# **REVIEW ARTICLE OPEN** Early-stage visual perception impairment in schizophrenia, bottom-up and back again

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Visual perception is one of the basic tools for exploring the world. However, in schizophrenia, this modality is disrupted. So far, there has been no clear answer as to whether the disruption occurs primarily within the brain or in the precortical areas of visual perception (the retina, visual pathways, and lateral geniculate nucleus [LGN]). A web-based comprehensive search of peer-reviewed journals was conducted based on various keyword combinations including schizophrenia, saliency, visual cognition, visual pathways, retina, and LGN. Articles were chosen with respect to topic relevance. Searched databases included Google Scholar, PubMed, and Web of Science. This review describes the precortical circuit and the key changes in biochemistry and pathophysiology that affect the creation and characteristics of the retinal signal as well as its subsequent modulation and processing in other parts of this circuit. Changes in the characteristics of the signal and the misinterpretation of visual stimuli associated with them may, as a result, contribute to the development of schizophrenic disease.

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# INTRODUCTION

Schizophrenia affects a wide range of domains within information processing such as perception, thinking, attention, verbal fluency, working memory, executive functions, verbal memory, and learning<sup>1</sup>. These changes affect even the initial phase of information processing-perception, which is one of the fundamental tools humans use to learn about the world and adapt to its conditions. A disruption of the mechanisms involved in the processing of all perception modalities—olfactory<sup>2</sup>, somatosensoric<sup>3</sup>, auditory<sup>4,5</sup>, and visual percepts<sup>4,6-8</sup>—has been repeatedly demonstrated in schizophrenia.

Changes in visual perception in schizophrenia patients are apparent at the level of the oculomotor response to visual stimuli<sup>9–11</sup>. These disruptions also manifest as abnormalities in perceptional organization<sup>12</sup>, sensitivity to contrasts<sup>7,13</sup>, inaccurate perception of motion<sup>14</sup>, colors, brightness, distortion of shapes, and the disruption of perception of human figures and their emotional expressions<sup>15</sup>. These changes in visual perception are evident not only during acute schizophrenia episodes, but also in patients in remission<sup>3,16</sup>, and some may also be found in their relatives<sup>17-19</sup>. Congruently, longitudinal studies have shown the possibility to selectively predict the development of schizophrenia spectrum disorders in early adults based on measurements of the dysfunction rate in visual perception tasks performed by a highrisk child population<sup>20,21</sup>. Abnormalities of visual perception may, therefore, be considered as endophenotypes of schizophrenia<sup>22,23</sup>.

The incidence of disruption of visual perception in schizophrenia patients is high, ranging between 40 and 62%<sup>24</sup>, and has been described in the prodromal stage of the disorder<sup>25</sup>. Current views attribute impaired efficiency/functionality in visual perception processing in schizophrenia patients mainly to dopaminergic modulation of the incoming signal. This modulation is related to gain control and its subsequent integration into visual processing<sup>26</sup>.

One characteristic impairment is instability in the ability to process low spatial frequency (LSF) information from a visual scene. LSF information is rapidly extracted from a visual stimulus and provides general information about the shape and orientation of objects in a visual scene. Top-down prediction, which affects our visual attention and higher brain functions related to visual cognition, is then formed based on these LSF data<sup>27-30</sup>. In earlystage and untreated first-episode patients, hypersensitivity is often encountered, which eventually progresses to hyposensitivity, which also begins to extend to other frequencies of the visual scene<sup>26,31,32</sup>. The impairment of sensitivity to spatial frequencies is not limited to LSFs, however, and as the disease progresses, it begins to manifest in the middle and high spatial frequencies as well. LSFs probably occupy a specific place within visual information processing<sup>28</sup>.

One of the main consequences of the disruption of this process is a disorder of attention and the inability to integrate salient percepts into the stream of consciousness<sup>33,34</sup>. In schizophrenia, the occurrence of brain activation abnormalities (both hyper- and hypoactivations) in visual tasks has been described in temporal<sup>35</sup>, occipital<sup>36,37</sup>, parietal, and prefrontal<sup>38,39</sup> areas, depending on specific experimental tasks. These tasks reflect both the disruption of the mechanisms of basal visual perception based on incorrect processing of visual stimuli (bottom-up)<sup>7,13,37,40</sup> and the disruption of higher visual cognition based on the processing of visual stimuli influenced and orchestrated by previous experience (top-down/ feedforward sweep)<sup>6,41–44</sup>. Errors in precortical areas of visual processing (the retina, optic nerve, thalamus) cause subsequent errors in higher cognitive processes. The decrease in the information flow in precortical visual pathways probably leads to a distorted condition where the brain evaluates and models a situation based on incomplete or incorrect input signals and is not able to properly modulate and integrate them into consciousness<sup>5,45,46</sup>. A low signal-to-noise ratio<sup>47</sup> in particular results in an increased level of vagueness related to the nature of a percept/ signal, leading to a disruption of the decision-making process<sup>48</sup>. This leads to compensation effects in the form of overlapping receptive fields of retinal cells, inhibition of visual information

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preprocessing caused by a higher number of errors, and excessive amplification of sensoric and noise signals<sup>49</sup>. This pathological process may also be facilitated by dopamine (DA)<sup>50</sup>, acetylcholine (ACh)<sup>51</sup>, and glutamate<sup>52</sup> dysregulation modifying the electro-physiological response to stimuli.

Top-down modulation of visual perception is provided via several complementary mechanisms and, given the fact that the quality of visual perception affects higher cognitive functions, impairments in all of the modulatory mechanisms may give rise to various cognitive schizophrenia symptoms apparent in tasks challenging attention, working memory, or associative and executive functions. Attention and working memory are a basis for higher cognitive functions, such as associative and executive functions, and, vice versa, executive functions control attention and working memory in a feedback loop<sup>53–55</sup>.

Top-down control, as well as bottom-up processing of visual perception, may be disturbed by alterations in cortical and subcortical brain regions as documented in schizophrenia patients and also supported by animal models. In postmortem and brain imaging studies of schizophrenia patients, abnormalities including enlarged lateral ventricles and reductions in gray and white matter in subcortical and cortical regions were observed<sup>56-58</sup>. In rodent animal models, schizophrenia-like symptoms after lesions in the prefrontal cortex (PFC), ventral hippocampus (homological to the anterior hippocampus in humans), amygdala, or nucleus accumbens have been documented. Interestingly, these lesions resulted in altered connectivity or neurochemistry of the limbic circuit or modifications to the cytoarchitecture of the PFC<sup>59-63</sup>. Together, the aberrant early stages of visual processing represent the candidate mechanism for explaining the development of core schizophrenia symptoms.

The aims of this article are to: (1) summarize the current knowledge of visual perception impairment in schizophrenia patients on each level of the precortical visual signal processing pathway (the retina, optic nerve, LGN) and the effect of such impairments on visual perception, (2) compare pathophysiological alterations of the visual precortical pathway with cortical pathological changes documented in schizophrenia patients, and (3) propose a new context of schizophrenia symptoms stemming from the pathophysiology of the visual signal processing.

# RETINA

Information about the external environment enters the visual system through the retina, where the early phases of input signal processing and transformation take place. The input signal is modulated by more than twenty types of ganglion cells responsible for converting visual information into an electro-chemical signal, the characteristics of which correspond to various attributes of a visual percept<sup>64</sup>.

Abnormalities in retinal electrophysiological responses to stimulation by light<sup>65</sup>, morphological alteration of retinal structure<sup>66</sup>, and alterations of retinal metabolic processes<sup>67</sup> may be found in schizophrenia patients. Although visual perception is one of the most intensively studied and well-understood fields of neuroscience, reports on the topic of retinal structure and function comprise only 2% of all studies of visual perception in schizophrenia<sup>49</sup>. At the same time, it is a malfunction of the retina that most often leads to lower sensitivity to contrast and to high spatial frequencies of an image stimulus<sup>68-70</sup>, distortion of color perception, reading issues<sup>71,72</sup>, and some types of visual distortion and hallucinations<sup>73–75</sup> in schizophrenia patients. However, visual hallucinations, in particular, are a relatively rare symptom of schizophrenia and only occasionally appear alone; they are more often accompanied by hallucinations of other modalities<sup>76</sup>. This fact may suggest a common pathophysiological mechanism underlying hallucinations of visual and other

perceptual domains. In comparison with only unimodal hallucinations, a combination of visual and auditory hallucinations is associated with an increased severity of gray matter volume (GMV) reduction. The reduction in GMV in first-episode patients with combined visual and auditory hallucinations is especially prominent in the occipital cortex and frontoparietal areas<sup>77,78</sup>. Interestingly, the severity of GMV reduction in certain areas is accompanied by increased functional connectivity and is related to the severity of both visual and auditory hallucinations. The mechanisms linking hallucinations and GMV reduction are yet to be discovered<sup>77-79</sup>. Congruently, the expression of auditory hallucinations alone is also related to more severe impairments already present in the retina<sup>78</sup>. Together, studies focused on the interconnection between visual pathological phenomena (such as visual hallucinations and illusions) and their relationship to abnormalities in other perceptual modalities may, therefore, help to reveal the basic mechanism related to schizophrenia development in general.

### Morphological and pathophysiological changes in the retina

In vivo studies using ocular coherence tomography (OCT) have confirmed changes in the retinal structure. The majority of studies have focused on the atrophy of retinal nerve fibers (RNFL) representing a decline in ganglion cell axons and the overall thinning of the macula<sup>80</sup>. Thinning of the inner plexiform layer and the inner nuclear layer (Fig. 1) in schizophrenia has also been reported<sup>81</sup>. Interestingly, thinning of the retina in the foveal, nasal, parafoveal, and temporal-parafoveal regions of the macula as well as a reduction in the outer nuclear and inner plexiform layers (Fig. 1) have been related to negative symptom severity (a negative score on the Positive and Negative Syndrome Scale negative subscale) and selective deficit to LSF contrast sensitivity<sup>70</sup>. In addition, the loss of ganglion cells in the temporal parafoveal region of the retina was associated with magnocellular ganglion cell loss throughout the disease progression<sup>70</sup>.

The currently open question is whether structural and functional alterations to the retina occur due to trans-synaptic retrograde degeneration originating from the regional pathology at the higher steps of visual pathway or vice versa.

Recent studies have shown that in the early stages of schizophrenia, there was a loss of GMV in the thalamus<sup>56</sup>. As the schizophrenia progresses, GMV loss expanded to the frontal lobes and then to the temporal lobes, occipital cortex, and cerebel-lum<sup>58,82</sup>. These studies thus suggest a retrograde nature to the retinal ganglion cell (RGC) volume loss process. When the thalamic volume decreases, it causes a loss of connectivity for RGC axons and thus their subsequent inflammation. OCT studies have shown that atrophy of ganglion cell axons and thinning of the macula manifest mostly during the chronic and long-term chronic phases of schizophrenia<sup>83,84</sup>, which would also indicate that a retrograde origin for retinal cell degeneration is more likely than an anterograde origin is.

Without further studies, however, we cannot rule out an anterograde nature to the process, which can be started by dysregulation of DA<sup>66,80</sup> and glutamate transmission<sup>85</sup>. In pathological cases, both of these transmitters are capable of causing retinal atrophy and a loss of axons in specific retinal layers. This loss is thought to be caused by over-stimulation of the N-methyl-D-aspartate receptor (NMDAr). This results in an increase in the intracellular Ca<sup>2+</sup> concentration in RGCs, resulting in excitotoxic damage as seen in other compartments of the central nervous system (CNS)<sup>86,87</sup>. Congruently, activation of GABA interneurons by nitric oxide has been proposed as a preventive mechanism of excitotoxic degeneration and a mutation of nitric oxide synthase was identified as one of the genetic risk factors of schizophrenia<sup>88</sup>.

