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# HABILITATION THESIS



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**Computational Approaches to Electron Transfer Processes:  
From Ionic Solutions to Nanobioelectronic Devices**

Prague 2023

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Habilitation Thesis

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Prague 2023

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## Preface

The habilitation thesis presents the development and application of advanced computational techniques used for the investigation of charge transfer processes by means of computer simulations, in particular for biologically relevant systems. The author studied this research area during his postdoctoral stays at the National Institute for Materials Sciences (NIMS) in Japan, University College Dublin (UCD) in Ireland, and University College London (UCL) in the United Kingdom, and further elaborated at the Faculty of Science of the University of South Bohemia (USB) in České Budějovice, where he recently established his research group after returning to the Czech Republic. The main achievements in this research field are demonstrated by collections of representative articles published in international scientific journals during the last ten years.

The charge transfer, in general, can proceed by very different mechanisms. The author, motivated by his interest in quantum phenomena in soft matter, focuses predominantly on electronic transitions in molecular systems. These are typically realized by incoherent hopping events, like in redox-active proteins, which can be computationally simulated by hybrid quantum-mechanical/molecular-mechanical (QM/MM) approaches and statistical techniques based on molecular dynamics (MD). However, the situation becomes more complex when the molecules get in touch with electrified metal surfaces, like at solid/liquid interfaces in electrochemistry or protein junctions in nanobioelectronic devices. These interactions can dramatically change the electronic behavior of the molecules and the transport mechanism as well. Coherent tunneling, usual for charge transfer in solid matter, plays a more important role on such interfaces, requiring full-quantum computational descriptions, typically based on density functional theory (DFT).

The theory of (in)coherent electron transfer (ET) is briefly reviewed in the first part of the thesis, together with the computational approaches and techniques suitable for their investigation. Then, the author demonstrates the applicability and performance of these methods in several case examples. Special focus is given to biologically relevant problems such as the ergodicity of ET in redox proteins, long-range ET efficiency in redox chains, interactions of biomolecules with metal electrodes, and tunneling conductance of protein junctions. Other applications, such as redox-state transitions in solvated molecules, electronic transfer on solvated semiconductor electrode interfaces, or external-field-induced superionic transitions in ice systems, are mentioned in the last part of the thesis. The thesis is supplemented by reprints of the author's 14 most relevant scientific articles on the presented research topic.

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# 1 Introduction

Charge transfer reactions are ubiquitous not only in chemistry, industry, and electronic technologies but also in biology. For example, photosynthesis, respiration cycle, and nitrogen fixation are just the most famous natural processes maintained by living cells, where the electronic charges are transferred through complex redox cascades to support other chemical reactions [1–12]. The charge transfer is mediated by redox-active proteins like cytochromes or cupredoxins, which have suitable electronic structures to trap and release electrons, and it is further supported by various organic compounds shuttling the charge between such proteins. In contrast to inorganic solid-state matter, the range of biomolecular structures is much richer, more flexible, and more complex.

In biology, electrons typically localize on an available redox site until they gain enough energy from the molecular thermal movements to overcome a free energy barrier and transfer to another place [11, 13–15]. This mechanism is known as incoherent hopping [16]. The resident time of the electrons is often long enough to allow complete relaxation of the redox site and its neighborhood to a changed electrostatic potential upon the electron transfer event. The free energy connected with such relaxation, together with the energy differences between the related sites, determines the barrier preventing the hops, and so the kinetics of the overall process. Biomolecules such as redox proteins are usually optimized by evolution to allow efficient electron transfer over long-range distances [6, 13, 17]. They often utilize transition metals and aromatic organic cofactors in their redox sites to tune the electronic-state positions and couplings.

However, experimental detection of individual hopping events in native biomolecules is challenging. Typically, protein must be labeled by suitable organic dye near the specific redox site, which is then used for controlled electron injection during ultrafast transient spectroscopy measurements, known as pump-probe [18–21]. More often, biomolecular redox properties are studied by electrochemical approaches [22–24], which are less specific but easier to perform. For example, in protein film voltammetry [25–28], an electric current-voltage (I-V) response is probed on a monolayer of proteins adsorbed on the electrode surface in solution. The specific shape of the resulting I-V curves contains information about redox site energies and transfer kinetics, averaged over all the involved proteins. Nevertheless, electronic interactions of the probed proteins with the metallic electrode states and the external electric fields present during the measurements can affect the molecular properties and the transfer mechanism in a considerable way.

Single-molecular probe techniques have recently been developed, allowing measurements of current-voltage characteristics on individual peptides and proteins [29–33]. These

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methods are based on scanning tunneling microscopy (STM) or conductive atomic force microscopy (AFM) [34], where the probed molecule is adsorbed on an electrode substrate, and the current is measured via a sharp metal tip approaching the molecule from the other side. The atomic resolution of these methods allows investigations of relations between the adsorption geometries, electron structures, and transport properties of the biomolecules. Yet, the interpretation of these data is not straightforward. The shapes, magnitudes, and temperature dependencies of the detected I-V curves cannot be explained by the incoherent hopping mechanism [35,36]. Instead, coherent tunneling, a typical mechanism for solid-state transport processes, has been suggested to explain measured data [33,35–41]. On the other hand, efficient, coherent transport over large and flexible biomolecules is challenging our understanding of tunneling phenomena as well.

The lack of detailed experimental data at the single-molecular level and their difficult interpretation call for accurate atomistic simulations of such systems. Last four decades, there has been significant progress in the development of computational methods and techniques able to predict key parameters for incoherent transport, elucidate the related experimental data, and understand the electron transfer in native biological environments [11]. However, simulations of the coherent processes are still challenging because they typically occur on, often solvated, bio/metallic interfaces with complex atomistic and electronic structures. Moreover, the quantum nature of coherent tunneling requires the treatment of these models fully at the quantum-mechanical level, which increases the complexity of the theoretical description and the cost of the performed simulations [36,41]. Yet, a detailed understanding of these phenomena is desired not only from the fundamental point of view but also for further development of the rapidly growing field of nanobioelectronics [42–45].

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## 2 Theory of electron transfer

Electronic charge is transferred through various materials via different mechanisms depending on positions, densities, and fluctuations of available electronic states. While in metals and inorganic semiconductors, which are extended and rigid, the electrons move through conduction bands of densely packed empty states, the electron hopping among energetically and spatially well-separated states of redox-active species occurs in solutions in flexible biomolecules.

### 2.1 Incoherent hopping

When the electronic charge, i.e. either electron or electron hole, is localized in spatially well-defined region and loses its initial phase before moving to another site, we talk about an incoherent hopping mechanism. The two sites participating in the electron transfer reaction are known as an electron donor (D) and acceptor (A). This type of charge transfer mechanism is typical for electron transitions between solvated ions or organic species, charged vacancies or defects in metal oxides, and redox sites in the biomolecular systems like peptide and proteins.

#### 2.1.1 Marcus theory of electron transfer

Theory of the incoherent, non-adiabatic electron hopping was formulated by Marcus [46, 47] and Hush [48, 49]. The initial state  $D^-A$  of the electron-transfer reaction is supposed to be surrounded by thermally-fluctuating molecular environment which eventually brings the energies of the D/A sites to the same level (Fig. 1). When these energy states are aligned (at the so-called transition state of the reaction) the electron transfers from D to A. Consequently, the system relaxes to the final state  $DA^-$ .

Within the Marcus-Hush theory, the diabatic free energy surfaces corresponding to the initial and final states, further labeled as  $a$  and  $b$ , are parabolic, as it is shown in Fig. 2. The two minima of these parabolas correspond to the equilibrium states and their vertical difference has a meaning of the reaction free energy or driving force

$$\Delta G = G_b(\xi_b) - G_a(\xi_a). \quad (1)$$

Steepness or curvature of the energy surfaces are characterized by the reorganization free

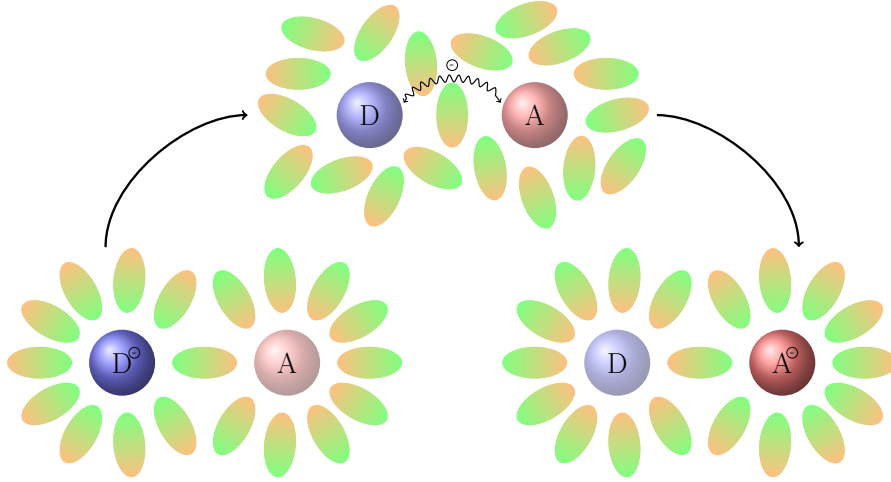


Figure 1: The schematic illustration of the electron transfer between the donor (D) and acceptor (A) species in solution. Initial equilibrium state  $D^{\ominus}A$  is perturbed by thermal motion of the solvent to the transition state where the electron transfers from D to A and, afterwards, the system is relaxed to the final state  $DA^{\ominus}$ .

energies, which are defined as

$$\lambda_a = G_a(\xi_b) - G_a(\xi_a) \quad (2a)$$

$$\lambda_b = G_b(\xi_a) - G_b(\xi_b) \quad (2b)$$

The reorganization energy is related to relaxation processes upon the vertical charge transitions between the surfaces  $G_a$  and  $G_b$ . Within the Marcus-Hush theory, which is based on the linear response approximation, the reorganization energies for the forward and backward reaction are the same, i.e.  $\lambda_a = \lambda_b = \lambda$ .

The reorganization free energy can be decomposed to the inner and outer parts

$$\lambda = \lambda_i + \lambda_o. \quad (3)$$

The inner-part contribution comes from the polarization and the internal vibrational movements of the D/A sites, which change when the electronic charge is transferred [50]. This part of the reorganization free energy depends only weakly on the molecular environment [51]. On the other hand, the outer-part contribution characterizes the relaxation of the D/A surroundings (both molecular and electronic) and it grows with the increasing distance  $R_{DA}$  between the donor and the acceptor

$$\lambda_o = \frac{1}{4\pi} \left( \frac{1}{\epsilon_o} - \frac{1}{\epsilon_s} \right) \left( \frac{1}{2r_D} + \frac{1}{2r_A} - \frac{1}{R_{DA}} \right) (\Delta q)^2. \quad (4)$$

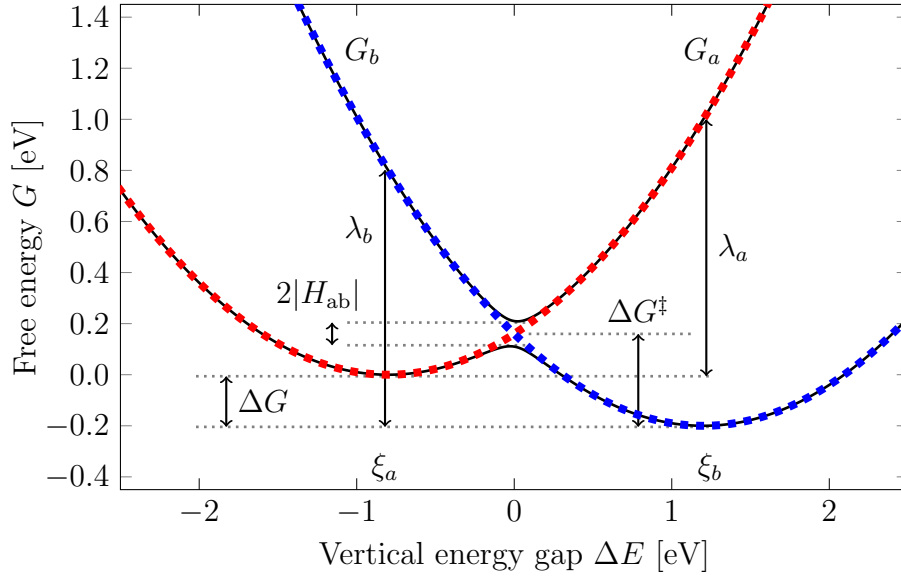


Figure 2: The schematic illustration of the Marcus free energy diabatic surfaces  $G_a$ ,  $G_b$  (dashed red and blue curves) with indicated driving force  $\Delta G$ , reorganization free energy  $\lambda$ , free energy barrier  $\Delta G^\ddagger$ , and crossing-region splitting caused by electronic coupling  $H_{ab}$ . The adiabatic surfaces  $G_0$ ,  $G_1$  of the system ground state and the first excited state, respectively, are shown by underlying solid black curves. The vertical energy gap  $\Delta E$  plays the role of the reaction coordinate  $\xi$ . The parameters used to draw the scheme are  $\Delta G = -0.2$  eV,  $\lambda_a = \lambda_b = 1.0$  eV, and  $|H_{ab}| = 0.05$  eV.

Here, the  $r_D$  and  $r_A$  are radii of approximately-spherical D/A sites, and  $\Delta q$  is the transferred charge. The environmental prefactor expressed as the difference of reciprocal values of the optical and static dielectric constants,  $\epsilon_o$  and  $\epsilon_s$ , respectively, is known as the Pekar factor.

Thanks to the parabolic shape of the energy surfaces, the free energy barrier at the crossing, transition-state region can be expressed by a simple formula [52]

$$\Delta G^\ddagger = \frac{(\Delta G + \lambda)^2}{4\lambda} \quad (5)$$

Assuming that the transition rate can be well described by the first-order time-dependent perturbation theory, the rate constant of the given electron-transfer reaction is expressed as

$$k_{\text{ET}} = \frac{2\pi}{\hbar} |H_{ab}|^2 \frac{1}{\sqrt{4\pi\lambda k_B T}} \exp\left[-\frac{\Delta G^\ddagger}{k_B T}\right] \quad (6)$$

The rate constant grows with the increasing reaction free energy until it reaches its maximum for the activation-less process where  $\Delta G = -\lambda$ . Further increase of the driving force then leads to the decrease of the rate constant. This effect is known as the Marcus



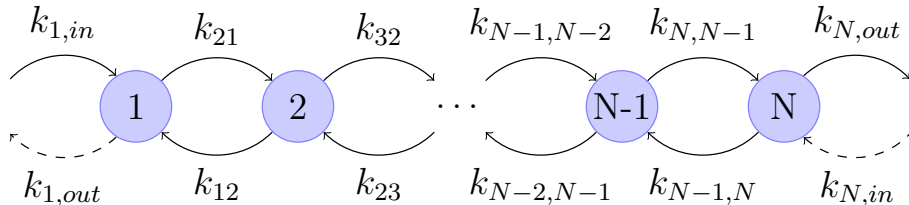


Figure 3: Linear-chain model of tightly-bound redox sites where the electron transfer proceeds only between the adjacent sites.

inverted region and it served for experimental validation of this ET theory [53].

Marcus–Hush theory was then successfully applied to describe electron transfer in redox molecular complexes [54–57], wide range of biomolecules [1, 5, 11, 16, 17, 51, 58–68], long-range redox chains [13, 21, 69–72], microbial films [73, 74], inorganic and some organic semiconductors [75–84], and also on electrochemical interfaces [85–88]. However, systems where this theory is not applicable are well known too. Typical violations of the Marcus assumptions are non-Gaussian energy-gap fluctuations caused by structural changes occurring during the charge-transfer processes [11, 89–93], nonergodic behavior due to the different time scales of electron transfer and molecular movements [64, 94–101].

### 2.1.2 Electronic current

The system of interest where the electronic charge is moving by the incoherent hopping mechanism can be described by the chain redox-site models [102] like in Fig. 3, where the allowed transitions with the corresponding rate constants are indicated. In the simplest case, the electron passes through the system in a linear fashion and only the transitions between the nearest neighbours are considered, however, more complex models can be designed to capture the ET pathways in particular systems [36, 72, 103].

Considering the linear-chain model shown in Fig. 3, the change of the electron population  $P_i \in [0, 1]$  on the redox site  $i$  is given by difference between electron injection and ejection to/from that site

$$\frac{dP_i}{dt} = [k_{i,i-1}P_{i-1} + k_{i,i+1}P_{i+1}](1 - P_i) - [k_{i,i-1}(1 - P_{i-1}) + k_{i+1,i}(1 - P_{i+1})]P_i \quad (7)$$

at specific time  $t$ . Under the steady-state conditions, when the site populations do not

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change any more, the kinetics of the system is described by the following set of equations

$$k_{1,in}(1 - P_1) + k_{12}P_2(1 - P_1) = k_{21}P_1(1 - P_2) \quad (8a)$$

$$k_{i,i-1}P_{i-1}(1 - P_i) + k_{i,i+1}P_{i+1}(1 - P_i) = k_{i-1,i}P_i(1 - P_{i-1}) + k_{i+1,i}P_i(1 - P_{i+1}) \quad (8b)$$

$$k_{N,N-1}P_{N-1}(1 - P_N) = k_{N-1,N}P_N(1 - P_{N-1}) + k_{N,out}P_N \quad (8c)$$

where the first and third equation describes the electron injection/ejection to/from the first/last site, respectively. By solving these equations, the equilibrium site populations are obtained by recursive formulas

$$P_1 = \frac{k_{12}P_2 + k_{1,in}}{(k_{12} - k_{21})P_2 + k_{1,in} + k_{21}} \quad (9a)$$

$$P_i = \frac{k_{i,i-1}P_{i-1} + k_{i,i+1}P_{i+1}}{(k_{i,i-1} - k_{i-1,i})P_{i-1} + (k_{i,i+1} - k_{i+1,i})P_{i+1} + k_{i-1,i} + k_{i+1,i}} \quad (9b)$$

$$P_N = \frac{k_{N,N-1}P_{N-1}}{(k_{N,N-1} - k_{N-1,N})P_{N-1} + k_{N,out} + k_{N-1,N}} \quad (9c)$$

The electronic flux is then given by the populations of the frontier sites

$$J = k_{1L}(1 - P_1) = k_{RN}P_N. \quad (10)$$

### 2.1.3 Metal/molecule interfaces

While in original Marcus theory charge transfer between two redox states is treated, at heterogeneous interfaces between metal electrodes and molecules interaction of the redox state with continuum of metallic states need to be considered [104–108]. This problem was investigated by Chidsey [86], who modified the Marcus rate-constant formula for the oxidation ( $k_{Mi}$ ) and reduction ( $k_{iM}$ ) of molecular species on metal surfaces

$$k_{Mi} = \frac{\Gamma}{\hbar} \sqrt{\frac{k_B T}{4\pi\lambda_i}} \int_{-\infty}^{\infty} \exp \left[ - \left( x - \frac{\lambda_i + e(\epsilon_i - \mu_M)}{k_B T} \right)^2 \frac{k_B T}{4\lambda_i} \right] / [1 + \exp(x)] dx \quad (11a)$$

$$k_{iM} = \frac{\Gamma}{\hbar} \sqrt{\frac{k_B T}{4\pi\lambda_i}} \int_{-\infty}^{\infty} \exp \left[ - \left( x - \frac{\lambda_i + e(\mu_M - \epsilon_i)}{k_B T} \right)^2 \frac{k_B T}{4\lambda_i} \right] / [1 + \exp(x)] dx \quad (11b)$$

where

$$\Gamma = 2\pi \langle |H_{iM}|^2 \rho \rangle \quad (12)$$

is the average interfacial coupling of the site  $i$  with the electrode  $M$ , assuming wide band approximation. Fermi potential  $\mu_M$  of the electrode is a material property, which is, under

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open-circuit conditions, determined by the surface structure.

