

Abstract

This thesis deals with the supervision and regulation of investment funds. The thesis focuses on investment funds and management companies, which play an important role in the Capital Markets Union. The aim of the thesis is the analysis of the legal regulation of investment funds and management companies. In order to achieve this aim, it analyses the legal framework, the particularities of the different types of funds and the legal regulation of the management company itself. The thesis also focuses on the authorisation procedure of the management company and a large part of the thesis deals with the supervision of fund investments.