Thinning of the retinal layers may also be related to abnormalities in blood supply. Recent studies using OCT

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Fig. 1 Retinal layers. The composition of the individual layers of the retina in the area of the optic nerve. NFL nerve fiber layer, GCL ganglion cell layer, IPL inner plexiform layer, INL inner nuclear layer, OPL outer plexiform layer, ONL outer nuclear layer, ELM external limiting membrane, IS/OS rod and cone inner and outer segments, RPS retinal pigment epithelium. Redrawn from retinareference.com.



**Fig. 2** Schema of ERG signal from retinal cells. An illustration of the retina (left) and a representative ERG comparing HCs and schizophrenia patients (right). In the dark-adapted retina, a light stimulus elicits a presynaptic response from photoreceptor cells, represented by the downward-deflecting a-wave. The subsequent postsynaptic response, mediated largely by bipolar and Müller cells, produces the b-wave. The a-wave amplitude (measured from the baseline to the trough of the a-wave) depends on the intensity of the light stimulus and the integrity of the photoreceptors. The b-wave amplitude (measured from the trough of the a-wave to the peak of the b-wave) depends on the a-wave and the integrity of signal transmission within the retina. Redrawn from Hanjin Deivasse web illustration.

angiography have demonstrated changes in retinal microvasculature in terms of both reduced perfusion and vessel density. These abnormalities are mainly associated with RNFL thinning (see above)<sup>89</sup>. Previous studies also observed changes in retinal venules, which dilate mainly due to chronic retinal hypoxia<sup>90–92</sup>. However, a similar effect on small vein widening was also observed as a result of an increased concentration of retinal DA<sup>93</sup>.

If we were able to understand the retrograde or anterograde origin of the onset of morphological changes, it would be possible to target therapy specifically to these sites, thereby slowing or stopping the degradation of individual cell populations of the retina, LGN, and optic nerve.

# Changes in the electrophysiology of retinal cells

Morphological and biochemical changes in the retina of schizophrenia patients are accompanied by alteration in the electrophysiological response of individual retinal cells to light stimulation. Abnormalities in sensitivity to certain wavelengths, frequencies, and intensities of light during stimulation were recorded by electroretinography (ERG)<sup>65</sup>. These abnormalities manifest as changes in amplitude and a delayed onset of the electrophysiological response to a light stimulus (latency), but also in the structure of the a- and b-waves (Fig. 2)<sup>94</sup>. The largest ERG study of psychiatric disorders performed to-date (150 schizophrenia patients, 150 patients with bipolar disorder, and 200 healthy

control subjects [HCs]) showed a reduction in amplitude of the a-wave and a later onset of the b-wave during stimulation focused on the electrophysiological response of cone cells in both patient groups<sup>95</sup>. In contrast, a reduction in b-wave amplitude, which was produced by a simultaneous response by Müller glia, responsible for the capture of neurotransmitters from intercellular space and the regulation of potassium concentration, and bipolar cells, which provide the connection between the inner and outer plexiform layer in the retina, was observed only in the schizophrenia patients. A decrease in the amplitudes of the aand b-waves during stimulation aimed at the combined electrophysiological response of both rods and cones was also found in both patient groups. The study authors presumed that aberrations in the b-wave latency and amplitude may be considered an early and very specific biomarker of schizophrenia. Conversely, a decrease in the a-wave amplitude may plausibly be connected only to the acute phase of the disorder, as after an eight-week treatment no significant differences were observed between the schizophrenia patients and HCs<sup>96</sup>.

# Biochemistry of the retina

Changes in the pathophysiology of the retina in the schizophrenia population are accompanied by biochemical changes, which stem from an imbalance in excitatory and inhibitory neurotransmission. as outlined above. One of the most important catecholaminergic neuromodulators reaching the highest local concentration within the primary visual system in the retina is DA<sup>73,86</sup>. DA is one of the main neuromodulators in the mammalian brain and is considered, within the scope of the theoretical model of schizophrenia, one of the principal mediators of positive symptoms via the dopaminergic mesolimbic pathway, as well as negative symptoms via the mesocortical pathway<sup>97</sup>. Moreover, dopaminergic substances such as cocaine and amphetamine induce or trigger psychosis<sup>86</sup>. The concentration of retinal DA is not constant and is affected by various factors such as circadian rhythms and age<sup>98</sup>. Animal studies have confirmed that the concentration of retinal DA is regulated via stimulation of the hypothalamus, followed by activation of retinopetal neurons, which release histamine. The axons of these neurons run through the optic nerve to the boundary of the inner plexiform and nuclear layers. Here, the release rate of intercellular histamine, which binds itself to the D1R receptors of DA-releasing amacrine cells, may be increased<sup>99,100</sup>. However, there is only indirect evidence of this mechanism in humans. Recent methods only enable modulation, the ERG curve of the b-wave via positive stimulation through food (hypothalamus activation) or by administering the DA agonist methylphenidate<sup>101</sup>

The majority of dopaminergic cells are located between the inner nuclear and plexiform layers of the retina<sup>102</sup>. They respond to DA through the metabotropic  $G_s D_1$  receptors located at the membrane of bipolar, horizontal, amacrine, and ganglion cells<sup>103</sup> and via metabotropic  $G_i D_2$  receptors at the membranes of both rods and cones (Fig. 3).  $D_2$  receptors are also present at DA-releasing All amacrine cells.  $D_2$  on these cells function as autoreceptors regulating the release of DA<sup>104–106</sup>.

Extracellular DA modulates the degree of excitability of retinal cells directly and indirectly. The direct connection functions via synaptic or volume transmission and bonding to  $D_1$  and  $D_2$  receptors. DA indirectly affects retinal cells in multiple ways: (1) It alters the probability of opening/closing membrane ion channels, but also the length and frequency of the opening/closing<sup>107</sup>. (2) It regulates the excitability of the horizontal intercellular gap junction in the inner plexiform layer, where a decrease in the DA concentration increases the permeability of the excitability of metabotropic ON-center bipolar cells (BCs)<sup>108</sup> (Fig. 3). Excited All amacrine cells have an inhibitive effect on ionotropic OFF-center



Fig. 3 Distribution of DA receptors in retinal cells. Schematic of the retinal circuitry with cell types expressing specific DA receptors in the retina. The DA receptors  $D_1R$ ,  $D_2R$ , and  $D_4R$  and  $D_2$ autoreceptors localized on various cell types are indicated in purple, green, yellow, and red. The dopaminergic amacrine cells (DACs; orange) stratify primarily in the outermost layers of the IPL and send axon-like dendritic projections to cone terminals in the OPL and to the inner layers of the IPL, where they contact All amacrine cells (ACs). Synaptic excitation and inhibition are illustrated by arrows and bar-line (green). Gap-junctions are shown as sawtooth symbols. The two concentric circles at the top represent OFF-center and ONcenter RGCs, which respond oppositely to light in the center and surroundings of their receptive fields. DAC: aopaminergic amacrine cell, HC horizontal cell, RBC rod bipolar cell, CBC cone bipolar cell, AC amacrine cell, RGC retinal ganglion cell, ipRGC intrinsically photosensitive RGC. Redrawn from Roy & Fieldl<sup>50</sup>

BCs (Fig. 3) and, therefore, suppress distortion and noise at the frequencies and intensities of action potentials, which are transferred to ganglion cells<sup>109</sup>. Conversely, increased DA concentrations inhibit horizontal communication and the permeability of gap junctions in All amacrine cells, while also altering the continuity of action potential changes in ON-center BCs<sup>106,110,111</sup>. This increases their sensitivity to local stimulation of a particular group of photoreceptors and inhibits peripheral stimulation. Fluctuations in extracellular DA concentrations also remodulate the nature of the signal exiting the RGCs and BCs and, therefore, affect the signal from ON- and OFF-centers of receptive fields<sup>11</sup> Long-term pathological changes in DA concentrations may lead to a loss of spatial vision and temporal sensitivity<sup>73</sup>. (3) DA modulates the response of retinal GABAc receptors, which participate in the communication between retinal cones and bipolar and horizontal cells-in other words, they modulate the intensity of the signal output from photoreceptive cells via the degree of membrane hyperpolarization, which regulates its excitability<sup>113</sup>.

Glutamate is the main excitatory neurotransmitter in the retina and the only output neurotransmitter of all photoreceptors<sup>114</sup>. Glutamate is released by photoreceptors during depolarization (the phase when photoreceptors are not stimulated by light) and an increase in its concentration affects ionotropic OFF-center BCs. Conversely, stimulation of photoreceptors by light is followed by hyperpolarization, the concentration of glutamate decreases, and metabotropic ON-center BCs are stimulated. The glutamatergic system is generally related to positive, negative<sup>70</sup>, and cognitive symptoms of schizophrenia<sup>83</sup> via hypofunction of NMDAr. Dysregulation of NMDA also leads to an increased release of DA<sup>115</sup>, which affects the extent of positive symptoms such as visual distortions, hallucinations, and altered performance in psychophysiological testing of visual perception<sup>116,117</sup>. Changes in visual perception are also connected to excitotoxic damage to photoreceptive cells and disrupt the perception of motion and high spatial frequencies of image stimuli<sup>70,87</sup>. Animal testing on rodents has shown that it is possible to reduce the ERG b-wave amplitude of Müller cells during artificially induced reduction of glutamate transmission via the glutamate aspartate transporter<sup>118</sup>.

The visual perception processes described above may be studied by administering agonists or antagonists of specific receptors. However, it is difficult to say if an observed effect occurs on the retina or downstream in the cascade of visual perception processes. Administering  $D_2$  antagonists (haloperidol, benzhexol, and fluspirilene) to schizophrenia patients for three weeks caused a decrease in sensitivity to contrast of visual stimulus compared with HCs. However, sensitivity was not decreased globally and depended on the stimulus orientation on the vertical or horizontal plane of the visual field<sup>73,119</sup>. Antipsychotics (trifluoperazine, fluphenazine, and haloperidol) also inhibited sensitivity to high and medium spatial frequencies of image stimuli. Conversely, the opposite effect was observed for LSFs<sup>68</sup>. In both cases, the physical saliency was affected. A general increase in sensitivity to contrast after dopaminergic stimulation via L-dopa was observed in both schizophrenia patients<sup>120</sup> and HCs<sup>121</sup>. A hyperdopaminergic state during early phases of schizophrenia is responsible for increased sensitivity to LSFs and related excessive excitation of ganglion cells, which constitute magnocellular pathways responsible for conducting a signal to the visual cortex<sup>122</sup>. It is plausible that all of the aforementioned effects are associated with the ability of DA to affect the size and sensitivity of retinal receptive fields for distinct spatial frequencies<sup>123</sup> via inhibition of the gap junction of retinal horizontal cells and reduction of the amplitude induced by light incident on the photoreceptors. Both of these effects would then have a physiological basis in dopaminergic mechanisms related to brightness adaptation<sup>73</sup>.

It is important to emphasize that the retina is considered a part of the CNS, as during embryonic development it originates from the same tissue as the brain and shares with it many biological processes, including the role of neurotransmitters and their receptors, lateral connectivity, and feedback mechanisms<sup>124</sup>. Therefore, changes in retinal function may be caused by schizophrenia itself, its course, and antipsychotic medication<sup>124,125</sup>. Some of the observed retinal dysfunctions may be related to other factors and comorbidities, such as systemic diseases (diabetes, hypertension), smoking, antipsychotic medication, drug abuse, sex, obesity, attention span, degree of arousal, and motivation, as they influence the retina via histaminergic and serotonergic inputs from brain regions<sup>49</sup>.