Besides the direct electronic interaction of the redox species with the electrode states, the electrochemical interfaces are affected by the applied bias potential, which effectively shifts the Fermi potential of the electrode. The electrode charging, and the corresponding electric field, are on the solvent side of the interface compensated by the electric double layer form by mobile ionic species [109–111]. Finally, the resulting local electric fields and increased ionic concentration influence the molecular electronic states and sometimes even structural conformations [112–114]. Due to these complex effects, theoretical investigations of charge transfer at electrochemical interfaces are not straightforward and applications of state-of-the-art computational approaches, like band-alignment corrections [115–124], non-equilibrium molecular dynamics [125–128], and special bias-potential-control techniques [129–135], are often required.

## 2.2 Coherent tunneling

Coherent tunneling is a type of quantum transport where the charge passes through the potential region exceeding its available energy while the phase of its wavefunction does not change during such process. The charge particle thus does not significantly interact with its environment or other (quasi)particles. Therefore, the coherent tunneling typically proceed ballistically or in weak-interaction regime where inelastic scattering is negligible [136–138].

### 2.2.1 Landauer-Büttiker formalism

The Landauer-Büttiker formalism [139,140], known also as the scattering method, relates the transport properties to the electron transmission through the considered material. In this formalism, the tunneling current can be obtained by integration of transmission function  $T(E)$  within the so-called Fermi window

$$I(V) = \frac{e}{\pi\hbar} \int T(E) [f_L(E, V) - f_R(E, V)] dE \quad (13)$$

The Fermi window is given by the difference of Fermi–Dirac distributions  $f_M$ , characterized by Fermi potentials  $\mu_M$ , of the two contacts ( $M = L, R$ )

$$f(E) = \frac{1}{\exp\left(\frac{E-\mu}{k_B T}\right) + 1} \quad (14)$$

The transmission function describes the probability that an electron tunnels from the

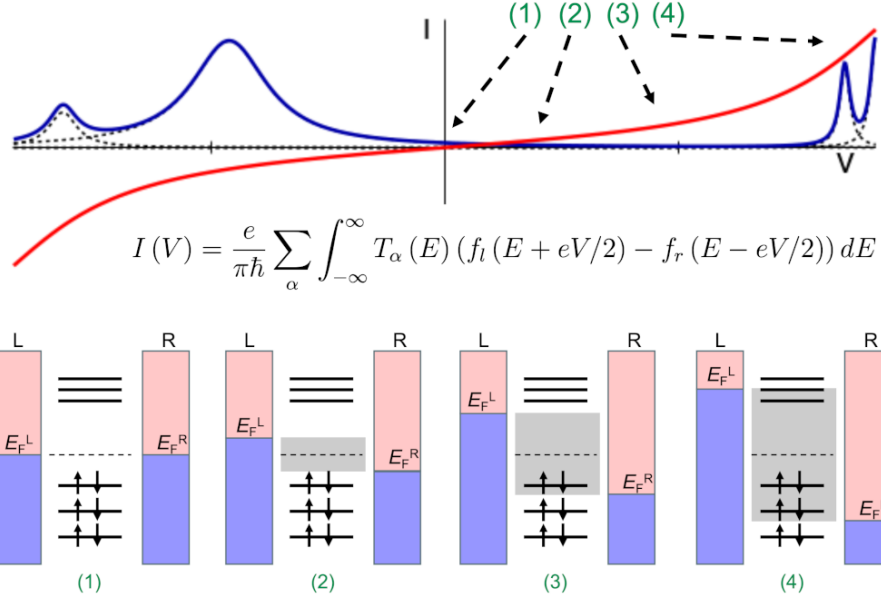


Figure 4: Schematic illustration of Landauer integration of transmission function for molecular system where the equilibrium Fermi level of the contacts is located in the HOMO–LUMO gap. Occupied and empty states of the left ( $L$ ) and right ( $R$ ) metal contact are shown in blue and red colors, respectively.

left contact region  $L$  via the scattering region  $S$  to the right contact  $R$  at energy level  $E$ . In the Breit-Wigner approximation, where the electronic states within the scattering region are regarded as independent and non-interacting, the transmission function is written as a sum of single Lorentzian peaks [141, 142]

$$T(E) = \sum_{j \in S} \frac{\Gamma_j^{(L)}(E)\Gamma_j^{(R)}(E)}{[E - \epsilon_j]^2 + [\Gamma_j^{(L)}(E) + \Gamma_j^{(R)}(E)]^2/4} \quad (15)$$

The  $\Gamma$  functions, known as spectral densities, are responsible for the molecular state broadening due to their interactions with the metal contacts. The functions are related to the electronic coupling elements between the molecular state  $j$  from the scattering region  $S$  and metallic states  $m$ ,  $H_{mj}$ , weighted by their densities  $\rho_M$

$$\Gamma_j^{(M)}(E) = 2\pi [ |H_{mj}|^2 \rho_M(\epsilon_{M,m}) ]_{\epsilon_{M,m}=E} \quad (16)$$

For the interpretation of experimentally measured tunneling current–voltage ( $I$ - $V$ ) curves, this formalism is usually further simplified [35]. However, the Landauer–Büttiker formalism can be used to predict the tunneling current magnitudes directly from the *ab initio* data [143], typically obtained by the tight-binding (TB) potentials or density-

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functional theory (DFT). In these cases, full all-to-all transmission matrix is usually considered as a generalization of the simplified Breit-Wigner transmission function. Further, the formalism allows description of devices with more than two contacts to the scattering region [139, 144], which makes it popular for theoretical description of various electronics components and molecular junctions [145–149]. Recently, this methodology and its modifications was also applied to investigation of extended biomolecular junctions [36, 41, 150].

### 2.2.2 Green’s function description

Alternatively, the transport problem can be mathematically formulated using Green functions, which are more suitable for description of open and non-equilibrium systems. The retarded ( $\hat{G}$ ) and advanced ( $\hat{G}^\dagger$ ) Green functions are propagators describing system evolution, i.e. solution of the time-dependent Schrödinger’s equation

$$i\hbar \frac{\partial |\psi(t)\rangle}{\partial t} = \hat{H} |\psi(t)\rangle \quad (17)$$

in time

$$|\psi(t)\rangle = i\hbar \hat{G}(t - t_0) |\psi(t_0)\rangle, \quad t > t_0 \quad (18)$$

or back in time

$$|\psi(t)\rangle = -i\hbar \hat{G}^\dagger(t - t_0) |\psi(t_0)\rangle, \quad t < t_0 \quad (19)$$

In energy representation, which is connected with the time representation by the Fourier transform, the retarded Green function has the traditional form

$$\hat{G}(E) = \left[ (E + i\eta)\hat{I} - \hat{H} \right]^{-1} \quad (20)$$

where  $\eta$  is small real number offsetting the energies to complex plane to avoid divergencies at Hamiltonian poles  $\epsilon_j$ . In the spectral representation, convenient for numerical calculations, the retarded Green function has the form

$$\hat{G}(E) = \sum_n \frac{|\psi_n\rangle \langle \psi_n|}{E - \epsilon_n + i\eta} \quad (21)$$

where  $\psi_n$  and  $\epsilon_n$  are eigenfunctions and eigenvalues of the Hamiltonian  $\hat{H}$ , respectively.

The scattering can be then captured in perturbation fashion by Lippmann-Schwinger equation [151]

$$\hat{G}(E) = \hat{G}_0(E) + \hat{G}(E)\hat{V}\hat{G}_0(E) \quad (22)$$

---

where  $\hat{G}_0$  describes the incoming particle,  $\hat{G}$  the scattered particle, and the scattering potential is described by the operator  $\hat{V}$ . Finally, using the Fisher–Lee relation between the Green function and the scattering matrix [152], the transmission function can be expressed as [153, 154]

$$T(E) = \text{Tr} \left[ \hat{\Gamma}^{(L)}(E) \hat{G}^{(S)\dagger}(E) \hat{\Gamma}^{(R)}(E) \hat{G}^{(S)}(E) \right] \quad (23)$$

where  $\hat{G}^{(S)}$  is the Green function operator of the scattering region where the interaction with the contacts is involved via the self-energy operators  $\hat{\Sigma}^{(M)}$ . The operators  $\Gamma^{(M)}$  are related to self-energy as  $\text{Im}[\hat{\Sigma}] = -\frac{1}{2}\hat{\Gamma}$ .

The Green function description of the open system can be generalized to non-equilibrium cases by the so-called Keldysh formalism [155]. This method, known as non-equilibrium Green function (NEGF) [156–163], has been implemented in many TB and DFT software packages [164–167], and became popular for investigation of transport properties of semiconductor nanoelectronic components [136, 137, 168–170] and molecular junctions [102, 138, 171].

## 3 Computational approaches

### 3.1 Current-voltage curve modeling

Measured current-voltage ( $I$ - $V$ ) curves from single-molecular experiments like STM are usually first analyzed by using analytical models capturing the physical properties of the probed sample [29, 32, 33, 35, 39, 41, 150, 172–198]. For example, one can design multi-site hopping models for modeling electron fluxes through redox protein chains [68, 102, 103] or apply tunneling models [199, 200] to analyze current curves in solid-state junctions. Usually, agreement of several different models with the experimental data is explored to determine the electron transfer mechanism and estimate values of the key parameters (see Fig. 5 for details).

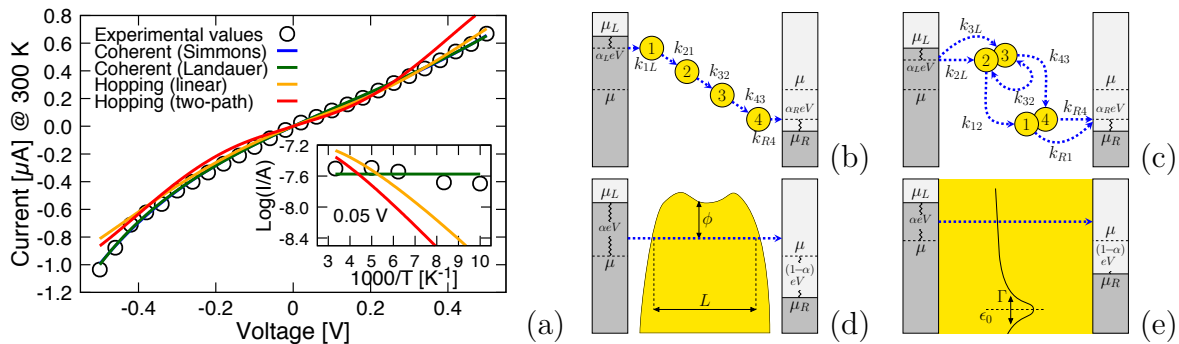


Figure 5: (a) Fitting of experimental current–voltage ( $I$ - $V$ ) and current–temperature ( $I$ - $T$ ) curves (inset) for the tetra-heme protein STC [35]. Models of incoherent hopping (b) along a linear chain and (c) along a branched chain of redox sites are compared to coherent tunneling (d) Simmons model and (e) single-channel Landauer model.

#### 3.1.1 Incoherent hopping models

Incoherent hopping models, based on the kinetic master equations described in Sec. 2.1.2, are popular for modeling of  $I$ - $V$  curves measured on redox systems where applicability of the Marcus–Hush theory of electron transfer [46, 48] can be assumed. The hopping models can be adapted to capture geometry and expected ET pathways in particular systems. While simple linear chains can be applied to compute electronic fluxes, for example, in multi-heme cytochromes [13, 68, 71, 201], branched chains are typically more suitable for investigation of electron transfer in redox cascades involving more proteins [65, 72, 103]. Moreover, the model can describe also electron hopping events in molecular crystals [76, 77, 81, 82, 202–205] and in inorganic semiconductors [75, 78–80, 206], although solution of

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the kinetic master equations for such ET networks requires different methodology than the simpler chain pathways.

For the small linear chains, analytical solution of the equations (9) can be found directly or by using various symbolic solvers [68]. However, this becomes impractical for the cases where long chains involving many redox sites are investigated, and impossible for the more complex, typically branched, ET pathways. In such cases, the solution (i.e. the equilibrium populations of the individual sites and the steady-state current) is usually obtained by iterative techniques, where the initial populations are guessed (often set to zero) and then improved by iterative cycles [35, 36, 102]. Finally, for the complex and extended ET networks, where the iterative methods would converge too slowly or suffer from oscillations, kinetic Monte Carlo approaches are applied [202, 203, 207].

However, for analyzing the experimentally measured current data, number of free parameters must be reduced to ambiguities related to over-fitting. The input rate constants are computed from the Marcus formula (6), which requires knowledge of the driving force ( $\Delta G$ ), reorganization free energy  $\lambda$ , and electronic coupling  $H_{ab}$ . Typically, available experimental redox-potential values are employed to obtain  $\Delta G$ ,  $\lambda$  and  $H_{ab}$  are estimated from Eqs. 4 and 72, and parameters of interest (e.g., missing redox potential or rate constant between specific redox pair) are fitted. Recently, we used such incoherent hopping modeling of  $I$ - $V$  curves measured on solid-state protein junctions based on multi-heme cytochromes [35, 36], where we simultaneously fitted the current dependencies on applied bias potential and temperature (shown in Fig. 5), to prove that the hopping cannot explain high current magnitudes and weak thermal effects in such devices.

### 3.1.2 Coherent models

**Simmons model** The model of Simmons [200] describes electron tunnelling through a potential barrier of arbitrary shape representing a thin insulating film between two conductive electrodes. Assuming the average barrier height  $\phi$  above the Fermi level  $\mu_L$  of the negatively-charged left electrode and the potential drop occurring at the right electrode, the current can be described as

$$I(V) = \frac{e^2}{2\pi\hbar} \left[ (\phi - \alpha V) e^{-K\sqrt{\phi - \alpha V}} - (\phi + (1 - \alpha)V) e^{-K\sqrt{\phi + (1 - \alpha)V}} \right] \quad (24)$$

where

$$K = 4\pi L (2me)^{1/2} / \hbar \quad (25)$$



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is determined by tunnelling length  $L$ . The model involves also the so-called symmetry factor  $\alpha$  allowing description of arbitrary distribution of the potential drop on the electrodes [208].

**Landauer model** In the Landauer formalism [142, 191], the transmission function is approximated by a Lorentzian peak

$$T(E) = \frac{\Gamma_L \Gamma_R}{[E - \epsilon_0]^2 + \Gamma^2}, \quad \Gamma = (\Gamma_L + \Gamma_R)/2 \quad (26)$$

representing the conduction channel, that is a molecular energy level  $\epsilon_0$  mediating the tunnelling current.  $\Gamma_L$  and  $\Gamma_R$  are spectral densities (interfacial protein/electrode couplings exhibited by interfacial state broadening) determining the shape of the transmission function.

As in the Simmons model, a symmetry factor  $\alpha$  is introduced to control the potential drop on the electrodes via the positions of their Fermi levels

$$\mu_L = \alpha eV/2, \quad \mu_R = -(1 - \alpha)eV/2 \quad (27)$$

The integral with transmission function (26) is solved in the zero-temperature limit, where the Fermi–Dirac distribution converges to a Heaviside step function  $f(E) \rightarrow \vartheta(E - \mu)$  and  $df/d\mu \rightarrow \delta(E - \mu)$ . In this limit the electric conductance can be written in analytic form,

$$\begin{aligned} g(V) &= \frac{dI}{dV} = \frac{e}{\pi\hbar} \left[ \int T(E) \frac{df}{d\mu_L} \frac{d\mu_L}{dV} dE - \int T(E) \frac{df}{d\mu_R} \frac{d\mu_R}{dV} dE \right] = \\ &= G_0 \Gamma_L \Gamma_R \left[ \frac{\alpha}{(\alpha eV - \epsilon_0)^2 + \Gamma^2} - \frac{\alpha - 1}{((\alpha - 1)eV - \epsilon_0)^2 + \Gamma^2} \right], \end{aligned} \quad (28)$$

where  $G_0 = e^2/\pi\hbar$  is known as the quantum conductance (i.e., the maximal conductance of the single electronic level). The tunneling current is then obtained by integration of (28), giving

$$I(V) = \frac{2G_0}{e} \frac{\Gamma_L \Gamma_R}{\Gamma_L + \Gamma_R} \left[ \arctan \frac{\alpha eV - \epsilon_0}{(\Gamma_L + \Gamma_R)/2} - \arctan \frac{(\alpha - 1)eV - \epsilon_0}{(\Gamma_L + \Gamma_R)/2} \right], \quad (29)$$

For the fitting of the experimental  $I$ - $V$  curves to (29), the same coupling values to the left and right electrode ( $\Gamma_L = \Gamma_R \equiv \Gamma$ ) are typically assumed. Hence, there are three fitting parameters:  $\epsilon_0$ ,  $\Gamma$ ,  $\alpha$  in this model.

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## 3.2 Molecular dynamics (MD) techniques

Due to the flexible nature of the molecular soft matter, including biomolecules, sampling of configuration space by molecular dynamics is often employed. In the classical mechanics, the state of a particle is determined by its position  $\mathbf{r}$  and momentum  $\mathbf{p}$ . Integrating the equations of motion, time evolution of these two quantities can be written as

$$\mathbf{r}(t) = \mathbf{r}(t_0) + \int_{t_0}^t \frac{\mathbf{p}(t')}{m} dt' \quad (30a)$$

$$\mathbf{p}(t) = \mathbf{p}(t_0) + m \int_{t_0}^t \mathbf{a}(t') dt' \quad (30b)$$

where  $\mathbf{a}(t) = \mathbf{F}(t)/m$  is atomic acceleration caused by the force  $\mathbf{F}$ . The time-evolution integrals can be solved by various numerical methods differing by complexity and accuracy, for example, by the so-called "velocity Verlet" algorithm, which is popular in atomistic MD simulations.

