updated 2016 World Health Organization classification of CNS tumors, which classifies collagenized tumors with low mitotic activity (SFT pattern) as grade 1 and more cellular tumors with the HPC pattern as grade 2 or 3, depending on the mitotic count (<5 vs.  $\ge$ 5 per 10 HPF) [15].

#### 2. Materials and methods

Twenty cases of H&N SFTs/HPCs were retrieved from the registry and consultation files at the Department of Pathology, Faculty of Medicine in Pilsen, and Biopticka Laboratory, Ltd, Pilsen, Czech Republic, by using keywords "hemangiopericytoma" and "solitary fibrous tumor." Clinical follow-up was obtained from the patients, their physicians, or from referring pathologists. Representative tissue blocks were selected for immunohistochemical and genetic testing. The study was conducted following the rules set by the Faculty Hospital in Pilsen Ethics Committee. Informed consent was not required.

#### 2.1. Histology and immunohistochemistry

For conventional microscopy, tissues were fixed in formalin, routinely processed, embedded in paraffin, cut on the microtome, and stained with hematoxylin and eosin.

For immunohistochemistry, 4- $\mu$ m sections were cut from paraffin blocks and mounted on the positively charged slides (TOMO, Matsunami Glass IND, Japan). The sections were processed using the BenchMark ULTRA (Ventana Medical Systems, Tucson, AZ) and deparaffinized, and the epitope retrieval was performed by immersion in CC1 (pH 8.6; Cell Conditioning Solution, Ventana Medical Systems) at 95 °C.

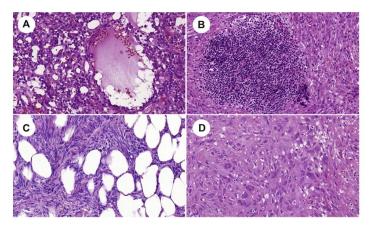
All the primary antibodies used in this study are summarized in Supplementary file 1. Visualization was performed using the ultraView Universal DAB Detection Kit (Ventana, Roche, Tucson, AZ) and ultraView Universal Alkaline Phosphatase Red Detection Kit (Roche). The slides were counterstained with Mayer's hematoxylin. Appropriate positive and negative controls were used.

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Case	Age/sex	Site	Symptom length (months)	Treatment	Recurrence	Metastasis	Other tumors	Follow-up	Notes
1	38/F	Ear	NA	E, CHT, RT	Yes	Lung, skull	NA	Alive, with disease, 15 years	Diagnosed in 2003; recurrence in 2004— 2009, 2014, and 2018
2	70/F	Face	24	E (positive surgical margins)	No	No	No	NED	NA
3	13/M	Eye + adjacent tissues	1	E (re-excision 2/2017)	No	No	No	NED 2 years	
4	44/M	Intradural space	2	Laminectomy C7+Th1	No	No	No	NED 3 years	January 16, 2018 —PET/CT—NED
5	45/F	Eye + adjacent tissues	NA	Е	No	No	No	NED 3 years	
6	55/F	Nuchal area	24	E	No	No	No	NED 5 years	
7	41/M	Nuchal area	NA	E	No	No	No	NED 3 years	NA
8	47/F	Nuchal area	NA	NA	NA	NA	NA	NA	NA
9	51/M	Nuchal area	NA	NA	NA	NA	NA	NA	NA
10	61/M	Nuchal area	NA	Е	NA	NA	NA	DONR 4 years (December 2009)	NA
11	49/M	Nuchal area	NA	E	No	No	No	NED 9 years	
12	60/F	Oral mucosa	NA	Е	No	No	No	NED 9 years	April 2016, abscess in the epiglottis
13	49/F	Eye + adjacent tissues	NA	Е	Yes — January 2013	No	No	NED 5 years	
14	64/F	Nuchal area	NA	Е	No	No	Adenocarcinoma of the lung (2003)	DONR 4 years (generalized lung carcinoma), April 2018	
15	67/F	Oral mucosa	NA	Е	No	No	Squamous carcinoma of the forearm skin (same year)	NED 12 years	
16	44/M	Eye + adjacent tissues	NA	E	No	No	No	NED 15 years	
17	49/F	Sinonasal tract	NA	NA	NA	NA	NA	NA	
18	54/M	Sinonasal tract	7	E, CHT, RT	Yes - 1985, 1987, 1996, 2000	No	No	DOD 20 years (cranial progression), February 2002	Malignant fibrous histiocytoma/SFT
19	43/F	Oral mucosa	NA	E	No	No	No	NED 20 years	
20	77/M	Eye + adjacent tissues	NA	NA	NA	NA	NA	NA	

CT, computed tomography; PET, positron emission tomography.

Case	Pattern	Epithelioid	Myxoid	Atypia	Mitoses/ 10 HPF	Necrosis	Infiltrative	Size (mm)	Additional features	Differential diagnosis	Genetic fusion	Exons	Group
1	HPC	+/-	_	+	14-15	+	-	20	Cysts		NAB2-STAT6	6-16	STAT6-ADBI
2	SFT	_	+	-	1	-	_	11			NAB2-STAT6	2-int1	STAT6-full
3	HPC	-	-	-	0	-	-	12	Reticulated pattern, clear cells, microcysts	Perineurioma, juvenile angiofibroma	NAB2-STAT6	5-17	STAT6-ΔDBI
4	SFT	_	_	_	0	_	_	12	•		NAB2-STAT6	4-2	STAT6-full
5	HPC	_	-	-	4-5	_	_	10			NAB2-STAT6	2-int1	STAT6-full
5	HPC/SFT	-	+	-	0	-	-	30	Reticulated pattern		NAB2-STAT6	2-1	STAT6-full
7	HPC/SFT	_	_	_	1-2	_	_	NA	******		NAB2-STAT6	2-int1	STAT6-full
8	HPC/SFT	+	+	-	1	_	-	25		Sclerosing epithelioid fibrosarcoma, epithelioid hemangioendothelioma	NAB2-STAT6	6-16	STAT6-ΔDBD
9	HPC/SFT	_	_	_	1-2	-	_	67			NAB2-STAT6	3-19	STAT6-ADBE
10	HPC	_	-	_	29	_	_	15		Paraganglioma	NAB2-STAT6	6-16	STAT6-ADBE
11	HPC/SFT	_	_	_	0	_	_	8	Fat forming		NAB2-STAT6	2-int1	STAT6-full
12	HPC/SFT	_	+	_	0-1	_	-	11	Lymphoid infiltration	Meningothelial and fibrous meningioma	NAB2-STAT6	2-5	STAT6-full
13	HPC/SFT	_	_	_	0-1	-	_	50			NAB2-STAT6	2-int1	STAT6-full
14	SFT/GCA	-	+	-	0	-	-	20	Lymphoid infiltration	Sclerosing epithelioid fibrosarcoma	NAB2-STAT6	6-16	STAT6-∆DBE
15	HPC	_	_	_	0	_	_	28			NAB2-STAT6	6-4	STAT6-full
16	SFT/GCA	-	-	-	0	-	-	19	Reticulated pattern, atypical giant cells	Perineurioma, juvenile angiofibroma	NAB2-STAT6	6-19	STAT6-ADBD
17	HPC/SFT	-	+	-	0	-	+	25	Single-cell pattern	Hemangioma, glomus tumor, metastasis of mammary lobular carcinoma	NAB2-STAT6	4-2	STAT6-full
18	HPC/SFT	-	+	-	1-2	770	+	21	Single-cell pattern	Metastasis of mammary lobular carcinoma, glomus tumor	NAB2-STAT6	4-2	STAT6-full
19	HPC	_	+	_	0-1	_	_	19			NAB2-STAT6	4-5	STAT6-full
20	SFT	-	+	_	0	_	_	50	Fascicular growth	Smooth muscle tumors	NAB2-STAT6	2-1	STAT6-full



**Fig. 1** Head and neck solitary fibrous tumor—histological variants. Cases 1 and 3 showed microcystic or cystic areas (A); cases 12 and 14 showed lymphocytic infiltration in the form of lymphoid nodules (B); fat-forming variant (case 11) (C); 2 cases were characterized by the presence of giant cells (D).

## 2.2. Detection of NAB2-STAT6 fusion transcripts by next-generation sequencing

Depending on the sample size, up to 3 formalin-fixed paraffin-embedded sections (10-µm thick) were macrodissected, and the total nucleic acid (NA) was extracted using the Agencourt FormaPure Kit (Beckman Coulter, Brea, CA) with modifications recommended by ArcherDX (ArcherDX Inc., Boulder, CO). The extracted NA was quantified using the Qubit Broad Range RNA Assay Kit (ThermoFisher Scientific, Waltham, MA, USA), and the input amount for each sample library preparation was 250 ng. The PreSeq RNA QC Assay using iTaq Universal SYBR Green Supermix (Bio-Rad, Hercules, CA) was performed to assess sample quality. Samples with the threshold cycle lower than 30 were passed to the targetenrichment step. The Sarcoma kit (ArcherDx Inc, Boulder, CO) containing 143 target gene-specific probes in 26 genes was used, and all steps were performed following the Archer's Fusion Plex Protocol for Illumina. Final libraries were quantified following the Library Quantification for Illumina Libraries protocol (KAPA, Wilmington, MA) assuming a 200-bp fragment length. The samples were multiplexed and spiked with 20% PhiX control and sequenced on a NextSeq 500 (Illumina, San Diego, CA) to achieve at least 1.5 million reads per sample. The analysis of the sequencing results was performed using Archer Analysis software (version 5.1.7; ArcherDX Inc.). Fusion parameters were set to a minimum of 5 valid fusion reads with a minimum of 3 unique start sites within the valid fusion reads.