The pathology of retinal function may be generally characterized in two basic categories: (1) hypofunction caused by damaged retinal cells due to glutamate dysregulation, and (2) hyperfunction due to excessively high DA concentration. However, both of these effects cause a modulation of optosensoric signals, which are transferred further to higher levels of precortical and cortical visual processing circuits.

### Optic nerve and LGN

A small proportion of the optic fibers are diverted from the retina into the retinohypothalamic tract, which leads to the anterior hypothalamic nucleus. This connection affects pupilar dilation (sympathicus) and constriction (parasympathicus)<sup>126</sup>. However, up to 90% of the signal is passed through ganglion cell axons, forming three independent pathways (magno-, parvo-, and koniocellular) inside the optic nerve, into the optic chiasm, where some of the nerve fibers cross, and further into the LGN of the thalamus<sup>127</sup>. According to recent findings, the regulation, timing/ distribution, and strength of signal input from the retina into specific parts of the primary visual cortex (V1) occur within the LGN<sup>128</sup>. However, regulation of the output signal from the LGN is a very complex process regulated by several feedforward control mechanisms. The most prominent non-retinal inputs, which also react to the output signal from the LGN, are glutamatergic inputs from the cell of the VI layer of the V1 operating on both the ionotropic and metabotropic glutamate receptor pathways and directly affecting the depolarization of relay cell (RC) membranes in the LGN. In principle, the LGN consists of two cell classes. First, the glutamatergic RCs, which send axons to the visual cortex, and second the interneurons (INs), the axons of which remain in the LGN (Fig. 4a). The visual signal in the LGN is regulated by local inhibitory neurons and thalamic reticular nucleus (TRN) inhibitory neurons. These neurons are connected by feedforward and feedback circuits (Fig. 4a). The feedforward pathway consists of the classic triad synapse<sup>129</sup>. The afferent axons of the optic nerve connect to the dendrites of LGN INs and RCs. The INs and RCs then form a dendro-dendritic connection at the same synapse. In the



**Fig. 4 Structure of the LGN with three distinct layers.** Simplified diagram of visual thalamic circuitry and the LGN. **A** Diagram of feedforward and feedback inhibitory pathways that influence LGN RCs. Excitatory inputs are indicated in purple. Inhibitory inputs are indicated in black. TRN: thalamic reticular nucleus; LGN lateral geniculate nucleus; Int LGN interneuron. Modified from Casagrande & Xu<sup>129</sup>. **B** LGN diagram with ganglion cells type. RGCs retinal ganglion cells. Redrawn from Kim et al.<sup>141</sup>.

Table 1.         Morphological and functional characteristics of the visual pathway.							
Characteristic	Magnocellular	Parvocellular	Koniocellular				
Ultimate destination in the brain	Predominantly parietal lobe	Predominantly temporal lobe	Probably the V1				
Sensitivity to movement and flicker	Very sensitive	Insensitive	Not sufficiently described				
Spatial frequency summation	Non-linear	Linear	Linear				
Ability to resolve details	Good at resolving coarse detail	Good at resolving fine detail	Overlap of M and P cells				
Ability to detect contrast	Sensitive to low contrast objects	Sensitive to high contrast objects	Overlap of M and P cells				
Effect of blur	Relatively insensitive to blur	Greatly affected by blur	Not sufficiently described				
Area of visual field where most sensitive	Peripheral vision/ large	Central vision/ small	Not sufficiently described				
Ability to discriminate colors	Color insensitive	Color sensitive	Yellow and violet-blue sensitive				
Spatial frequency	Low	High	Overlap of M and P cells				
Temporal frequency	High	Low	Overlap of M and P cells				
Response latency	Short	Long	Medium				
Temporal resolution	Fast	Slow	Medium				
Dendritic field size (µm)	30–300 μm	10–100 μm	Not sufficiently described				

case of feedback, the TRN inhibitory neuron receives a signal from the axon of the RC. The TRN neuron sends an inhibitory connection back to terminate on the dendrite of the same RC (Fig. 4). Both of these inhibitory circuits also contribute to the character of the signal that is going through the RCs<sup>128,130</sup>.

Further modulation of the output signal comes from cholinergic endings innervating the INs and RCs of the LGN<sup>131</sup>. The contribution of cholinergic transmission to the overall nature of the signal emanating from the LGN is considerably complicated. This is because of the presence of slow metabotropic (M1) and fast ionotropic muscarinic ACh receptors in the membranes of RCs (in both cases, their activation leads to depolarization)<sup>132</sup>. Additional ACh M2 receptors are present in INs and TRN cells and their activity leads to hyperpolarization. Overall, however, we can say that ACh inputs to the LGN have an excitatory effect. RCs are depolarized and inhibitory feedforward and feedback circuits are blocked<sup>128</sup>.

In the case of DA, the density of dopaminergic innervation is lower in the LGN compared to the rest of the thalamus<sup>133</sup>. Animal studies have demonstrated the presence of D<sub>1</sub> and D<sub>2</sub> receptors in the membranes of LGN RCs. Stimulation of D<sub>1</sub> receptors led to inhibition of their excitability. Conversely, activation of D<sub>2</sub> receptors had an excitant effect on their glutamatergic synapses<sup>134</sup> and, therefore, the overall sensitivity to local contrasts within the framework of visual perception was increased<sup>128,135–137</sup>.

Like the retina and other parts of the visual system, the LGN shows the presence of receptive fields responding to specific aspects of the visual scene<sup>138</sup>. Recent studies have shown possible modulations of receptive fields based on feedback from the V1<sup>139</sup>. However, a key outstanding question, particularly for understanding visual impairment in schizophrenia, concerns the influence of monoamines and ACh on this modulation.

### Magnocellular, parvocellular, and koniocellular pathways

The morphological structure of the LGN is characteristically constituted of visible distinctive layers, which reflect the structure of the optic pathway. These layers are composed of three separate nervous pathways, which are divided into twelve layers (four dorsal parvocellular [PC], two ventral magnocellular [MC], and six koniocellular [KC] interlayers; Fig. 4). The individual retinal input pathways differ not only in the sensitivity of their cells to the spatial frequencies of an image, electromagnetic spectrum wavelengths, and contrast, but also their own physical morphology<sup>140</sup>.

The majority of the MC pathway consists of axons of parasol RGCs<sup>141</sup>. The primate retina is composed of two types of parasol

puncta: ON-parasol cells depolarizing when light strikes the center of their receptive field and OFF-parasol cells with the opposite reaction<sup>142</sup>. These cells have a larger dendritic field (30–300  $\mu$ m) compared to midget RGCs and their input signal is ca. 80% composed of amacrine cell activity with BCs contributing the remainder of the signal. MC pathways react to the velocity and direction of a moving object-its spatial localization. They are sensitive to low contrasts and LSFs. On the other hand, they have high temporal resolution. The proportion of the input signal from rods and cones to the MC pathways depends largely on the light conditions<sup>143</sup> (Table 1). They assist in stereopsis, depth perception, hyperacuity, and recognition of objects in a visual scene, including associations between them and separating individual objects from the background<sup>141,144</sup>. They play a central role in the perception of the overall organization of the stimulus<sup>145</sup>. MC pathways are highly myelinized and signal transmission to the visual cortex is considerably faster compared to the two other pathways. These pathways also play a key role in directing eye movements and in the coordination between our body and moving objects<sup>139</sup>

The signal passing through MC pathways is further processed and continues into specific areas of the V1. However, some recent studies have questioned the continuation of magnocellular pathways into the dorsal stream, based on the coactivation of ventral stream regions by low spatial frequencies in some specific visual tasks<sup>146,147</sup>.

PC pathways are predominantly composed of midget RGCs. These cells have small bodies and their dendritic branching is only about 5–10 µm in diameter in the central part of the retina (it can reach up to  $225 \,\mu\text{m}$  in the peripheral regions)<sup>141,148</sup>. This corresponds to smaller receptive fields. Midget cells are mainly localized in the central part of the retina and form a one-to-one connectivity with the midget BCs that receive the signal from the single cone<sup>149</sup>. As with parasol RGCs, midget RGCs have ON- and OFF-center types. PC pathways are sensitive to colors, high spatial frequencies, shape, and other details of objects in a visual scene (Table 1). Their speed of transferring nerve impulses and degree of myelinization are lower. The summation of their membrane potentials is linear with a low action potential velocity (Table 1). They are also able to react during the entire effect duration of a stimulus. PC pathways end mainly in the lower parts of the IVC layer V1 (IVC<sub>β</sub> and IVCctr). A smaller proportion of their endings are also located in the IVA layer (Fig. 5).

KC pathways are predominantly composed of axons of small bistratified RGCs<sup>150</sup>. These cells are assumed to have a supportive function for color vision with low spatial resolution<sup>141</sup>. Animal studies performed on primates showed the reaction of some KC cell groups within the LGN to chromatic stimuli, violet-blue



**Fig. 5** Visual pathways and brain streams. A The ventral (purple) and dorsal (yellow) streams of visual information processing. **B** A detailed scheme of signal distribution from PC, MC, and KC visual pathways to the LGN and further to the primary (V1) and secondary (V2) visual cortex and subsequently to the dorsal or ventral stream. Redrawn from Casagrande & Xu<sup>129</sup>.

(400–470 nm) and yellow (600 nm) wavelengths, and brightness<sup>151–153</sup>. KC pathways end in cytochrome oxidase blobs contained in the I, III, and IVa V1 layers. Some parts of these blobs are also present in the structure of the VI layer of the V1. However, the exact function of the KC interlayers in humans is not yet fully understood<sup>154</sup>.

It is useful to recall that the size of the receptive field plays an important role in sensitivity to specific frequencies of image perception<sup>155,156</sup>. This sensitivity is to some extent determined by the specific morphology of each class of RGCs, specifically by the size of their dendritic field. In normal physiological conditions, receptive fields are fine-tuned by DA, which allows adaptation to the specific light conditions of the surrounding world<sup>106,157</sup>. Future research should answer the question of how much DA and retinal morphological changes in schizophrenia patients alters the sensitivity of the human receptive field and how these changes affect the spatial integration of visual perception in higher precortical and cortical areas.

# CORTICAL INTEGRATION AND PROCESSING OF VISUAL STIMULI

Earlier studies pointed to the central role of MC pathways in the disruption of visual perception in the schizophrenia population, predominantly based on reduced contrast sensitivity at LSFs<sup>6,8,13,45</sup>. This approach was later criticized for several reasons<sup>32</sup>, in particular, the uncertainty that the stimuli used in the studies really activated only the MC pathways. Another point was the lack of distinction between the subcortical MC pathway and the cortical dorsal stream (Fig. 5)<sup>26,146</sup>. Thus, the overall disruption of visual stimulus integration in the cortical areas is currently attributed to gain control mechanisms<sup>112</sup>. The latter at the molecular level is related to the ability of signal integration on pyramidal neurons and its modulation within the feedback and feedforward circuit. The core modulator in this case is thought to be DA<sup>31</sup>. DA at the cell body increased the influence of bottom-up inputs through a combination of augmenting a slow, depolarizing influence (Na+) and decreasing a slow, hyperpolarizing current (K+)<sup>31,158</sup>

In general, visual processing consists of a set of mechanisms optimizing perception of visual information further utilized in goal-directed behavior. The quality of the perceived information is controlled from both directions, ascendentally (bottom-up) and descendentally (top-down). Throughout visual processing, the gain of information is controlled precisely and the information from the lower levels of the visual system is integrated on every level of processing<sup>6</sup>. Precortical processing is performed during the course of projection from the retina to the V1 and in subcortical circuitries participating in higher cortical processing. Subcortical structures cooperating on higher processing include higher order thalamic nuclei (pulvinar, mediodorsal), the basal ganglia, and the amygdala. Higher order thalamic nuclei participate in the integration of cortical information and the reconnection of distinct cortical compartments or working memory<sup>159,160</sup>; the basal ganglia contribute to filtration of information, working memory, and attention<sup>161</sup>; and the amygdala cooperates on information contextual analysis or shifting visual attention towards emotional stimuli<sup>162</sup>. In addition, the thalamic function is strongly influenced by monoamines and ACh<sup>128</sup>. These neuromodulators amplify the bottom-up and top-down signal-tonoise ratio<sup>163</sup>.