Original Verlet algorithm is based on Taylor expansion of position in time [209]

$$\mathbf{r}(t + \Delta t) = 2\mathbf{r}(t) - \mathbf{r}(t - \Delta t) + \mathbf{a}(t)(\Delta t)^2 \quad (31)$$

without explicit formula for velocities. To avoid their calculations by finite differentiation, the algorithm was later updated [210]

$$\mathbf{r}(t + \Delta t) = \mathbf{r}(t) + \mathbf{v}\Delta t + \frac{1}{2}\mathbf{a}(t)(\Delta t)^2 \quad (32a)$$

$$\mathbf{v}(t + \Delta t) = \mathbf{v}(t) + \frac{1}{2}[\mathbf{a}(t + \Delta t) + \mathbf{a}(t)]\Delta t \quad (32b)$$

which is known as the velocity Verlet method.

### 3.2.1 Ab initio MD simulations

Naturally, the above-mentioned integration of the classical equations of motions is performed on systems described by classical potentials (the so-called molecular mechanical description or classical force-field description). This approach is typical for structural studies of molecular systems like solvated organic molecules, biomolecules, solid/liquid interfaces, bio/metallic interfaces, etc. However, when chemical changes such as bond breaking/making or electron transfer occur, the quantum description needs to be employed. Such computations are usually referred as *ab initio* or first-principles simulations [211]

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In the quantum mechanics, the time-dependent Schrödinger equations plays the role of the equation of motion

$$i\hbar \frac{\partial}{\partial t} |\psi(\mathbf{r}, \mathbf{R}, t)\rangle = \hat{H} |\psi(\mathbf{r}, \mathbf{R}, t)\rangle \quad (33)$$

where  $\mathbf{r}$  and  $\mathbf{R}$  denotes all electronic and nuclear coordinates, respectively. As the electronic motions are much faster than the nuclear movements, these two coordinate types can be separated

$$\psi(\mathbf{r}, \mathbf{R}, t) = \phi(\mathbf{r}, t)\chi(\mathbf{R}, t) \quad (34)$$

which leads to coupled time-dependended self-consistent-field (TDSCF) equations

$$i\hbar \frac{\partial \chi}{\partial t} = - \sum_{\alpha} \frac{\hbar^2}{2M_{\alpha}} \nabla_{\alpha}^2 \chi + \langle \phi | - \sum_i \frac{\hbar^2}{2m} \nabla_i^2 + V_{ne} | \phi \rangle \chi \quad (35a)$$

$$i\hbar \frac{\partial \phi}{\partial t} = - \sum_i \frac{\hbar^2}{2m} \nabla_i^2 \phi + \langle \chi | - \sum_{\alpha} \frac{\hbar^2}{2M_{\alpha}} \nabla_{\alpha}^2 + V_{ne} | \chi \rangle \phi \quad (35b)$$

where  $V_{ne}$  stands for Coulomb potential describing electrostatic interaction between nuclei and electrons (i.e., external potential in density functional theory).

**Ehrenfest molecular dynamics** Since the atomic nuclei are by three orders of magnitude heavier than electrons, their motion can be described classically while the electronic wavefunction adiabatically follows the changing nuclear potential.

$$i\hbar \frac{\partial \phi}{\partial t} = - \sum_i \frac{\hbar^2}{2m} \nabla_i^2 \phi + V_{ne} \phi \quad (36)$$

The above equation of motion for electronic degrees of freedom is solved self consistently while the nuclear propagation is obtained by integration of classical Newton's equations. This type of molecular dynamics is known as Ehrenfest MD or mean-field MD [212–214].

**Born-Oppenheimer dynamics** When the energy gap between the electronic ground state and excited states is large compared to thermal energy  $k_B T$ , the motion of the nuclei can be restricted to the potential energy surface of the ground state.

$$M_{\alpha} \frac{d^2 \mathbf{R}_{\alpha}}{dt^2} = - \nabla_{\alpha} \min_{\{\phi_i\}} \left[ \langle \phi_0 | - \sum_i \frac{\hbar^2}{2m} \nabla_i^2 + V_{ne} | \phi_0 \rangle \right] \quad (37)$$

where  $|\phi_0\rangle$  is the ground state adiabatic wavefunction of the time-independent electronic Hamiltonian. This approach is known as Born-Oppenheimer molecular dynamics

(BOMD) [211] and it is popular for the first-principles simulations because it allows to propagate the nuclear motion with much larger time steps than in the Ehrenfest MD. However, solving the stationary Schrödinger equation at each MD step is still very computationally demanding.

**Car-Parrinello dynamics** To avoid expensive self-consistent finding of the ground state in BOMD, Car and Parrinello formulated the extended Lagrangian [215]

$$\mathcal{L} = \sum_{\alpha} \frac{1}{2} M_{\alpha} \mathbf{R}_{\alpha}^2 + \sum_i \mu_i \langle \dot{\phi}_i | \dot{\phi}_i \rangle - \langle \phi_0 | - \sum_i \frac{\hbar^2}{2m} + V_{ne} | \phi_0 \rangle + \sum_{i,j} \Lambda_{ij} [\langle \phi_i | \phi_i \rangle - \delta_{ij}] \quad (38)$$

leading to Car-Parrinello equations of motions

$$M_{\alpha} \frac{d^2 \mathbf{R}_{\alpha}}{dt^2} = -\nabla_{\alpha} \langle \phi_0 | - \sum_i \frac{\hbar^2}{2m} + V_{ne} | \phi_0 \rangle \quad (39a)$$

$$\mu_i \frac{d^2 \phi_i}{dt^2} = \sum_i \left[ \frac{\hbar^2}{2m} \nabla_i^2 - V_{ne} \right] \phi_i + \sum_j \Lambda_{ij} \phi_j \quad (39b)$$

where each state  $\phi_i$  is propagated with fictitious mass  $\mu_i$ , while its normalization is imposed by Lagrange multipliers  $\Lambda_{ij}$ . The ground state  $\phi_0$ , constructed as a Slater determinant of the one-electron states  $\phi_i$ , is thus propagated without need of the self-consistent solution. This method is popular in the plane-wave density-functional-theory codes, where the individual terms are easily evaluated [211].

### 3.2.2 Thermodynamic properties

A connection between the atomistic simulations and the macroscopic properties of the molecular systems is provided by the formalism of statistical mechanics [216]. The so-called ergodic hypothesis represents the key relation of the time averaging of variable  $A$  during the MD simulation and the statistical averages  $\langle A \rangle$

$$\bar{A} \equiv \lim_{T \rightarrow \infty} \frac{1}{T} \int_0^T A(t) dt = \frac{\iint A(\mathbf{r}, \mathbf{p}) \exp\left(-\frac{H(\mathbf{r}, \mathbf{p})}{k_B T}\right) d\mathbf{r} d\mathbf{p}}{\iint \exp\left(-\frac{H(\mathbf{r}, \mathbf{p})}{k_B T}\right) d\mathbf{r} d\mathbf{p}} \equiv \langle A \rangle \quad (40)$$

In practice, the exact time average  $\bar{A}$  is approximated by mean value over the available MD samples

$$\bar{A} \approx \frac{1}{N} \sum_{i=1}^N A_i \quad (41)$$

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and the variations are calculated by the block-average method

$$\sigma^2 = \frac{1}{N_b - 1} \sum_{\beta=1}^{N_b} (A_\beta^2 - \langle A \rangle_b^2) \quad (42)$$

where  $N_b$  is number of used blocks,  $A_\beta$  is the block average, and  $\langle A \rangle_b$  is the average over all the blocks [217, 218].

Besides the total energy  $E$ , the system temperature  $T$ , and pressure  $p$  are typically tracked or controlled during the MD simulations. The temperature is obtained via the Boltzmann equipartition theorem

$$\frac{3}{2} N k_B T = \left\langle \frac{1}{2} \sum_{i=1}^N m_i v_i^2 \right\rangle \quad (43)$$

where  $N$  is total number of particles in the system and  $v_i$  are their velocities. On the other hand, the virial theorem is often employed to compute the system pressure

$$pV = N k_B T - \frac{1}{3} \left\langle \sum_{i=1}^N \mathbf{r}_i \mathbf{F}_i \right\rangle \quad (44)$$

While the direct propagation of the equations of motions conserves the total energy  $E$  and leads to sampling of the microcanonical (NVE) ensemble, constraining the temperature or pressure is usual to sample canonical (NVT) and isothermal-isobaric (NpT) ensembles, respectively. For open systems, grand canonical ensemble ( $\mu$ VT) with fixed chemical potential  $\mu$  is often applied.

### 3.2.3 Free energy calculations

The statistical ensembles (NVE, NVT, NpT,  $\mu$ VT) are, via their partition functions ( $\Omega$ ,  $Q$ ,  $\Lambda$ ,  $\Xi$ ), directly related to thermodynamic potentials ( $S$ ,  $A$ ,  $G$ ,  $pV$ ), which are important for practical applications

Ensemble	Potential	Relation
microcanonical	entropy	$S = k_B \ln \Omega(N, V, E)$
canonical	Helmholtz free energy	$A = -k_B T \ln Q(N, V, T)$
isothermal-isobaric	Gibbs free energy	$G = -k_B T \ln \Lambda(N, p, T)$
grand canonical	mechanical work	$pV = k_B T \ln \Xi(\mu, V, T)$

However, these thermodynamic potentials cannot be obtained from MD directly because proper evaluation of the related partition functions would require effectively infinitely-

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long simulations. Therefore, various approaches have been developed to compute the free energies by methods of enhanced sampling [216, 219, 220].

**Free energy perturbation theory** From the practical point of view, only the free energy differences between states of interest are relevant for applications. For example, the free energy difference between the reactants and products of chemical reactions determines exothermicity or spontaneity of such processes. When these two states are energetically close, the perturbation theory can be employed to express the desired free energy difference [216, 221], leading to the formula

$$\Delta A_{01} = -k_B T \ln \langle e^{-\Delta U/k_B T} \rangle_0, \quad \Delta U = U_1 - U_0 \quad (45)$$

where  $U_0, U_1$  are potential energies of the reactant and products, respectively, while  $\langle \dots \rangle_0$  denotes mean value on reactant potential energy surface. When the potential-energy distributions are Gaussian, the formula can be simplified to [220]

$$\Delta A_{01} = \langle \Delta U \rangle_0 - \frac{1}{2k_B T} (\langle \Delta U^2 \rangle_0 - \langle \Delta U \rangle_0^2) \quad (46)$$

which is often used in practical applications.

When the energy differences between the two states are large, in a sense that the Boltzmann factor  $e^{-\Delta U/k_B T}$  becomes negligibly small, the perturbation formula cannot be directly used. However, the path from the reactants to the products can be interpolated at Hamiltonian level

$$\hat{H}(\lambda) = (1 - \lambda)\hat{H}_0 + \lambda\hat{H}_1 \quad (47)$$

using the mapping parameter  $\lambda \in [0, 1]$  and divided into  $N$  segments. The total free energy difference  $\Delta A_{01}$  is then computed as a sum of free energy differences between these segments [216]

$$\Delta A_{01} = -k_B T \sum_{i=1}^{N-1} \ln \langle e^{-\Delta U_{i,i+1}/k_B T} \rangle_i \quad (48)$$

**Thermodynamic integration** The Hamiltonian interpolation (47) between the two states of interest is typical for the so-called thermodynamic integration approaches. In general, the free energy difference can be obtained by integration of potential mean force along the path between the reactants and products [222]

$$\Delta A_{01} = \int_0^1 \frac{\partial A}{\partial \lambda} d\lambda = \int_0^1 \left\langle \frac{\partial U}{\partial \lambda} \right\rangle_\lambda d\lambda \quad (49)$$

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where  $\langle \dots \rangle_\lambda$  denotes mean value at fixed  $\lambda$ . The free energy difference does not depend on the chosen pathway between the two states. However, when the linear interpolation (47) is used, the integration formula simplifies to [216, 220]

$$\Delta A_{01} = \int_0^1 \langle U_1 - U_0 \rangle_\lambda d\lambda \quad (50)$$

In practice, the pathways is divided into  $N$  segments and the mean values  $\langle \Delta U \rangle_{\lambda_i}$  are evaluated for the selected  $\lambda_i \in [0, 1]$  values. These are then integrated by standard numerical algorithms.

**Blue moon ensemble** In molecular systems, the studied processes can be often described by a reaction coordinate, i.e. a collective variable  $f(\mathbf{r}_1, \dots, \mathbf{r}_N)$  dependent on positions  $\mathbf{r}_i$  of  $N$  particles involved in the system, which represent a natural pathway between the reactant and the products. The free energy difference along the reaction coordinate can be evaluated in the thermodynamic integration fashion by imposing the holonomic constraints

$$\sigma(\mathbf{r}_1, \dots, \mathbf{r}_N) = f(\mathbf{r}_1, \dots, \mathbf{r}_N) - s \quad (51)$$

where  $s$  is the pre-set value of the collective variable  $f$ . However, this affects the dynamics of the system, and the formula (49) needs to be corrected in the following way [223–226]

$$\Delta A_{01} = \int_0^1 \frac{\langle z^{-1/2}(\mathbf{r})[\lambda + k_B T G] \rangle_s}{\langle z^{-1/2}(\mathbf{r}) \rangle_s} ds \quad (52)$$

where  $z(\mathbf{r})$  is a metric, known as the Fixman potential, which has a value

$$z(\mathbf{r}) = \sum_i \frac{1}{m_i} \left( \frac{\partial \sigma}{\partial \mathbf{r}_i} \right)^2 \quad (53)$$

while the  $G$  parameters can be expressed as

$$G = \frac{1}{z^2(\mathbf{r})} \sum_{i,j} \frac{1}{m_i m_j} \frac{\partial f}{\partial \mathbf{r}_i} \cdot \frac{\partial^2 f}{\partial \mathbf{r}_i \partial \mathbf{r}_j} \cdot \frac{\partial f}{\partial \mathbf{r}_j} \quad (54)$$

The Lagrange multiplier  $\lambda$  have a general form [216]

$$\lambda = -\frac{1}{z(\mathbf{r})} \left[ \sum_i \frac{\mathbf{F}_i}{m_i} \cdot \frac{\partial \sigma}{\partial \mathbf{r}_i} + \sum_{i,j} \mathbf{v}_i \cdot \frac{\partial^2 \sigma}{\partial \mathbf{r}_i \partial \mathbf{r}_j} \cdot \mathbf{v}_j \right] \quad (55)$$

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However, in practice, the simplified expressions for a specific constrains such as inter-atomic distances are used [227].

**Umbrella sampling** In the umbrella sampling method [228, 229], instead of constrain- ing MD at specific segments of the reaction coordinate like in the blue moon ensemble approach, the restraining bias potentials are applied, which usually have the following harmonic form

$$W(f, s) = \frac{1}{2}k[f(\mathbf{r}_1, \dots, \mathbf{r}_N) - s]^2 \quad (56)$$

The system fluctuates near the pre-set value  $s$  of the reaction coordinate  $f$ . The biased distributions  $P^b(q, s)$ , which are functions of generalized coordinates  $q$ , are approximated by histograms collected during the restrained MD simulations. The bias distributions from different segments of the reaction coordinates are then reweighted to obtain the unbiased distributions

$$P_i(q) = e^{-(A_i - A_0)/k_B T} e^{W(q, s_i)/k_B T} P^b(q, s_i) \quad (57)$$

using the free energies  $A_i$  associated with the bias potentials  $W_i \equiv W(f, s_i)$ . These are related to the total unbiased distribution  $P(q)$  as

$$e^{(A_i - A_0)/k_B T} = \int P(q) e^{W_i/k_B T} dq \quad (58)$$

The total distribution is constructed as a linear combination of unbiased distribution from the individual reaction-coordinate segments,  $P(q) = \sum_i c_i(q) P_i(q)$ . The coefficients  $c_i$  are set to minimize the statistical error, which leads to the expression

$$P(q) = \frac{\sum_i n_i P_i(q)}{\sum_k n_k e^{(A_k - A_0)/k_B T} e^{-W_k/k_B T}} \quad (59)$$

Finally, the free energy profile along the reaction coordinate is obtained as

$$A(q) = -k_B T \ln P(q) \quad (60)$$

However, as the equations (58) and (59) are coupled, they need to be solved iteratively until the self-consistent solution is found. This approach is known as the weighted histogram analysis method (WHAM) [230, 231] and it can be easily generalized to describe multidimensional free energy surfaces [232].



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**Metadynamics** The bias potentials (56) in the umbrella sampling method need to be distributed along the reaction coordinate in a way to ensure sufficient overlaps of the bias distributions  $P^b(q, s_i)$ . However, as the free energy profile is not known *a priori*, additional restrained MD simulations need to be performed for the poorly sampled reaction-coordinate regions, which makes the method computationally and time demanding. To avoid these problems, Laio and Parrinello [233–235] invented the enhanced sampling technique known as metadynamics, where the bias potentials of Gaussian shapes are automatically placed on the already-sampled regions of the reaction coordinate as the MD simulations progress

$$W(q, t) = H \sum_{t_i} \exp \left[ -\frac{|f(q) - f(q, t_i)|^2}{2w^2} \right] \quad (61)$$

The system is thus enforced to overcome free energy barriers and sample the higher-energy configurations. Finally, the free energy profile converges to the negative sum of all the bias potentials distributed along the reaction coordinate

$$A(q) = - \lim_{t \rightarrow \infty} W(q, t) \quad (62)$$

Later, modified versions of metadynamics were designed where the Gaussian bias potentials (61) adapt their widths  $w$  and heights  $H$  to sample the free energy profile in the most efficient way [236, 237].

### 3.2.4 Non-equilibrium MD simulations

The above discussed free-energy calculation method are related to canonical statistical ensemble, sampled by equilibrium MD techniques. Nevertheless, the system of interest can be perturbed by time-dependent external fields to which the system responds. For example, charge transport events can be induced by applied bias potential or electric field, respectively, which could be followed by faster or slower relaxation processes [238].

At the classical level of theory, the electromagnetic field effects can be studied by non-equilibrium MD simulations based on the equations of motions involving the time-dependent Lorentz force [126]

$$M_\alpha \frac{d^2 \mathbf{R}_\alpha}{dt^2} = -\nabla_\alpha V(\mathbf{R}) + q_\alpha \mathbf{E}(t) + q_\alpha \mathbf{v}_\alpha \times \mathbf{B}(t) \quad (63)$$

where  $q_\alpha$  are particle charges and  $\mathbf{E}$ ,  $\mathbf{B}$  are electric and magnetic field vectors, respectively. These are perpendicular to each other, as well as to the field-propagation direction, as it

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is required by Maxwell equations. In the nanoscopic simulations, the field intensities are usually regarded to be uniform throughout the system and their magnitudes vary with angular frequency  $\omega$

$$\mathbf{E}(t) = E_{\max} \cos(\omega t) \mathbf{e}_x \quad (64a)$$

$$\mathbf{B}(t) = B_{\max} \cos(\omega t) \mathbf{e}_y \quad (64b)$$

The root-mean-square (RMS) electric field intensity is  $E_{\text{rms}} = E_{\max}/\sqrt{2}$ . Further, electric and magnetic field intensities are related as  $E_{\max}/B_{\max} = c/n$  where  $c$  is the vacuum speed of light while  $n$  stands for the refractive index of a given environment.