#### 2.3. Review of the literature

The PubMed database was searched for relevant peer-reviewed reports published in English, using a combination of the following keywords: "solitary fibrous tumor," "hemangiopericytoma," "genetic," and/or "molecular." From more than 200 retrieved articles published in the period from 2013 to August 2019, we selected all relevant studies with at least 5 SFT/HPC cases, for which the *NAB2-STAT6* fusion status was determined by molecular genetic testing. Fourteen articles meeting these criteria were selected, and the genetic data and clinical information were extracted and analyzed together with our data.

#### 3. Results

#### 3.1. Clinical features and follow-up information

We identified 20 patients with SFTs of the extrathoracic H&N region who were included in the study. The cohort consisted of 11 women and 9 men with age at diagnosis ranging from 13 to 77 years (median = 49 years and mean = 51 years). Their clinical information and follow-up are summarized in Table 1. The most frequent sites of occurrence were the nuchal area (7/20, 35%), the orbit (5/20, 25%), and the oral mucosa (3/20, 15%). Two cases occurred in the sinonasal tract (2/20, 10%), and one case each involved the ear, the face, and the intradural space at the level C7—Th1.

The follow-up data were available for 16 cases. The median follow-up time after surgery was 84 months (range = 23 months-240 months). Most patients (18/20)

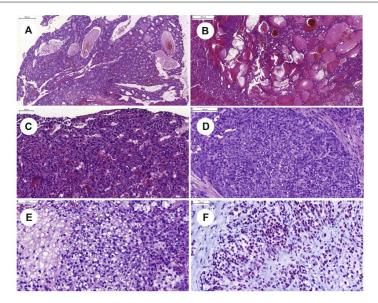


Fig. 2 Case 1 histomorphology. Case 1 showed protean morphology, resembling low-grade adenocarcinoma (A) or metastatic thyroid carcinoma (B), also had a trabecular pattern reminiscent of paraganglioma (C), and focally showed solid epithelioid growth pattern (D) and clear cell differentiation (E). FLI-1 nuclear expression was present (F).

underwent surgical excision only, without adjuvant chemotherapy or radiotherapy.

Locoregional recurrence was recorded in two cases, and one tumor metastasized. Specifically, in case 1, the SFT originated in the left auditory canal and obstructed the whole cavum conchae. The lesion was initially excised in 2003 and diagnosed as HPC. During the following six years, the patient underwent three reoperations of the recurrent tumors, involving various adjacent H&N regions. In 2009 and 2010, the patient received chemotherapy and radiotherapy, but the disease progression occurred between 2010 and 2015, with pulmonary and brain metastases, which were treated by radiotherapy. Subsequent local recurrence in the fossa temporalis was followed by gamma knife therapy. In 2017 and 2018, another local recurrence was diagnosed in the middle ear and auditory channel and subsequently treated by surgical excision. To date (February 2018), the patient is alive with disease.

In case 13, an orbital SFT recurred locally five years after the first surgical treatment in 2013. The tumor was re-excised, and the patient is alive without evidence of the disease.

In case 18, the tumor originated in the sinonasal tract and was originally (in 1982) diagnosed as hemangioendothelioma. The patient had been presenting signs of disease for seven months before the surgical treatment. The tumor recurred in 1985, 1987, 1996, and 2000 and was diagnosed as a recurrent malignant fibrous histiocytoma. This

diagnosis was changed to malignant SFT after positive STAT6 staining was detected in our department. The patient underwent chemotherapy and radiotherapy and died two years after the last recurrence owing to cranial progression of the disease.

The median time to the first recurrence was 36 months (range = 12–60 months), and the time to metastasis in a single metastatic case was 7 years after the initial diagnosis.

## 3.2. Pathological and immunohistochemical findings

The histological findings are summarized in Table 2. Microscopically, 18 cases were classified as benign SFTs, and two cases (cases 1 and 18) were classified as malignant, based on their morphology and clinical behavior.

Morphologically, three cases (cases 2, 4, and 20) showed pure classic SFT phenotype, with alternating hypercellular and hypocellular proliferation, composed of spindle-shaped cells within collagenous stroma and typical staghorn vessels. In six cases (cases 1, 3, 5, 10, 15, and 19), the HPC-like phenotype predominated and was characterized by a high level of cellular proliferation composed of small oval to epithelioid cells with moderate to large nuclei and irregular or discrete nucleoli. Nine cases (cases 6–9, 11–13, 17, and 18) showed a combination of the HPC-like and SFT morphology. Two cases showed prominent

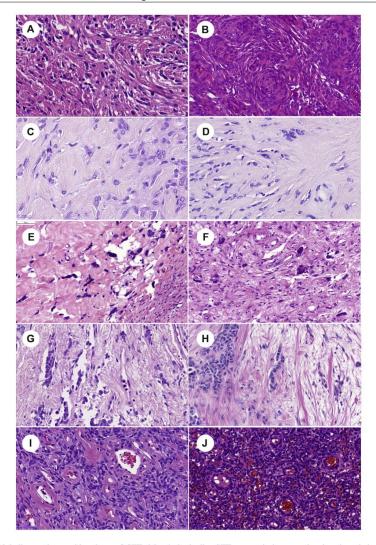


Fig. 3 Differential diagnostic considerations of SFT. Morphologically, SFT may mimic many head and neck benign or malignant neoplasms with spindle cell and epithelioid morphology. SFT (A) may imitate meningothelial and fibrous meningioma (B); SFT (C) resembling sclerosing epithelioid fibrosarcoma (D). Giant-cell angiofibroma, a SFT variant (E), may be indistinguishable from giant-cell fibroblastoma (dermatofibrosarcoma protuberans variant) (F) by histomorphology alone. Distinguishing SFT (G) from metastatic lobular carcinoma (H) maybe a diagnostic challenge; SFT (I) and metastatic low-grade endometrial stromal sarcoma (J) are look-alikes and indistinguishable by H&E alone. H&E, hematoxylin and eosin; SFT, solitary fibrous tumor.

admixture of giant cells (giant cell angiofibroma phenotype). Focal myxoid stromal change and microcystic to macrocystic formations were noted in nine and two cases, respectively (Fig. 1A). Lymphocytic infiltration forming lymphoid nodules was present in two cases (Fig. 1B).

Lipomatous differentiation (fat forming variant of SFT) was seen in one case (Fig. 1C), and the multinucleated giant cells were present in two cases (Fig. 1D). Except for cases 1 and 10, which displayed up to 15 and 29 mitotic figures, respectively, mitotic figures were rarely observed

 $(\le 2/10$  HPF). Only case 1 showed hemorrhage and necrosis.

All cases (except case 1) were diagnosed as SFTs based only on their morphology. The STAT6 expression (positive in all cases) and the next-generation sequencing were used as supplementary investigative tools.

Case 1 was diagnostically challenging owing to its histological overlapping patterns with other tumors of the H&N region, and it best demonstrates protean histomorphology of the SFTs (Fig. 2A-F). This case was histologically heterogeneous, showing a mixture of adenoid and microcystic patterns resembling sinonasal low-grade adenocarcinoma or metastatic thyroid carcinoma (Fig. 2A and B), featuring a trabecular pattern reminiscent of paraganglioma (Fig. 2C), a solid epithelioid growth pattern (Fig. 2D), and focal clear cell differentiation (Fig. 2E). A wide panel of antibodies was used to rule out epithelial tumors, paraganglioma, vascular lesions, melanoma, and other small round blue cell tumors. The tumor was negative for synaptophysin, chromogranin, INSM1, Islet 1, S100, SOX10, cytokeratin cocktail AE1/AE3, OSCAR, GATA3, smooth muscle actin, desmin, NKX 2.2, CD99, CD34, CD31, PROX1, LYVE1, and ERG, but showed a strong BCL2 positivity. Interestingly, FLI-1 nuclear expression was also present (Fig. 2F). Nuclear STAT6 expression confirmed the diagnosis of a SFT, and the genetic analysis showed NAB2ex6-STAT6ex16 fusion.