Top-down control is assumed to be initiated by approximate information about an object carried rapidly via MC pathways to the visual cortex and through the dorsal stream to the PFC. Complementary to that, the PC pathway carries more detailed information about visual stimuli in a slower manner to complete and specify the image<sup>164,165</sup>. The two pathways cooperate and coordinate with each other<sup>166</sup>. The theory of a direct pathway from early visual areas to the PFC corresponds to immediate reactivity of PFC areas to visual stimuli. Together with early visual areas, the caudal middle frontal cortex was activated. This cortex includes the frontal eye field (FEF), orbitofrontal cortex (OFC), and ventromedial PFC<sup>167</sup>. Some studies have proposed a direct connection of the MC pathway to the lateral PFC<sup>164</sup>. As the brain structure responsible for executive functions, planning, and making decisions, the PFC analyzes the inner and outer contexts of information and provides top-down control over other brain areas and neural networks and their synchronization<sup>168</sup>. The FEF controls saccades and was shown to have a direct projection to the V4<sup>169,170</sup>. The OFC and ventromedial PFC connect with the amygdala and process emotional stimuli. The FEF, OFC, lateral PFC, and ventromedial PFC all have connections to the inferior temporal cortex (IT), a key area for integration, semantic memory, and recognition<sup>171</sup>. The PFC is assumed to project the information received from the MC pathway to the IT, which afterwards categorizes the approximate information and projects the integrated information back to the occipital cortex to sharpen the attention and acquire the most relevant information about the object of observation. fMRI studies focusing on the perception of visual illusions have shown impaired top-down processing (in the



**Fig. 6** Aberrant signal propagation and subsequent physiological changes. This simplified scheme illustrates the hypothesis of the early spread of an aberrant signal within the visual circuit and the physiological changes associated with it. **1** In the early stages of the disease, an aberrant signal is formed on the retina and further propagates within the precortical and cortical visual circuit. **2a/b** The first areas that are likely to fail to adapt to the unstable signal and where there is GMV thinning are the thalamus and areas of the frontal lobe. **3a/b** From there, the pathophysiological changes spread into the lower visual processing areas (**4** and **5**). SPL superior parietal lobule, IPL inferior parietal lobule, V5/MT middle temporal visual area, IT inferior temporal cortex, V4 visual area 4, V2 secondary visual cortex, LGN lateral geniculate nucleus.

frontoparietal network) in patients with schizophrenia and a predominant emphasis on the integration of bottom-up sensory stimuli<sup>26,38,172</sup>.

The process of integrating visual information consists of excitatory and inhibitory projections, when the purpose is usually to enhance perception about the object of interest while simultaneously suppressing perception of the surroundings. Nonetheless, the surroundings can have a major impact on the accuracy of object identification<sup>173</sup>. Higher processing of visual information includes executive functions, in which we see impairment in schizophrenia patients, such as working memory<sup>174</sup>, long-term memory and learning<sup>175</sup>, object<sup>176</sup> or facial recognition<sup>177</sup>, and context integration<sup>26</sup>.

Abnormalities in higher cognitive processing lead to the creation of an abnormal perception of surrounding reality, which in turn supports abnormal perception in a positive feedback loop. Imbalance in bottom-up and top-down visual processing affects selective attention, visual working memory, object and facial recognition, and memorization of visual information<sup>164,178,179</sup>. In schizophrenia, imbalances in bottom-up and top-down processing create conditions for symptoms consisting of visual distortions, alternated perceptions of illusions, visual hallucinations, and cognitive impairments including social cognition<sup>180,181</sup>. It is possible to start considering the connection between perceptual disorders and cognitive dysfunctions or specifically to consider cognitive dysfunction as a consequence of long-term imbalances in the signal-to-noise ratio of sensory modalities of visual perception.

### Instability of the inner world model as a schizophrenia trigger

Visual perception, the dominant source of information in the development of our inner world model, modulates our experience of reality. The disruption of visual perception modalities in schizophrenia may contribute to the development of an incorrect model of reality<sup>182</sup>, which further accelerates the development of the disease itself.

As mentioned above, schizophrenia is characterized by instability in the input visual signal, with hypersensitivity to LSF in the early stages of the disease (before and during the first episode). It is followed by a progression to hyposensitivity and affects other frequencies in the visual field. The instability of the input signal (bottom-up) then leads to biased prediction models; more precisely, the unstable signal-to-noise ratio does not allow the creation of a stable/dominant model that would adjust intrinsic reality predictions and contextual modulation.

The most probably scenario is as follows: The long unstable and noisy signal from the visual periphery is transmitted to other areas within the precortical circuit. These areas modify the primary noisy signal and abstract the outputs for higher cortical areas. In cortical pyramidal neurons (PNs), further contextual modulation/abstraction of the signal occurs<sup>31</sup> in terms of suppression, amplification, or synchronization<sup>26</sup>. Higher cortical areas, led by the PFC<sup>183</sup>, make predictions based on this signal<sup>183</sup>. However, the formation of longterm stable predictions is suppressed by variable and unstable noise from lower areas of the perceptual cascade. We speculate that the demanding process of adaptation to this noise signal in higher cortical areas may in the long run lead to a neurotoxic process connected to reduced connectivity among PNs, preventing the formation of stable representations. Gray matter reduction, which is strongly associated with schizophrenia, is attributed to an overall reduction in the number of synapses on the PNs<sup>184</sup>. These changes may then propagate back to lower stages in the perceptual cascade, adding new noise to the already noisy signal. This time, however, due to the reduced synaptic connectivity (Fig. 6).

The loss of neural connections in the PFC is also influenced by genetic factors such as gene expression in inhibitory GABAergic INs. Suppression of GABAmergic INs leads to a decrease in gamma synchrony affecting synapse formation and stability<sup>184,185</sup>. Thus, in schizophrenia patients, reduced connectivity in the cortex could be compounded by the addition of an unstable signal from the periphery that forces a new network modulation based on its instability.

Based on these considerations, we hypothesize that increased noise in the signal from the sensory periphery may serve as a trigger mechanism for the development of schizophrenia. This assumption is indirectly supported by the probable protective effect of congenital blindness or early cortical blindness in the high-risk population<sup>186,187</sup>, even after taking the low incidence of these health conditions in the general population into consideration<sup>46,186–188</sup>. There are no known schizophrenia cases in people who have

suffered congenital blindness or lost vision at a very early age. Traditionally, there have been three main hypotheses<sup>46,189</sup> attempting to explain this phenomenon: (1) Blindness eliminates abnormal visual percepts, which are able to disrupt visual perception and, therefore, mental models of the world created on its basis. (2) Visual impairment can improve some aspects of sensomotoric, olfactory, and auditory cognition-the modalities of perception that are disrupted by schizophrenia—and this causes a compensation effect. This effect can protect against schizophrenia only if the vision loss occurs within the first year of life. (3) Congenital blindness is also connected to a reduction in language flexibility and dynamic representation of the body, which probably provides a protective effect regarding the experience of the self. We propose a new (fourth) hypothesis that the blindness-mediated suppression of aberrant visual signals from the sensory periphery prevents the amplification of network instability in higher cortical areas.

### CONCLUSION

The disruption of the early stages of visual processing and related mechanisms of higher visual cognition in schizophrenia patients has been described repeatedly. The incorrect integration of visual information occurs even in the early phase of visual perception. Visual information is subsequently coded into a specific pattern of neuronal signal. The disruption is detectable in both the retina and other segments of the visual cascade, such as the optic nerve, the LGN, and the V1.

In the early stages of the disease, and in untreated patients, hypersensitivity to LSFs has been documented. During the further course (and medication) of schizophrenia, this hypersensitivity turns into hyposensitivity and begins to affect other spatial frequencies of visual perception. Alterations to the visual signal, which are largely inconsistent over the course of schizophrenia (remission and relapse phases), may lead to the formation of inconsistent internal models of the world. These signal alterations (noise-to-signal ratios) are associated with fluctuations in DA and ACh levels, decreased activity of inhibitory GABAergic INs, and hypofunction of NMDAr associated with gradual loss of cell populations in the precortical visual circuit. The volatile and noisy signal from the periphery may then act as an amplifier of primarily decreased connectivity within frontal areas, which may then prograde retrogradely to lower cortical areas of the visual information processing circuit.

This assumption opens several important questions to be addressed in future studies. First, the role of disruptions in visual signal integration in the interactions between different regions of the precortical and cortical circuit should be elucidated. Second, the influence of error generation in regions of upstream visual pathways on the overall interaction among them should clarify the aberrant processing of visual information. Importantly, these errors could be cumulative, compensatory, or both. Third, the association between unstable signal from the visual periphery and gray matter loss in cortical areas should be verified. Answering these questions could identify novel possibilities for the treatment and remediation of schizophrenia. For example, specific modulation of the visual scene (noise, contrast, etc.) could be used to improve its integration within visual processing in schizophrenia patients or high-risk subjects by compensating for the initial steps of the pathophysiological cascade.

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# AUTHOR CONTRIBUTIONS

P. A. and J.H. conceptualized the paper. P.A., J.H., and V.L. drafted the manuscript. All authors revised and agreed upon the final version of the manuscript.

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The authors declare no competing interests.

### ADDITIONAL INFORMATION

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# ARTICLE OPEN The Gaze of Schizophrenia Patients Captured by Bottom-up Saliency

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Schizophrenia (SCHZ) notably impacts various human perceptual modalities, including vision. Prior research has identified marked abnormalities in perceptual organization in SCHZ, predominantly attributed to deficits in bottom-up processing. Our study introduces a novel paradigm to differentiate the roles of top-down and bottom-up processes in visual perception in SCHZ. We analysed eye-tracking fixation ground truth maps from 28 SCHZ patients and 25 healthy controls (HC), comparing these with two mathematical models of visual saliency: one bottom-up, based on the physical attributes of images, and the other top-down, incorporating machine learning. While the bottom-up (GBVS) model revealed no significant overall differences between groups (beta = 0.01, p = 0.281, with a marginal increase in SCHZ patients), it did show enhanced performance by SCHZ patients with highly salient images. Conversely, the top-down (EML-Net) model indicated no general group difference (beta = -0.03, p = 0.206, lower in SCHZ patients) but highlighted significantly reduced performance in SCHZ patients for images depicting social interactions (beta = -0.06, p < 0.001). Over time, the disparity between the groups diminished for both models. The previously reported bottom-up bias in SCHZ patients was apparent only during the initial stages of visual exploration and corresponded with progressively shorter fixation durations in this group. Our research proposes an innovative approach to understanding early visual information processing in SCHZ patients, shedding light on the interplay between bottom-up perception and top-down cognition.