In the first-principles simulations, the interaction of the system with external fields need to be described at Lagrangian or Hamiltonian level. For example, the dipole interaction with the electric field can be studied by involving the potential

$$V_{\text{dip}}(t) = -\boldsymbol{\mu} \cdot \mathbf{E}(t) \quad (65)$$

where  $\boldsymbol{\mu}$  is the electric dipole moment of the system. However, more complex and general field-matter interaction terms can be applied, depending on the particular problem of interest [239]. For the molecular system, density functional theory (DFT) together with Berry phase formulation and modern theory of polarization are employed to describe the external field effects [125,240]. These techniques have been used, for example, for studying electrocatalysis of chemical reactions [241–245], field effect of liquid water [128,246]. More complex system, like biomolecules and the heterogeneous interfaces, are usually studied at classical level of theory [127,247–251].

### 3.3 Electronic coupling

Diabatic electronic states, which represent localized charge states in the ET studies [46,55,252], are, in contrast to adiabatic states, not eigenfunctions of the electronic Hamiltonian of the system. Therefore, off-diagonal elements of the Hamiltonian matrix in diabatic-state representation are not zero. These elements are known as electronic coupling matrix elements  $H_{ab}$  and they quantify interactions between the diabatic states  $\psi_a, \psi_b$ :

$$H_{ab} = \langle \psi_a | \hat{H} | \psi_b \rangle \quad (66)$$

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For investigation electron transfer in donor-acceptor system, a simple two-state model is often used with electronic Hamiltonian

$$\mathbb{H} = \begin{pmatrix} E_a & H_{ab} \\ H_{ab} & E_b \end{pmatrix} \quad (67)$$

Energies of the adiabatic ground state ( $E_0$ ) and the first excited state ( $E_1$ ) are

$$E_{0,1} = \frac{1}{2} \left( E_a + E_b \mp \sqrt{(E_a - E_b)^2 + 4|H_{ab}|^2} \right). \quad (68)$$

If the studied system is symmetric like in self-exchange ET reaction, then diabatic energies of the donor and acceptor are equal ( $E_a = E_b$ ) and the energy gap between the adiabatic states is simply twice the diabatic coupling matrix element

$$\Delta E_{01} = E_1 - E_0 = 2|H_{ab}|. \quad (69)$$

This is often utilized in high-level quantum-chemistry calculations of the coupling elements between homo-dimer units [253–256].

The two state model can be generalized to the case where the two diabatic states  $\psi_a$ ,  $\psi_b$  are not orthogonal. [257] Then, the overlap matrix has non-zero off-diagonal elements  $S_{ab} = \langle \psi_a | \psi_b \rangle$  and the adiabatic energy gap equals to

$$\Delta E_{01} = \frac{1}{1 - S_{ab}^2} \sqrt{(E_a - E_b)^2 - 4[H_{ab}S_{ab}(E_a + E_b) - H_{ab}^2 - E_a E_b S_{ab}^2]}. \quad (70)$$

The coupling element  $V_{ab}$ , also known as electron-transfer matrix element or transfer integral, is defined as the half of the adiabatic energy splitting at the crossing point of the diabatic surfaces

$$V_{ab} = \frac{1}{2} \Delta E_{01}|_{E_a=E_b} = \frac{1}{1 - S_{ab}^2} \sqrt{H_{ab} - S_{ab} \frac{E_a + E_b}{2}}. \quad (71)$$

Obviously, the coupling is close to the off-diagonal diabatic matrix element  $V_{ab} \sim H_{ab}$  when the overlap between the two charge states is negligible ( $S_{ab} \ll 1$ ). This expression is typically used to evaluate the coupling for the intra-molecular electron transfer reactions. [257–263]

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### 3.3.1 Empirical approaches

Evaluation of the electronic coupling elements by quantum wavefunction methods or by density-functional-theory (DFT) approaches is computationally demanding. Therefore, several empirical methods for estimating the coupling values have been developed, which are employed in more complex calculations of electron-transfer processes. These methods are used, for example, in studies of long-range electron transfer in multi-heme protein, where the structural details are affected by relatively large uncertainties [70], or in non-adiabatic molecular dynamics (NAMD) simulations of charge propagation where fast estimation of the coupling element values is crucial [264–268].

**Distance decay** Interaction between the diabatic states is exponentially decaying with the growing distance  $d$  between the donor and acceptor centers

$$|H_{ab}| = A \exp[-\beta d/2] \quad (72)$$

Value of the decay factor  $\beta$  is characteristic for different types of molecular systems. Its value, together with the pre-exponential factor  $A$ , are obtained by fitting of experimental data or computed data from higher-level methods. Then, the decay law (72) is used to predict the coupling values at various distances.

**Correlation with the overlap** The decay law (72) captures well the long-range distance regions where specific shapes of donor/acceptor centers can be disregarded. However, structural orientations together with localization of the molecular orbitals participating in the given electron transfer process are important. These effects are naturally described by overlap integrals

$$S_{ab} = \langle \psi_a | \psi_b \rangle \quad (73)$$

Therefore, more accurate empirical estimates do not fit the coupling values to distances but they assume their linear dependence on the overlaps [256, 269]

$$H_{ab} = C S_{ab} \quad (74)$$

The scaling factor  $C$  is obtained by fitting of accurate quantum-chemistry or density-functional-theory data.

For example, in the analytic overlap method (AOM) [269], which is used for non-adiabatic charge-transfer studies of organic crystals, the factor  $C = 1.819$  eV is used, obtained by fit to 43 data points. This factor is applicable on  $\pi$ -conjugated hydrocarbon

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homo-dimers, where the overlap  $S_{ab}$  is evaluated for the diabatic states projected to optimized minimal Slater basis set. Recently, the AOM was extended to polyaromatic hydrocarbons with heteroatoms [270], and it is used for simulations of charge propagation in organic semiconductors [84, 267].

### 3.3.2 Generalized Mulliken-Hush (GMH) method

The Mulliken-Hush method [49, 271] is based on the two-state model and it relates the electronic coupling element  $H_{ab}$  to the adiabatic transition moment  $\boldsymbol{\mu}_{01}$ :

$$|H_{ab}| = \frac{|\boldsymbol{\mu}_{01}| \Delta E_{ab}}{|\Delta \boldsymbol{\mu}_{ab}|} \quad (75)$$

where  $\Delta E_{ab} = E_b - E_a$  is the diabatic energy gap and  $\Delta \boldsymbol{\mu}_{ab} = \boldsymbol{\mu}_b - \boldsymbol{\mu}_a$  is the difference between the diabatic dipole moments. The diabatic energy gap can be approximated by excitation energy  $\Delta E_{01} = h\nu_{01}$ ,  $\Delta \boldsymbol{\mu}_{ab}$  is well described by the distance between the two charge centers  $e\mathbf{R}_{ab}$ , and the transition moment is directly related to the oscillatory strength, which is proportional to the corresponding absorption peak area. Therefore, the Mulliken-Hush expression is often used to extract the coupling values from the spectroscopic measurements. [49, 272, 273]

In generalized Mulliken-Hush (GMH), unitary transformation diagonalizing the dipole moment matrix is applied to the adiabatic two-state Hamiltonian. [274, 275] This leads to expression

$$|H_{ab}| = \frac{|\boldsymbol{\mu}_{01}| \Delta E_{01}}{\sqrt{(\boldsymbol{\mu}_{00} - \boldsymbol{\mu}_{11})^2 + 4(\boldsymbol{\mu}_{01})^2}} \quad (76)$$

where the diabatic electronic coupling is related only to adiabatic energies and transition dipoles. The relation can be thus easily implemented in standard quantum chemistry codes where the adiabatic quantities are directly available (note that for the self-exchange ET in homo-dimers the GMH expression reduces to the half-splitting formula (69)). Therefore, the GMH method is often used for high-level calculations of electronic coupling elements between selected states of small molecules. [253–256, 276–281]

**Fragment charge differences** When the charge transitions lead to well separated diabatic states  $\psi_a, \psi_b$ , with negligible overlap  $S_{ab}$ , it is more natural to express the electronic coupling in terms of charges localized on the donor/acceptor centers rather than dipole moments. Such approach is known as fragment charge differences (FCD) [282] and the

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expression for the coupling elements is formally analogous to GMH

$$|H_{ab}| = \frac{|\Delta q_{01}| \Delta E_{01}}{\sqrt{(\Delta q_0 - \Delta q_1)^2 + 4(\Delta q_{01})^2}} \quad (77)$$

Here, the  $\Delta q_0$  and  $\Delta q_1$  are the charge differences between the donor and the acceptor regions in the ground and first excited states, respectively, while the  $\Delta q_{01}$  denotes the adiabatic cross term. These charges can be obtained from the expansion coefficients of electronic states in localized basis sets which are readily available in quantum chemistry codes, or by projection to donor/acceptor regions in plane wave codes.

**Fragment energy differences** The idea of obtaining the coupling elements by diagonalizing dipole and charge matrices in GMH and FCD methods, respectively, was later used also for computing a Coulomb coupling in excitation energy transfer (EET) [283]. The adiabatic electronic Hamiltonian is now transformed by unitary matrix diagonalizing the matrix of transition density differences between donor/acceptor regions for the  $m \rightarrow n$  excitation. Again, this leads to the coupling expression formally analogous to GMH

$$|H_{ab}| = \frac{|\Delta x_{mn}| \Delta E_{mn}}{\sqrt{(\Delta x_m - \Delta x_n)^2 + 4(\Delta x_{mn})^2}}. \quad (78)$$

This method is known as fragment energy differences (FED) [283, 284] and it has been used in various studies of photoexcitations in biomolecules [285–288] and organic semiconductors [289–291].

### 3.3.3 Constrained density functional theory (CDFT)

Conventional DFT exchange-correlation functionals suffer from self-interaction error [292–295], which leads to artificial delocalization of adiabatic Kohn-Sham (KS) states. Construction of localized diabatic states is thus almost impossible in DFT without special optimization techniques. In the so-called constrained density functional theory (CDFT) [296–298], the localization of the charge density in a desired region is forced by applying a charge constraint  $N_c$  on the system as an integral of charge density  $n(\mathbf{r})$  weighted by a function  $w(\mathbf{r})$  defining the donor and acceptor regions,

$$N_c = \int w(\mathbf{r})n(\mathbf{r})d\mathbf{r}. \quad (79)$$

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The Lagrange multiplier technique is then applied to add this constraint to the energy functional

$$W[n, V] = E[n] + V \left( \int w(\mathbf{r})n(\mathbf{r})d\mathbf{r} - N_c \right). \quad (80)$$

The resulting new functional  $W[n, V]$  is minimized with respect to the charge density  $n$  and maximized with respect to the Lagrange multiplier  $V$ . The latter can be interpreted as the external potential needed to enforce the constraint  $N_c$ .

To obtain the electronic coupling matrix element, the diabatic states are chosen to be the Kohn-Sham determinants  $\psi_a, \psi_b$  resulting from the constrained energy minimization described above. Within this approximation the two-state Hamiltonian matrix has the following form

$$\mathbb{H}' = \begin{pmatrix} E_a & F_b S_{ab} - V_b W_{ab} \\ F_a S_{ab} - V_a W_{ab} & E_b \end{pmatrix}. \quad (81)$$

While there are diabatic energies  $E_\alpha = \langle \psi_\alpha | \hat{H}_\alpha^{\text{KS}} | \psi_\alpha \rangle$  on the diagonal, the off-diagonal terms contain diabatic potential energies  $F_\alpha = \langle \psi_\alpha | \hat{H}_\alpha^{\text{KS}} + V_\alpha \sum_i w(\mathbf{r}_i) | \psi_\alpha \rangle$  involving the interaction with the external potential, diabatic-state overlap  $S_{ab} = \langle \psi_a | \psi_b \rangle$ , and the weight function matrix element  $W_{ab} = \langle \psi_a | \sum_i w(\mathbf{r}_i) | \psi_b \rangle$ . The Hamiltonian matrix is then transformed into the orthogonal diabatic states, which are eigenstates of the weight matrix  $\mathbb{W}$ . These are obtained by solving the general eigenvalue problem

$$\mathbb{W} \cdot \mathbb{V} = \mathbb{S} \cdot \mathbb{V} \cdot \mathbb{L}, \quad (82)$$

where the diagonal  $\mathbb{L}$  matrix contains the eigenvalues and the unitary matrix  $\mathbb{V}$  is constructed from the generalized eigenfunctions of  $\mathbb{W}$ . Finally, the desired  $H_{ab}$  elements are the off-diagonal elements of the transformed Hamiltonian  $\mathbb{H} = \mathbb{V}^\dagger \cdot \mathbb{H}' \cdot \mathbb{V}$ .

### 3.3.4 Fragment orbital density functional theory (FODFT)

The opposite approach to CDFT represent fragment orbital density functional theory (FODFT) [299–302] where the KS wavefunctions are calculated on isolated donor/acceptor fragments in their reduced forms, neglecting their mutual interaction. The diabatic states are constructed from these donor/acceptor orbitals. Assuming that there are  $N$  occupied orbitals of reduced donor  $\{\phi_D^1, \dots, \phi_D^N\}$  and  $M$  occupied orbitals of reduced acceptor  $\{\phi_A^1, \dots, \phi_A^M\}$  then we can write the approximate diabatic states as Slater determinants

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of the combined  $N + M - 1$  states

$$\psi_a^{\text{DA}} = [(N + M - 1)!]^{-1/2} \det [\phi_{\text{D}}^1, \dots, \phi_{\text{D}}^{N-1}, \phi_{\text{A}}^1, \dots, \phi_{\text{A}}^M] \quad (83\text{a})$$

$$\psi_b^{\text{DA}} = [(N + M - 1)!]^{-1/2} \det [\phi_{\text{D}}^1, \dots, \phi_{\text{D}}^N, \phi_{\text{A}}^1, \dots, \phi_{\text{A}}^{M-1}] \quad (83\text{b})$$

for the case of  $\text{D}^- + \text{A} \rightarrow \text{D} + \text{A}^-$  electron transfer. Because the KS Hamiltonian is constructed from one-particle state-dependent operators  $\hat{H}_\alpha^{\text{KS}} = \sum_i^{N+M-1} \hat{h}_{\alpha,i}^{\text{KS}}$  and the diabatic-state determinants differ only in the highest occupied orbitals, the electronic coupling element is according to the Slater-Condon rules equal to the integral over these two orbitals only [303]

$$H_{ab} = \langle \psi_a^{\text{DA}} | \hat{H}_b^{\text{KS}} | \psi_b^{\text{DA}} \rangle = \langle \phi_{\text{D}}^N | \hat{h}_b^{\text{KS}} | \phi_{\text{A}}^M \rangle. \quad (84)$$

The complementary elements  $H_{ab}$  and  $H_{ba}$  can in principle differ in asymmetric charge-transfer systems, where the donor/acceptor fragments are not identical, and their average is then taken as the resulting electronic coupling matrix element.

### 3.3.5 Projector-operator based diabatization (POD)

All the computational approaches for the coupling calculations reviewed above are designed for single-electron transfer between the electron donor and acceptor molecules. However, to investigate electron transfer on heterogenous interfaces with solid-state surfaces, like in electrochemistry or nanoelectronics, efficient and accurate calculation of all the coupling elements between the surface and molecular states is needed. For this purposes, the projector-operator based diabatization (POD) method was developed [304].

The POD method constructs the localized charge states from the Kohn-Sham (KS) adiabatic states

$$\hat{H} |\psi_i\rangle = \epsilon_i |\psi_i\rangle, \quad (85)$$

which are obtained by standard SCF calculations. Without loss of generality, the adiabatic states can be represented in an orthonormalized basis set of atom-center localized functions  $\{\phi_i\}$ . Partitioning the system to donor/acceptor fragments, the Hamiltonian matrix can be reordered to block structure

$$\tilde{\mathbb{H}} = \begin{pmatrix} \tilde{\mathbb{H}}_{DD} & \tilde{\mathbb{H}}_{DA} \\ \tilde{\mathbb{H}}_{AD} & \tilde{\mathbb{H}}_{AA} \end{pmatrix}. \quad (86)$$

The desired localized charge-transfer states are obtained by diagonalization of the diagonal





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**Cluster models** The free energy differences are related to experimentally measurable redox potentials  $E$  by the Nerst equation [109]

$$E = E^0 - \frac{\Delta G - \Delta G^0}{nF} \quad (89)$$

where  $F$  is the Faraday constant,  $\Delta G^0$  denotes the free energy change in the standard state (concentrations 1 mol/l, pressure 1 atm, temperature 25 °C), and  $E_0$  is the standard potential. The experimental redox potentials are referenced to standard hydrogen electrode (SHE), which has the absolute potential  $\sim 4.44$  V [316].

Computationally, the redox potentials are often computed on cluster models of the studied D/A pairs [317–325]. These models often utilize the continuous screening approximation of the surrounding environment based on the Poisson equation, usually referred as implicit solvent [326–331]. The curvature of the free energy surfaces, i.e. the reorganization free energy, is typically estimated from ionization energies evaluated on the D/A sites, which is the procedure known as a four-point scheme [332–334].

**Extended models** The cluster approaches are performing well for D/A pairs in solution, however, they tend to be inaccurate for the biomolecular electron transfer. The redox sites in biomolecules are typically restrained by steric effects and influenced by oriented intrinsic electric fields induced by the surrounding molecular environment, which cannot be captured by implicit solvent models. However, the localized nature of the hopping electron-transfer mechanism allows application of the hybrid computational approaches such as quantum-mechanical / molecular mechanical (QM/MM) method [335–341] or perturbed matrix method (PMM) [342–346], where the redox sites are treated at higher level of theory than the rest of the molecules. At this level, the free energies can be estimated from optimized structures like in the cluster models or evaluated by the molecular-dynamics techniques reviewed in Sec. 3.2 [347–354].

However, in the electron-transfer studies, the reaction coordinate or collective variable used for the free energy calculations, can be set as the vertical ionization energy gap [252]

$$\Delta E = E_{\text{Ox}}(\mathbf{R}^N) - E_{\text{Red}}(\mathbf{R}^N) \quad (90)$$

where  $\mathbf{R}^N = \{\mathbf{R}_1, \dots, \mathbf{R}_N\}$  denotes the coordinates of the  $N$ -particle molecular system. The free energy profile of the state  $M = \{\text{Red}, \text{Ox}\}$  is then

$$A(\Delta E') = -k_B T \ln \langle \delta(\Delta E(\mathbf{R}^N) - \Delta E') \rangle_M \quad (91)$$

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fulfilling the linear free energy relation

$$A_{\text{Ox}}(\Delta E) - A_{\text{Red}}(\Delta E) = \Delta E \quad (92)$$

The reorganization free energy is directly related to the variance of the energy-gap distributions  $\sigma_M^2 = \langle (\Delta E - \langle \Delta E \rangle_M)^2 \rangle_M$

$$\lambda_M^{\text{var}} = \frac{\sigma^2}{2k_B T} \quad (93)$$

When these distributions are Gaussian, the free energy curves have parabolic shapes, following the Marcus theory, and the linear response approximation can be applied in the thermodynamic integration. This significantly simplifies the calculations, because in the linear-response regime the free energy change and the reorganization free energy are fully determined by the mean vertical energy gaps at the initial and final states

$$\Delta A = \frac{1}{2} [\langle \Delta E \rangle_{\text{Ox}} + \langle \Delta E \rangle_{\text{Red}}] \quad (94)$$

$$\lambda^{\text{St}} = \frac{1}{2} [\langle \Delta E \rangle_{\text{Ox}} - \langle \Delta E \rangle_{\text{Red}}] \quad (95)$$

This approach have been successfully applied to study electron transfer in various redox active biomolecules, in particular metalloproteins [11, 100, 355–358].