#### 3.3. Results of the NAB2-STAT6 gene fusion testing

NAB2-STAT6 fusion transcripts were detected in all cases (Table 2). The most common fusion break points were NAB2ex2-STAT6int1, detected in five cases (25%), and NAB2ex6-STAT6ex16, present in four cases (20%). Other detected fusion break points included NAB2ex4-STAT6ex2 (3/20, 15%), NAB2ex2-STAT6ex1 (2/20, 10%), and NAB2ex5-STAT6ex17, NAB2ex6-STAT6ex4, NAB2ex2-STAT6ex5, NAB2ex3-STAT6ex19, and NAB6ex-STAT6ex19 (each in one case [1/20, 5%]). Transcript entries used for exon numbering were NM\_005967.3 for NAB2 and NM\_001178078.1 for STAT6.

In summary, 7 SFTs showed STAT6- $\Delta$ DBD fusion variants, and 13 cases had STAT6-full type of fusion [17].

#### 3.4. Review of the literature

Including our study, genetic *NAB2-STAT6* testing was performed in 579 SFT/HPC cases; of which, 263 cases arose in the H&N region [17-30]. The median age at diagnosis was 55 years (mean = 54 years, range = 12-89 years). The male-to-female ratio was 201:210. (Gender was not reported for 168 cases.)

Tumor tissues from cases included in the study cohorts were analyzed by reverse transcription polymerase chain reaction (RT-PCR), long-range genome PCR, multiplex RT-PCR, and whole-exome sequencing methods. The detection

rate of the *NAB2-STAT6* genetic fusion varied across studies, ranging from 44% to 100% for all sites and from 0 to 100% for the H&N region (Supplementary file 2).

The most frequent fusion variants detected in all 579 cases were those involving exon 4 of the NAB2 gene: NAB2ex4-STAT6ex2 (88 cases; 15%), NAB2ex4-STAT6ex3 (41 cases; 7%), and NAB2ex4-STAT6ex2/3 (29 cases; 5%), followed by the variants with fusions between exons 5, 6, or 7 of the NAB2 gene and exons 16 or 17 of the STAT6 gene (NAB2ex6-STAT6ex17 — 63 cases, 11%; NAB2ex6-STAT6ex16 — 34 cases, 6%; and NAB2ex5/7-STAT6ex16/17 — 60 cases, 10%). The remaining fusion variants were identified as NAB2ex6-STAT6ex18 (26 cases, 4%), NAB2ex1-STAT6ex4 (19 cases, 3%), and other (102 cases, 18%). The remaining 117 cases (20%) were not analyzable (Supplementary file 3).

These 579 cases were most commonly diagnosed as typical SFTs (297 cases, 51%), followed by malignant SFTs (94 cases, 16%) and cellular SFTs/HPCs (60 cases, 10%).

Yuzawa et al. classified *NAB2-STAT6* fusions into two categories based on the break points of the STAT6 gene: (i) the 5' site fusions of the STAT6 gene were labeled as *STAT6-full*, and the 3' site fusions of the STAT6 gene missing the DNA-binding domain (DBD) were labeled as *STAT6-ΔDBD* [17]. Considering this 2-tiered system, variants from the STAT6-full group were characterized by break points between *NAB2ex1-7-STAT6ex1-6*, and the STAT6-ΔDBD group comprised *NAB2ex3-6-STAT6ex16-20* gene fusions (Supplementary file 3).

Of 579 SFT cases at all sites, 251 (55%) were STAT6full and 206 (45%) were STAT6-ΔDBD. The remaining 17 (3%) cases were NAB2-STAT6, rearranged with no specified fusion type, and 105 (18%) cases were fusion negative. The median age at diagnosis was higher in the STAT6-full group (59.5 years) than in the STAT6-ΔDBD group (46.9 years). The ages at diagnosis ranged from 12 to 89 years in the STAT6-full group and from 12 to 82 years in the STAT6-ΔDBD group. The male-to-female ratio was 201:210. Disease recurrence was detected in 29 cases in the STAT6-full group and in 37 cases in the STAT6-ΔDBD group. Metastatic disease was more common in the STAT6-ΔDBD group (12 cases; cumulative incidence over the follow-up: 5.8%) than in the STAT6-full group (6 cases; cumulative incidence over the follow-up: 2.4%), but this difference was not statistically significant (risk difference  $\text{CI}_{95}$ : -0.5% to 7.8%; Fisher's exact test two-sided *P*-value: 0.0885).

In the H&N region, the total number of cases was 263. The *NAB2-STAT6* fusion status was available for 200 cases; of which, 72 cases displayed STAT6-full and 128 cases displayed STAT6- $\Delta$ DBD fusion variants. The average age at diagnosis was 58 years (range: 30–88 years) in the STAT6-full group and 42 years (range: 13–69 years) in the STAT6- $\Delta$ DBD group. The male-to-female ratio was 69:57, and the gender was not reported for 137

cases. Disease recurrence was reported in 15 cases in the STAT6-full group and 19 cases in the STAT6- $\Delta$ DBD group. Metastasis developed in 9 H&N SFT cases and only among patients with the STAT6-full variants (cumulative incidence over the follow-up: 12.5%). The difference in the occurrence of metastasis between the STAT6- $\Delta$ DBD and STAT6-full groups is statistically significant (risk difference CI<sub>95</sub>: 5.3–22.4%; Fisher's exact test P < 0.0001).

#### 4. Discussion

H&N SFT is a rare lesion, which was so far documented in several single-case reports and in a few case series [31,32]. The largest study of H&N SFT published to date included 88 patients from several North American institutions [3]. Previous reports indicated that SFTs can involve any H&N structure, including the sinonasal tract, orbit, buccal space and oral mucosa, thyroid, major salivary glands, soft tissues of the neck, ear and external auditory canal, or palatine tonsils [3,31–38].

The protean morphology of SFT is notorious, and the diagnosis may be challenging for H&N pathologists, especially when dealing with small biopsy specimens. It is particularly difficult to distinguish SFTs from a full spectrum of possible benign and malignant mesenchymal neoplasms (Fig. 3A–J).

Differential diagnosis of H&N SFT includes meningothelial or fibrous meningioma, epithelioid hemangioendothelioma (EHE), glomus tumor, juvenile angiofibroma, paraganglioma, giant-cell fibroblastoma (GCF), sclerosing epithelioid fibrosarcoma (SEF), smooth muscle tumors, biphenotypic sinonasal sarcoma (BSNS), and metastatic low-grade endometrial sarcoma, among others. SFT growing infiltratively in a single-cell pattern may also mimic a metastatic mammary lobular carcinoma.

Meningiomas, especially of meningothelial or fibrous type, can be potentially confused with meningeal SFTs, and it may be difficult to differentiate them from SFTs based on the morphology alone (Fig. 3A and B) [20]. Consequently, the recommended approach to distinguish between SFTs and meningiomas involves IHC detection of STAT6 expression, followed by the detection of ALDH1 and CD34 expression in the STAT6-negative cases [15]. However, in our experience, virtually all cases of the SFT are positive for nuclear STAT6 expression by immunohistochemical. In contrast, meningiomas show no positivity for STAT6 and display variable positivity for epithelial membrane antigen, progesterone receptors, somatostatin receptors 2A, claudin 1, and MUC4 [39–42].

EHE is a malignant vascular neoplasm, characterized by the presence of cords and nests of epithelioid eosinophilic endothelial cells, some of which may show intracytoplasmic lumina, growing within the myxoid or hyaline matrix [43]. EHE is immunohistochemically positive for endothelial cell markers such as FLI1, CD31, or CD34 and displays nuclear expression of CAMTA1 or TFE3 [44,45].

Nasopharyngeal angiofibroma (NAF) is a locally aggressive fibrovascular neoplasm, affecting mostly adolescent boys [46]. Histologically, NAFs are composed of vascular and stromal components, with vessels of various calibers and shapes and bipolar or stellate fibroblastic cells, showing nuclear androgen receptor and betacatenin positivity in the majority of cases [47].

Paraganglioma is an uncommon neural crest—derived neuroendocrine neoplasm, composed of chief cells arranged in nests (*zellballen*), surrounded by sustentacular spindle-shaped cells and delicate capillary and reticulin fiber network. The chief cells are positive for neuroendocrine markers and have a variable expression of \$100 protein. Sustentacular cells exhibit \$100 protein and GFAP positivity [48].

SEF [49] is most commonly localized in the deep soft tissue of the lower extremities, and its occurrence in the H&N region is extremely rare [50] (Fig. 3C and D). SEF is composed of small epithelioid cells arranged in nests, cords, and small acini surrounded by a densely sclerotic matrix. A subset of SEF appears to be genetically related to low-grade fibromyxoid sarcoma, and both tumors share diagnostically useful immunopositivity for MUC4 [51,52]. SEF lacks STAT6 and may be genetically characterized by EWSR1-CREB3L1 or FUS-CREB3L2 rearrangements [52].

BSNS is a low-grade spindle cell sarcoma with myogenic and neural differentiation. Typically, the cells of BSNS are arranged in intersecting fascicles, but herringbone and hemangiopericytomatous patterns resembling SFTs are also frequently found [53,54]. Immunohistochemically, BSNS is characterized by positivity for S100 and actin as well as a focal and weak positivity for CD34, desmin, myogenin, and MyoD1. Genetically, the BSNS is characterized by *PAX3-MAML3* or alternate (*PAX3-FOXO1, PAX3-NCOA1*) gene fusions [55–57].