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## INTRODUCTION

Schizophrenia (SCHZ) is typically associated with deficits in domains related to information processing, such as perception, attention, working memory, and learning<sup>1</sup>. All these domains likely have one common denominator: impaired salience, the property by which something stands out from surrounding context. Salience is typically regarded as having two components: physical and cognitive salience. Physical salience refers to the aspects of a stimulus that automatically capture attention or direct gaze in a stimulus-driven, goal-independent, or bottom-up manner<sup>2</sup>. In contrast, cognitive salience is task-oriented, influenced by tasks assigned by external sources or driven by one's current internal goals<sup>3</sup>. Disruption of physical salience, which is based on sensory sensitivity to external stimuli, may impede the formation of cognitive salience-related associations. This means that it can affect our ability to attribute meaning to individual stimuli from the external environment<sup>4</sup>. Kapur proposed that dysregulated, hyperdopaminergic states at the cellular level may lead to the attribution of aberrant salience to individual experiences at the psychological experiential level<sup>5</sup>. However, salience formation is a complex, long-term process that reflects our internal model of the world, which may not be stable in SCHZ due to distortions and instability of sensory signals<sup>6</sup>.

Vision is our most developed sense<sup>7,8</sup> and unsurprisingly a substantial amount of brain processing is devoted to it, with over half the primate brain being involved in vision-related processing<sup>9</sup>. Due to the limited computational capacity of the visual cortex<sup>10</sup>, it is critical to correctly cluster visual percepts according to a

hierarchy of importance. The internal model of the world is derived from the combination of neural filters and cognitive signals that gradually calibrate them. This mechanism allows the brain to process visual signals efficiently and to focus its limited computational capacity and attention only on those parts of the scene that are subconsciously assessed as important<sup>11,12</sup>. Computational capacity limits are mainly related to the physiological aspects of the neurons themselves and the functional circuits sensitive to the different elements of the visual scene<sup>13,14</sup>. The brain solves this limited capacity for attention allocation through prediction mechanisms<sup>15</sup>. The perceptual onset is preceded by a quick subliminal observation of the scene (bottom-up), which is based on its physical saliency (contrast, brightness, and low spatial frequencies). This observation helps us quickly orient ourselves and focus our attention in the next step, in which higher (topdown) cognitive processes come into play. These processes are related to the cognitive saliency formed by our internal model of the world<sup>6,16</sup>. Low spatial frequency (LSF) information is swiftly extracted from visual stimuli and conveys general details about the shape and orientation of objects within a scene. This LSF information subsequently contributes to the formation of topdown predictions, influencing visual attention and higher-level cognitive processes related to visual perception<sup>16-19</sup>. A primary outcome resulting from the disruption of this process is a disorder of attentional capacity and the inability to rapidly incorporate salient percepts into the stream of consciousness<sup>20,21</sup>.

In SCHZ, previous findings indicated a disruption in both types of processing: basal visual perception based on incorrect

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processing of visual stimuli (bottom-up)<sup>22–25</sup>, and impairment of higher visual cognition based on the processing of visual stimuli influenced and orchestrated by previous experience (top-down/feedforward sweep)<sup>26–34</sup>. The stimuli used in these experiments are typically designed based on the research question being addressed. Bottom-up experiments predominantly work with elementary stimuli, such as basic line figures<sup>35</sup>, Gabor patterns<sup>29,36</sup>, and pop-out structures<sup>37</sup>, while top-down experiments use different types of visual illusions<sup>33,38</sup> or faces<sup>39</sup>. However, this approach falls short in providing a comprehensive mapping of the interplay between bottom-up and top-down processes during complex visual processing in everyday environments. It also lacks the capability to conclusively ascertain how deficits in bottom-up processing influence the perception, cognition and formation of aberrant saliency of complex real-life scenes in SCHZ population.

To address this knowledge gap, we attempt to identify differences between both groups by using recent saliency "bottom-up" and "top-down" predictive models<sup>40,41</sup>, with the former relying solely on physical visual properties and the latter additionally incorporating object recognition. Attention allocation has been intensively investigated through saliency models using "saliency maps"<sup>42-44</sup>, a computational concept that predicts graded saliency for each location of an image based on its lowlevel visual features, and thus predicts bottom-up attention<sup>45</sup>. It includes three components: (1) feature maps that represent fundamental visual characteristics such as color, orientation, luminance, and motion; (2) saliency maps resulting from combining normalized feature maps that highlight the visually significant areas in an image, solely based on their physical attributes, without taking into account any semantic features of the stimulus; (3) the "ground truth maps" representing the saliency maps derived from the real eye-tracking data capturing viewer attention allocation to specific regions of the image. The efficacy of saliency model predictions is then evaluated through its comparison with ground truth maps. In previous studies, saliency models have even been employed to analyze brain activity in response to visual stimuli, with distinct brain areas linked to the 'saliency map' generated by a saliency model<sup>46,47</sup>.

Recent technological advances in the field of machine learning have enabled the incorporation of additional convolutional neural network (CNN) layers to original bottom-up models. These added CNN layers reflect top-down cognition, which is involved in analysis and categorization of specific semantic content of a scene (e.g., objects, faces, emotions)<sup>48–51</sup>. However, it is important to emphasize that such models are not solely based on top-down cognition; they still incorporate the bottom-up layer within their computations. In this paper, for the sake of simplicity, we refer to such models as "top-down" because, unlike bottom-up models, they have the capability to suppress the bottom-up component in favour of top-down processing<sup>52,53</sup>.

We utilized these two models to determine the likelihood of an observer directing their attention to specific areas within the scene. We expect that analyzing ground truth maps derived from eye-tracking data of individuals with schizophrenia (SCHZ) and healthy controls (HCs), and comparing these with mathematically predicted saliency, will provide deeper insights into the similarities and differences in bottom-up and top-down visual processing between these two groups. We hypothesized that SCHZ patients' attention is influenced more by the physical properties of the image than HC's attention. This suggests a tendency to prioritize highly physically salient percepts in the scene more than HC<sup>54-57</sup>, likely reflecting the disruption of higher cortical processes consistently found across studies and resulting in the expected lower predictive ability of the top-down model in SCHZ patients<sup>58-60</sup>. In this paper, we employ the term "bottom-up bias" to denote a tendency to prioritize bottom-up signal over topdown processing<sup>61</sup>.

To investigate the 'bottom-up bias' in schizophrenia (SCHZ), our approach involved a multi-faceted comparison using saliency models across both SCHZ patients and HCs. Initially, we compared the overall results of these models between the two groups. Furthermore, our analysis extended to assessing the performance of the saliency models across five specific content-based categories, each inherently linked to either bottom-up or topdown processing. This nuanced categorization allowed us to parse the visual processing mechanisms more precisely and understand how each model interprets different types of visual stimuli in SCHZ and HCs. Subsequently, we integrated a stepwise analysis of two consecutive time periods in our study – the first encompassing up to five fixations, and the second starting from the sixth fixation. This sequential analysis was aimed to unravel the dynamics of visual perception in SCHZ. By examining these two distinct phases, we sought to identify and contrast the engagement of bottom-up and top-down components in the visual perception processing of both groups. Finally, to reveal confounding factors that might influence the results of the two saliency models, we decided to test the relationship of oculomotor movements with psychological metrics (Continuous Performance Test (CPT) and Positive and Negative Syndrome Scale (PANSS)), medication, disease duration, and the length of its untreated phase (DUP).

# RESULTS

### **Differences in the Performance of Saliency Models**

Comparison of saliency maps calculated for each participant (ground truth maps) to saliency predictions lead to 13,436 normalized scan path (NSS) values from 53 subjects (28 SCHZ, 25 HC). A direct nonstatistical comparison of the NSS scores between two saliency models showed that the bottom-up (GBVS) model was able to predict oculomotor behavior better in the SCHZ population (M = 1.43, SD = 0.58) than in HC (M = 1.35, SD = 0.51). In contrast, the top-down (EML-Net) model better predicted the distribution of fixations in HC (HC: M = 2.16, SD = 1.13) than SCHZ (SCHZ: M = 2.08, SD = 1.29). However, when we employed linear mixed effects models (LME) for statistical comparison, the analysis did not corroborate the differences observed in the direct, non-statistical comparison of NSS scores between groups and across models.

Evaluation of NSS scores for the bottom-up (GBVS) model did not show significant differences between-groups but indicated significantly higher performance of SCHZ patients in the highly salient image category (Table 1). The top-down (EML-Net) model also did not show an overall between-groups effect but showed significantly lower patients' performance in images depicting social interactions (Table 1).

At the whole-group level, including both SCHZ and HC, the bottom-up (GBVS) model showed no differences between image categories. On the other hand, the top-down (EML-Net) model showed lower prediction capability in the physically salient image category, and higher capability in the social interaction and social landscape image categories (Table 1).

# Between-group differences in bottom-up and top-down predictions in time

To identify the inter-group differences in the involvement of bottom-up and top-down processes over time, we calculated NSS score for each model in two different time periods: up to the fifth fixation and from the sixth fixation (Fig. 1). The decision to split the dataset into two periods was based on previous research showing that prediction accuracy for bottom-up models is lost around the fifth fixation<sup>62</sup>. Another decision that led us to split the dataset is the peak of the fixation duration, which is located just around the fifth fixation, for both groups (Fig. 2). We applied LMER models to both periods and both saliency models.

Table 1. Results of LME comparison	for top-down and bottom-up mode
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Predictors	bottom-up sq	rt(NSS)		top-down sqrt(NSS)			
	Estimates	CI	p	Estimates	CI	р	
(Intercept)	0.44	0.37–0.58	<0.001	0.57	0.53–0.61	<0.001	
SCHZ	0.01	-0.01-0.03	0.281	-0.03	-0.07-0.02	0.206	
Incongruent	0.01	-0.10-0.11	0.921	0.04	-0.01-0.09	0.132	
Physically salient	-0.04	-0.14-0.06	0.428	-0.11	-0.170.06	<0.001	
Social interaction	-0.08	-0.18-0.02	0.099	0.18	0.12-0.23	<0.001	
Social landscape	-0.02	-0.12-0.08	0.699	0.09	0.04-0.14	0.001	
$SCHZ \times Incongruent$	0.01	-0.01-0.02	0.224	0.03	-0.00-0.06	0.050	
SCHZ $\times$ Physically salient	0.02	0.00-0.03	0.015	0.03	-0.00-0.06	0.051	
SCHZ $\times$ Social interaction	0.01	-0.01-0.02	0.324	-0.06	-0.090.03	<0.001	
SCHZ $ imes$ Social landscape	0.01	-0.00-0.03	0.153	0.01	-0.02-0.04	0.582	
Random Effects							
$\sigma^2$	0.02			0.07			
$ au_{00}$	0.00 <sub>ID</sub>			0.01 <sub>ID</sub>			
	0.00 <sub>imageCat</sub>			0.00 <sub>imageCat</sub>			
ICC	0.10			0.07			
Ν	54 <sub>ID</sub>			54 <sub>ID</sub>			
	5 <sub>imageCat</sub>			5 <sub>imageCat</sub>			
Observations	13436			13436			
Marginal R <sup>2</sup> /Conditional R <sup>2</sup>	0.049/0.140			0.090/0.157			

Sequential analysis of bottom-up (GBVS) model. The LME model revealed no significant differences in NSS scores between the SCHZ and HC groups for either observed period. However, in the context of physically salient images, the model consistently showed a better prediction of oculomotor behavior for SCHZ patients compared to HCs, in both periods (Table 2).