### 3.5 Electron tunneling calculations

The tunneling current through molecular junctions are usually computed by NEGF technique (Sec. 2.2.2), while Landauer-Büttiker formalism in Breit-Wigner approximation (Sec. 2.2.1) can be applied to larger biomolecular junctions. Nevertheless, all approaches require full quantum treatment of the model.

Here, we focus on the latter case of large junctions involving biomolecules with thousands of atoms, for which we developed the state-of-the-art computational procedure [36, 41]. After preparing the junction structure by molecular dynamics techniques, the projection-operator diabaticization (POD) method [87], implemented in CP2K software package by our group, is employed to localize Kohn-Sham (KS) DFT electronic states on the system fragments (left electrode  $L$ , scattering region  $S$  containing the molecule, and right electrode  $R$ ). The method also provides the electronic coupling elements  $H_{mj}$  between metallic states  $m$  and scattering states  $j$ .



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where  $\Sigma_{S,j}^0$  is the self-interaction-error correction, while  $\Sigma_{S,j}^{pol}$  stands for the polarization, image-charge correction.

The self-interaction error is responsible for the HOMO-LUMO gap underestimation in GGA. To obtain the correct energies of HOMO and LUMO, the optimally tuned range-separated hybrid functionals (OT-RSH) [360–362] are applied on selected parts of the system. On the contrary, the incapability of DFT to capture the image-charge interactions is exhibited by the lack of interfacial state renormalization, i.e. the HOMO-LUMO gap narrowing. The image-charge potential between two metal planes is given by the following series

$$V_{\text{img}}(z) = \frac{q}{4\pi\epsilon_0} \sum_{n=-\infty}^{\infty} \left( \frac{1}{|z - 2nd - z_0|} - \frac{1}{|z - 2nd + z_0|} \right), \quad (100)$$

where  $q$  is the charge of the particle and  $d$  is the distance between the two surface planes. The offset  $z_0$  is obtained by the fitting of  $V_{\text{img}}$  to exchange-correlation potential  $V_{XC}$  obtained from DFT. Then, the polarization corrections can be obtained by integration of the given molecular orbitals  $\psi_{S,j}$  in the image-charge potential

$$\Sigma_{S,j}^{pol} = q \int_V |\psi_{S,j}(\mathbf{r})|^2 V_{\text{img}}(\mathbf{r}) d\mathbf{r}^3 \quad (101)$$

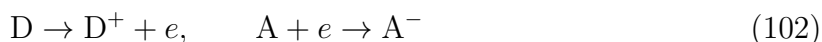
Obviously, the DFT+ $\Sigma$  is applicable only on weakly interacting systems where the frontier orbitals are not hybridized with the metallic state.

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## 4 Methodology development

### 4.1 Double-QM/MM method

Conventional QM/MM techniques partition the system to the inner part, described at QM level of theory, and the outer part parametrized by a MM potential. Therefore, when applied on the ET processes, the method is limited to the half-reaction description where either the oxidation of an electron donor or the reduction of an electron acceptor is simulated.



As a result, the donor and acceptor do not interact with each other and the ET process is studied in the so-called dilute limit.

However, the rate of the electron transfer reaction is of course distance dependent. The free energy barrier increases with the growing distances due to the reorganization free energy dependence on the donor–acceptor distance. On the other hand, the electronic coupling between the two redox centers is exponentially decaying with the increasing distance. These effects need to be considered when the full ET reactions at finite distances are investigated



This is typical for example for the intra-molecular ET where the distance between the two centers is restrained by the bridging chemical groups and surrounded molecular environment.

Although the full reactions are conceptually easier to investigate because one does not need to deal with the unknown electrochemical potential of solvated electron, in practice, stabilization of a desired diabatic charge states is computationally difficult. At full QM description, constrained density functional theory (CDFT) can be applied, however, this approach is limited by the size of the system and chemical properties of the donor and acceptor regions. For example, high-spin states or more complicated electronic structures, like anti-ferromagnetic configuration of the iron-sulfur clusters present in many important metalloproteins, cannot be maintained by CDFT.

Therefore, we developed the so-called multiple-QM/MM technique with generalized QM/MM partitioning where more than one inner part can be defined within the same outer part [363]. For the case of two inner parts (double-QM/MM, see Figure 6) the method can be applied to the full ET reactions. Thanks to the chosen partitioning with well defined boundaries of the inner parts, the charge density is naturally localized in

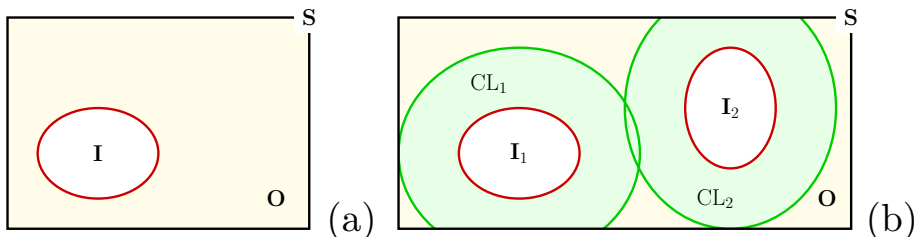


Figure 6: Schematic illustration of QM/MM partitioning: (a) conventional QM/MM where the whole system S is divided into the QM inner part (I) and the MM outer part (O), (b) the double-QM/MM method with two inner parts surrounded by the common outer part. The charge layers (CL) used for electrostatic embedding are shown as green regions.

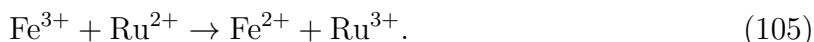
desired regions and its spin state can be easily controlled. The method is limited to the outer-sphere description where the two redox regions are separated and therefore applicable on non-adiabatic electron hopping described by Marcus theory.

The method was implemented in the QMS software [364], which is a program interface for coupling QM and MM software to perform QM/MM calculations, coded by the author of the thesis. QMS is based on the subtractive QM/MM scheme where the MM energies of inner parts are substituted by the QM energy contributions

$$E_{\text{QM/MM}}(S) = E_{\text{MM}}(S) + \sum_{i=1}^N [E_{\text{QM}}(I_i) - E_{\text{MM}}(I_i)]. \quad (104)$$

Several optimization methods including steepest descent, conjugate gradient, and L-BFGS algorithms, are implemented in QMS together with molecular dynamics (MD) based on velocity Verlet integration technique. Available stochastic (Andersen, Langevin) and deterministic (Berendsen, Nose-Hoover) thermostats allow temperature control needed for simulations in the canonical NVT ensemble.

The performance of the d-QM/MM method was demonstrated on the cross-ET reaction between iron and ruthenium cations in solution [365]



The first hydration shells of the cations were included in the inner parts, forming the hexa-aqua metal complexes. These were treated at DFT level of theory using hybrid functionals, core pseudopotentials and Pople-type basis sets while the explicit water solution was described by classical TIP3P model. Molecular dynamics at d-QM/MM level was run to sample the vertical ionization energies at initial and final states to compute the Marcus free

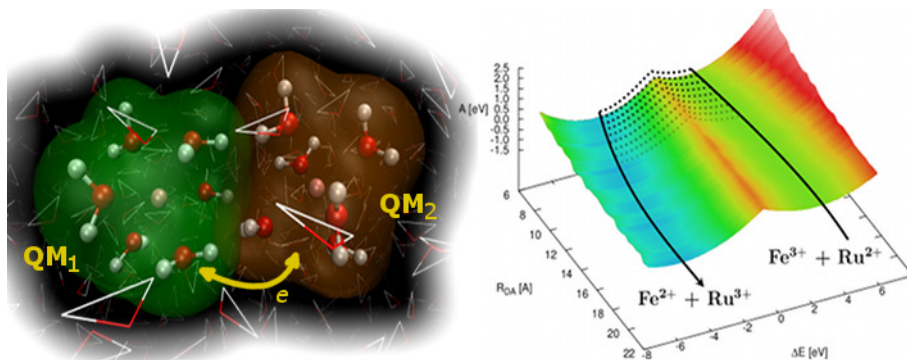


Figure 7: The model of  $\text{Ru}^{2+/3+}$  and  $\text{Fe}^{2+/3+}$  cations exchanging electron in the aqueous solution with  $\text{Cl}^-$  counterions. Reconstructed free energy surface  $A(R_{\text{DA}}, \Delta E)$  is shown on the right-hand side where the minimum free energy path is shown by a thick black arrow while the dotted lines below 10 Å indicate the region where crossing the barrier is probable.

energy surfaces at different donor–acceptor distances. We showed that the d-QM/MM method converges to conventional QM/MM results at the dilute limit, while the free energy barrier is considerably lowered as the interaction distance is shortened.

## 4.2 Projector operator-based diabatization (POD) method

The POD method, described in Sec. 3.3.5, was originally designed for quantum dynamics studies of electron transfer on heterogeneous interfaces of organic molecules with semiconductor surfaces [304]. However, we implemented the method into the CP2K program package [307], and demonstrated in Ref. 87 and 305 that it can also be used for efficient and accurate coupling element calculations in organic-molecule pairs. For example, the coupling element between HOMO and LUMO frontiers in cationic benzene dimer (see Fig. 8) is known to be 435.2 meV at plane interaction distance 3.5 Å and it decays exponentially with the rate  $2.85 \text{ \AA}^{-1}$ . Using the POD method on Kohn-Sham wavefunction obtained by a popular hybrid range-separated functional wB97X [313], nearly identical values 429.5 meV and  $2.82 \text{ \AA}^{-1}$ , respectively, are obtained [305].

Further, we evaluated the performance and accuracy of the POD method on the HAB11 database of organic homo-dimers (see Fig. 9), which was designed for benchmarking electronic coupling computational level [253]. As other DFT-based methods, such as CDFT or FODFT, also POD accuracy is strongly dependent on applied functional [87]. As in the other cases, the best performance exhibit the hybrid functionals with mixture of DFT and Hartree-Fock (HF) exchange, although the coupling magnitude increases with the fraction of HF exchange in contrast to other approaches where the trend is op-



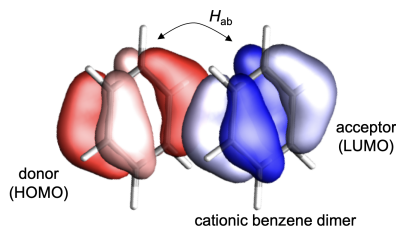


Figure 8: Illustration of electronic interaction (coupling element) between the highest occupied molecular orbital (HOMO, red) and the lowest unoccupied molecular orbital (LUMO, blue) in the co-planar cationic benzene dimer (charge +1).

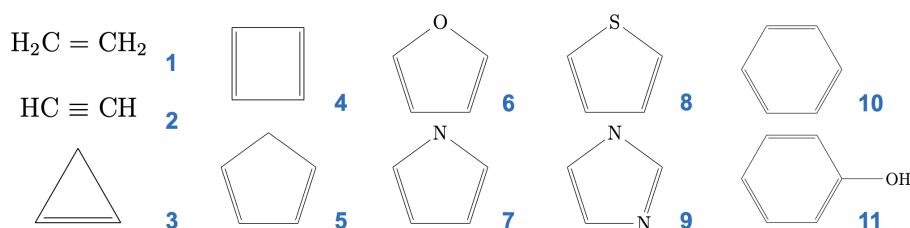


Figure 9: The HAB11 set of organic homo-dimers with +1 positive charge. The database contains high-level quantum-chemistry reference values for the electronic coupling elements at four inter-molecular distances (3.5, 4.0, 4.5, and 5.0 Å), and the corresponding exponential decay  $\beta$  factors.

posite [87, 253]. Naturally, the range-separated hybrid functional, where the Coulomb potential is split into short and long-range parts to capture both the correct chemical character of organic bonds as well as asymptotics of charge density at large distances, are the most suitable for the coupling calculations. The POD method was shown to have only 5% mean unsigned relative error on HAB11 set compared to high-level, and much more expensive, quantum chemistry techniques [305].

Later, the method was used as a reference in analytic overlap method (AOM) [269, 270], an ultrafast electronic coupling estimator for non-adiabatic molecular dynamics (NAMD) simulations. For that purpose, new HAB79 dataset was designed, containing 921 dimer configurations of organic dimers typical for molecular-crystal electronics [256]. This methodology was then successfully used to simulate polaronic charge transport in organic crystals [84, 267] using the NAMD technique known as fragment orbital-based hopping (FOB-SH) [82, 265, 366].

Besides the organic pairs, the POD method can be applied to such a complex system as semiconductors with defects or heterogeneous interfaces of molecules with solid surfaces [87] (see Fig. 10), where the other electronic-coupling calculations methods reviewed in Sec. 3.3 are hardly, if at all, applicable. Finally, the post-processing nature of the method and relatively simple evaluation of coupling elements between all the states be-

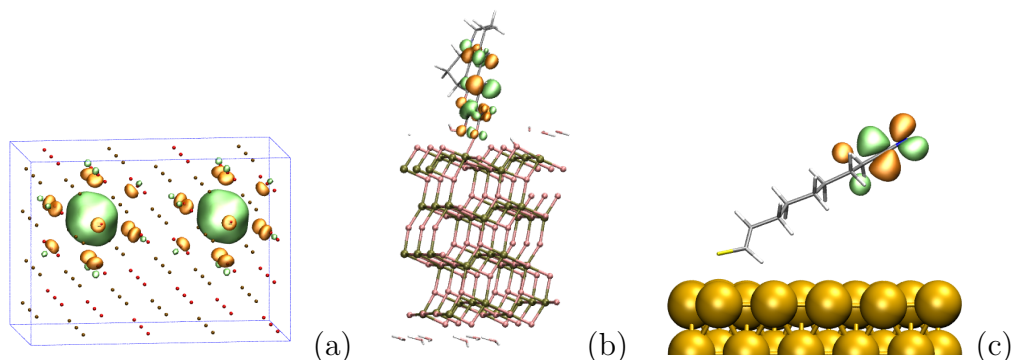


Figure 10: Illustration of applicability of the POD method for electronic coupling element evaluation between (a) semiconductor defects, (b) organic molecules on semiconductor surfaces, (c) and organic molecules on metal surfaces. Localization of Kohn-Sham HOMO is shown by green/orange lobes for each system.

tween considered system parts makes the POD method suitable also for transport studied on molecular junctions between metallic contacts [36, 41].

### 4.3 Gold-sulfur interactions in GolP-CHARMM force field

Organic molecules and biomolecules can surprisingly often get into contact with solid surfaces. Besides obvious interactions of biological tissues with metal tools, jewelry, and implants, various bioelectrochemical measurements and nanobioelectronic components are directly utilizing properties of such heterogeneous interfaces [173, 367–370]. Biomolecules can be interacting weakly with the surfaces via the so-called physisorption, however, more often they are chemically attached to the surface to prevent the undesired lateral movements. This process, known as chemisorption, can proceed either directly, for example by direct binding of sulfate chemical groups to gold surfaces, or indirectly via suitable organic linkers [371–373].

Regarding the charge transport, interfaces of biomolecules with semiconducting metal-oxide surfaces or conducting metal surfaces are typically studied. While the metal-oxide surfaces often exhibit complex ridged structures and strong hydrogen bonding interactions to the solution, the metal surfaces are usually flat and weakly interacting [374–380]. However, due to the high polarizability of metals, image charge interactions need to be taken into account in simulations of biomolecular adsorption to correctly predict the adsorption structures and interactions energies [381, 382]. To capture these effects on gold surfaces, popular in nanobioelectronics, Iori *et al.* [383, 384] developed a polarizable GolP force field, which is parametrized for quantitative simulations on organo-metallic interfaces.

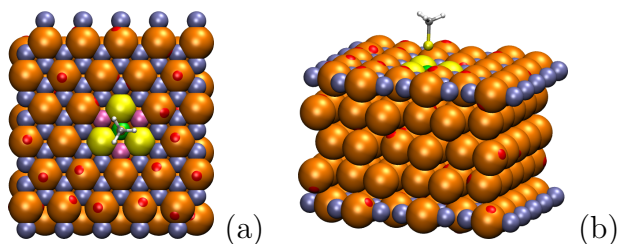


Figure 11: Structure of the model gold slab in (a) top and (b) side views with the chemisorbed methanethiolate as described in GolP-CHARMM force field. The gold atoms are shown as orange balls, surface interface sites are in blue, and the dipole charges in red. The binding-site atom and interface types, where the molecule is bonded, are highlighted in yellow and magenta, respectively.

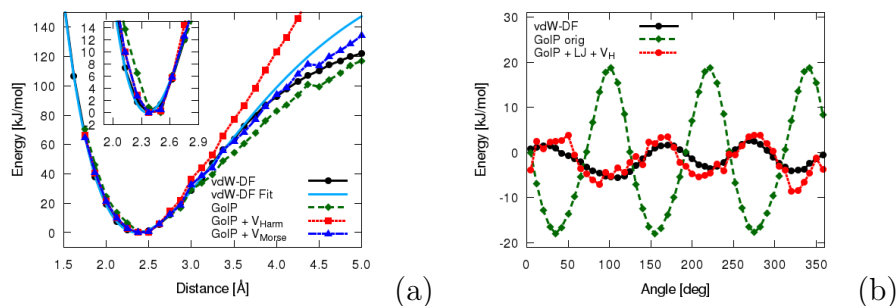


Figure 12: Potential energy profile of (a) the Au-S bonding and (b) rotation around the Au-S bond for cysteinethiolate on the gold surface parametrized in GolP-CHARMM. The reference vdW-DF profiles are indicated by solid black curves, while the dashed green curves show the potential obtained by the original GolP-CHARMM without the modified binding-site types.

The force field was later combined with popular and well-tested CHARMM27 parameter set, designed for biomolecular simulations in aqueous solutions. The resulting GolP-CHARMM force field [385, 386] became very popular for simulations of biomolecules, in particular proteins, with flat gold surfaces [250, 387–390]. However, it allowed to simulate only physisorbing processing, without chemical anchoring of the molecules to the surface. Therefore, we extended the force field by introducing new atomic types for describing Au-S binding sites [391], as shown in Fig. 11.