GCF, which is a locally aggressive pediatric variant of dermatofibrosarcoma protuberans, rarely occurs in the H&N region. GCF is very similar to giant-cell angiofibroma (SFT variant, Fig. 3E and F) [58,59]. Importantly, both these lesions share CD34 immunoexpression, and therefore, STAT6 immunohistochemistry is necessary to distinguish between the GCF and giant-cell angiofibroma/ SFT.

Breast cancers can, albeit rarely, metastasize to the H&N region, which brings additional challenges to the differential diagnosis [60]. Of note, one of our SFT cases (female patient, case 17) with an infiltrating single-file linear growth pattern resembled metastasis of lobular carcinoma of the breast (Fig. 3G and H). Correct diagnosis in these cases is contingent on the evaluation of clinical history and immunohistochemical studies.

Finally, metastatic low-grade endometrial stromal sarcoma (LG ESS) should also be included in the differential

diagnosis of the H&N SFT (Fig. 3I and J). LG ESS is typically diffusely positive for CD10, WT1, estrogen receptors ( $\alpha$  isoform), and progesterone receptors. Moreover, CD99, calretinin,  $\alpha$ -inhibin, or Melan-A may be positive when sex cord-stromal differentiation is present. Genetically, approximately 50% LG ESSs harbor *JAZF1-SUZ12* fusion [61–63].

The *NAB2-STAT6* fusion is a hallmark of SFT [18–20,26,64,65], and the positive nuclear STAT6 expression, which results from this fusion, is the most important immunohistochemical marker of the SFT/HPC.

Tai et al. [66] confirmed that NAB2ex4-STAT6ex2/4 (STAT6-full) variants were strongly associated with the intrathoracic SFTs; however, they did not find any correlation between NAB2-STAT6 fusion variants and prognosis of the SFTs. SFTs with aggressive histology tended to occur in the STAT6-ΔDBD group in case series reported by Yazawa et al. [17], but no correlation was found between fusion types and prognosis. Barthelmess et al. [22] showed that NAB2ex6-STAT6ex16/17 fusion variants occurred more frequently in typical HPCs from the deep soft tissue with a more aggressive histology and clinical behavior. Likewise, another study also reported a highly aggressive phenotype and a poor outcome of the SFT cases with STAT6-ΔDBD rearrangements [25,67]. In contrast with these findings, analysis of our data, combined with data extracted from the literature, identified metastatic disease occurrence only in the STAT6-full group of the H&N SFT. This is an intriguing finding that warrants further investigation in prospective cohorts of H&N SFTs.

In conclusion, we present a single-center experience with H&N SFT and discuss its differential diagnosis. Based on the review of data presented in the literature, *NAB2-STAT6* fusion variants may show association with metastatic potential of SFT in the H&N, but this observation needs confirmation in future studies.

#### Appendix A. Supplementary data

Supplementary data to this article can be found online at https://doi.org/10.1016/j.humpath.2020.03.007.

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## 1.11.5 SATB2 JE ČASTO EXPRIMOVANÝ V OSIFIKUJÍCÍCH PERIFERNÍCH ORÁLNÍCH FIBROMECH V OBLASTI DÁSNĚ, ALE NE V REAKTIVNÍCH FIBROMATÓZNÍCH LÉZÍCH JINÝCH ČÁSTÍ DUTINY ÚSTNÍ

Periferní orální fibromy (POF) dásňové oblasti jsou malé benigní léze, které pravděpodobně vznikají na podkladě dlouhodobého lokálního fyzického či chemického dráždění. Klinicky jsou to bezbolestné a pomalu rostoucí uzlíky obvykle menší než 2 cm. Přítomnost centrálně uložené pletivové kosti je charakteristickým rysem osifikující varianty POF.

V přiložené studii jsme si dali za cíl prozkoumat expresi SATB2, osteoblastického transkripčního faktoru v souboru 28 gingiválních POF, z nichž 10 bylo osifikujících. Získané výsledky jsme porovnali s dalšími 28 lézemi z jiných než gingiválních oblastí dutiny ústní. Hlavní otázka, která byla v průběhu studie řešena, se týkala kontroverzního tématu, proč některé gingivální léze osifikují a jiné nikoliv.

Elanagai a spol. studovali expresi osteopontinu v normální gingivální tkáni a v různých typech fokálních reaktivních lézí včetně osifikujícícho POF. Zjišťovali, zda se osteopontin může uplatnit ve vývoji kostní komponenty lézí (73). Popsali jeho expresi ve všech případech osifikujících POF a přišli s tvrzením, že POF vzniká ze stromálních buněk exprimujících osteopontin, nebo-li z osteoblastů odvozených z periodontálního ligamenta (73).

Výsledkem našeho studia bylo 10 případů osifikujících POF a 8 případů neosifikujícího POF gingivální oblasti se silnou až středně silnou expresí SATB2. Silná reaktivita se SATB2 byla pozorována pouze v 1 případě non-gingiválního POF. Výsledky jasně ukazují konzistentní expresi osteoblastického markeru SATB2 v osifikujících a většině neosifikujících POF gingivální oblasti a chybění tohoto markeru v reaktivních lézích z jiných oblastí dutiny ústní než gingivální. Toto tvrzení je dále ve shodě s navrhovaným původem gingiválních POF v periodontálních ligamentech.

SATB2 je pozitivní v řadě benigních a maligních nádorů hlavy a krku včetně osteosarkomu, osteoblastomu, obrovskobuněčného tumoru, fibrózní dysplázie a epiteliálních nádorů jako sinonazální adenokarcinom intestinálního typu ITAC. Nedávno byla exprese SATB2 popsaná ve fosfaturickém mezenchymálním tumoru včetně oblasti hlavy a krku (85). Naše studie tak nově přidává na seznam orofaciálních lézí pozitivních se SATB2 další lézi, a to osifikující variantu POF. Exprese SATB2 v jakékoliv polypoidní intraorální lézi by měla být proto zvažována obezřetně, abychom se vyhnuli nadhodnocení léze jako maligní.



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Original Contribution

#### SATB2 is frequently expressed in ossifying and non-ossifying peripheral oral fibroma of the gingival region but not in reactive fibromatous lesions from other intraoral sites



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#### ABSTRACT

Ossifying and non-ossifying peripheral oral fibromas (POF) of the gingival and alveolar mucosa are localized, cellular, small fibrous nodular lesions likely resulting from diverse external/internal physical and chemical irritation or injuries. A central nidus of metaplastic woven bone characterizes and defines the ossifying variant. The inherent tendency of these lesions to ossify remains elusive. We herein analyze SATB2 expression as osteoblastic transcription and differentiation factor in 28 gingival POFs (10 of them ossifying) and compare them to 28 fibrous lesions from different non-gingival intraoral sites. Strong to moderate diffuse nuclear SATB2 immunoreactivity was detected in all ossifying (10/10; 100%) and in 8/18 (44%) non-ossifying gingival POFs, but in only 1/28 (3%) non-gingival oral reactive nodular fibrous lesions. This study illustrates for the first-time consistent expression of the osteoblastic marker SATB2 in ossifying and most of non-ossifying POFs of the gingival area but lack of this marker in reactive fibrous lesions from other oral cavity sites. This finding is in line with the proposed origin of gingival POFs from periodontal ligaments and may explain the frequent ossification observed in them. It is mandatory to consider this finding when assessing biopsies from SATB2-positive oral cavity neoplasms to avoid misinterpretation.

#### 1. Introduction

Peripheral oral fibroma (POF) is a benign localized lesion that originates from the gingival and alveolar oral mucosa and presents clinically as a painless, slowly growing, pedunculated or sessile firm nodule, usually < 2 cm in size. Histologically, POF is characterized by fibrous tissue that entraps variable numbers of fibroblastic cells. Presence of a well-defined island of metaplastic woven bone defines the ossifying (versus non-ossifying) variant [1,2]. Several descriptive names have been used for ossifying POF: peripheral cementifying fibroma, peripheral fibroma with cementogenesis, peripheral fibroma with osteogenesis, peripheral fibroma with calcification, calcified or ossified fibrous epulis, and calcified fibroblastic granuloma [1]. Both variants are considered reactive [3,4].

Women in their 2nd decade of life are mainly affected. Recurrence rates approach 20%. comparable but almost never ossifying fibrous lesions (traumatic fibromas and fibroepithelial polyps) may occur at any oral site including the tongue, lips, mouth floor, palate, and others, likely resulting from traumatization or chronic irritation.

The special AT-rich sequence-binding protein 2 (SATB2) encodes a nuclear matrix DNA-binding multifunctional transcriptional regulator protein [5] involved in osteoblast lineage commitment [6-8], craniofacial skeleton, and bone and neuronal evolution [9,10]. SATB2 gene inactivation caused by diverse molecular mechanisms results in socalled SATB2-associated syndrome [11], a condition characterized by neurodevelopmental and behavioral disabilities, palatal clefts, dental anomalies, skeletal anomalies and, rarely, involvement and impairment of other organ systems [7,12].