Furthermore, an analysis of the second period revealed differential performance across image categories at the wholegroup level. Specifically, the bottom-up model indicated better performance for physically salient images, while it showed reduced effectiveness in accurately predicting oculomotor movements for stimuli depicting social interactions and social landscapes (Table 2).

Sequential analysis of top-down (EML-Net) model. LME results showed a difference in NSS score between groups during the first time period (Table 3). We also observed significantly higher model predictive performance of patients' oculomotor behavior in the physically salient image category and lower performance in social landscape images category in the first period. Stimuli depicting social interactions had significantly lower NSS score in SCHZ patients in both periods (Table 3). Contrastingly, when we examined the whole-group level results, which include both SCHZ and HC groups, no differences were observed between image categories in either of the two periods (Table 3).

# Group Differences in Fixation and Explored Area of the Image

The SCHZ group showed a significantly lower mean number of fixations per image than the HC (SCHZ: M = 8.92, SD = 1.28; HC: M = 9.22, SD = 0.75; t(54) = 5.26, p < 0.001), and the overall mean fixation duration was longer in SCHZ than in HC (SCHZ: M = 326.12 ms, SD = 22.97; HC: M = 254.83 ms, SD = 24.15; t(54)= -4.44, p < 0.001). We also observed a statistically significant difference between the groups in terms of the total area of the image that received fixations. This 'total fixed image area' refers to the cumulative portion of the image that was the focus of gaze fixations across all participants within each group. The standard deviation (SD) test revealed that the SCHZ group had significantly reduced spread of fixations over the image area (SCHZ: SD Mean = 678.28; SD = 76.3; HC: SD Mean = 727.56 (SD = 83.82); t(54) = 6.87, p < 0.001).

In addition, we identified between-group differences in the temporal dynamics of fixation duration. In SCHZ, the average fixation duration stabilized after an initial increase in duration. Around the fifteenth fixation, their duration became comparable to HC. The fifth fixation was achieved in 99% of all trials in HC and in 96% of all trials in SCHZ. Tenth fixation was achieved in 96% of all trials in HC and in 82% of all trials in SCHZ. Fifteenth fixation was achieved in 79% of all trials in HC and in 45% of all trials in SCHZ. A sequential testing procedure was used to test the significance of this difference. The first fourteen fixations showed а statistically significant difference in fixation lengths (t(54) = -2.55, p = 0.013). The fifteenth and subsequent fixation durations did not differ between groups (t(54) = -1.67, p = 0.098) (Fig. 2).

In the SCHZ group, we also investigated the relationship between oculomotor movements (including the duration and number of fixations) and various factors: the antipsychotic medication dosage, responses on the PANSS questionnaire, the duration of illness, and the period of untreated illness. However, our analysis revealed no statistically significant correlations between these variables and oculomotor movements. Additionally, we examined the relationship between oculomotor movements and CPT test results in both SCHZ and HC groups. We found a negative correlation between CPT Commissions and the mean number of fixations in HC group, but no other significant correlations with other measured variables and participant groups. Detailed results can be found in (Table 4).



**Fig. 1** The difference between models performance in time. A difference in NSS score of the top-down and bottom-up model betweengroups over time. **Description:** The top-down (EML-Net) model performs better within both time periods in the case of HCs. The bottom-up model, on the other hand, is better in predicting saliency in the SCHZ population only in the case of the second period from the sixth fixation. In the first period, the prediction is more accurate for HCs than SCHZ patients.



**Fig. 2** Inter-group differences in the duration of individual fixations (group mean, standard error of the mean). Vertical red dotted lines show the mean number of fixations in groups \*\*\*p < 0.00; \*p < 0.01; \*p < 0.05; ns = not significant. A sequential testing procedure was applied to control false positive rate – stopping at the first fixation with a non-significant result.

# DISCUSSION

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The main finding of our study is that the bottom-up model was able to better predict the oculomotor behavior of the SCHZ population and in contrast the top-down model better predicted the oculomotor behavior of HCs. While the LME model did not statistically confirm differences for either the bottom-up or topdown models overall, it identified significant variations upon examining specific image categories. These findings indicate that

Predictors	bottom-up sqr	t(NSS) – To the fifth f	ixation	bottom-up sqrt(NSS) – Up to sixth fixation			
	Estimates	CI	p	Estimates	CI	р	
(Intercept)	1.61	1.51–1.70	<0.001	1.54	1.52–1.57	<0.001	
SCHZ	-0.02	-0.04-0.00	0.093	0.01	-0.0-0.04	0.270	
Incongruent	0.03	-0.10-0.16	0.622	-0.00	-0.03-0.03	0.953	
Physically salient	-0.06	-0.19-0.07	0.393	0.04	-0.070.02	0.002	
Social interaction	-0.10	-0.23-0.03	0.129	-0.10	-0.130.07	<0.001	
Social landscape	-0.01	-0.14-0.12	0.925	-0.03	-0.060.00	0.039	
SCHZ $ imes$ Incongruent	-0.01	-0.03-0.02	0.595	0.01	-0.01-0.03	0.193	
SCHZ $\times$ Physically salient	0.02	0.00-0.05	0.030	0.02	0.00-0.04	0.046	
SCHZ $\times$ Social interaction	0.01	-0.01-0.03	0.310	0.01	-0.01-0.03	0.306	
SCHZ $ imes$ Social landscape	0.01	-0.02-0.03	0.532	0.02	-0.00-0.04	0.107	
Random Effects							
$\sigma^2$	0.04			0.03			
τ <sub>00</sub>	0.00 <sub>ID</sub>			0.00 <sub>ID</sub>			
	0.00 <sub>imageCat</sub>			0.00 <sub>imageCat</sub>			
ICC	0.06			0.05			
Ν	54 <sub>ID</sub>			54 <sub>ID</sub>			
	5 <sub>imageCat</sub>			5 <sub>imageCat</sub>			
Observations	13435			13097			
Marginal R <sup>2</sup> /Conditional R <sup>2</sup>	0.040/0.097			0.039/0.087			

Differences in NSS scores between SCHZ a HC groups for top-down (EML-Net) model in two different time periods. Table 3. top-down sqrt(NSS) - To the fifth fixation top-down sqrt(NSS) - Up to sixth fixation Predictors Estimates CI Estimates CI р р < 0.001 (Intercept) 1.81 1.35-2.27 < 0.001 1.67 1.36-1.98 SCHZ -0.11 -0.17--0.04 0.001 -0.02 -0.08-0.03 0.431 Incongruent 0.14 -0.51-0.79 0.679 0.02 -0.42-0.46 0.936 Physically salient -0.14 -0.79-0.51 0.663 -0.13 -0.57-0.31 0.557 Social interaction 0.25 -0.40-0.90 0.443 0.20 -0.24-0.64 0.370 Social landscape -0.40-0.89 -0.39-0.49 0.826 0.25 0.460 0.05 SCHZ × Incongruent -0.01 -0.05-0.04 0.706 0.04 0.00-0.08 0.034  $SCHZ \times Physically salient$ 0.00 - 0.090.029 0.02 -0.01-0.06 0.232 0.05 SCHZ × Social interaction -0.04 -0.09--0.00 0.045 -0.08 -0.12--0.05 < 0.001 -0.09--0.00 0.035 0.521 SCHZ × Social landscape -0.050.01 -0.02 - 0.05**Random Effects**  $\sigma^2$ 0.16 0.12 0.01 <sub>ID</sub> 0.01 <sub>ID</sub>  $\tau_{00}$ 0.05 <sub>imageCat</sub> 0.02 imageCat ICC 0.28 0.22 Ν 54 <sub>ID</sub> 54 <sub>ID</sub> 5 imageCat 5 imageCat Observations 13435 13097 Marginal R<sup>2</sup>/Conditional R<sup>2</sup> 0.086/0.346 0.054/0.263 sqrt square root, NSS normalised scan path, ID unique participant identification string, imageCat Image category.

the bottom-up model better predicted oculomotor behavior in SCHZ patients compared to HC when viewing physically salient images. This observation supports a 'bottom-up' bias in SCHZ patients and the assumption of a delayed integration of visual

signals initially processed by bottom-up mechanisms into the subsequent top-down processing<sup>26,55,56</sup>.

On the other hand, the top-down model was more effective in predicting the gaze patterns of SCHZ patients compared to HCs

Group Variable	SCHZ		HC					
	Mean of fixation number		Mean of fixation		Mean of fixation number		Mean of fixation duration	
	Pearson Correlation r(28)	<i>p</i> -value	Pearson Correlation r(28)	<i>p</i> -value	Pearson Correlation r(23)	<i>p</i> -value	Pearson Correlation r(23)	<i>p</i> -value
CPT omissions	0.12	0.52	-0.08	0.88	-0.19	0.34	0.24	0.23
CPT commissions	0.15	0.45	-0.16	0.4	-0.51	0.01	0.36	0.07
CPT hit reaction time (HRT)	-0.18	0.36	0.27	16	0.17	0.4	-0.13	0.54
CPT HRT standard deviation	-0.2	0.29	0.21	0.26	-0.26	0.21	0.05	0.86
CPT variability	-0.22	0.26	0.22	0.21	-0.24	0.23	0.13	0.53
CPT detectability	0.13	0.5	-0.09	0.64	-0.35	0.08	0.31	0.12
CPT perseverations	0.19	0.32	-0.21	0.26	0.28	0.17	-0.2	0.33
CPT HRT block change	-0.13	0.52	0.22	0.24	-0.05	0.8	-0.15	0.47
CPT HRT inter-stimulus	-0.19	0.33	0.15	0.44	-0.05	0.8	0.05	0.67
PANSS positive symptoms	-0.04	0.84	0.01	0.96	NA	NA	NA	NA
PANSS negative symptoms	-0.17	0.37	0.09	0.64	NA	NA	NA	NA
PANSS general psychopathology	-0.14	0.48	0.07	0.72	NA	NA	NA	NA
PANSS total score	-0.17	0.34	0.11	0.59	NA	NA	NA	NA
Duration of illness (months)	-0.08	0.64	0.17	0.36	NA	NA	NA	NA
Duration of untreated psychosis (months)	-0.11	0.54	0.2	0.28	NA	NA	NA	NA
CHLPMZ equivalent	-0.2	0.29	0.31	0.9	NA	NA	NA	NA

when they viewed incongruent scenes. This observation suggests that although the model is capable of predicting gaze patterns in relation to the objects within a scene, it falls short in recognizing the incongruity of these objects, that is, an understanding how the objects relate contextually. This observed behavior is likely because the top-down model, which inherently lacks the ability to assess the semantic context of objects, does not factor in the presence of incongruent objects within its predictive framework. In essence, the model's limited capacity to evaluate semantic contexts aligns with the similar cognitive limitation observed in SCHZ patients<sup>63</sup>. Therefore, the enhanced predictive accuracy of the top-down model for SCHZ patients may stem from this shared deficiency in correctly interpreting the semantic context of objects, resulting in more accurate oculomotor predictions for this group. Our findings also indicate that the top-down model more accurately predicted the oculomotor behavior of HCs compared to SCHZ patients in the context of social interactions images. This is consistent with earlier research highlighting the impaired ability of SCHZ patients to process more complex visual scenes such as social interactions and emotions<sup>64–66</sup>. This outcome is linked to negative symptoms of emotional blunting<sup>67</sup> and a deficit in processing the low spatial frequency (LSF) of images<sup>68,69</sup>.