Besides initial benchmarks of the Au-S interaction potentials on the small sulfur-containing molecules like methanecysteine (c.f. Fig. 11), we focused on accurate description of cysteine binding to gold surfaces [391]. The cysteine residues are often utilized to anchor proteins on gold as they are naturally present in the protein structure either as single thiols or disulfide (S-S) bridges [392–395]. In the latter case, the S-S bonds are known to spontaneously dissociate near the gold surfaces and the resulting thiolates then

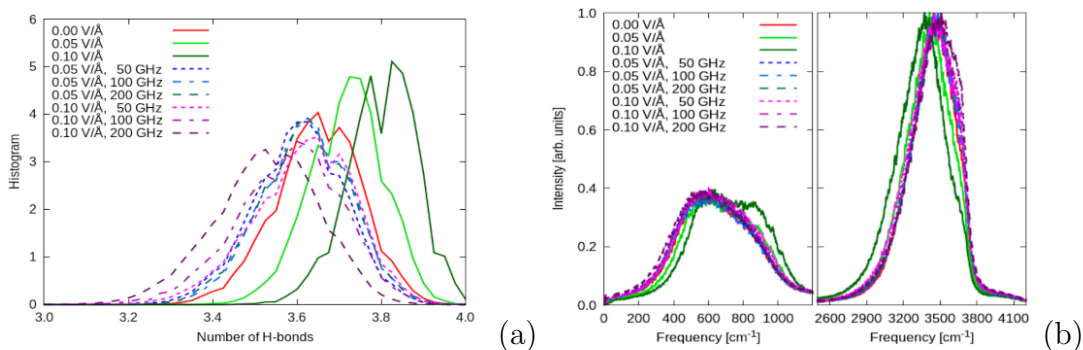


Figure 13: Response of bulk water to applied external electric fields, as obtained from the *ab initio* molecular dynamics. (a) Changes in the mean number of hydrogen bonds per water molecule, and (b) response of the libration and stretching vibrational spectral modes (figures from Ref. [396]).

form the Au-S bonds.

We employ the GoIP-CHARMM force field to prepare models of biomolecular junctions, experimentally studied by STM and EC-STM methods [36,41]. In our pioneering study of small-tetraheme cytochrome (STC) junction, we demonstrated that this force field can well describe such complex structures including chemisorbing interactions [36]. The predicted adsorption structures are in good agreement with experimental AFM scratching measurements of STC monolayer thickness on Au(111) substrates. Moreover, the simulated electronic currents on such structures are in accord not only with the  $I$ - $V$  measurements but also with EC-STM probing of distance decay.

#### 4.4 *Ab initio* non-equilibrium MD with electric fields

In electrochemical measurements or molecular electronic devices, geometries and electronic structures are affected by external electric fields induced by applied bias potentials. To investigate these effects by atomistic computer simulations, we modified the CP2K software package [307] to implement the time-dependent external electric fields in semi-classical fashion, as described in Sec. 3.2.4. The electric-field intensity in Berry phase formulation [125,240] is uniform over the simulation cell treated at DFT level, however, its magnitude is harmonically varying in time with given angular frequency [396]. The modified code thus allows simulations of molecular systems not only under static but also oscillatory external electric fields.

Nevertheless, due to the flexible nature of the most of the molecular systems, especially biomolecules in solutions, molecular dynamics and statistical techniques need to be employed to study even the effects of static fields. On the other hand, the DFT-

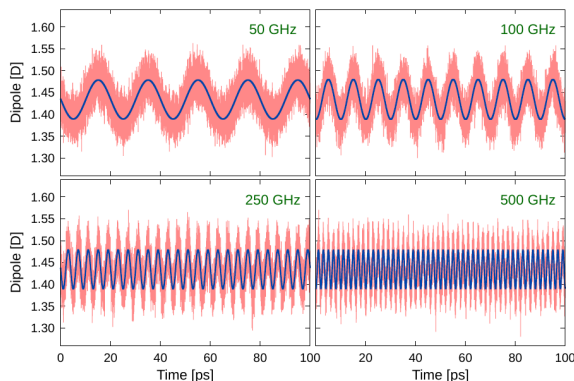


Figure 14: Dipolar response of the ice VII within 100 ps first-principles dynamics affected by oscillating electric field of RMS magnitude  $0.2\text{\AA}$  and frequencies 50, 100, 250, and 500 GHz. The detected collective dipole is shown in red while the fitted harmonic functions are in blue.

based first-principles MD simulations are computationally very demanding, applicably on relatively small systems only, and limited to short simulation times (typically tens of picoseconds), insufficient to capture the field responses with longer relaxation times. Therefore, we combined the external field implementation with the so-called second generation Car-Parrinello dynamics, developed by Kuhne and Parrinello [397, 398]. This dynamics is in fact based on Born-Oppenheimer approach, however, the instead of full SCF solution of Kohn-Sham equations at each time step, the new solution is estimated by the always stable predictor-corrector (ASPC) method [399], iteratively improved up to pre-set accuracy, and modified by stochastic Langevin thermostat ensuring the long-time stability. In this way, these first-principles MD simulations can be extended to hundreds of picoseconds [396, 400–402].

We demonstrated the performance of this approach on bulk water simulations [396]. Using the correlation-corrected optB88-vdW density functional [403–405], which can well describe the liquid structure of water [406–408], we carried out the room-temperature NEMD simulations with static and oscillatory electric fields of various magnitudes and frequencies. The 100 ps trajectories were obtained, long enough to capture response effects of fields with frequencies 50 GHz and higher. The statistical analyses applied on these trajectories then proved that even the fields of  $0.05\text{ V/\AA}$  intensities, which are small compared to intrinsic field magnitudes in bulk water ( $1.5 - 2.5\text{ V/\AA}$  [409]), affects the structure of liquid water. While the static fields tend to increase the water ordering, which is exhibited by larger number of hydrogen bonds (see Fig. 13a), the oscillatory field influence the molecular vibrations at both intra- and inter-molecular levels (Fig. 13b).

Later, we applied the time-varying electric fields to study the dielectric properties of

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ice VII, which is a high-pressure phase of water [410,411] that can be found on some Solar-system exoplanets [412,413]. In contrast to standard hexagonal ice, the dipole moments of individual molecules are ordered along the external field direction and the structural constraints considerably hinder their thermal fluctuations. Therefore, the applied oscillatory electric fields at first-principles level of theory can probe the electronic polarization by tracking the responses of the collective dipole of such system, as shown in Fig. 14 [402]. In contrast to liquid phase, these electronic changes have little effect on the vibrations, although the static fields can promote the splitting of the symmetric and antisymmetric stretching modes.

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## 5 Applications to biologically relevant systems

### 5.1 Ergodicity of ET in redox proteins

One of the key assumptions of the Marcus theory, which is usually employed as the theoretical framework for computational studies of electron-transfer events in redox proteins, is the ergodicity. The transfer events must be divided by long enough time intervals so the protein structure and the surrounding aqueous solution can adapt to the changed electrostatic potential and reach the equilibrium state. Only in such case, different structural configurations are populated in accord with the Boltzmann distribution, the processes follow exponential dynamical behaviour, and the Marcus theory is valid. [11, 46, 47]

Typically, the ergodicity is violated in systems with high transfer rates where the transient times are on femtosecond timescales, for example, in rapid charge flow in photosystems or artificially photosensitized proteins. [97, 98, 414–418] During such short times these proteins do not fully relax to the equilibrium and the transferred charge thus moves to another site from the non-equilibrium, high-energy state. This effectively reduces the reorganization free energies and free energy barriers to lower values that would be predicted by the Marcus theory, and modified theoretical descriptions were developed to capture these situations. [94, 419, 420] However, when the electronic coupling is low compared to the barrier height, the hopping mechanics can be still applied using the corrections for the reorganization free energy based on the spectral decomposition. In other cases, non-adiabatic molecular-dynamics approaches need to be applied. [63, 67, 421, 422]

On the other hand, proteins, due to their soft-matter nature, might undergo relatively slow motions and structural changes similar to glass transitions, which could exceed nano- or even microsecond timescales. As the typical electron-transfer events proceed in times less than 1 ns, the ergodicity could not be assumed in such proteins. This problem was theoretically investigated by Matyushov [90, 95, 99, 423–428], who generalized the Marcus theory by introducing reaction reorganization energy  $\lambda^r = (\lambda^{\text{St}})^2 / \lambda^{\text{var}} < \lambda^{\text{St}}$ . In contrast to Marcus theory, the separation of free energy surfaces does not correspond to their steepness and the free energy barrier lower lowered (c.f. Fig. 15a). Based on this behavior, Matyushov formulated a hypothesis that the slow motions of redox proteins are utilized by Nature to optimize the electron-transfer efficiency in redox chains.

However, some of the Matyushov's predictions are rather controversial. For example, significant reduction of the cytochrome c reorganization free energy to 0.57 eV due to the alleged large discrepancy between the  $\lambda^{\text{St}}$  and  $\lambda^{\text{var}}$  was reported. Although this value is close to the mean experimental value from the aqueous-solution measurements,

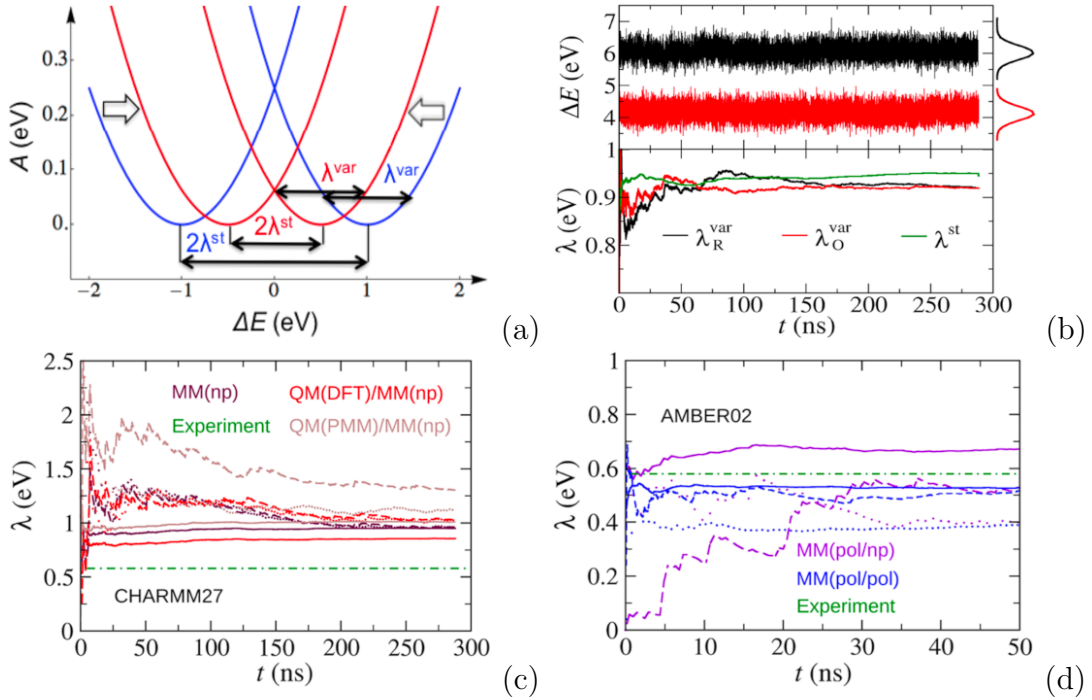


Figure 15: (a) Effect of the ergodicity violation on the Marcus free energy curves. Due to the insufficient relaxation, the Stokes  $\lambda^{\text{St}}$  is lower than variational  $\lambda^{\text{var}}$ , which is exhibited by shift of the free-energy parabolas and lowering the barrier (red) comparing to the Marcus theory (blue). (b) Time series of vertical ionization energies  $\Delta E$  obtained by PMM on Cytochrome c and convergence of  $\lambda$ . (c) Comparison of  $\lambda$  obtained by different computational approaches with experimental value for the Cytochrome c. (d) The same comparison for polarizable force fields.

0.6 eV [5, 429–432] and lower than typical reorganization free energies of redox proteins (0.7–1.2 eV [11]), slow glassy-like transition in the cytochrome c structure are improbable. Cytochrome c is a small globular protein, formed by a peptide chain of 104 amino acids folded around the redox-active heme cofactor [433], for which standard behaviour in accordance with the Marcus theory could be expected. Therefore, we reinvestigated the reported non-ergodic effects by extended computer simulations based on long-time MD and sampling in various potentials. [434, 435]

We compared two hybrid-potential approaches, namely QM/MM and PMM, applied on cytochrome c in two different partitioning to schemes and biomolecular force fields to eliminate any computational artifacts. Nevertheless, the  $\lambda^{\text{var}}$  consistently converging to  $\lambda^{\text{St}}$  was observed in all the cases for both redox states (c.f. Fig 15b here and Table 1 in Ref. 434). The calculated reorganization free energies are overestimated compared to the experimental value (see Fig 15c) due to the lack of electronic polarization in the standard force fields based where the electronic density distributions are approximated by



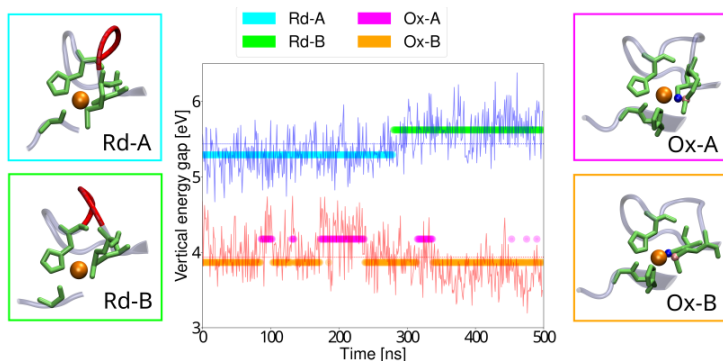


Figure 16: Vertical ionization energy gap ( $\Delta E_M$ ,  $M = \text{Red}, \text{Ox}$ ) series on the reduced (blue) and oxidized (red) azurin structure adsorbed on a gold surface. Different configuration states corresponding to twists of a peptide loop near the Cu redox site are indicated by colors (figure taken from Ref. 100).

atomic point charges. Yet, when the polarizable force fields are employed, the  $\lambda$  values are correspondingly reduced and get into accord with the experimental reference (Fig. 15d). Therefore, the numerical evidence for the ergodicity-breaking effects was not observed in the particular case of cytochrome c. [434, 435]

However, it does not mean that the non-ergodic effects cannot occur in biology. On the other, it is quite probable that in more complex and bulky systems, which are typically composed of several protein domains, for example, photosystem II (PSII), the non-ergodicity plays a role in tuning of electron-transfer efficiency. [97, 98] Obviously, investigation of these effects on such extended systems is very difficult and limited by the computational power, as the systems are large, the required simulation times are long ( $> \mu\text{s}$ ), and the electronic polarization should be considered.

Further, the non-ergodic effects may become more significant in protein structures incorporated in vacuum nanobioelectronic applications. Recently, we investigated reorganization free energy for azurin oxidation on gold surfaces [100], as electron transfer on such interfaces is being extensively studied by various experimental techniques. [30, 35, 436–443] During the extensive MD simulations performed on various azurin structures in vacuum and on gold interfaces, we observed that the loss of hydration shell leads to destabilization of relatively flexible peptide loop near the redox site of the protein. The loop flips and twists on  $\sim 100$  ns time scales which effectively increases the reorganization free energy. However, as the charge transitions through azurin/gold junction proceed on shorter time scales ( $\sim 1$  ns), the non-ergodicity can be expected. Nevertheless, the transport properties on bio/metallic interfaces and especially junctions cannot be always interpreted in terms of Marcus theory as the coherent tunneling might play dominant role there. [36, 41]

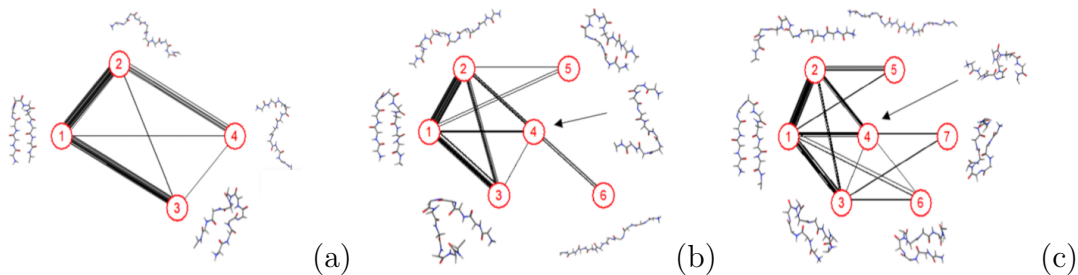


Figure 17: Stable chignolin conformations under (a) zero-field conditions, (b) static electric field of  $0.02 \text{ V}/\text{\AA}$  magnitude, and (c) oscillating electric field of  $0.02 \text{ V}/\text{\AA}$  magnitude (r.m.s.) and  $2.45 \text{ GHz}$  frequency. Probability of the structural transitions is indicated by the crossing line thickness (figures from Ref. [445]).

## 5.2 Electric field effects on biomolecules

Electric field, comparing to magnetic component of the electromagnetic (e/m) radiation, can relatively strongly interact with the molecular structures. Besides the thermal effects induced by absorption of electric or e/m energy, electron and proton transfer, hydrogen bonding stimulation or disruption, structural and dynamical responses of biomolecules, and other events are often consequences of acting external fields. [126] Surprisingly, microscopic details are not very well known and their investigation were initiated by recently developed state-of-the-art computational and experimental techniques. While the progress in optimization of *ab initio* molecular dynamics (AIMD) methods [397, 398] together with development of Berry-phase formalism [125, 444] allows studying the field effects in solid state matter at quantum level, advanced experimental measurements like vibrational sum-frequency generation (VSFG) provide detail knowledge about molecular-interaction responses to static as well as alternating external fields. [114]

We investigated these effects on chignolin mutant CLN025 [445], which is an artificial minimal protein structure designed for protein folding studies. Chignolin consists of 10 amino acids only that form  $\beta$ -hairpin structure, which undergoes relatively rapid (un)folding transitions between four configurational basins (see Fig. 17a). However, when the structure is perturbed by weak external static electric fields of magnitude  $0.02 \text{ V}/\text{\AA}$ , the free energy landscape considerably changes and the structure might appear in seven different configurations (Fig. 17b). These configurations remain (meta)stable even under influence of time-varying fields of the same magnitude and microwave frequency  $2.45 \text{ GHz}$ , however, the transition probabilities changes (Fig. 17c). Obviously, although the equilibrium chignolin structure is stabilized by hydrogen bonding, the external electric fields can substantially affects its geometry, and the similar effects can be expected on flexible parts of large proteins as well.