In surgical pathology practice, SATB2 has been increasingly used as a context-specific marker of osteoblastic differentiation and as a marker of colorectal cancer [13]. In the head and neck, SATB2 represents a valuable adjunct for intestinal-type sinonasal adenocarcinoma and in uncommon mesenchymal neoplasms including variants of craniofacial osteosarcomas and phosphaturic mesenchymal tumors [14,15]. Expression of SATB2 in POF has not been studied before. We herein analyzed 56 fibromatous lesions from the oral cavity for expression of

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SATB2 and correlated topographic and morphological findings with the immunohistochemical SATB2 expression.

#### 2. Materials and methods

All cases have been identified in the routine surgical pathology files of the Institute of Pathology, University Hospital of Erlangen, Germany. Tissue samples have been fixed in formalin overnight and embedded routinely for histological evaluation. Immunohistochemistry (IHC) was performed on 3-µm sections cut from paraffin blocks using a fully automated system ("Benchmark XT System," Ventana Medical Systems Inc., 1910 Innovation Park Drive, Tucson, Arizona, USA). The Anti-SATB2 antibody was retrieved from Abcam (clone EPNCIR130A, dilution. 1:200).

#### 2.1. Immunohistochemical scoring

Only nuclear staining was considered positive. The extent of SATB2 expression was scored as 0 = negative, 1+: 1-25% of cells, 2+: 26-50%, 3+: 51-75% and 4+ if >75% of cells stained positive. The "intensity score" — was defined as negative (no staining), 1+ (weak positivity), 2+ (moderate positivity) and 3+ (strong positivity).

The results of the extent and intensity scores were then multiplied to obtain a final score of 0–12 for each lesion (Table 1).

#### 3. Results

There were 56 lesions from 53 patients available for analysis. Three patients (case 10 and 11 in Table 1; and cases, 13 and 14, 16 and 17 in Table 2) underwent surgical excision of two lesions during same operation. The female to male ratio was 1.2: 1. Cases were distributed over a wide age range (15–82 years). The average age was 52 years (56 and 51 years for males and females, respectively). Twenty-eight lesions were gingival (14 in the maxilla, 13 in the mandible & one in unspecified gingival site). Ten (35%) of the gingival lesions were ossifying. The non-gingival reactive fibrous oral cavity lesions (28) were

located in tongue [8], palate [8], mouth angle & lip [7], buccal mucosa [3] and unspecified non-gingival oral cavity [2].

#### 3.1. Ossifying peripheral oral fibroma (n = 10)

Table 1 (Cases 1 to 10) shows the clinical and histological characteristics of the ten patients with ossifying POF. Affected were 6 women (60%) and 4 (40%) men; the average age was 51.1 years. 5 cases were localized in maxilla and 5 in the mandible. The lesion size ranged from 0.5–1.8 cm (mean, 0.9 cm).

Microscopically all were well-defined polypoid fibroepithelial growths (Fig. 1A). They showed low to moderate cellularity in the form of fibroblast-like spindled or fusiform cells without significant atypia (Fig. 1B, C). This fibroblastic component was admixed with a chronic inflammatory infiltrate composed of fibroblasts, histiocytes, lymphocytes and plasma cells, occasionally forming granuloma-like aggregates. \\ A few neutrophils were seen. The background stroma of all lesions was fibroblastic with prominent collagenous material that varies from few collagen fibrils to large areas with hyalinization or sclerosis. Dense and mature collagen was seen predominantly in cases with a moderate inflammatory component. Variable stromal edema and prominent vascularization were seen in most cases as well as occasional myxoid changes (Fig. 1C). Bone formation was present in all cases and varied from a few psammomatous microcalcifications to well defined partially anastomosing trabeculae of lamellar and woven bone characteristically forming a well-defined central nidus-like bony island (Fig. 1D). The covering mucosa was frequently hyper-/parakeratotic. None of the lesions had evidence of intra-osseous component or features of peripheral odontogenic fibroma, peripheral giant cell granuloma or other specific

SATB2 immunohistochemical staining showed very strong and diffuse nuclear positivity in all cases, both in the bony component and the fibrous tissue surrounding bone structures (Fig. 1E, F). The total SATB2 score was in the range of "6 to 12". All cases were marked as score "3" for SATB2 intensity.

 $\textbf{Table 1} \\ \textbf{Clinicopathological features of ossifying and non-ossifying gingival peripheral oral fibromas } (n=28).$ 

No	Age/sex	Site	Size (cm)	Type	Cellularity	SATB2%	SATB2 intensity	Total score
1	52/F	Maxilla right (Region 13)	0,8 × 0,4	Ossifying	High	4+	3	12
2	71/F	Maxilla	$1,8 \times 1,0$	Ossifying	High	4+	3	12
3	60/F	Maxilla left (Region 24)	$0,5 \times 0,4$	Ossifying	High	4+	3	12
4	35/F	Maxilla left (Region 25)	$0,6 \times 0,6$	Ossifying	High	4+	3	12
5	20/M	Mandible right (Region 44)	$0.8 \times 0.5$	Ossifying	High	4+	3	12
6	63/F	Maxilla left (Region 23/24)	$0.8 \times 0.5$	Ossifying	Moderate	3+	3	9
7	29/F	Mandible (Region 31)	$0,6 \times 0,6$	Ossifying	High	3+	3	9
8	40/M	Mandible middle (Regions 31-41)	$1,2 \times 0,6$	Ossifying	High	2+	3	6
9	74/M	Mandible left	$1,0 \times 0,3$	Ossifying	Moderate	2+	3	6
10*	67/M	Mandible right (Region 45)	$1,0 \times 0,5$	Ossifying	Moderate	2+	3	6
11*	67/M	Maxilla right (Region 13)	$0.5 \times 0.4$	Non-ossifying	Moderate	1+	1	1
12	25/F	Maxilla left (Regions 23/24)	$0.9 \times 0.6$	Non-ossifying	High	3+	3	9
13	15/F	Mandible right (Regions 41,42)	$0.6 \times 0.5$	Non-ossifying	High	2+	3	6
14	53/F	Gingiva not specified	$0.6 \times 0.4$	Non-ossifying	High	2+	2	4
15	68/F	Mandible left (Regions 32/33)	$0,6 \times 0,5$	Non-ossifying	Moderate	2+	2	4
16	72/F	Mandible right (Region 46)	$1,5 \times 0,5$	Non-ossifying	High	2+	2	4
17	20/F	Mandible right (Regions 41,42)	$0.8 \times 0.4$	Non-ossifying	High	2+	2	4
18	42/F	Mandible left (Region 36)	$0.8 \times 0.4$	Non-ossifying	High	1+	3	3
19	65/M	Palate/maxilla left (Region 26)	$0.5 \times 0.5$	Non-ossifying	Moderate	1+	1	1
20	69/M	Maxilla and Mandible (Regions 48, 37, 17-18)	$1.0 \times 0.5$	Non-ossifying	Moderate	1+	1	1
21	65/F	Maxilla middle (Regions 11/21)	$0.7 \times 0.4$	Non-ossifying	Low	1+	1	1
22	32/F	Palate/Maxilla right (Regions 14/15)	$1.1 \times 07$	Non-ossifying	Low	1+	1	1
23	56/F	Maxilla	$0.8 \times 0.6$	Non-ossifying	Low	1+	2	2
24	73/M	Maxilla	$0.9 \times 0.5$	Non-ossifying	Moderate	0	0	0
25	55/M	Maxilla	$2,5 \times 2,2$	Non-ossifying	Low	0	0	0
26	30/M	Mandible right (Region 48)	$0.9 \times 0.5$	Non-ossifying	Low	0	0	0
27	43/F	Mandible left (Region 38)	$0.4 \times 0.4$	Non-ossifying	Low	0	0	0
28	40/M	Maxilla right (Region 12)	$0.5 \times 0.3$	Non-ossifying	Low	0	0	0

<sup>\*</sup> This patient had two separate lesions removed at same time.

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Table 2 Clinicopathological features of non-gingival fibrous oral lesions (n = 28).