Category-specific stimuli analyses showed better performance in SCHZ group for the top-down model in categories of social interaction and social landscape. This finding is in agreement with previous reports on the properties of saliency models<sup>70,71</sup>. This enhanced prediction accuracy suggests that this model excels in accounting for higher cognitive processes associated with the interpretation of individuals and objects within the scene and their interactions. Conversely, the performance of the top-down model was less effective in predicting the oculomotor behavior of HCs in response to physically salient stimuli. The top-down model's reduced capacity to predict oculomotor behavior for physically salient stimuli reaffirms its overall lower sensitivity to the bottom-up component within the predicted saliency map.

As expected, the temporal analysis of the models allowed us to reveal how top-down and bottom-up processes are involved in cognition and its formation in the groups we studied. The bottomup (GBVS) model indicated no significant differences between the groups across both periods. However, this trend changed when we focused on specific stimulus categories. Notably, for physically salient images, the GBVS model consistently showed better performance in SCHZ patients than in HCs during both periods. This confirms the previously reported tendency of SCHZ patients to focus their attention on physically salient stimuli<sup>72,73</sup>. The second analysis shows a difference in performance of the topdown (EML-Net) model between groups. Especially in the first period, the nuanced differences in how SCHZ and HC groups process visual information is highlighted. This distinction, particularly evident in the early period, underscores a potential divergence in cognitive processing strategies between the two groups. As the model's ability to differentiate between SCHZ and HC partly diminishes in the second period, it suggests a partial convergence in visual processing strategies over time, or possibly an adaptation in the SCHZ group's visual attention mechanisms. Differences persist for images depicting social interaction and emerge in incongruent images category.

Furthermore, these observations are in agreement with results from the CPT, where SCHZ patients exhibited higher rates of omission and perseveration errors compared to HCs. These CPT findings imply a greater tendency of SCHZ patients to overall inattentiveness (as indicated by higher omission scores) and to the use of more automatic responses (as evidenced by higher perseveration scores). Together, these elements suggest an impaired ability of SCHZ patients to direct their focus towards visual stimuli<sup>74</sup>. This impairment may also contribute to the delayed scene orientation observed in SCHZ patients, thereby affecting the efficiency of bottom-up signal processing. In the HC population, after the initiation phase, bottom-up saliency is suppressed by the top-down saliency of higher cognitive processes<sup>16,75–77</sup>, but as seen in the results it appears that this onset is delayed in the SCHZ population.

The delayed emergence of top-down cognitive processes is likely attributable to dysfunctions in LSF processing. LSF processing is essential for swift scene orientation, laying the groundwork for top-down predictive mechanisms and focused attention distribution within the visual scene<sup>16</sup>. The absence of notable differences between-groups in the second period of top-down model predictions implies that the slower initiation of top-down cognition might be linked to LSF processing abnormalities repeatedly reported in SCHZ population<sup>61,78-80</sup>. Previous studies mainly focus on the reduced ability of the SCHZ population to process LSFs, which has been attributed to dysfunction of the magnocellular optical pathways. However, recent findings indicate that LSFs may not be processed only by the magnocellular pathways but are likely processed in parallel in the koniocellular pathways<sup>81,82</sup>. Consequently, the research focus has shifted toward the retina itself in recent years<sup>83–85</sup>. One possible reason for the slower bottom-up signal processing in SCHZ is the inflammatory processes of retinal microvascularity, which are associated with commonly reported atrophy of retinal nerve fibers<sup>86,87</sup>. The outcome of this process is a low signal-to-noise ratio<sup>88</sup>, particularly resulting in an increased level of vagueness related to the nature of a percept/signal, ultimately leading to a disruption of the decision-making process<sup>89</sup>. However, inflammatory processes and associated atrophy would not explain why, in early-stage and untreated first-episode patients, hypersensitivity is often encountered<sup>55,57</sup>. Retinal atrophy can only explain the later stages of the illness when hypersensitivity eventually progresses to hyposensitivity, which also extends to other frequencies of the visual scene<sup>55,90,91</sup>. An alternative explanation that would also include hypersensitivity to LSFs would be instability in retinal dopamine levels<sup>6</sup>. Dopamine influences the size of receptive fields, thereby affecting the sensitivity to individual frequencies of the perceived image<sup>92</sup>. Increased dopamine levels reduce the size of receptive fields, leading to increased sensitivity to high spatial frequencies and vice versa<sup>93,94</sup>. Therefore, the instability of the receptive fields may contribute significantly to the formation of the aberrant salience that is typical for schizophrenia<sup>6</sup>.

In our study, the SCHZ patient group exhibited fewer yet longer fixations compared to the HC group, corroborating findings from existing literature<sup>95-97</sup>. While previous studies have suggested a link between these oculomotor differences and the severity of both negative and positive SCHZ symptoms, the nature of this association remains a subject of debate<sup>98</sup>. In contrast to these studies, our results did not establish a connection between the severity of SCHZ symptoms (whether negative or positive) and oculomotor behavior. This absence of correlation extended to the outcomes of the PNASS as well as to medication effects. Furthermore, we observed no significant relationship between fixation patterns and CPT performance within the SCHZ group. These findings imply that the overall ability of SCHZ patients to sustain attention does not significantly impact the results of predictive models. It raises the possibility that these specific differences in saliency and its predictive model might be considered as trait markers of SCHZ itself.

Temporal analysis of fixation duration revealed a diminishing difference between the HC and SCHZ groups over time. Initially, the SCHZ group exhibited prolonged fixations, likely indicative of extended time needed for scene orientation and LSF signal processing. However, fixation durations gradually decreased, suggesting the engagement of advanced top-down cognitive processes. This pattern aligns with the documented reduction in fixation duration and count in SCHZ during top-down cognitive tasks, such as object search or fixation within a scene<sup>99</sup>. This

"unknown compensatory mechanism", as the authors of the original study called it, might relate to altered receptive field sensitivity, potentially due to dopamine fluctuations in the retina and variations in retinal morphology, affecting receptive field distribution and size. However, a precise answer to this question would require more in-depth research.

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In this study, we explored the application of salience models in schizophrenia (SCHZ) research, an area with limited prior investigation<sup>100,101</sup>. Our findings indicate that predictive models of visual saliency are potent tools for identifying errors in visual information processing and the development of aberrant saliency in SCHZ patients. Emphasis should be placed on incongruent stimuli, stimuli that are physically salient, and complex stimuli depicting social interactions. These types of stimuli effectively illustrate the limitations of the models and the specific abnormalities in visual processing among the SCHZ population. Our study also reveals that the previously documented bias in SCHZ patients towards bottom-up signals<sup>31,55,57,61,102,103</sup> is variable over time. possibly originating from disruptions in early-stage visual processing. This disruption might further impede the onset of top-down visual cognition. The altered and prolonged processing of bottomup signals likely leads to flawed and unstable internal representations of the world, impacting higher cognitive functions<sup>6</sup>. Our study highlights the complex interaction between bottom-up and top-down processes in the visual signal processing of SCHZ patients, marked by a progressive decrease in fixation duration. However, to fully comprehend these intricate dynamics, further research is essential.

### Limitations

The first limitation of the presented study arises from the abovementioned question: to what extent the presented saliency models reflect purely "bottom-up" and "top-down" processing? Although this is still a matter of debate, the proportion of these two components largely differs in the applied models and thus the presented methodology can describe the differences between HC and SCHZ bottom-up and top-down processing. Also, the topdown EML-Net model, having been trained on data from individuals without neurological conditions, presents a challenge in interpretation: it's unclear whether the improved model fit observed in the control group is due to differences in the type of top-down information prioritized by patients and controls, or if it simply reflects variances in the degree to which they prioritize such information. This ambiguity raises questions about the model's ability to accurately capture the nuances of top-down information processing in populations with neurological conditions like SCHZ. Other limitation pertains to the antipsychotic treatment of SCHZ participants. The relationship between antipsychotic medication and oculomotor movement is a controversial topic which has been questioned before<sup>104-106</sup>, and our results support these concerns.

# METHODS

# Participants

This study involved 62 subjects (37 SCHZ and 25 HC) (Table 5), matched in age, sex, and years of education (within  $\pm$  2 years). Some HCs were matched to a larger number of SCHZ patients due to the lower availability of HCs with fewer years of education, resulting in this imbalance. The number of participants was estimated by a power analysis (Appendix A). Nine participants (9 SCHZ, 0 HC) were excluded due to incorrect eye-tracking measurements (within the measurement, the calibration deviation increased to more than 0.5°; high blink rate; fatigue; and concentration problems). Participants were recruited into the study as part of the Early-Stage Schizophrenia Outcome (ESO) Study<sup>107–109</sup> and through the National Institute of Mental Health

clinic, Czech Republic (NIMH CZ). The diagnostic procedure was standardized with the structured Mini-International Neuropsychiatric Interview<sup>110</sup>, and patients were diagnosed according to ICD-10<sup>111</sup>. Only patients diagnosed with schizophrenia spectrum disorder were included in the analyses (i.e., F20, F23 and F25)<sup>111</sup>. Additional inclusion criteria were age between 18 and 60 years, the absence of severe neurological illness or organic brain problems, and normal color vision as determined by the Ishihara test<sup>112</sup>. All the patients took medication at the time of participation. HCs were recruited via an advertisement from a similar socio-demographic background to the SCHZ participants.

Table 5.         Demographic and clinical c	naracteristics	of the exper	imental
Variable	SCHZ ( $n = 30$ ) Mean (SD)	HC ( <i>n</i> = 25) Mean (SD)	<i>p-</i> value
Gender (F/M)	10/20	10/15	0.817
Age (years)	32 (9.1)	31.57 (7.57)	0.837
Education (years)	14.11 (2.64)	14.28 (2.15)	0.777
PANSS total score	37.6 (7.43)		
PANSS positive symptoms	8.18 (1.1)		
PANSS negative symptoms	11.06 (4.7)		
PANSS general symptoms	18.53 (3.03)		
CPT omissions	55.43 (14.84)	47.15 (4.63)	0.017
CPT perseverations	54.84 (11.55)	48.61 (7.81)	0.015
CPT commissions	54.62 (9.72)	53.15 (10.99)	0.583
CHLPMZ equivalent	399.1 (182.1	4)	
Duration of untreated psychosis (months)	5.12 (8.03)		
Duration of illness (months)	133.72 (170	.45)	
Ratio of individual SCHZ diagnoses	F20 ( $n = 20$ ( $n = 0$ )	)); F23 (n = 1	0); F25
CHLPMZ Chlorpromazine.			

HCs were not allowed to have a history of psychiatric disorders (evaluated with a modified version of the M.I.N.I.) or in their firstand second-degree family members (assessed by an anamnestic questionnaire). Both groups were recruited between 2018 and 2021. The ethics committee of the NIMH CZ approved the study. All the experiments were performed in accordance with the relevant guidelines and regulations. Written, informed consent was obtained from all the subjects after receiving a complete study description. Participation in the research was voluntary, with a financial compensation of 500 CZK. In the SCHZ group, the current clinical condition and medication dose were also taken into consideration.