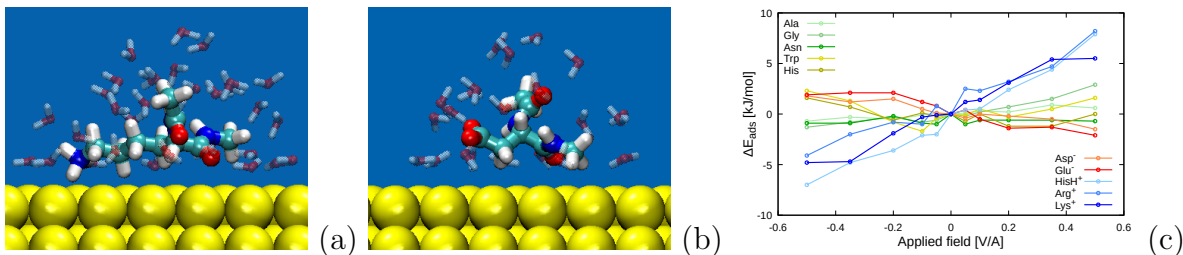


Figure 18: Adsorption structures of (a) lysine and (b) aspartic acid on aqueous gold (111) surface, and (c) response of the adsorption free energies of selected amino acids to external static electric fields (figures from Ref. [250]).

Next, we investigated the external field effects on amino acids adsorbed to aqueous gold surfaces [250], focusing predominantly on 20 proteinogenic structures. The amino acids differ by their side chains, which can have aliphatic or aromatic character, behave as acids, bases, or neutral molecules in aqueous solutions, and could contain specific chemical groups such as hydroxyls, amids, thiols, or thioethers. Naturally, acidic and basic amino acids bear -1 and +1 charge, respectively, in water solutions and respond strongly to the external fields due to the electrostatic interactions. However, we showed that this response is surprisingly considerably larger for the positively charged amino acids than for the negatively charged ones, when they are adsorbed to the gold-electrode surfaces (see Fig. 18). This is effects is caused by different solvation interactions affecting the adsorption structures [250, 391, 446–448] and it is important for understanding structural field responses of biomolecules in electrochemical methods like protein film voltammetry [25–28].

### 5.3 Long-range ET in multi-heme cytochromes

The recently discovered multi-heme proteins facilitating long-distance extracellular electron transport (EET) in metal-reducing bacteria such as *Shewanella oneidensis* are biomolecules containing well-organized chains of heme cofactors designed by nature for fast and efficient electron transfer (ET) and electron transport (ETp). Similar to chains of multi-heme cytochromes found in *Geobacter sulfurreducens*, these protein can shuttle electrons across the periplasm and outer cell membranes and act as molecular wires [11, 449].

To understand the mechanism of these charge transfer processes, series of computational studies have been performed on two integral proteins MtrF and MtrC located in the bacterial outer membrane (see Fig. 19a), and on small-tetraheme cytochrome (STC) known to carry charge in the periplasm [19, 68, 70, 72, 103, 201, 315, 450]. The structure of all these proteins were resolved experimentally by X-ray diffraction methods and their conductive properties are intensively studied by several research groups [20, 21, 69, 451–453].

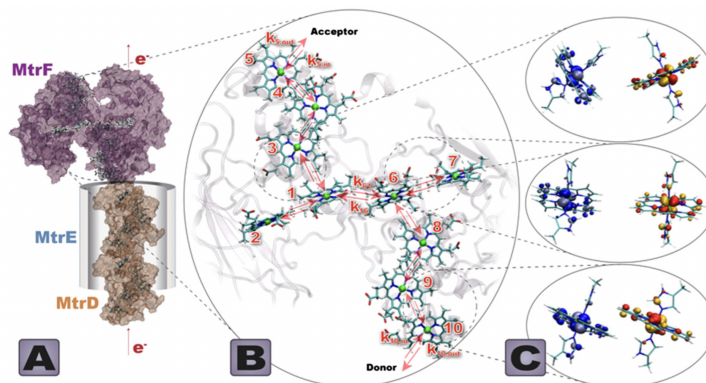


Figure 19: Multiheme cytochromes from *Shewanella oneidensis*: (a) structure of the MtrFDE transmembrane complex, (b) heme cofactor arrangement in MtrF with indicated electron-hopping transitions, and (c) three types of heme pair motifs found in MtrF (T-shaped, coplanar, and stacked). The figure is taken from Ref. 450.

These measurements revealed large number of iron-containing heme cofactors in the cytochrome structures, organized to chains suggesting possible intra-molecular electron-transfer pathways.

We combined classical molecular dynamics (MD) with density functional theory (DFT), as described in Sec. 3.4, to investigate why the long-range electron transfer is so efficient in these multi-heme proteins. Analyzing the redox potentials, reorganization free energies, and the electronic coupling elements, we discovered that not only iron cations but also sulphur in cysteine linkages covalently attached to the heme cofactors play the key roles in the transfer processes [68]. Enhanced charge-density delocalization over the heme planes and cysteine linkages increases the heme-pair rates by 1-2 orders of magnitude in STC [68,315] and similar effect was found also in MtrF and MtrC proteins as well [72]. Large electronic coupling can kinetically compensate the free energy barriers between cofactors, resulting in large electronic fluxes.

## 5.4 Charge transfer properties of bio/metallic interfaces

For understanding the electronic charge transfer occurring at heterogeneous interfaces of biomolecules with surfaces of metal electrodes, detail knowledge of their adsorption structures and electronic states is needed. However, these are very difficult to predict computational at quantitative level due to the large structural flexibility of biomolecular systems and extended sizes limiting the application of accurate quantum approaches.

Therefore, first we analyzed such properties of proteinogenic amino acids only, which are the basic building blocks of all proteins [250,454]. We employed the polarizable GoIP-

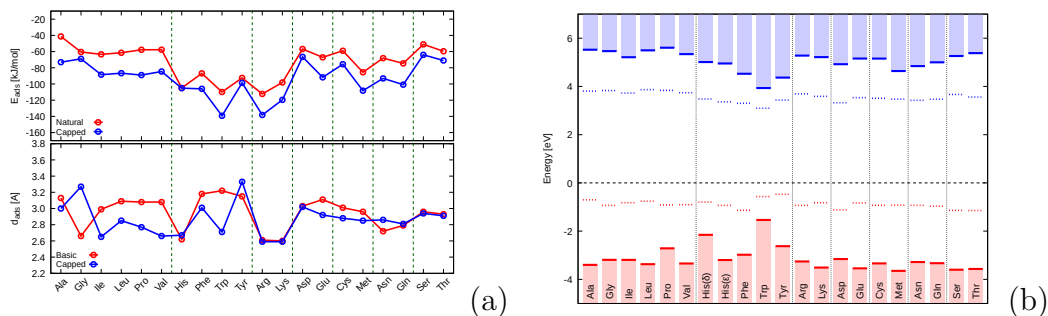


Figure 20: Interaction of proteinogenic amino acids with vacuum gold (111) surfaces: (a) adsorption energies and distances, electronic-level alignment with respect to the gold Fermi level  $E_F$ . The figures are taken from Ref. 454.

CHARMM force field to sample their adsorption structures at gold (111) surfaces, which we then refined by accurate DFT methods based on vdW-DF functionals. This procedure allowed us to determine adsorption energies and interaction distances at gold not only for the natural but also for capped amino acids, mimicking the peptide binding (see Fig. 20a).

Further, we applied the DFT+ $\Sigma$  procedure to establish the electronic-state alignment between frontier HOMO, LUMO energy levels of amino acids and the Fermi level of gold electrodes (see Fig. 20b). Knowledge of these state positions is key for evaluating of charge transfer feasibilities and their mechanisms. Most of the aliphatic amino acids were found unsuitable for electronic hopping as their frontier levels are far from the Fermi level. The exceptions are aromatic histidine, and in particular tryptophane, which have high-energy occupied states suitable for hole transfer.

Then, we started to analyze the gold interface with peptides and proteins. First, we investigated adsorption interactions of chignolin, the 10-amino acid  $\beta$ -hairpin, that unfolds and strongly sticks to the aqueous gold surfaces due to the large contain of aromatic amino acids. Less structural changes at the gold interfaces undergoes insulin, small double-chain protein containing three disulfide bridges stabilizing its geometry. However, the bridges can be dissociate at gold surfaces and the two released thiolates form the Au-S bonds immobilizing the protein structure at the surfaces by relatively strong chemisorption [394, 455–457]

Finally, we studied the gold interactions with azurin [100,358], the blue-copper redox-active protein often utilized in nanobioelectronic devices (see Fig. 21). Azurin can be directly immobilized at the gold surfaces via a disulfide bridge, which is naturally present in its structure [456,458]. The protein lies at the surface, maximizing its adsorption interaction by gold contacts with suitable folded  $\beta$ -sheets, while keeping its overall structure, and the copper redox site in particular, relatively well preserved. Nevertheless, we showed

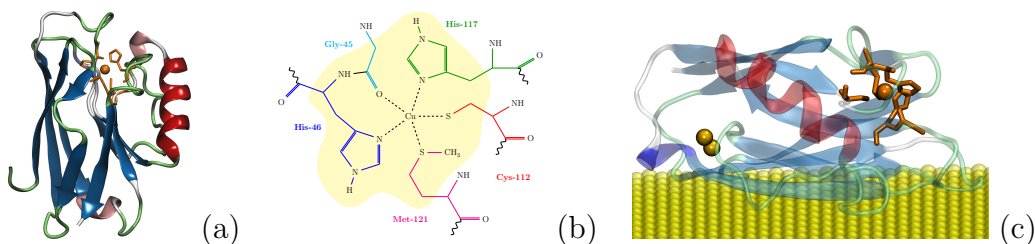


Figure 21: Blue copper protein azurin: (a) crystal structure extracted from *Pseudomonas aeruginosa*, (b) chemical structure of the  $\text{Cu}^{1+/2+}$  redox site, (c) representative adsorption structures at gold surface. The figures are taken from Ref. 100.

that the reorganization free energy is practically unchanged at vacuum gold interfaces, keeping its  $\sim 0.8$  eV value known from solution [459]. This, together with the large offset between the azurin and gold electronic states ( $\sim 1.8$  eV), makes the electron hopping unfavourable in azurin-based gold junctions, where the tunneling currents were detected experimentally.

## 5.5 Electron transport through protein junctions

Rapid development of single-molecular-junction technologies in recent years and their applicability to protein junctions allows measurements of electric conductivities on single-molecular level [42, 44, 370, 460, 461]. This was demonstrated on blue-copper protein azurin and on small-tetraheme cytochrome (STC) with iron-containing heme cofactors (Fig. 22a) [35]. Strikingly, the measured conductance of the STC junction is by 3 orders of magnitude larger than the one detected for azurin although these two proteins have similar size and shape. Moreover, the experiments suggested coherent electron tunneling as an undergoing charge transfer mechanism in contrast to incoherent electron hopping, which is dominant in solvated multi-heme proteins.

Using the state-of-the-art computational procedures (Sec. 3.5), we computed the junction currents and confirmed the tunneling mechanism [36, 41]. The hole transfer proceeded in the off-resonant regime, flowing through the protein over delocalized heme states and amino-acid side chains (see Fig. 22c). Presence of these aromatic states, which are relatively high in energy, explains the large difference in conductance of STC and azurin, where no organic cofactors are incorporated into the protein structure. Interestingly, the redox states of metal cations were not found important for the conductance, in contrast to hopping transfer in solutions.

By evaluation of the tunneling currents on STC junction models of different orientations of sizes, we showed that the current magnitudes decay exponentially, however, due



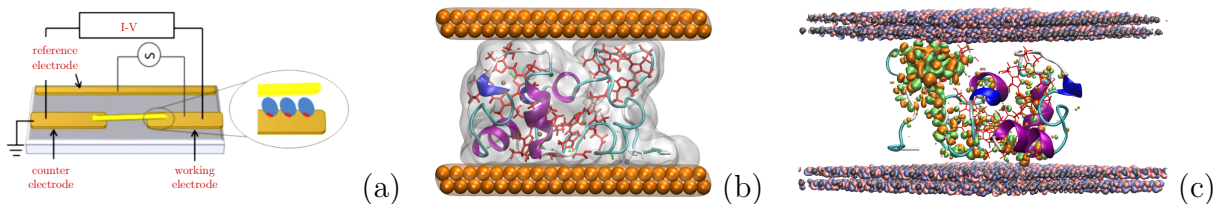


Figure 22: Small-tetraheme cytochrome (STC) junction between gold contacts: (a) experimental setup of the suspended nanowire method, (b) the junction model for DFT calculations of tunneling currents, (c) one of the dominant conduction channels in the off-resonant regime. The figures are taken from Ref. 35 and 36.

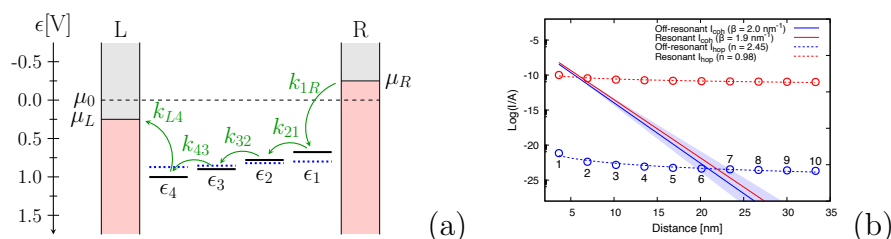


Figure 23: Electron transport through multi-heme cytochrome junctions between gold contacts: (a) schematic diagram of redox state positions in STC junction with indicated hopping transitions, (b) comparison of incoherent hopping and coherent tunneling current magnitudes and their distance dependence in the stacked STC junctions. The figures are taken from Ref. 41.

tot to the large density of protein states, the decay factor has a small magnitude of  $0.2 \text{ \AA}^{-1}$ , ensuring the tunneling over several nanometers. The hopping mechanism was shown to be uncompetitive due to the large potential decrease at the interface preventing efficient electron hole injection (c.f., Fig. 23a). However, we predicted a crossover of transport mechanism from coherent tunneling to incoherent hopping for multi-heme cytochromes at  $\sim 7 \text{ nm}$  (Fig. 23b), in near resonant regime, which could be accessible, for example, by electrochemical gating.

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## 6 Other applications

### 6.1 Donor-acceptor ET reactions in solution

Electron transfer events between redox pairs in solutions are well described by outer-sphere Marcus theory (Sec. 2.1.1) and typically studied by molecular-dynamics techniques in QM/MM potentials (Sec. 3.4). However, in the conventional QM/MM description the reduced and oxidized species are simulated separately, neglecting their mutual interactions, and so limiting to dilute-limit regime [51, 356, 462]. Therefore, we developed the so-called double-QM/MM method (Sec. 4.1), where both species are treated in the same simulation box and the distance dependencies of the free-energy surfaces can be directly studied [363].

First we explored the distance dependencies of electron transfer between hexaaqua  $\text{Ru}^{2/3+}$  and  $\text{Fe}^{2/3+}$  complexes in water solution (c.f. Fig. 7), where we relied on the linear response approximation (Eqs. (94) and (95)), which was well justified for the studied system. Recently, we focused on ferricyanide ( $[\text{Fe}(\text{CN})_6]^{4-}$ ) / ferrocyanide ( $[\text{Fe}(\text{CN})_6]^{3-}$ ) redox couple [354, 463–467], which often used in electrochemistry as a standard, thanks to the reversible electron transfer at low concentrations. We investigated the reliability of the linear response approximation for higher concentrations by reducing the interaction distance down to touching sphere limit.

The ferri/ferro-cyanide complexes have octahedral symmetry and their frontier HOMO, LUMO orbitals facilitating the electron transfer are dominated by the iron d orbitals (see Fig. 24a). Nevertheless, these molecular orbitals are partly delocalized to nitrogens in cyano groups that effectively increases the Marcus radii of these species. This effect, together with the stable and very similar configurations [468–471], is responsible for the relatively low reorganization free energies (1.47 eV, measured by photoemission spectroscopy [472]). We reproduced this value by using conventional QM/MM as well as dilute-limit double-QM/MM calculations (these results were not published yet).

To justify the linear response approximation, we applied the free-energy computational techniques with Warshel’s energy-gap reaction coordinate [252] to obtained the reorganization free energy directly from the free energy surfaces (see Fig. 24b where both the free energies and the energy-gap distributions are shown). The linearity is well justified in the dilute limit (Fe-Fe separation 20 Å, error in free energy 0.01 eV) and well preserved down to the touching-sphere limit (7.5 Å, error 0.03 eV). Finally, we combined the double-QM/MM treatment with polarizable force fields to avoid Pekar-factor based reorganization free energy corrections for electronic polarizability, and evaluated the elec-



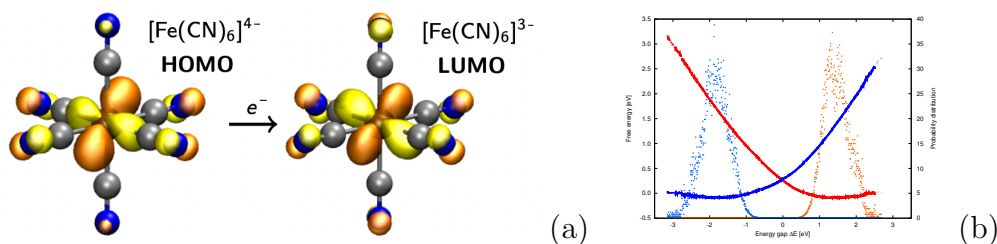


Figure 24: Ferricyanide / ferrocyanide redox pair: (a) frontier HOMO / LUMO orbitals facilitating the electron transfer, (b) free energy surfaces obtained by WHAM.

tronic coupling between the redox species by POD method. In this way, we were able to well reproduce the experimental rate constants from NMR measurements [473].

## 6.2 Intramolecular redox-state transitions

Resorcinarenes are cyclic macromolecules formed by cyclotetramerization of an aldehyde and resorcinol [474–476] that are used for chiral host-guest recognition and formation of supramolecular self-assembled structures [477–481]. These molecules are relatively flexible, substituents in their meso-positions can flip to different positions, and according to their relative orientations the resorcinarenes form four configuration types conventionally labeled as rctt, rccc, rcct, and rtct.

The complexity of resorcinarene dynamics and structural stability is further increased when the meso-substituent have redox character, i.e. they can exist in either reduced or oxidized charge states. Often, hydroquinone and benzoquinone are incorporated in these molecules, which can undergo intramolecular charge transfer transitions detectable by characteristic changes in UV/VIS spectra. Simultaneously, the structural changes induced by the charge transfer, are recognizable by nuclear magnetic resonance (NMR) techniques.

We investigated such intramolecular charge transfer events on resorcinarenes bearing four 3,5-di-*t*-butyl-4-hydroxyphenyl (DtBHP) redox-active groups [476]. As the electron transfer events are in this case coupled with the proton transfer, the conformational changes can be controlled by pH of the solution via acidic concentrations. Due to the resorcinarene symmetries, five different optical patterns, distinguishable by color changes in visible light region, were observed. The whole system thus represent single-molecular redox-active photochemical switch that could be utilized as chemosensor.