No	Age/sex	Site	Size (cm)	Туре	Cellularity	SATB2%	SATB2 intensity	Total score
1	82/F	Palate	0,3 × 0,3	Non-ossifying	Moderate	1+	1	1
2	77 M	Top of the tongue	$0.5 \times 0.4$	Non-ossifying	Moderate	1+	1	1
3	36/M	Left tongue margin	$1,0 \times 0,5$	Non-ossifying	Moderate	1+	1	1
4	48/M	Oral cavity unspecified	$0,4 \times 0,4$	Non-ossifying	Moderate	1+	1	1
5	61/M	Tongue	$0,3 \times 0,3$	Non-ossifying	Moderate	1+	1	1
6	76/M	Left tongue	$0.9 \times 0.4$	Non-ossifying	Moderate	1+	1	1
7	72/F	Hard palate	$1,2 \times 0,7$	Non-ossifying	Low	1+	1	1
8	55/M	Top of the tongue	$0,4 \times 0,3$	Non-ossifying	Low	1+	1	1
9	66/M	Left 1/3 of the tongue	$1,2 \times 0,7$	Non-ossifying	Low	1+	1	1
10	25/F	Tongue	$0.4 \times 0.3$	Non-ossifying	Low	1+	1	1
11	48/M	Tongue	$0,3 \times 0,3$	Non-ossifying	Low	1+	2	2
12	39/F	Oral cavity unspecified	$1,4 \times 1,5$	Non-ossifying	Moderate	0	0	0
13*	44/F	Right mouth angle	$0.6 \times 0.5$	Non-ossifying	Moderate	0	0	0
14*	44/F	Left mouth angle	$0.7 \times 0.5$	Non-ossifying	Moderate	0	0	0
15	60/F	Right buccal mucosa	$0.7 \times 0.7$	Non-ossifying	Moderate	0	0	0
16**	55/M	Right palate	$0.8 \times 0.3$	Non-ossifying	Low	0	0	0
17**	55/M	Right palate	$1,0 \times 0,3$	Non-ossifying	Low	0	0	0
18	65/M	Lower lip	$0,6 \times 0,5$	Non-ossifying	Low	0	0	0
19	77/F	Right mouth angle	$0.5 \times 0.4$	Non-ossifying	Low	0	0	0
20	70/F	Palate	$2,0 \times 1,5$	Non-ossifying	Low	0	0	0
21	47/M	Lower lip	$0.7 \times 0.6$	Non-ossifying	Moderate	0	0	0
22	44/M	Right buccal mucosa	$0.6 \times 0.5$	Non-ossifying	Low	0	0	0
23	65/M	Soft palate	$0.5 \times 0.3$	Non-ossifying	Low	0	0	0
24	51/F	Palate	$0.4 \times 0.3$	Non-ossifying	Moderate	0	0	0
25	50/M	Mouth angle	$1,1 \times 0,7$	Non-ossifying	Low	0	0	0
26	44/F	Right palate	$0,3 \times 0,2$	Non-ossifying	Low	0	0	0
27	48/F	Right mouth angle	$0.5 \times 0.3$	Non-ossifying	Low	0	0	0
28	59/F	Right buccal mucosa	$1,1 \times 0,6$	Non-ossifying	Low	0	0	0

<sup>\* &</sup>amp; \*\* these two patients had two separate lesions removed at the same time.

#### 3.2. Non-ossifying gingival peripheral oral fibroma (n = 18)

Affected were 11 women (61%) and 7 (39%) men; the average age was 49.4 years (range, 15–73 years). Ten cases were localized in maxilla, 7 in the mandible and one case in gingiva not specified. The lesion size ranged from  $0.4–2.5~\rm cm$  (mean,  $0.9~\rm cm$ ).

Histologically, non-ossifying gingival POFs were identical to their ossifying counterparts, but they lacked a bony component. SATB2 immunohistochemistry showed very strong to moderate nuclear positivity in 8 (44%) cases (Fig. 1F). Five cases revealed weak SATB2 expression and another 5 were negative. The total SATB2 score was in the range of "0 to 9".

## 3.3. Fibrous lesions from other non-gingival oral sites (traumatic fibromas & fibroepithelial polyps; n=28)

Table 2 shows the clinical and histological features of the non-gingival oral fibrous lesions (traumatic fibromas/ fibroepithelial polyps). Affected were 13 women (46%) and 15 (54%) men; the average age was 55.8 years (range 25–82 years). Eight cases were localized in the tongue, 7 cases in palate, 7 cases in the lip and mouth angle and 5 cases in the buccal mucosa and oral cavity not specified. The lesion size ranged from 0.3–2.0 cm (mean, 0.7 cm).

Histologically, these lesions showed polypoid localized fibrous nodules composed of coarse collagen fibers entrapping interspersed fibroblastic stromal cells and small vessels (Fig. 2A, B). The covering mucosa was frequently hyper-/parakeratotic (Fig. 2A). No bone formation or psammomatous calcified bodies were seen. SATB2 immunohistochemical staining showed moderate nuclear positivity in one case from the tongue (3%); 10 cases showed weak SATB2 expression and 17 cases were negative. The total SATB2 score was in the range of "0 to 2" (Fig. 2 C, D).

#### $3.4. \ \ Correlation \ of \ SATB2 \ expression \ in \ POF \ with \ site \ and \ histological \ type$

Overall, strong to moderate diffuse SATB2 immunoreactivity was

detected in 10/10 (100%) ossifying, 8/18 (44%) non-ossifying gingival POF, and 1/28 (3%) non-gingival oral fibromas.

#### 4. Discussion

Ossifying and non-ossifying POF of the gingival and alveolar mucosa are very similar lesions except for the presence of a mature metaplastic bony island/ component in the ossifying variant [1,2,16,17]. On the other hand, the non-ossifying variant is essentially comparable to other nodular fibrous oral lesions in the spectrum of traumatic fibroma and fibroepithelial polyps, both being composed of paucicellular to moderately cellular fibrous connective tissue covered by squamous mucosa with frequently variable hyper-/parakeratotic changes. Clinical appearance/site is the major distinguishing feature of the gingival (fibrous epulis-like) POF versus similar nodular fibrous lesions from other oral cavity sites. It is generally accepted that these lesions, irrespective of their name and location, are induced by persistent mechanical injury and other type of irritation [3,4,18,19]. The main questions, why some gingival lesions ossify while others do not, and why the non-gingival fibrous counterparts never ossify, remain a subject of controversy. Elanagai et al. studied the expression of osteopontin in the normal gingival tissue and in different types of focal reactive lesions of the gingiva including ossifying POF to explore its potential role in the development of the bony component [20]. They found osteopontin expression in all cases of ossifying POF and suggested, that POF arises from osteopontin expressing stromal cells – osteoblasts derived from the periodontal ligament. However, the exact nature of the ossifying POF and its relationship to the non-ossifying variant remained speculative, some authors adopted the notion that these two lesions possibly represent different entities due to their different histological features including the presence of a bony component in the ossifying type [20].

In the present study, we analyzed for the first time the two types of gingival POF for expression of the osteoblastic differentiation marker SATB2 in a trial to explain their inherent tendency to ossify and form a mature bone and to address histogenesis and relationship between the ossifying and the non-ossifying variant. Our study included as a control

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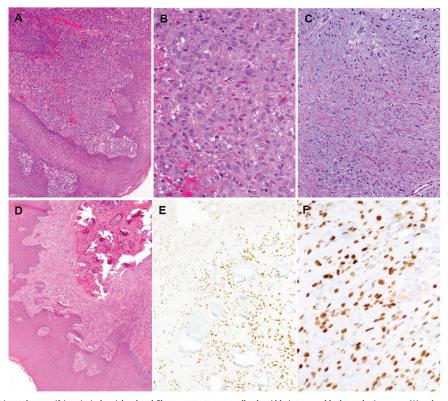


Fig. 1. Ossifying and non-ossifying gingival peripheral oral fibroma presents as a small polypoid lesion covered by hyperplastic mucosa (A) and composed of an admixture of stromal and inflammatory cells (B: higher magnification of cellular stromal area). The stroma varies from sparsely fibrous (B) to fibromyxoid (C). The bony component is frequently represented by a well-defined nidus-like bone island (D). Strong and diffuse SATB2 expression is seen in the peri- and intertrabecular fibroblastic cells in ossifying lesions (E) and diffusely in stromal cells in non-ossifying POF (F).

group histologically comparable localized reactive nodular fibrous lesions from different sites of the oral cavity and the lips in the spectrum of fibroepithelial polyps and traumatic fibromas.

All of ossifying and almost half of the non-ossifying gingival lesions were strongly to moderately SATB2 positive. SATB2 is a transcription regulator that directly binds osteoblast-associated genes to promote or repress their expression. On the other side; SATB2 influences the activity of transcriptional complexes and indirectly manages the expression of genes that are important in osteoblast maturation and differentiation [3-12]. Frequent SATB2 expression in POF reported in this study has not been described before. It indicates osteoblastic differentiation of stromal component that is similar to and in line with the reported osteopontin expression in reactive lesions of the gingiva

The etiology of gingival POF is still enigmatic. Iatrogenic and traumatic factors such as tartar and chewing forces have been implicated. Moreover, POF has been suggested to develop as a consequence of periodontal ligament hyperplasia. Considering the etiology of these lesions, another possible factor of POF development suggests the irritation of the tissues surrounding the tooth and bone, which might stimulate osteoblastic proliferation as a result of SATB2 gene expression in the stromal cells of the periodontal ligaments. Lack of (no more than weak or focal) expression of SATB2 in non-gingival fibrous oral lesions contrasts with that in gingival counterparts and is in line

with the hypothesis that gingival lesions do originate from the periodontal fibrous ligament which likely is composed of mesenchymal cells primed to differentiate along the osteoblastic lineage. On the other hand, other fibrous oral lesions represent localized increase in fibrous tissue of the subepithelial stroma which is not related to the periodontal ligaments or associated with underlying bone tissue. The lower frequency of SATB2 expression in non-ossifying gingival POF (44%) is in contrast with the uniform reactivity of SATB2 in all of the gingival ossifying lesions. This suggests that ossifying lesions are likely more advanced or are associated with higher osteoblastic activation sufficient to produce mature bone.