## Visual stimuli selection and pre-processing

A total of 250 color images of an everyday naturalistic scene were used in the study. All the photographs were downloaded from public databases (Flicker, World Images, and Vecteezy) or taken by the study's authors. The stimuli were divided into five categories (50 images pear each), based on their content (congruent, incongruent, physically salient, social landscape, social interaction) (Fig. 3). (1) Everyday Scenes (Congruent): This category includes images of typical, everyday environments where all elements are contextually appropriate and consistent. Such congruent scenes are expected to align well with top-down models' predictions, as they match usual expectations of everyday environments. (2) Incongruent images: These scenes contain everyday settings but with objects that are contextually out of place or unusual. The incongruence of these objects is anticipated to challenge topdown models, which rely on contextual appropriateness, and could be more accurately predicted for individuals with SCHZ than HC due to the expected bottom-up bias in SCHZ<sup>56</sup>. (3) Natural Scenes with Physically Salient Elements: Scenes in this category are natural environments that include elements with notable physical salience—like unusual color, contrast, or orientation. These elements are expected to be more effectively predicted by bottom-up models, and thus potentially better predicted for individuals in the SCHZ group. (4) Scenes Depicting Social Interactions: This category comprises scenes focused on social interactions. These types of stimuli are expected to be more accurately predicted by top-down model for the HC group, as they involve understanding social cues and contexts. 5) Social Landscapes: These are natural scenes that include elements of nature and feature humans. Termed "social landscapes," these scenes are anticipated to align better with top-down model predictions for

Congruent





Physically salient



Social landscape

Social Interactions



**Fig. 3 Examples of stimuli utilized in the experiment.** The photographs were categorized into five different groups based on their content. (1) Everyday Scenes (Congruent) include images of typical, everyday environments where all elements are contextually appropriate and consistent. (2) Incongruent images contain everyday scenes but with objects that are contextually out of place or unusual. (3) Natural Scenes with Physically Salient Elements include natural environments that include elements with notable physical salience. (4) Scenes Depicting Social Interactions comprises scenes depicting social interactions. (5) Social Landscapes are natural scenes that include elements of nature, but feature also humans.

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the HC group, as they combine elements of nature with social interactions.

The Shine toolbox<sup>113</sup> for MATLAB was used to normalize all the stimuli to color and luminance. Then two saliency models, Expandable Multi-Layer NETwork (EML-Net) and Graph-Based Visual Saliency Model (GBVS) (See below in section 4.6), were applied to each photograph, producing one saliency map per image and model. Subsequently, a black border was added to each image to reach a resolution of  $3840 \times 2160$  pixels. The original mean image area was M = 6,029,277.12 pix, SD = 818,762.31. The mean area of the added black borders was M = 1,487,522.88 pix, SD = 818,762.31. The image area therefore occupied approximately 80% of the monitor area. The experiment was created and presented using SR Research Experiment Builder 2.3.1<sup>114</sup>.

### Eye-tracking data acquisition

Eye movements were recorded using the EyeLink 1000 Plus eye tracker (SR Research Ltd. Ottawa, Ontario, Canada). The eye-tracker samples raw gaze data at 1000 Hz, fixations and saccadic movements are derived from that. Stimuli images were presented on a 4 K 27" (3840  $\times$  2160, 163 PPI, 60 Hz refresh rate) IPS screen with 100% sRGB color space. The screen was color- and luminance-calibrated with X-Rite i1 Display Pro probes connected during the whole rating session to adjust the screen for ambient light. The eye tracking and rating session took place in a quiet and windowless eye tracking lab in standardized conditions across all raters. Raters were seated with their heads on a chin and forehead rest (SR Research Head Support) 70 cm from the screen. Every participant saw images in a randomized order, with instructions to freely observe image on the computer screen.

We determined the dominant eye of each participant using a variation of the Porta test<sup>115</sup>. Although vision is binocular, we tracked only the dominant eye. The eye tracker was calibrated by a standard nine-point routine. Calibrations was validated by the EyeLink software and repeated as necessary until the optimal calibration criterion is reached.

Each image begun with a drift correction. A fixation cross on an 18% grey background appeared (in eight possible positions) on the screen, and participants were instructed to focus their gaze on it. The distance of the centers of the corner crosses from the center of image was 1275 pix at angles of  $155^\circ$ ;  $-155^\circ$ ;  $25^\circ$ ;  $-25^\circ$ . The centers of the crosses above and below the image center were 542 pix at angles of 90° and  $-90^\circ$ . The centers of the crosses to the right and left of the image center were 1150 pix at the angles of 0° and 180°. The cross size was 183 pix with a stroke thickness of 7 pix. The semi-random position of the cross out of the center was chosen to avoid visual bias towards the center of the image. When a participant's eye fixates on the cross, the stimuli presentation will initiate for five seconds.

### Symptom rating and cognitive testing

After conducting the eye-tracking measurements, we utilized the Positive and Negative Syndrome Scale (PANSS)<sup>116</sup> to assess the severity of positive and negative symptoms in SCHZ patients. Additionally, we employed Conners' Continuous Performance Test III (CPT)<sup>117</sup> to evaluate attention. We hypothesized that diminished attention, as indicated by the CPT, would influence perception processing, given that visual attention is crucial for acquiring information visually<sup>117</sup>. These assessments were conducted at the National Institute of Mental Health (NIMH CZ) in a quiet, dedicated room. The entire assessment process, led by a trained psychologist, lasted approximately 2 hours. The primary objective of this psychological testing was to investigate any potential causal links between the illness, the performance of the saliency models, and the oculomotor behavior observed in the patients.

#### Data pre-processing and statistics

Primary pre-processing (differentiation between saccades and fixations) was performed in the EyeLink Data Viewer. The data were then exported to a spreadsheet format (CSV) for further processing. In the first step, all ET data were cleaned of off-monitor fixations and saccades. The first fixation overlapping with the fixation cross between stimuli was removed and no longer considered. Pre-processing and all table data (including PANSS, CPT, saliency prediction scores, and demographic data) were statistically analyzed with R<sup>118</sup> using the tidyverse package<sup>119</sup>.

Ground truth fixation matrices were calculated from the cleaned fixation data for each participant and image in Python using the GazePointHeatMap package<sup>120</sup>. This matrix contains the fixation averages for each image area over time. Ground truth fixation map was in full resolution of the original stimuli ( $3840 \times 2160$ ). Two subsequent ground truth maps from fixations were computed (up to the fifth fixation and from the sixth fixation) to examine whether the bottom-up signal bias in the SCHZ group persists over time or not. Python was used to process both saliency models, which are published at github.com (GBVS<sup>121</sup>; EML-Net<sup>122</sup>). The final performance evaluation of each saliency model was calculated using the MIT saliency benchmark toolbox<sup>40</sup> in MATLAB (Fig. 4).

The inter-group difference in the total examined image area was calculated using the standard distance deviation formula (SDD) in R with the mapTool package<sup>123</sup>. We investigated the relationship between the oculomotor behavior of SCHZ patients and key clinical factors: the duration of untreated psychosis and the chlorpromazine equivalent<sup>54,124,125</sup> were investigated in R.

Finally, the metrics differences between-groups were evaluated using Linear Mixed-Effects Models (R Ime4 package)<sup>126</sup>. The models used NSS metrics value as the dependent variable and included fixed effects for interaction between-group (patients vs controls), image category, crossed random intercepts for each individual (participants ID) and each image category. Estimating random intercepts for individual images was not feasible due to the extensive number of parameters required. Prior to modelling, the NSS score was transformed using square root transformation to suppress skewness of the distribution. Inputs and resulting distributions, as well as model residuals, were checked using density and q-q plots. Significance tests on fixed effects were performed using Satterthwaite's method (R ImerTest package)<sup>127</sup>.

The Wilcoxon signed-rank test was applied to assess saccadic eye movement, which had a non-normal distribution. A Pearson's correlation test was used to assess the association between medication, the outcomes of psychological tests, and the duration of untreated psychosis with the findings of the oculomotor movements. For all the tests, the significance level was set at alpha < 0.001 in order to take into consideration multiple comparisons.

For the between-group comparison of fixation duration, we used the sequential testing procedure: starting from fixation 1, the between-group differences were compared using the t-test at a significance level alpha = 0.05. The subsequent fixations were considered significant if, and only if, current and all preceding tests rejected the null hypothesis. This approach conforms to the closed testing procedure and thus controls the overall significance level at alpha =  $0.05^{128}$ .

## **Saliency Models**

The selection of the most recent top-down and bottom-up saliency models used in our study was based on the models' overall success in their category as measured by the MIT Saliency Benchmark (saliency.mit.edu)<sup>40</sup>. We selected the best-performing models from the top-down and bottom-up categories based on the NSS metrics<sup>129–132</sup>, which was set as a mandatory performance indicator at the 14<sup>th</sup> European Conference on Computer Vision<sup>40</sup>. The second criterion was the availability of source code. We chose the results from a MIT300 dataset<sup>131</sup>, which by its nature, better



Fig. 4 The diagram illustrating data processing and analysis steps utilized in the study. Pink arrows mark the processing path of the ground truth map. Green arrows mark the processing path of the saliency models. Black arrows mark the processing path of table data for statistical comparison; CSV comma-separated values, EDF standardized European data format for storage of medical time series, NSS normalized scan path saliency, PANSS Positive and Negative Syndrome Scale, CPT Conners' Continuous Performance Test III.

reflects the stimuli used in our study than a CAT2000<sup>133</sup>, which contains only natural scenery.

As the bottom-up model, we selected the pre-trained GBVS<sup>134</sup>, which works by constructing a graph representation of the image,

where each node in the graph corresponds to a small region of the image. This process consists of two steps. First, it creates numerical activation maps of feature channels extracted from locations in the image (e.g., by linear filtering followed by elementary nonlinear filtering). Second, it normalizes the activation maps in a way that emphasizes conspicuity and allows combinations with other maps<sup>135</sup>. The model takes a Markovian approach at both steps. Markov chains are defined over various image maps, and the equilibrium distribution over map locations is treated as activation and saliency values. The edges between the nodes represent the similarity between the regions. The model then computes a saliency value for each node based on its contrast with neighboring regions. The nodes with high saliency values are considered to be the most visually salient regions of the image and are likely to attract human attention.

As the top-down model, we selected the pre-trained EML-Net<sup>136</sup>, a deep-learning model used for image saliency prediction. The EML-Net model uses CNN layers to extract features from the image and then passes these features through multiple layers of fully connected neural network layers to predict the saliency. Specifically, the encoder consists of NasNet from ImageNet and DenseNet from PLACE365<sup>136</sup>, both are used as encoder for image classification. During training, the model learns to predict the saliency map for a given input image by adjusting the weights of the neurons in the network to minimize the difference between the predicted saliency map and the ground truth map.

To enable a meaningful comparison between two distinct prediction models, the NSS metrics were selected to evaluate their performance<sup>40</sup>. Specifically, NSS metrics measure accuracy by comparing the predicted saliency map created by the model with the fixation density map from eye-tracking data (ground truth map).<sup>129</sup> The fixation density map shows where viewers look at an image. NSS calculates the mean saliency value at the fixated locations by comparing the predicted map with a binary fixation map, where 'ones' represent fixations and 'zeros' represent other areas<sup>137</sup>. A higher NSS value suggests a better prediction of viewer attention, while a value of zero indicates chance-level predictions. NSS is widely used for comparing different saliency models because it provides a straightforward and standardized way to assess their performance.

### DATA AVAILABILITY

The data analyzed during the current study are available from the corresponding author upon reasonable request. Analysis scripts are available on the OSF: https://osf.io/hz2p8/.

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#### AUTHOR CONTRIBUTIONS

Study design: PA: 75%; JH: 5%; DG 20%. Data acquisition: IN 75%; VJ 10%; JJ 5%: VL 5%; PF 5%. Data analysis: PA 70%; ⊔ 15%; EB 15%. Data interpretation: PA 80%; EB 20%. Writing – original draft preparation: PA 85%; ⊔ 10%; ⊔ 5%. Writing – review and editing: JH 45%; LK 15%; VJ 10%; DG 20%; EB 10%.

#### **COMPETING INTERESTS**

The authors declare no competing interests.

### ADDITIONAL INFORMATION

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