The redox-active resorcinarenes can be also used for manufacturing molecular rotors. The rotation dynamics can be initiated/stopped by the oxidation/reduction, respectively, of the suitable meso-substituents, and further controlled by solvent polarity and temper-

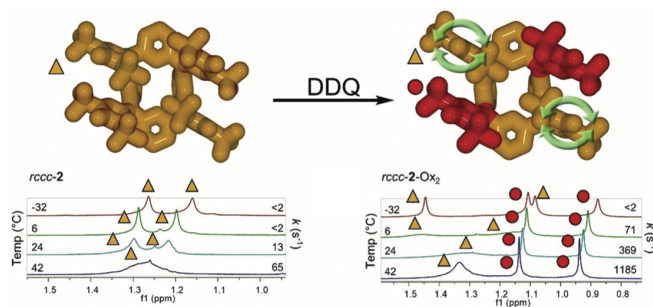


Figure 25: Comparison of relative rotation of the meso-substituents in resorcinarenes (left) and fuchsonarenes (right), and the corresponding high field (t-butyl) region of  $^1\text{H}$  NMR spectra in acetone at different temperatures (DDQ: 2,3-dichloro-5,6-dicyano-1,4-benzoquinone). The figure is taken from Ref. 482.

ature. We demonstrated this behavior of DtBHP-substituted resorcinarenes and their transitions to fuchsonarenes (i.e. oxidized resorcinarenes with hemiquinonoid groups in diagonal arrangement, see Fig. 25) [482]. In all these cases, we supported the experimental works by series of classical molecular dynamics simulations and DFT calculations to suggest the best molecular design and interpret the UV/VIS and NMR measurements [483].

### 6.3 Charge transfer on aqueous semiconductor interfaces

While diamond is a well known insulator exhibiting large gap ( $\sim 5.5$  eV) between valence and conduction bands, diamond surface terminated by hydrogen exhibit negative electron affinity and p-type conductivity [484–486]. This effect can be enhanced by boron doping and it can lead even to superconductive behavior when the diamond is heavily doped [487–489]. However, at low doping levels, the boron-doped diamond (BDD) exhibit the semiconductor properties.

BDD became popular material in electrochemistry thanks to its wide potential window (from -1.25 V to 2.3 V vs. SHE), low background currents, and large stability [490]. Nowadays, it is used, for example, for electrochemical wastewater treatment, water disinfection, pH detection, biosensing, and electrochemical synthesis [491–493]. However, the BDD electrode properties differ based on their surface termination. When the electrodes are prepared by chemical vapour deposition (CVP) technique, they are hydrophobic and mostly reversible, while after their oxidation, the BDD surfaces exhibit the opposite properties (compare CV curves in Fig. 26) [494, 495]. Besides the surface termination, the boron doping concentrations are known to affect the electrochemical response of BDD electrodes as well [496].

We employed density functional theory (DFT) to predict termination stability (phase

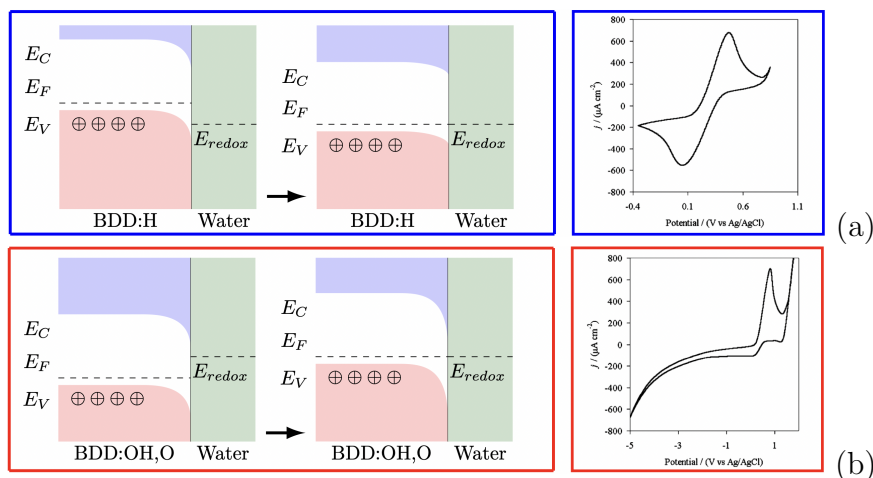


Figure 26: Electronic band alignment and electrochemical response on (a) reduced and (b) oxidized aqueous interfaces with boron-doped diamond (BDD).

diagrams) of both diamond and BDD surfaces of (100) and (111) orientations [365]. The calculations showed that the as-grown electrode surfaces are terminated by atomic hydrogen, which is replaced by mixture of hydroxyl groups and carbonyl oxygens, when the electrodes are electrochemically oxidized. As these groups are forming relatively strong hydrogen bonds with the nearest hydration layers in solution, in contrast to hydrogen termination where these kinds of interactions are not preferred, the BDD oxidation leads to hydrophobic / hydrophilic character change.

On the other hand, explanation of the different electrochemical response of the reduced and oxidized BDD electrodes, requires analyses of the interface electronic states [365, 497, 498]. The hydrogen termination forms the opposite surface dipole than the hydroxyl and oxygen species, which affects the electrode work functions. The reduced electrodes have the Fermi level higher than redox potential of ferricyanide / ferrocyanide couple, typically used to measure the CV curves. Interface electron transfer equilibrating these two level thus flatten the depletion layer in BDD, which leads to reversible current-voltage response like at metallic electrodes (see Fig. 26). The level alignment at the oxidized interfaces is opposite, the band bending over the depletion layer is enhanced, and, as a result, the electrochemical response is irreversible.

## 6.4 Catalytic charge transfer processes on metal-oxide surfaces

Metal oxides are popular as surface materials in electrochemistry for their catalytic activities related to large structural and electronic variability of such surfaces [109]. Transition metals such as iron, titanium, manganese, zirconium, cobalt, or nickel are often utilized

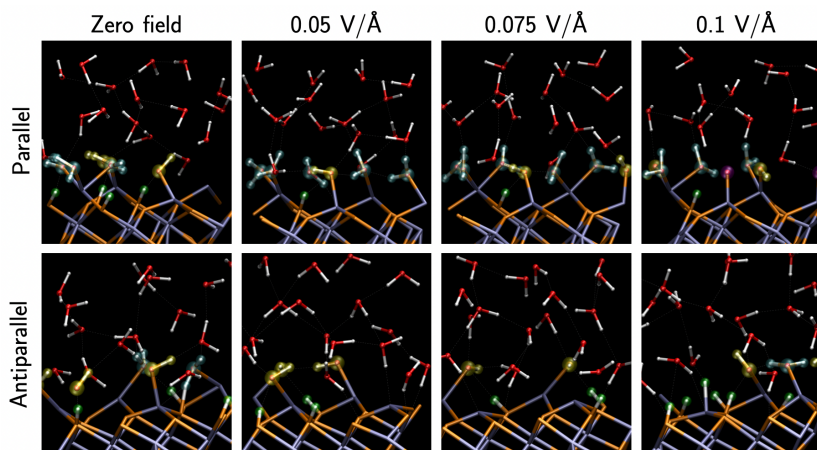


Figure 27: Snapshots from the non-equilibrium first-principles molecular dynamics of hematite / water interfaces under external static electric fields applied parallel or anti-parallel with respect to the outward surface plane. The hematite slab’s Fe atoms are shown in light blue and oxygen atoms in orange. Adsorbed non-dissociated water molecules are highlighted by cyan color, while dissociated hydrogen protons, hydroxyl groups, and oxygens adsorbed to the surface are depicted in green, yellow, and magenta, respectively. The figure is adapted from Ref. 401.

for biomolecular sensing [499], recently also in the form of nanoparticles, which have larger surface areas and richer electronic properties than traditional flat surfaces.

One of the most popular metal-oxide materials in electrochemistry is titania ( $\text{TiO}_2$ ), which is famous for its photocatalytic activity, i.e. splitting of water at the electrode surface largely enhanced by illumination [500–502]. We investigated the structural water confinement at two titania polymorphs – rutile and anatase – by classical molecular dynamics simulations and studied the effects of applied static and time-varying electric field on dynamical properties of the interface water layers [377, 503]. In particular, we investigated response of the hydrogen-bond lifetimes, self-diffusivity, and vibrations.

Later, we employed reactive force field (ReaxFF [504, 505]) to investigate the spontaneous water splitting reactions catalysed by titania [506]. In contrast to the classical biomolecular force fields, ReaxFF allows to describe not only bond breaking/making but also charge transfer processes [507, 508], although the charge densities are also approximated by point charge distributions there. Therefore, changes in mean potentials and fields can be studied. For titania, we showed that there is a large potential drop and corresponding intrinsic field variation at the aqueous interfaces of both rutile and anatase [506]. These strong local fields enhance the surface water confinement and participate in catalytic O-H bond breakage by orienting the water molecules to reactive configurations.

Hematite ( $\alpha\text{-Fe}_2\text{O}_3$ ) is another photocatalytic material able to split water, which re-

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cently became as popular as titania thanks to its relative ubiquity and low costs [509–512]. However, because of the anti-ferromagnetic layer composition and complex surface properties, computational studies of hematite interfaces are challenging and often require advanced simulation techniques [206, 513]. For example, it was shown by von Rudorff *et al.* [378, 514] that to capture the correct surface geometry, protonation, and related charge transfer phenomena in aqueous solution, the computationally very demanding first-principles simulations at hybrid functional level need to be applied.

We performed the non-equilibrium first-principles molecular dynamics to study the water splitting on aqueous hematite interfaces induced by external electric fields mimicking the electrochemical bias potential effects [401]. The fields were applied perpendicular to the surface, both in parallel and anti-parallel orientation with respect to the outward surface-plane normal, with increasing intensity up to 0.1 V/Å (see Fig. 27). The field-induced water dissociation was observed, followed by hopping-like proton transfer across the simulation cell, and development of Helmholtz layers compensating the induced surface charge. The performed calculations thus simulated simple electrochemical cell with the catalytic electrodes.

## 6.5 External-field induced charge transport

Ice VII is one of the 18 known ice polymorphs, which is formed under high pressures (above 2 GPa). It has a cubic structure composed of two interpenetrating sublattices of water molecules mutually interacting by hydrogen bonding [515, 516]. Experimentally, methods like water confinement in nanomeniscus [517], and subnanosecond laser-induced shock waves can be used to prepare the ice VII phase [518]. Besides, the ordered high-pressure phases could be prepared by electrofreezing techniques employing static electric fields [519, 520].

Due to the densely packed structure and high pressures, the bonds between oxygen and hydrogen atoms are weakened and the protons can relatively easily change their positions in the double-well potentials between neighbouring O...O pairs in the same sublattice. Consequently, when an external field is applied, the protons are dragged in the field direction, diffusing in the preserved lattice of oxygens. This state is known as a superionic (SI) ice phase and its existence was recently proved by Millot *et al.* by shock compression [411]. Although these phases might seem artificial at Earth, there is indirect evidence for its presence in Solar-system exoplanet "ice giants" Uranus and Neptune.

We performed a series of first-principles MD simulations [400, 402, 521] to investigate the O-H bonding in ice VII, its pressure dependence, dielectric properties, as well as

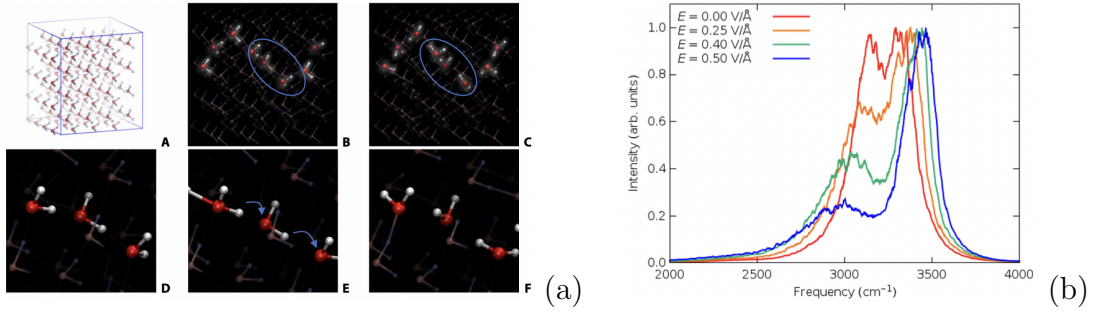


Figure 28: External-field induced proton hopping in ice VII: (a) snapshots from the non-equilibrium first-principles molecular dynamics, (b) field response of in the stretching band of the vibrational spectrum. The figures are taken from Ref. 400.

the field-induced dissociation. As the pressure increases from 5 to 20 GPa, the O...O distances shrink and the O-H bonds start to be more ionized, which is exhibited by the increased gap between the valence and conduction bands of ice VII [521]. These changes affect the stretching band of the vibrational Raman spectra, on which the transition to SI phase can be detected [522].

Finally, we applied the static electric fields of magnitudes between from 0.02 to 0.50 V/Å to induce the phase transition [400]. Indeed, when the dissociation threshold  $\sim 0.3$  V/Å was exceeded, the proton hopping between practically unperturbed positions of oxygen atoms was initiated. The protons were propagated through the ice VII crystal by Grotthus-like mechanism following the zig-zag pathways oriented along the field direction (see Fig. 28a). The simultaneous changes in the Raman spectra (Fig. 28b) were in this case significant and followed the trend observed in experimental measurements [522].

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## 7 Conclusion

Transfer of charge can proceed in many different materials by various mechanisms, for examples, ionic diffusive transport in electrolytes, proton Grotthus-type transfer in water, incoherent electron hopping through biomolecular redox chains, coherent tunneling through molecular junctions, polaronic charge propagation in molecular crystals, band-like electron transport in metals, or quantum transport in superconductive metallic alloys. Here, we limited ourselves to electronic transfer in molecular systems, with a special focus on transport facilitated by biomolecules.

Incoherent electron hopping and coherent tunneling were briefly reviewed as limiting cases of the transport mechanisms that can be facilitated by biomolecules in their native environment, on electrochemical interfaces, or in nanobioelectronic devices. Then, the most popular computational approaches applicable in electronic charge-transfer studies were summarized, although many other techniques can be found in literature. Also, methods of potential energy evaluations (i.e. molecular mechanics, quantum-chemistry methods, density functional theory, etc.), inherently used in the discussed approaches, were not reviewed to not exceed the scope of the thesis.

The research activities of the author are introduced and discussed in the second half of the thesis. First, works on the methodology development related to biomolecular charge-transfer studies were listed. These include the design of double-QM/MM method for investigations of outer-sphere electron hopping, implementation of the POD method for electronic coupling element calculations, tuning and refining works on the GolP-CHARMM force fields for the biomolecular interfaces with gold surfaces, and improving performance of *ab initio* non-equilibrium molecular dynamics with external electric fields.

Finally, the main applications focused on electron transfer in various systems are introduced as successful study cases. Five selected applications involving biologically relevant systems are followed by another five applications on other systems. These selections illustrate the research activities of the author and its research group during last decade and their relevance is supported by the reprints of relevant articles from peer-reviewed journals, where this research was published.

Besides these research activities, the author participate in education of students at Faculty of Science at University of South Bohemia in České Budějovice. There, at Department of Physics, he established two new courses: "Density Functional Theory (DFT) and Its Applications" and "Charge Transfer Processes and Their Simulations". The latter course covers the theory and computational approaches summarized in this thesis, and the up-to-date scientific knowledge in this field is communicated to students.

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## Article reprints

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## A double-QM/MM method for investigating donor–acceptor electron-transfer reactions in solution

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# Electronic Couplings for Charge Transfer across Molecule/Metal and Molecule/Semiconductor Interfaces: Performance of the Projector Operator-Based Diabatization Approach

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# Adsorption of Amino Acids on Gold: Assessing the Accuracy of the GoIP-CHARMM Force Field and Parametrization of Au–S Bonds

Author contributions:

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# Influence of External Static and Alternating Electric Fields on Water from Long-Time Non-Equilibrium Ab Initio Molecular Dynamics

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## Cysteine Linkages Accelerate Electron Flow through Tetra-Heme Protein STC

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## Amino-Acid Interactions with the Au(111) Surface: Adsorption, Band Alignment, and Interfacial Electronic Coupling

Author contributions:

Zdenek Futera: Conceptualization, methodology, calculations, visualization, manuscript preparation

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## Adsorption of Amino Acids at the Gold/Aqueous Interface: Effect of an External Electric Field

Author contributions:

Denys Biriukov:	Calculations, analyses, visualization, manuscript preparation
Zdenek Futera:	Conceptualization, supervision, manuscript preparation

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## Reorganization Free Energy of Copper Proteins in Solution, in Vacuum, and on Metal Surfaces

Author contributions:

O. Vilhelmiina Kontkanen: QM/MM calculations, analyses, visualization, manuscript preparation

Denys Biriukov: MD simulations, analyses, visualization, manuscript preparation

Zdenek Futera: Conceptualization, supervision, funding acquisition, manuscript preparation

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## Coherent Electron Transport across a 3 nm Bioelectronic Junction Made of Multi-Heme Proteins

### Author contributions:

Zdenek Futera:	DFT calculations, current modeling, analyses, visualization, manuscript preparation
Ichiro Ide:	UPS measurements
Ben Kayser:	Current measurements
Kavita Garg:	Current measurements
Xiuyun Jiang:	Current modeling
Jessica H. van Wonderen:	Protein-structure preparation
Julea N. Butt:	Conceptualization, supervision
Hisao Ishii:	Conceptualization, supervision
Israel Pecht:	Conceptualization, supervision
Mordechai Sheves:	Conceptualization, supervision
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Jochen Blumberger:	Conceptualization, supervision, funding acquisition, manuscript preparation

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## Multimodal Switching of a Redox-Active Macrocycle

### Author contributions:

Daniel T. Payne:	Synthesis, NMR measurements, analyses, manuscript preparation
Whitney A. Webre:	Electrochemical analyses
Yoshitaka Matsushita:	X-ray diffraction, structure determination
Nianyong Zhu:	X-ray diffraction measurements, structure determination
Zdeněk Futera:	MD simulations, DFT calculations
Jan Labuta:	Conceptualization, NMR measurements, analyses, manuscript preparation
Wipakorn Jevasuwan:	ESR data analyses
Naoki Fukata:	ESR data analyses
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Jonathan P. Hill:	Conceptualization, supervision, manuscript preparation, funding acquisition

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## First Principles Calculation Study on Surfaces and Water Interfaces of Boron-Doped Diamond

Author contributions:

Zdenek Futera:	DFT calculations, analyses, visualization, manuscript preparation
Takeshi Watanabe:	Experimental measurements
Yasuaki Einaga:	Conceptualization, funding acquisition
Yoshitaka Tateyama:	Conceptualization, supervision, manuscript preparation

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# Water Breakup at Fe<sub>2</sub>O<sub>3</sub>–Hematite/Water Interfaces: Influence of External Electric Fields from Nonequilibrium Ab Initio Molecular Dynamics

Author contributions:

Zdenek Futera:	DFT simulations, analyses, visualization, manuscript preparation
Niall J. English:	Conceptualization, supervision, funding acquisition, manuscript preparation

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## Possibility of Realizing Superionic Ice VII in External Electric Fields of Planetary Bodies

Author contributions:

Zdenek Futera:	MD simulations, analyses, visualization, manuscript preparation
John S. Tse:	Conceptualization, manuscript preparation
Niall J. English:	Conceptualization, supervision, funding acquisition, manuscript preparation

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