SATB2 is positive in numerous malignant and benign head and neck lesions such as osteosarcoma, osteoblastoma, giant cell tumor, fibrous dysplasia and in epithelial neoplasms such as sinonasal intestinal-type adenocarcinoma [8,23]. More recently, SATB2 expression was reported to be consistently present in phosphaturic mesenchymal tumors including head and neck cases, some of them may closely resemble central giant cell granuloma [15]. Our current study adds to the list of SATB2 expressing orofacial lesions and should be included in the differential diagnosis, especially when expecting any osteogenic process or osteoblastic neoplasm on biopsy. As it is sometimes tricky and challenging to identify and reliably assess crushed resection margins of fibroblastic osteosarcoma and giant cell granuloma of maxillofacial bones, SATB2 expression in any polypoid intraoral lesions or biopsies

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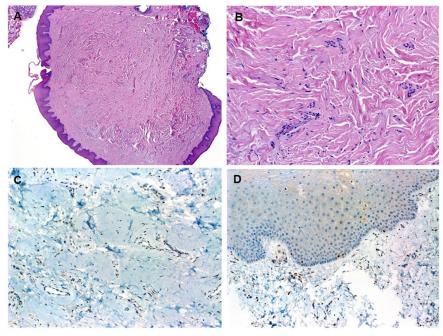


Fig. 2. A: Non-gingival reactive fibrous nodular lesions from different sites of the oral cavity present as polypoid collagenized paucicellular fibrous tissue covered by hyperplastic squamous mucosa. B: coarse collagen fibers admixed with a few cells and vessels. C: SATB2 is either negative (C) or only weakly and focally expressed (D) in the non-gingival fibrous oral lesions. Single SATB2-positive cells are seen in the basal mucosa.

should be approached with caution to avoid over-interpretation as meaningful neoplasm or positive margins.

In summary, this study highlights consistent expression of SATB2 in ossifying and most of non-ossifying peripheral oral fibroma of the gingival region of the maxilla and mandible in line with an origin from periodontal ligament/fibrous tissue and explaining the inherent tendency of these lesions to form bone. The question, why a subset of these lesions does not ossify despite SATB2 expression remains enigmatic.

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## ZÁVĚR

Doktorská dizertační práce uzavírá postgraduální studium z oboru patologie MUDr. Baněčkové Martiny. Výsledkem tříletého studia je 5 prvoautorských prací, které se týkají nádorů hlavy a krku. Dále se autorka podílela jako spoluautor na 9 dalších publikacích. Výsledky všech studií představených v doktorské dizertační práci byly publikovány v zahraničních odborných časopisech s faktorem impaktu (IF).

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- 6) 108<sup>th</sup> Annual Meeting of the United States & Canadian Academy of Pathology, 16<sup>th</sup> February 21<sup>st</sup> March 2019, Gaylord National Resort & Convention Center, National Harbor, MD, USA

<u>Poster:</u> Immunohistochemical Analysis of Respiratory Epithelial Adenomatoid Hamartomas/Seromucinous Hamartomas and Low-grade Tubulopapillary Adenocarcinomas: Related or Not? **Baněčková M**, Skálová A, Michal M, Laco J, Michal M.

<u>Poster:</u> Solitary Fibrous Tumors of The Head and Neck Region Revisited: Study of 20 Cases. **Baněčková M**, Martínek P, Skálová A, Švajdler M, Michal M.

<u>Poster:</u> Salivary Secretory Carcinoma with a Novel *VIM-RET* and Dual *ETV6-NTRK3/MYB-SMR3B* Fusions: NGS Based Molecular Profiling of 49 Cases Revealed an Expanding Molecular Spectrum of a Recently Described Entity. Skalova A, Vanecek T, Ptakova N, Brcic L, Hyrcza M, Santana T, Simpson R, Michael M, **Baneckova M,** Michael M.

- 7) Letní bioptický seminář SD IAP, 28. června 2019, Senec, Slovensko Případová studie: Sekreční karcinom s duální fúzí ETV6-NTRK3 a MYB-SMR3B. Baněčková M, Ptáková N, Skálová A.
- 8) Konference České kooperativní skupiny pro nádory hlavy a krku, 11. 12. 10. 2019, Tábor

<u>Přednáška:</u> Vliv tkáňové fixace na velikost chirurgických okrajů. **Baněčková M,** Skálová A.

<u>Přednáška:</u> Co se skrývá za S100 protein pozitivními onkocytomy slinných. **Baněčková M,** Martínek P, Ptáková N, Súkeníková A, Horáková M, Michal M, Skálová A.

9) 109<sup>th</sup> Annual Meeting of the United States & Canadian Academy of Pathology, 29<sup>th</sup> February – 5<sup>th</sup> March, Los Angeles Convention Center – Los Angeles, CA, USA:

Platform presentation Head and Neck section: What Hides Behind S100 protein/SOX10

Positive Oncocytomas of the Salivary Glands? Baněčková M, Martínek P, Ptáková N, Šteiner P, Stanowska O, Súkeníková A, Horáková M, Michal M, Leivo I, Skálová A.

NASHNP Vincent Hyams award for the best platform presentation in Head and Neck Pathology, by a pathologist in training

<u>Poster:</u> Molecular Profiling of Salivary Oncocytic Mucoepidermoid Carcinomas Helps to Resolve Diagnostic Dilemma with Low-Grade Oncocytic Lesions. Stanowska SO, Agaimy A, Ardighieri I, Steiner P, Nicolai P, Durzyńska M, Majewska H, Prochorec-Sobieszek M, Bakula-Zalewska E, **Baneckova M,** Michal M, Skalova A.

<u>Poster:</u> Novel Rearrangements in Salivary Gland Tumors Detected by Next Generation Sequencing Assay: Series of 104 Fusion Positive Cases. Skalova A, Vanecek T, Martinek P, Ptakova N, Agaimy A, Laco J, Brcic L, **Baneckova M,** Koshyk O.

<u>Poster:</u> Report of 20 Cases of Seromucinous Hamartomas and Respiratory Epithelial Adenomatoid Hamartomas with Dysplastic and Malignant Features. **Baněčková M**, Vaněček T, Laco J, Hyrcza M, Ihrler S, Rupp JN, Petersson F, Koshyk O, Spagnolo VD, Sanjiv J, Schlageter M, Shelekhova VK., Skálová A, Michal M.

## DOPLŇKOVÝ MATERIÁL

## 1.15 Výčet nádorů sinonazálního traktu dle WHO 2017 (7) – tabulka č. 1

Karcinomy
Keratinizující dlaždicobuněčný karcinom
Nekeratinizující dlaždicobuněčný karcinom
Vřetenobuněčný (sarkomatoidní) dlaždicobuněčný karcinom
Lymfoepiteliání karcinom
Sinonazální nediferencovaný karcinom
NUT karcinom
Neuroendokrinní karcinom
Adenokarcinom
Adenokarcinom intestinálního typu
Adenokarcinom ne-intestinálního typu
Teratokarcinosarkom
Sinonazální papilomy
Sinonazální papilomy, invertovaný typ
Sinonazální papilomy, onkocytární typ
Sinonazální papilomy, exofytický typ
Respirační epiteliální léze
Respirační epiteliální adenomatoidní hamartom
Seromucinózní hamartom
Nádory slinných žláz
Plemorfní adenom
Maligní měkkotkáňové nádory Fibrosarkom
Nediferencovany pleomorfní sarkom
Leiomyosarkom
Rhabdomyosarkom
Angiosarkom
Maligní tumor z pochvy periferního nervu
Bifenotypický sinonazální sarkom
Synoviální sarkom
Hraniční / low-grade maligní měkkotkáňové tumory
Desmoidní fibromatóza
Sinonazální glomangiopericytom
Solitární fibrózní tumor
Epitelioidní hemangioendoteliom
Benigní měkkotkáňové tumory
Leiomyom
Hemangiom
Schwannom
Neurofibrom
Ostatní nádory
Meningiom
Sinonazální ameloblastom
Chondromezenchymální hamartomy
Hematolymfoidní nádory
Extranodální NK/T-buněčné lymfomy
Extraoseální plazmocytom
Neuroektodermální / melanocytické tumory

Ewing sarkom / primitivní neuroektodermální tumory	
Olfaktorický neuroblastom	
Mukozální melanom	

## 1.16 Výčet nádoru slinných žláz dle WHO 2017 (7) – tabulka č. 2

Maligní nádory	
Mukoepidermoidní karcinom	
Adenoidně cystický karcinom	
Acinický karcinom	
Polymorfní adenokarcinom	
Světlobuněčný karcinom	
Karcinom z bazálních buněk	
Intraduktální karcinom	
Adenokarcinom, NOS	
Salivární duktální karcinom	
Myoepiteliální karcinom	
Epiteliálně-myoepiteliální karcinom	
Karcinom ex pleomorfní adenom	
Sekreční karcinom	
Sebaceózní adenokarcinom	
Karcinosarkom	
Špatně diferencovaný karcinom	
Lymfoepiteliální karcinom	
Dlaždicobuněčný karcinom	
Onkocytární karcinom	
Sialoblastom	
Benigní nádory	
Pleomorfní adenom	
Myoepiteliom	
Adenom z bazálních buněk	
Warthinův tumor	
Onkocytom	
Lymfadenom	
Cystadenom	
Sialadenoma papilliferum	
Duktální papilom	
Sebaceózní adenom	
Kanalikulární adenom a ostatní duktální adenomy	
Non-neoplastické epiteliální léze	
Sklerózující polycystická adenóza	
Nodulární onkocytární hyperplázie	
Lymfoepiteliální sialoadenitida	
Hyperplázie z vmezeřených duktů	
Benigní měkkotkáňové léze	
Hemangiom	
Lipom/sialolipom	
Nodulární fasciitida	
Hematolymfoidní nádory	
Extranodální lymfom z plášťové zóny slizniční lymfatické tkáně (MALT lymfom)	
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## 1.17 Molekulárně genetické alterace nádorů slinných žláz – tabulka č. 3

Tumor	Chromozomální změna	Geny
		inaktivace X-chromozomu
Sklerózující polycystická adenóza		PTEN ztráta funkce
3 1 3 3		PIK3CA a PIK3R1 mutace
DI C / 1	8q12 translokace	PLAG1 fúze
Pleomorfní adenom	12q13-15 translokace	HMGA2 fúze
	8q12 translokace	PLAG1 fúze
Karcinom ex pleomorfní adenom	12q13-15 translokace	HMGA2 fúze
F	12q15 amplifikace	MDM2, TP53 mutace
Epiteliálně-myoepiteliální	•	HRAS mutace
karcinom		TP53, FBXW7, SMARB1 (INI1) delece
Tubulotrabekulární adenom z bazálních buněk		CTTNB1 mutace
Membranózní adenom z bazálních buněk	16q12-13 delece	CYLD LOH/mutace
	t(11;19)(q21;13)	CRTC1-MAML2
Mukoepidermoidní karcinom	t(11;15)(q21;26)	CRTC3-MAML2
	9p21.3	CDKN2A delece
		TP53, HRAS/NRAS, cyclin D1/CDK mutace
	17q21.1	ERBB2, EGFR, BRAF amplifikace
Salivární duktální karcinom		PIK3CA, PTEN, RICTOR, AKT1, AKT3 mutace
Sanvarni duktami karcinom	Xq12	AR copy gain
	9p21	CDKN2A homozygotní delece
		MDM2 amplifikace
	t(6;9)(q22-23;p23-24)	MYB-NFIB
Adenoidně cystický karcinom	t(8;9)(q13.1;p23-22.3)	MYBL1-NFIB
		MYC overexprese
	t(12;15)(p13;q25)	ETV6-NTRK3
	t(6;12)	ETV6-RET
	t(12;7)(q13;q31)	ETV6-MET
Sekreční karcinom		ETV6-NTRK3 s ETV6-MAML3
		VIM-RET
		ETV6-NTRK3 s MYB-SMR3B
	t(5;2)	CTNNA1-ALK
Světlobuněčný karcinom	t(12;22)(q21;q12)	EWSR1-ATF1
		PRKD1 mutace
Dalymanful adamatransinam a	t(1;14)(p36.11;q12)	ARID1A-PRKD1
Polymorfní adenokarcinom a kribriformní adenokarcinom	t(X;14)(p11.4;q12)	DDX3X-PRKD1
	19q13.32	PRKD2 rearanže
	2p21	PRKD3 rearanže
Landada (la chambana	t(10;10)(q11.22;q11.21)	NCOA4-RET
	t(10;6)(p22.5;q11.21)	TRIM27-RET
Intraduktální karcinom		TUT1-ETV5, KIAA1217-RET
		HRAS, TP53, PIK3CA, SPEN, ATM mutace
Acinický karcinom	t(4;9)(q13;q31)	HTN3-MSANTD3

# 1.18 Molekulárně genetické alterace nádorů sinonazálního traktu – tabulka č. 4

Tumor	Chromozomální změna	Geny	
Chondromezenchymální hamartom		DICER1 mutace	
NUT karcinom	t(15;19)(q14;p13.1)	NUTM1-BRD4	
	t(15;9)(q14;p34.2)	NUTM1-BRD3	
	t(15;8)(q14;11.23)	NUT-NSD3 (WHSC1L1)	
Bifenotypický sinonazální sarkom		PAX3-MAML3	
		PAX3-FOXO1	
		PAX3-NCOA1	
A donakarajnamy integtinálního a		TP53, KRAS, BRAF, EGFR	
Adenokarcinomy intestinálního a		mutace	
ne-intestinálního typu		CDKN2A alterace	
Synoviální sarkom	t(X;18)(p11;q11)	SYT-SSX	
Desmoidní fibromatóza		CTNNB1, APC mutace	
Sinonazální glomangiopericytom		CTNNB1 mutace	
Solitární fibrózní tumor	t(12;12)(q13.3;13)	NAB2-STAT6	
Epithelioidní hemangioendoteliom	t(1;3)(p36;q25)	WWTR1-CAMTA1	
	t(11;X)(q13;p11.22)	YAP1-TFE3	
Samanayain ázní hamantan		částečná alelická ztráta	
Seromucinózní hamartom		inaktivace X-chromozomu	
Nově diskutovaná jednotka			
SMARCB1 (INI1) deficientní		SMARCB1 homozygotní/	
karcinom		heterozygotní delece	

# 1.19 Diferenciální diagnóza SFT – tabulka č. 5

NÁDOR	R IMUNOHISTOCHEMIE				
NÁDOR IMUNOHISTOCHEMIE GENETIKA PRIMÁRNÍ NÁDORY:					
Meningoteliální/Fibrózní meningiom	pozitivní – EMA, somatostatin receptor 2A negativní – STAT6, CD34, ALDH1	mutace <i>NF2, AKT1</i> Delece <i>9p21 (CDKN2A) a</i> <i>další</i>			
Epitelioidní hemangioendoteliom	pozitivní – ERG, CD31, CD34, CAMTA1, TFE3 (malá část) negativní – STAT6	WWTR1-CAMTA1, YAP1- TFE3 (malá část)			
Nasofaryngeální (juvenilní) angiofibrom	pozitivní – β-catenin, AR, SMA negativní – STAT6	Mutace v β-catenin genu			
Paragangliom	pozitivní – S100 protein (sustentakulární buňky), chromogranin, synaptophysin negativní – STAT6, CD34	mutace: VHL, RET, NF1, SHDA-SDHB, TREM127, MAX			
Sclerózující epitelioidní fibrosarkom	pozitivní – MUC4 (80%), EMA negativní – STAT6, CD34	EWSR1-CREBL1, FUS- CREB3L2, YAP-KMT2A			
Biphenotypicky sinonazální sarkom	pozitivní – S100 protein, SMA, malá část - desmin, myoegenin, MyoD1 negativní – STAT6	PAX3-MAML3, PAX3- FOXO1, PAX3-NCOA1			
Glomus tumor	pozitivní – SMA, h-caldesmon negativní – STAT6	MIR143-NOTCH, BRAF V600E			
Protuberujícíc dermatofibrosarkom/Obrovskobuněčný fibroblastom	pozitivní – CD34 negativní – STAT6	COL1A1-PDGFB			
Dediferencovaný liposarkom	pozitivní – MDM2, CDK4, může být i STAT6	MDM2 a CDK4 amplifikace			
Synoviální sarkom	pozitivní –cytokeratiny, EMA, TLE1, SYT, SSX negativní – STAT6	SYT-SSX			
Nodulární fasciitida	Pozitivní – SMA Negativní – STAT6	Fúze genu <i>USP6</i> s mnoha různými partnery			
Nediferencovaný pleomorfní sarkom	vzácně STAT6 pozitivní spíše cytoplazmaticky	Komplexní molekulární aberace			
SEKUNDÁRNÍ NÁDORY:					
Hladkosvalové tumory	pozitivní – SMA, desmin negativní – STAT6				
Lobulární karcinom prsu	pozitivní – cytokeratiny, GATA3, Mammaglobin, GCDFP-15 negativní – STAT6				
LG a HG endometriální stromální sarkom	pozitivní – variabilní CD10, ER, PR, část je BCOR pozitivní (může být i u SFT) negativní – STAT6	LG: JAZF1-SUZ12, PHF1- JAZF1, EPC1-PHF1, MEAF6-PHF1 HG: YWHAE-NUTM2A/B, rearanže BCOR genu			